

1           Hospital-use pharmaceuticals in Swiss waters  
2                           modeled at high spatial resolution

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## 19 ABSTRACT

20 A model to predict the mass flows and concentrations of pharmaceuticals predominantly used  
21 in hospitals across a large number of sewage treatment plant (STP) effluents and river waters  
22 was developed at high spatial resolution. It comprised 427 geo-referenced hospitals and 742  
23 STPs serving 98% of the general population in Switzerland. In the modeled base scenario,  
24 *domestic*, pharmaceutical use was geographically distributed according to the population size  
25 served by the respective STPs. Distinct *hospital* scenarios were set up to evaluate how the  
26 predicted results were modified when pharmaceutical use in hospitals was allocated  
27 differently; for example, in proportion to number of beds or number of treatments in hospitals.  
28 The *hospital* scenarios predicted the mass flows and concentrations up to 3.9 times greater  
29 than in the *domestic* scenario for iodinated X-ray contrast media (ICM) used in computed  
30 tomography (CT), and up to 6.7 times greater for gadolinium, a contrast medium used in  
31 magnetic resonance imaging (MRI). Field measurements showed that ICM and gadolinium  
32 were predicted best by the scenarios using number of beds or treatments in hospitals with the  
33 specific facilities (i.e., CT and/or MRI). Pharmaceuticals used both in hospitals and by the  
34 general population (e.g., cyclophosphamide, sulfamethoxazole, carbamazepine, diclofenac)  
35 were predicted best by the scenario using the number of beds in all hospitals, but the deviation  
36 from the *domestic* scenario values was only small. Our study demonstrated that the bed  
37 number-based *hospital* scenarios were effective in predicting the geographical distribution of  
38 a diverse range of pharmaceuticals in STP effluents and rivers, while the *domestic* scenario  
39 was similarly effective on the scale of large river-catchments.

40 KEYWORDS: benzotriazole; carbamazepine; catchment; cyclophosphamide; diatrizoate;  
41 diclofenac; fluconazole; furosemide; gabapentin; gadolinium; hospitals; iobitridol; iodinated  
42 X-ray contrast media (ICM); iohexol; iomeprol; iopamidol; iopromide; ioxitalamic acid;

modeling; oxazepam; ritonavir; river; sewage treatment plant (STP); sulfamethoxazole; verapamil

## 1. INTRODUCTION

Hospitals are often discussed as potential point sources for the discharge of numerous human-use pharmaceuticals into the environment, with major contributions to wastewater loads.<sup>1-4</sup> Case studies have shown that the contribution of hospital wastewater to pharmaceutical loads in sewage treatment plants (STPs) varies considerably, from less than 5% to more than 50%, depending on the specific hospital characteristics (location, type, size, and number relative to the catchment population) and the target substance.<sup>3,5-9</sup> The number of hospital beds per 1000 population is a general measure of inpatient services availability, and varies among countries; for example, 0.3 (Bangladesh), 2.5 (China), 2.9 (world average), 3.2 (U.S.), 5.5 (Switzerland), 8.4 (Germany) and 14.1 (Japan), as of 2005.<sup>10</sup> The values found in specific catchment studies on hospital wastewater were 0.5–4.4 (Australia),<sup>5,7</sup> 3.6–3.8 (Switzerland),<sup>1,9</sup> 4.4 (Oslo),<sup>11</sup> 6.5 (Italy),<sup>12</sup> and 12.1 (Berlin).<sup>13</sup> The environmental impact of pharmaceutical residues in hospital wastewater has been studied.<sup>6,9,14,15</sup> Pharmaceuticals of particular concern include iodinated X-ray contrast media (ICM), which are used for computed tomography (CT) in large quantities;<sup>16</sup> cytostatics, which are often toxic;<sup>17</sup> and antibiotics, which contribute to the spread of antibiotic resistance.<sup>4,18</sup> In conventional sewage treatment processes, these pharmaceuticals are only partially eliminated, and their residues are found in surface and groundwater.<sup>19-21</sup>

Thus far, two options have been proposed for reducing environmental discharge of hospital-derived pharmaceuticals: (1) separate treatment of hospital wastewater at the source,<sup>22,23</sup> and (2) upgrading municipal STPs to include post-treatments such as ozonation and powdered activated carbon.<sup>24-26</sup> Several countries have already begun to consider the

68 latter; in Switzerland, for example, a general 80% reduction in organic micropollutants from  
69 raw sewage, evaluated by selected compounds, is envisaged for roughly 100 STPs by a new  
70 water protection act.<sup>27,28</sup>

71 Both options above naturally involve massive costs. Therefore, in deciding whether a given  
72 STP and/or hospital should be modified for the elimination of pharmaceuticals, it is essential  
73 to identify which catchments have high loads or high concentrations of pharmaceuticals in the  
74 receiving waters. In large geographical areas with multiple substances, such assessments can  
75 be very laborious when based on field monitoring; and in such cases, modeling approaches  
76 are more useful.<sup>29,30</sup> The discharge of domestically used compounds has been successfully  
77 modeled using catchment-scale water quality models, such as GREAT-ER,<sup>31</sup> LF2000-WQX,<sup>32</sup>  
78 or similar approaches.<sup>29,33</sup> In these models, however, hospitals are not included as emission  
79 sources. Recently, Al Aukidy et al.<sup>8</sup> proposed a framework for assessing the environmental  
80 risk posed by pharmaceuticals derived from hospital wastewater. They proposed to use  
81 pharmaceutical concentrations in hospital wastewater reported in various countries as  
82 reference concentrations, and use total hospital bed numbers in catchments to estimate the  
83 dilution of the hospital wastewater by domestic wastewater. In their study, the estimated risk  
84 quotient had an uncertainty of 2–3 orders of magnitude, owing to the large variation in  
85 pharmaceutical concentrations in hospital wastewater in the literature. This uncertainty  
86 increases in the case of pharmaceuticals not used in every hospital but only in specific types  
87 of hospital; here, the spatial distribution of such pharmaceuticals would differ from that of  
88 hospital beds, a case not addressed by Al Aukidy et al. However, assessments based on actual  
89 consumption of pharmaceuticals in the target area, with consideration of hospital types, would  
90 greatly reduce such uncertainty. In Australia and Switzerland, audit data of pharmaceutical  
91 consumption obtained from hospitals was used to successfully estimate the hospital-based  
92 contribution to pharmaceutical loads in one or a few STPs.<sup>5,7,9</sup> For assessment across a large

number of catchments, however, a model using more easily-available data (e.g., number of beds, hospital types) is more convenient in terms of data collection and modeling. In Germany, the mass flow of ICM in the urban water cycle of Berlin was predicted by a model comprising 12 STPs and hospitals, using estimated ICM consumption data.<sup>34</sup> Thus far, however, there has been no study on the modeling of different classes of hospital-use pharmaceuticals across a large number of catchments, with consideration of hospital type.

