



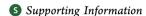
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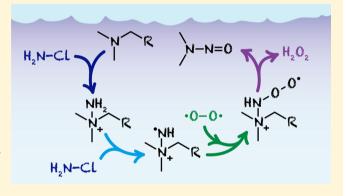
Formation of N-Nitrosodimethylamine during Chloramination of Secondary and Tertiary Amines: Role of Molecular Oxygen and **Radical Intermediates**

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ABSTRACT: N-Nitrosodimethylamine (NDMA) is a carcinogenic disinfection byproduct from water chloramination. Despite the identification of numerous NDMA precursors, essential parts of the reaction mechanism such as the incorporation of molecular O2 are poorly understood. In laboratory model systems for the chloramination of secondary and tertiary amines, we investigated the kinetics of precursor disappearance and NDMA formation, quantified the stoichiometries of monochloramine (NH2Cl) and aqueous O2 consumption, derived ¹⁸O-kinetic isotope effects (¹⁸O-KIE) for the reactions of aqueous O2, and studied the impact of radical scavengers on NDMA formation. Although the molar NDMA yields from five N,N-dimethylamine-containing precursors varied between 1.4% and 90%, we observed the



stoichiometric removal of one O₂ per N₁N-dimethylamine group of the precursor indicating that the oxygenation of N atoms did not determine the molar NDMA yield. Small 18 O-KIEs between 1.0026 ± 0.0003 and 1.0092 ± 0.0009 found for all precursors as well as completely inhibited NDMA formation in the presence of radical scavengers