Here, we proposed and validated a model-based method using national consumption data to efficiently predict the geographical distribution of a diverse range of pharmaceuticals (including some specifically used in hospitals) in STP effluents and rivers, at high spatial resolution, incorporating multiple types of hospitals as geo-referenced point sources, across all of Switzerland. The model is based on a previously developed national substance flow model, which predicted the respective amounts of micropollutants discharged by the general population.<sup>29</sup> Our objectives were to (i) test and compare distinct scenarios with different levels of model complexity (i.e., pharmaceuticals were geographically distributed according to (a) population size served by respective STPs, (b) total number of hospital beds, (c) number of beds at specific hospitals, or (d) number of medical treatments related to specific pharmaceutical usage); (ii) test different cases for varying ratios of outpatients to total patients; (iii) validate the model through field measurements; and (iv) evaluate the applicability of the model in terms of spatial resolution, model complexity, data acquisition demands, and predictive uncertainty.

## 2. EXPERIMENTAL SECTION

### 2.1 Model setup

As a basis, we used a substance flow model for Switzerland.<sup>29</sup> The model incorporates a total of 742 STPs, covering more than 98% of the general population (7.31 million). The input data

are (i) national pharmaceutical consumption data, (ii) excretion rates of pharmaceuticals, (iii) elimination rates in municipal STPs, (iv) location and population of the catchments, and (v) dilution in the receiving waters. No elimination was assumed in the rivers, as the environmental half-lives of many pharmaceuticals are on the same order of magnitude or larger than the maximum residence time of Swiss rivers (1 d). The base flow conditions ( $Q_{347}$ ) were used to account for minimum dilution in the rivers. Geographically, the total national pharmaceutical loads were allocated proportionally to the population size of the respective STP catchments, which ranged from 30–390,000 (average 9900, median 2700).

The national pharmaceutical consumption data for 2009 was purchased from IMS Health (Danbury, CT, USA), which collects data in many countries, which is used for scholarly research.<sup>35</sup> In Switzerland, data are available on the overall national distribution of all registered pharmaceuticals by manufacturers, importers, wholesalers and suppliers, divided into four distribution channels: (i) pharmacies, (ii) drug stores, (iii) doctors' offices, and (iv) hospitals. The sum of channels (i)–(iii), plus the amount dispensed through hospitals but excreted outside by outpatients, is considered as the total consumption by the general population (domestic consumption). Only the amount dispensed and excreted in hospitals (e.g., by inpatients) is assumed to be discharged from hospitals. This amount, as a fraction of total consumption, is thus referred to in this study as the *effective hospital fraction* (HF), which describes the allocation of pharmaceuticals between hospitals and households. HF was determined in the following manner. First, for each pharmaceutical, the ratio of the total amount dispensed through hospitals (i.e., channel (iv) above) to total consumption was termed the *hospital-dispensed fraction* ( $HF_{dis}$ ). Based on  $HF_{dis}$ , HF was estimated as the fraction of the total amount of a given pharmaceutical dispensed through all hospitals, minus the portion of this amount excreted by outpatients outside the hospitals. Hence, the consumed amount

that was subsequently discharged from hospitals was expressed as (total consumption  $\times$  HF), and that discharged from the general population as (total consumption  $\times$  (1–HF)). The hospital-allocated pharmaceutical consumption (i.g., total consumption  $\times$  HF) was distributed to the respective hospitals according to the scenarios described in Section 2.3. The consumption by the general population (i.g. total consumption  $\times$  (1–HF)) was distributed in proportion to the respective catchment populations. The respective consumption by hospitals and by the general population was assigned for each STP, and the resulting loads and concentrations of pharmaceuticals in STP effluents and rivers were predicted, taking excretion from the human body and elimination at STPs into account, as in the base model.<sup>29</sup> This allocation of pharmaceutical consumption to STP catchments is illustrated in Figure S1 of the Supporting Information (hereafter, SI).

## 2.2 Hospital data

Information on the hospitals' location, type and number of inpatient beds was derived from the official database of 2007 (Federal Office of Public Health), which included all the hospitals in Switzerland (427 hospitals, with 44,892 beds in total). In addition, for hospitals with radiology and/or oncology departments, information on the respective facilities, as well as the actual numbers of treatments related to CT, MRI and inpatient chemotherapies, was acquired. Further details of the data acquisition are described in S1 (SI). The number of in-use beds was determined based on the occupancy rate for each hospital (median 90%, Q1 84%, Q3 98%), and used for subsequent modeling and analysis, including the characteristic hospital bed density per 1000 population (hereafter,  $B_{1000}$ ).

In Switzerland, hospitals and hospital beds are concentrated in the large cities (Figures S2 and S3a). In comparison,  $B_{1000}$  provides a different picture (Figure S3b): several suburban catchments had higher  $B_{1000}$  values of up to 118, which is 21 times the national average  $B_{1000}$

for Switzerland (5.5). More details on the geographical distribution of hospitals and hospital beds, and the distribution of  $B_{1000}$  are described in S2.

### 2.3 Scenarios

Five distinct scenarios were developed for distributing pharmaceuticals (Table 1). Four of these were the *hospital* scenarios, in which hospital-allocated pharmaceutical consumption was distributed over the number of hospital beds or specific treatments. For contrast media and cytostatics, hospitals equipped with CT or MRI facilities, and hospitals with oncology departments, were distinguished in bed-specific and treatment-specific scenarios. Furthermore, for each pharmaceutical, the effect of HF variation was evaluated for two cases; in the average case (AC),  $HF_{ac}$  was set according to a realistic average proportion of expected inpatients; in the high case (HC),  $HF_{hc}$  was set at the same value as  $HF_{dis}$  or slightly lower, conservatively assuming a higher proportion of inpatients than the average case. The *domestic* scenario was evaluated in comparison with the *hospital* scenarios. In the *domestic* scenario, all the pharmaceutical consumption was allocated to the general population (i.e.,  $HF = 0$ ), as in the base model.

### 2.4 Input data uncertainty

For the base model, the maximum uncertainty in the predicted pharmaceutical load discharged from each STP, through variation of the model parameters, was evaluated as 64%.<sup>29</sup> In rivers, the uncertainty of  $Q_{347}$  added further uncertainty, ranging from 30–70%, to the predicted concentrations, depending on the river size. These uncertainties naturally applied to the *hospital* scenarios as well. In addition, the uncertainty of the hospital data *per se* must be accounted for. Briefly, the uncertainty was small (< 10%) for basic hospital data, pharmaceutical consumption, and  $HF_{dis}$ . In comparison, large uncertainty was found for



treatment numbers of MRI and chemotherapy. In 49% of hospitals equipped with MRI facilities, and 74% of hospitals with an oncology department, treatment numbers were not available, and thus had to be estimated. The actual treatment numbers (where available) did not show a good correlation with the bed numbers (Figures S4b and S4c). Therefore, for each hospital type (e.g., supply hospitals, primary care hospitals), the median of the actual treatment numbers (SI, Table S1) was used, in order to avoid extreme over- or underestimation. In contrast, the actual CT treatment numbers highly correlated with the bed numbers (Pearson,  $r = 0.92$ ,  $P < 0.001$ ; Figure S4a). Therefore, in 54% of CT hospitals where treatment numbers were not available, linear regression using the respective bed numbers was employed, and thus the uncertainty was expected to be small. Further details on the uncertainty regarding input data for the *hospital* scenarios are described in S3.

## 2.5 Pharmaceuticals

We modeled and measured 19 compounds, including 11 major pharmacological classes. Escher et al.<sup>6</sup> provide a list of the top 100 pharmaceuticals used and excreted in the largest amounts in a typical and regionally important general hospital in Switzerland. Based on this list, we selected pharmaceuticals which were representative and poorly eliminated during sewage treatment. We studied seven ICM used for CT (Table 2), representing the pharmacological class which showed the highest consumption and was mainly dispensed in hospitals ( $HF_{dis} = 0.58$ ). The seven ICM were modeled altogether as ‘iodine’, which was the sum of the iodine content of all the ICM. This was because the occurrence of ICM measured in the STP effluents varied significantly among catchments (Figure S5), seemingly owing to varying hospital preferences. Gadolinium complexes are used for MRI as contrast media, and are dispensed only in hospitals ( $HF_{dis} = 1$ ). Therefore, gadolinium (Gd) is most useful for studying the discharge of hospital effluents to STPs.<sup>5,36</sup> Gadolinium complexes are designed

to be stable and non-reactive, and are quickly excreted from the human body, with a 1.3–2 h half-life.<sup>36,37</sup> In addition, they are not removed during conventional sewage treatment.<sup>38</sup> Cyclophosphamide was selected as a model cytostatic, because it is used only for chemotherapy and has a large  $HF_{dis}$  (0.68). Sulfamethoxazole ( $HF_{dis} = 0.17$ ) was selected because of its broad use as an antibiotic in general hospitals. In addition, we selected eight more pharmaceuticals with relatively small  $HF_{dis}$  (0.03–0.49). Benzotriazole, which is closely related to domestic wastewater (i.e.,  $HF_{dis} = 0$ ), was selected as a reference compound.<sup>39</sup> The modeled compounds included four of the five originally proposed indicator compounds used to evaluate the removal of micropollutants in advanced wastewater treatment as envisaged in the new Swiss water protection act.<sup>27,28</sup> Excretion rates and elimination in STP were based on averages from literature data. The parameters and scenarios for the ICM, gadolinium, cyclophosphamide and sulfamethoxazole are shown in Table 2; and those for the remaining compounds, in Table S2.

## 2.6 Field sampling and laboratory analyses

Samples of 14 STP effluents and 7 river waters in Switzerland (Table S3; locations are indicated in Figures 1 and S3b) were collected during June and October, 2010. The sampling sites were selected based on meeting at least one of the following criteria: catchments with large variation in predictions between the *hospital* scenarios and the *domestic* scenario; catchments with hospitals equipped with CT or MRI facilities, or an oncology department; locations with high predicted mass flows or concentrations. The STP catchments contained varying combinations of general, psychiatric, and rehabilitation hospitals, with varying proportions of hospital beds by hospital type (Table S4). Details on the sampling methods, analytical procedures and quality control are described in S4. Briefly, 24-h composite samples were taken over one week (STPs), and 1-, 2- or 4-week

composite samples over 1–8 weeks (rivers). The compounds, excluding gadolinium, were analyzed by online SPE-HPLC-MS/MS.<sup>40</sup> Gadolinium was analyzed using ICP/HRMS.

## 2.7 Methods for scenario evaluation and model validation by measurement

Throughout this study, we evaluated the pharmaceutical discharge based on mass flow (load). For rivers, pharmaceutical mass flow was evaluated at each STP discharge point by aggregating the loads from the upstream STPs. To compare the model predictions among the different *hospital* scenarios, with respect to the *domestic* scenario, the modeled pharmaceutical mass flow was evaluated as the change relative to the *domestic* scenario (i.e., mass flow predicted by a *hospital* scenario/mass flow predicted by the *domestic* scenario). This relative change remained the same for all pharmaceutical concentrations, as the assumed flows are the same in all the scenarios.

The measured pharmaceutical mass flows were determined by multiplying the measured concentrations by the actual discharge over the sampling period, for each STP and river (Table S5). The agreement between the respective calculated and modeled average daily mass flows was evaluated, following Ort et al.,<sup>29</sup> using the predictive accuracy factor (prediction/observation; hereafter, PAF), its median value (MPAF), its relative standard deviation (RSD), and the  $R^2$  from the linear regression forced through 0.

The benzotriazole mass flow predicted by the *domestic* scenario agreed well with the measured mass flow, both in the STP effluents and the rivers (Figure S6); and this showed that the *domestic* scenario was valid for predicting compounds used domestically.

Throughout the paper, evaluations are mainly based on the results for ICM, gadolinium, cyclophosphamide and sulfamethoxazole. The predicted mass flow and concentrations of those four compounds in all the STP effluents and river waters, along with their relative change, are shown in Table S6.

### 3. RESULTS

#### 3.1 Modeled pharmaceutical discharge

##### 3.1.1 STP effluents

**Overall.** Our results showed that the *hospital* scenarios predicted higher mass flows than the *domestic* scenario only in a small number of catchments (Figures 1a, 2, S7–S9). In 76% of all catchments with 30–100,000 population and no hospital beds, the change relative to the *domestic* scenario was simply (1–HF) for all the *hospital* scenarios, because the catchments had no hospital-allocated pharmaceutical consumption (see Figure S1 for the expected relative change depending on catchment characteristics). Among all the scenarios, the relative change exceeded 1 in 8–15% of catchments (Tables S7 and S8), where the number of hospital beds or treatments per population were above the respective national average values (Table S9). Large relative changes were found in a few percent of catchments, which were mostly suburban or relatively remote, with 1000–10,000 population. These catchments differed among scenarios and compounds, depending on the services provided by the hospitals. In contrast, in catchments with more than 100,000 population, the predicted mass flows varied less among scenarios and compounds, and the relative change mostly ranged from 1–3. This indicated an abundance of hospitals of all types in these heavily populated catchments. The *all beds* scenario had more catchments with large relative changes than the other scenarios. This is because, in *all beds*, catchments containing any type of hospital are assigned hospital-allocated pharmaceutical consumption. Therefore, a very large relative change was found in catchments where the existence of hospitals of special types caused large  $B_{1000}$  (e.g., suburban catchments). In such catchments, the *all beds* scenario can overestimate the mass flow of specific pharmaceuticals. For example, the relative change for ICM and gadolinium in *all beds* was the largest (e.g., around 7 for ICM, AC) in the two small

catchments of Rheinau and Schinznach-Bad (Figure 2), both of which have a population of 1300 and the highest  $B_{1000}$  values (118 and 108, respectively). However, this result is unrealistic, because the catchments only contain a psychiatric hospital (Rheinau), and a rehabilitation hospital (Schinznach-Bad), neither of which has a radiology department; and the field measurements confirmed the overestimation of contrast media in these catchments (Section 3.2.1).

**Contrast media.** The contrast media included ICM ( $HF_{ac} = 0.3$ ,  $HF_{hc} = 0.5$ ) and gadolinium ( $HF_{ac} = 0.5$ ,  $HF_{hc} = 0.8$ ). In the *CT beds* scenario, the largest relative changes, of 3.9 (AC) and 5.8 (HC), were found in STP Saignelegier (2100 population) and STP Zurzach (7500). Gadolinium showed the largest relative change because it has the largest HF value. In the *MRI beds* scenario, the largest relative changes (3.7–6.7 in AC, 5.3–10.1 in HC) were found in six catchments, with varying population ranging from 2100–52,000. In the *MRI treatments* scenario, the maximum relative change was even larger, at 22.4 (STP Saignelegier, HC); note, however, that the treatment number in this catchment was estimated.

**Other pharmaceuticals.** Various HF values ( $HF_{ac} = 0.01$ –0.1,  $HF_{hc} = 0.03$ –0.49) were applied to the remaining ten pharmaceuticals. Among the latter, ritonavir had the largest relative change (e.g., 3.1 in *all beds*, AC, STP Rheinau). In the case of cyclophosphamide ( $HF_{ac} = 0.05$ ,  $HF_{hc} = 0.34$ ), the relative change reached 1.5 in the *oncology beds* and *chemotherapy* scenarios for AC, and 3.8 in *oncology beds* for HC. Regarding sulfamethoxazole ( $HF_{ac} = 0.03$ ,  $HF_{hc} = 0.17$ ), the relative change reached 1.7 (AC) and 4.5 (HC) in *all beds*, and 1.4 (AC) and 3.2 (HC) in *general beds*.

**Comparison between bed-specific scenarios and treatment-specific scenarios.** The respective predictions by the bed-specific and treatment-specific scenarios did not differ much for ICM (Figure S10). In comparison, the difference was large for gadolinium (up to a factor of 2) and cyclophosphamide (up to 4). This reflects the fact that bed and treatment numbers

317 did not correlate well in the case of MRI and chemotherapy (Figures S4b and S4c). However,  
318 missing treatment numbers produced large uncertainties (Section 2.4); therefore, great care  
319 should be taken in the case of treatment-specific scenarios in such catchments.

### 321 3.1.2 Rivers

322 Similarly to STP effluents, the relative changes were largest in catchments with 1000–10,000  
323 upstream population (Figures 1b, S11–S13). The largest relative changes in rivers (e.g., 3.9  
324 for ICM in *CT beds*, AC) were similar to those in STP effluents. However, large relative  
325 changes were found in fewer river waters than STP effluents (Tables S10 and S11); for  
326 example, relative changes of greater than 3 for gadolinium in *MRI beds* (AC) were noted in  
327 effluents of six STPs but in only three river waters. All the scenarios predicted very similarly  
328 in rivers where the upstream population exceeded 10,000. As the loads of all the upstream  
329 STPs were aggregated, the respective differences from the *domestic* scenario were averaged  
330 out.

## 332 3.2 Model validation by measurement

### 333 3.2.1 Contrast media

334 As explained above, the *all beds* scenario was inappropriate for modeling ICM and  
335 gadolinium (e.g., MPAF 3.0, RSD 469% for ICM, AC; Figure 3, and Tables S12 and S13).  
336 Especially in the STP catchments of Rheinau and Schinznach-Bad, the *all beds* scenario  
337 considerably overestimated the contrast media (PAF 11–55), because the catchments did not  
338 have CT or MRI facilities. In contrast, the facility-specific scenarios showed better agreement  
339 with the measured values (e.g., PAF 1.2–3.6 in *MRI beds*, AC) in those catchments.  
340 The *domestic*, *CT beds* and *CT treatments* scenarios somewhat overestimated ICM in the STP  
341 effluents (MPAF 1.5–2.5, AC; Figure 3a), although the magnitude of overestimation was not

markedly higher than the base model uncertainty. The observed overestimation may have partly derived from the uncertainties of consumption numbers and/or the varying elimination rates of ICM in the STPs. The reported elimination of ICM varied largely (e.g., 0–90% for iopromide), possibly due to varying sludge age and/or degree of nitrification.<sup>20,41–43</sup> In our model, an average elimination rate of 40% was assumed (Table 2). Among the scenarios, *domestic* had an MPAF closest to 1, with the largest  $R^2$  value (Figure 3a), but the deviation from the measured values was found to be large (PAF 0.27–7.7). In contrast, the *CT beds* and *CT treatments* scenarios in AC showed smaller RSD values, and deviated less from the measured values (PAF 0.48–5.8). Among the sampled STPs, four catchments showed relative changes of greater than 2 in *CT beds*, AC. The *domestic* scenario underestimated the measured values in two of these catchments (PAF 0.27 and 0.48 in *domestic*, against 0.82 and 1.1 in *CT beds*), and *CT beds* overestimated them in the other two (PAF 2.5 and 4.6, respectively, against 1.2 in *domestic*).

In the case of gadolinium, the mass flows predicted by the *MRI beds* and *MRI treatments* scenarios, AC, agreed better with the measured STP effluent mass flows (MPAF 0.79, RSD 152%,  $R^2$  0.93 in *MRI beds* and 1.0, 99%, 0.96 in *MRI treatments*; Figure 3b) than those of the *domestic* scenario (0.56, 323%, 0.71). The advantage of the *hospital* scenarios was clearly demonstrated in the case of gadolinium, probably because predictions of gadolinium showed the largest difference among scenarios (due to the large HF), and because uncertainty in the elimination rate was very small owing to gadolinium's high persistence during conventional sewage treatment processes.<sup>38</sup> Five measured STP catchments exhibited relative changes of greater than 2 in *MRI beds* (AC). The *domestic* scenario underestimated the measured values in four of these catchments (PAF 0.13–0.37 in *domestic* against 0.55–2.4 in *MRI beds*). In the remaining STP, Langnau (indicated in Figures 3, S14 and S15), the catchment's PAF was better in *domestic* (0.88) than in *MRI beds* (4.0), and the ICM values were also predicted

better by the *domestic* scenario. In this catchment, CT and MRI facilities were only installed two months before the sampling campaign, and therefore usage of contrast media was probably still low, which may explain the overestimation by the *hospital* scenarios.

In three catchments where the treatment numbers were all actual numbers, the respective PAF values for *MRI treatments*, AC (0.59, 0.75 and 0.81), were similar to those for *MRI beds*, AC (0.79, 0.55 and 0.61). In five catchments where all the treatment numbers were estimated, *MRI treatments* (AC) predicted well compared to the catchments with actual treatment numbers, but showed greater variation (PAF 0.99–2.8, median 1.6).

More catchments exhibited over- or underestimation in HC than in AC, for both ICM and gadolinium (Figures S14 and S15), which shows that the assumed  $HF_{hc}$  was too large.

In rivers, the contrast media were predicted well by both bed-specific scenarios and treatment-specific scenarios in AC (e.g., MPAF 1.8, RSD 32%,  $R^2$  1.00 in *CT beds*; and 0.67, 38%, 1.00 in *MRI beds*; Figures S14 and S15).

### 3.2.2 Other pharmaceuticals

The predictions for the other pharmaceuticals in AC did not differ much among scenarios, and mostly agreed with measured loads (within a factor of 2), both in the STPs and rivers (Figures S16–S19, Tables S12–S15). Interestingly, however, for cyclophosphamide, sulfamethoxazole, carbamazepine and diclofenac, the *all beds* scenario generally predicted better than *domestic* and *general beds* in the STP catchments where psychiatric and/or rehabilitation hospitals accounted for more than half of the total hospital beds (e.g., in STP Rheinau, for cyclophosphamide, PAF 0.93 in *all beds*, compared with  $< 0.46$  in the other scenarios). This suggests that the special types of hospital discharged these pharmaceuticals, unlike the contrast media, at rates similar to general hospitals. Overall, these results suggest that incorporating hospitals as point sources is important in catchments with high  $B_{1000}$ , even for



compounds with small HF; and that great care should be taken when estimating pharmaceutical discharges from small catchments with high  $B_{1000}$ .

## 4. DISCUSSION

### 4.1 Parameters and scenarios

Our results showed that, in a large proportion ( $> 95\%$ ) of both STP effluents and rivers in Switzerland, the predictions of the *hospital* scenarios did not differ much from those of the *domestic* scenario (e.g., relative change  $< 1.5$ ). In a few percent of catchments and rivers, however, the difference from the *domestic* became larger, and the *hospital* scenarios showed greater predictive accuracy. For example, in the *all beds* scenario, with  $HF = 0.3$ , the largest relative change was 7.2, and the relative change exceeded 2 in 24 of the STP catchments, serving 2.1% of the national population (158,000). The magnitude of the difference from *domestic* values depended on  $B_{1000}$  and HF (see also S5 and Figure S20 for theoretical explanation). HF is the most critical model parameter in determining the allocation of pharmaceuticals between hospitals and households; the other parameters, such as excretion and elimination, have no effect on this allocation. The large impact of HF variation on the model predictions was demonstrated by the two cases, AC and HC. With a large HF of 0.8, the change relative to the *domestic* scenario could reach 22. Nevertheless, the agreement between the modeled and measured loads was generally better when HF was set at half of  $HF_{dis}$  or less. This shows that a significant fraction of pharmaceuticals dispensed within hospitals were discharged outside the hospitals, and that HF is therefore meaningful only if it is reduced from  $HF_{dis}$  by the appropriate portion of outpatients (see Section 2.1). It should also be noted that, even for general pharmaceuticals with small HF, the relative change can be large in catchments with high hospital bed density, where the *hospital* scenarios predict better than the *domestic* scenario (see 3.2.2). The hospital bed density can vary widely (e.g., up to 21

times the national average in Switzerland; see S2), often owing to the presence of special hospital types (e.g., psychiatric or rehabilitation hospitals). In this study, these special types of hospital were, like general hospitals, found to be the sources of many general pharmaceuticals, favoring the *all beds* scenario. On the other hand, in the case of pharmaceuticals used for specific treatments (i.e., in this study, ICM and gadolinium), the *all beds* scenario should not be applicable, and the relevant hospitals must be distinguished in bed-specific or treatment-specific scenarios. For such pharmaceuticals, bed-specific scenarios are typically more reliable than treatment-specific scenarios, because (as in the present study) bed numbers and occupancy are far more easily accessed and have smaller uncertainties in estimation than treatment numbers. In the cases where treatment numbers were available in this study, however, the measured values agreed as well with the predictions of the treatment-specific scenarios as they did with those of the bed-specific scenarios.

The data used for the *hospital* scenarios can change over time, although typically not rapidly (e.g., 2% annual change in Switzerland; see S3). Thus, these data would need to be updated regularly (e.g., every few years). In the case of pharmaceuticals used for specific treatments (e.g., ICM, gadolinium), information such as the establishment or abolishment of corresponding facilities must be updated; otherwise, the discharge from hospitals may be significantly over- or underestimated.

## 4.2 Applicability

Through the comparison of different scenarios, our study revealed the relationship between spatial resolution, model complexity and predictive accuracy. At low spatial resolution (e.g., large river-catchments), the difference between scenarios was very small for all the pharmaceuticals tested, as shown in the results of rivers with large upstream population. In contrast, at high spatial resolution (e.g., STP catchment or small river-catchments), the

difference was larger, and the *hospital* scenarios showed good predictive accuracy (e.g., within a factor of 2). In this case, the *domestic* scenario could produce discrepancies of up to 1 order of magnitude.

Therefore, at low spatial resolution, the *domestic* scenario is a simple and efficient model for predicting the distribution of a diverse range of pharmaceuticals. Incorporating geo-referenced STP and pharmaceutical consumption data, the *domestic* scenario is suitable for identifying potential river catchments of concern in a large geographical area (e.g., at the national or regional level). Our results suggest that other, population-based models for predicting the discharge of domestic-use compounds (e.g., carbamazepine and diclofenac)<sup>31-33</sup> can also accurately predict hospital-use pharmaceuticals on the scale of large catchments of rivers.

In contrast, the *hospital* scenarios can be used most effectively at high spatial resolution. These scenarios additionally incorporate geo-referenced hospital data; information on hospital type, bed number and bed occupancy; and HF. Therefore, the *hospital* scenarios are most suitable for the detailed evaluation of smaller regions of interest (e.g., at the county or prefectural level), or catchments of particular rivers, where the related data-collection efforts are justified. Large relative changes (vs. the *domestic* scenario) were found mostly in suburban STPs and small rivers with high catchment  $B_{1000}$ . Nevertheless, large cities also tended to have a relatively high  $B_{1000}$ , and thus significant relative change (up to 3). Therefore, the *hospital* scenarios are also useful for urban STPs and their adjacent receiving waters.

Through field measurement, we validated our scenarios in STP catchments of various sizes (1300–52,000 population). In both the *hospital* scenarios and *domestic* scenario, the predictive uncertainty was less than the uncertainty in the approach of Al Aukidy et al.<sup>8</sup> (e.g., 2–3 orders of magnitude), who used measurement-derived concentrations from the literature instead of hospital consumption data. This demonstrates a significant advantage of our consumption-based approach. To further improve the model's predictive accuracy, the input

data that were here assumed to be geographically and temporally constant (for model simplicity and wide applicability) may be refined; for example, as suggested in Coppens et al.,<sup>33</sup> by incorporating variability in consumption depending on geographic, climatic, seasonal and/or socio-cultural conditions; varying the elimination of pharmaceuticals according to varying sewage treatment methods; and incorporating environmental attenuation in rivers. Interestingly, a similar approach, in this case using pharmaceutical consumption and animal production, was proposed for predicting the discharge of veterinary antibiotics.<sup>44</sup>

### 4.3 Implications for pharmaceutical discharge reduction and risk assessment

In this study, the predicted contribution of hospitals to the total discharge at a single STP was up to 92% for gadolinium (*MRI beds* scenario, AC), 82% for ICM (*CT beds*, AC), and 55% for cyclophosphamide (*all beds*, AC). For catchments with such a large hospital contribution, on-site treatment of hospital effluents<sup>40,45</sup> would be efficient in reducing pharmaceutical discharge from STPs, reducing losses into the environment through sewer leakage<sup>46</sup> and combined sewer overflows,<sup>47</sup> and preventing hospital wastewater-derived pathogens and antibiotic multiresistant bacteria<sup>4</sup> from entering the environment.

Pharmaceutical concentrations in rivers can vary a great deal, as the predictions here reveal; and in some rivers may be higher than has previously been determined. For example, in the *CT beds* scenario here, a range of 0.2 ng/L to 40 µg/L (AC) and 58 µg/L (HC) was predicted as the sum of the seven modeled ICM concentrations; whereas in German rivers, the measured sum of several ICM was only a few µg/L.<sup>16,43</sup> Therefore, the *hospital* scenarios may be useful for revealing such hotspots, as well as for evaluating real and potential environmental impacts, and for devising countermeasures.

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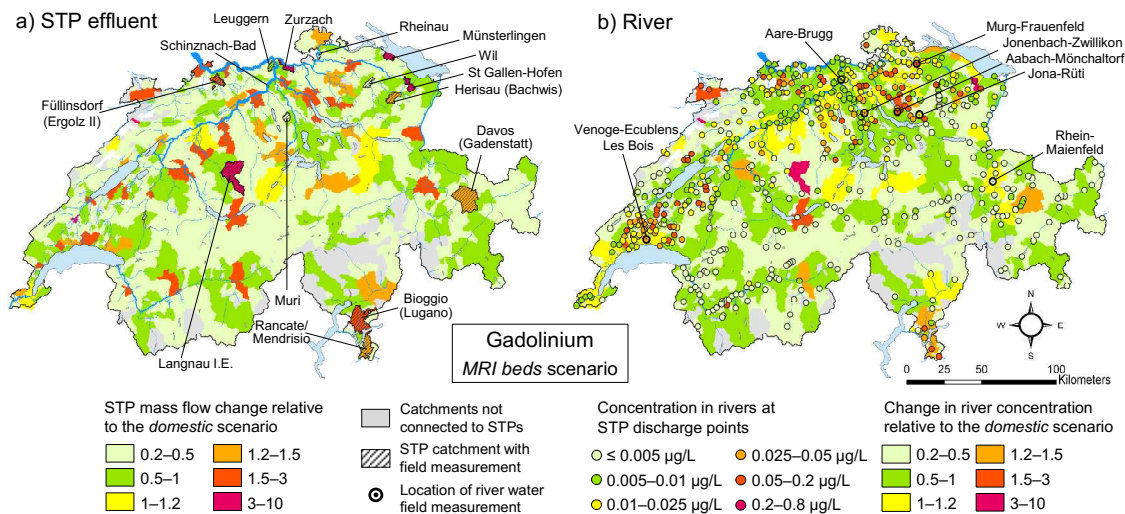
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## 517 SUPPORTING INFORMATION AVAILABLE

518 Details of hospital data acquisition and uncertainty, information on the STPs and rivers for  
519 field measurements, methods of sampling and analysis, QA/QC, and all results of predictions,  
520 measurements and model validation. This information is available free of charge via the  
521 Internet at <http://pubs.acs.org>.

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523 FIGURES AND TABLES

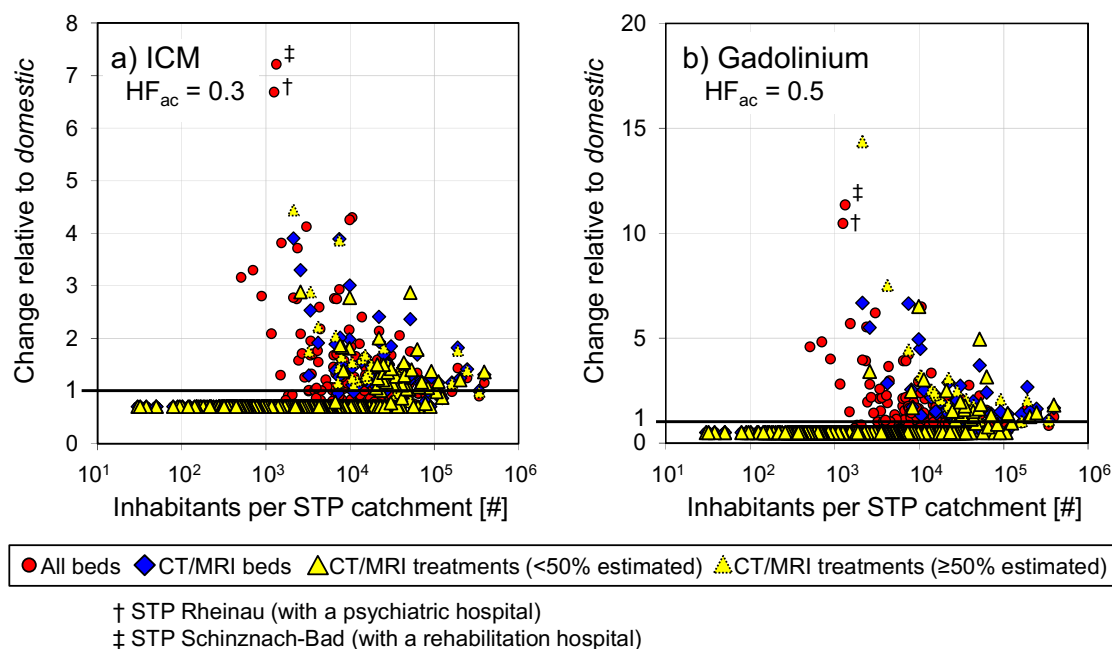


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525 **Figure 1.** Predicted geographical distribution of gadolinium for (a) mass flow in STP  
526 effluents and (b) concentration in rivers. Gadolinium mass flow and concentrations are  
527 predicted by the *MRI beds* scenario (AC). The mass flow in STP effluents is shown as the  
528 change relative to the *domestic* scenario, using different colors for designated STP-catchment  
529 areas. For the rivers, the concentration change relative to the *domestic* scenario is shown using  
530 different colors for designated STP-catchment areas, and the predicted concentrations at the  
531 STP discharge points are indicated by different colored dots. The field measurement locations  
532 are also indicated, for both STP catchments and river waters.

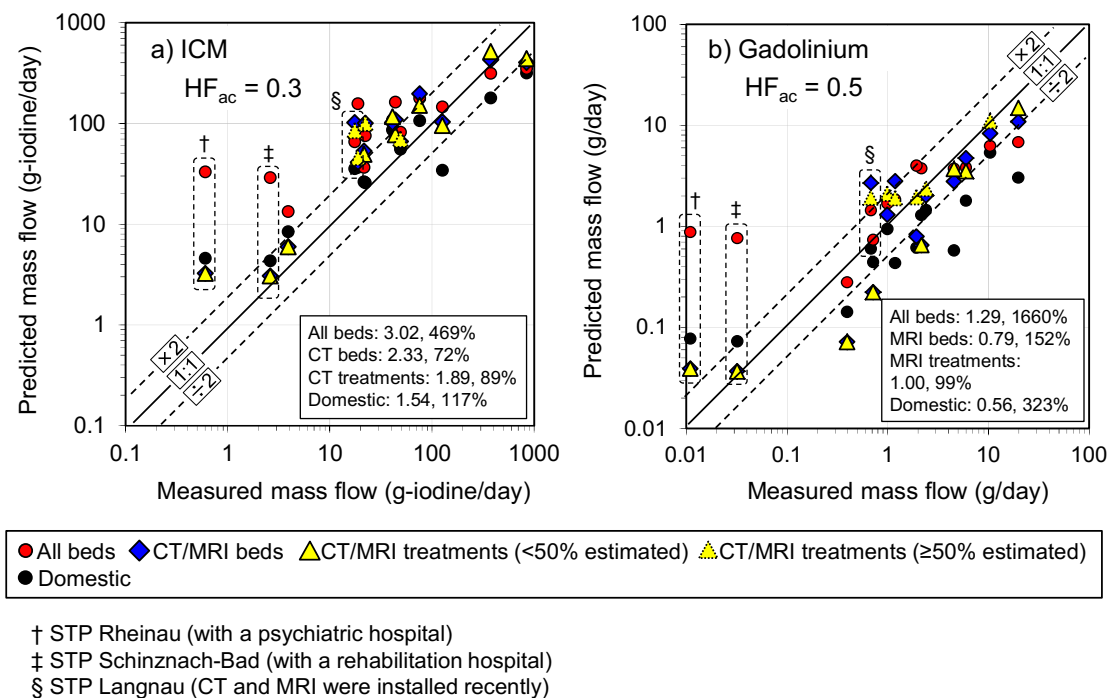
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**Figure 2.** The mass flow of (a) ICM and (b) gadolinium in STP effluents, relative to catchment size, as predicted by the different *hospital* scenarios (average case). The mass flow is shown as the change relative to the *domestic* scenario. Data of *CT treatments* and *MRI treatments* are shown separately according to the ratio of the estimated treatment number (< 50% and  $\geq 50\%$ ) to the total treatment number in each catchment (see Table S6 for the data).





**Figure 3.** Comparison between the measured mass flow and the modeled flow of (a) ICM and (b) gadolinium in STP effluents in different scenarios (average case). Data of *CT treatments* and *MRI treatments* are shown separately according to the ratio of the estimated treatment number (< 50% and ≥ 50%) to the total treatment number in each catchment (Table S4). The MPAF and its RSD in each scenario are also shown in the figures.

549 Table 1. Scenarios.

scenarios	HF	distribution of pharmaceuticals
<i>hospital scenarios</i>		
<i>all beds</i>	$HF_{ac}$ / $HF_{hc}$	the consumption allocated to hospitals is distributed over all hospital beds
<i>general beds</i>	$HF_{ac}$ / $HF_{hc}$	the consumption allocated to hospitals is distributed over the beds in the general hospitals (hospitals excluding psychiatric hospitals and rehabilitation hospitals)
<i>bed-specific scenarios:</i>		
<i>CT beds</i>	$HF_{ac}$ / $HF_{hc}$	the consumption of contrast media/cytostatics allocated to hospitals is only distributed over the beds of hospitals with their relevant departments, respectively (CT, MRI and oncology department)
<i>MRI beds</i>		
<i>oncology beds</i>		
<i>treatment-specific scenarios:</i>		
<i>CT treatments</i>	$HF_{ac}$ / $HF_{hc}$	the consumption of contrast media/cytostatics allocated to hospitals is distributed over the number of respective treatments (CT, MRI and chemotherapy)
<i>MRI treatments</i>		
<i>chemotherapy</i>		
<i>domestic scenario</i>		
<i>domestic</i>	0	all the consumption is distributed over the population

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Table 2. Parameters used for modeling ICM, gadolinium, cyclophosphamide and sulfamethoxazole.

compound	ICM (as iodine) <sup>a</sup>	gadolinium	cyclophosphamide	sulfamethoxazole
pharmaceutical group	contrast media	contrast media	cytostatic	antibiotic
total consumption in Switzerland (kg/year) <sup>b</sup>	16,064 <sup>c</sup>	157 <sup>d</sup>	28	2427
hospital-dispensed fraction (HF <sub>dis</sub> ) <sup>e</sup>	0.58	1	0.68	0.17
effective hospital fraction, average case (HF <sub>ac</sub> ) <sup>f</sup>	0.3	0.5	0.05	0.03
effective hospital fraction, high case (HF <sub>hc</sub> ) <sup>g</sup>	0.5	0.8	0.34	0.17
excretion rate (combined for urine and feces)	0.97	1	0.2	0.45
elimination in STP	0.40	0	0	0.65
Scenarios				
<i>all beds</i>	X	X	X	X
<i>general beds</i>				X
<i>CT beds</i>	X			
<i>CT treatments</i>	X			
<i>MRI beds</i>		X		
<i>MRI treatments</i>		X		
<i>oncology beds</i>			X	
<i>chemotherapy</i>			X	
<i>domestic</i>	X	X	X	X
literature for excretion/elimination	<sup>1</sup> / h	<sup>38</sup> / <sup>38</sup>	<sup>48</sup> / <sup>48</sup>	<sup>29</sup> / <sup>29, 49</sup>

<sup>a</sup> Total iodine content of 7 ICM (diatrizoate, iobitridol, iohexol, iomeprol, iopamidol, iopromide and ioxitalamic acid).

<sup>b</sup> According to 2009 consumption data from IMS Health (Danbury, CT, USA).

<sup>c</sup> Iodine content of 7 ICM was calculated using confidential 2009 consumption data (information courtesy of Bayer HealthCare Pharmaceuticals (Berlin, Germany), Bracco (Milano, Italy), and Guerbet (Villepinte, France)).

<sup>d</sup> Gadolinium consumption in Switzerland was not known, and thus was extrapolated from the consumption in the hospital in Baden (3.1 kg, total 6728 MRI treatments) to all of Switzerland (340,376 MRI treatments).

<sup>e</sup> Ratio of pharmaceuticals dispensed inside hospitals to total consumption, calculated by sales data from IMS Health (Danbury, CT, USA).

<sup>f</sup> Outpatient-adjusted ratio of pharmaceuticals dispensed inside hospitals to total consumption (average case).

<sup>g</sup> Outpatient-adjusted ratio of pharmaceuticals dispensed inside hospitals to total consumption (high case).

<sup>h</sup> References<sup>16,20,40–43,50</sup>. Excretion and elimination of iobitridol was assumed to be similar to the other ICM, as no relevant literature was available.

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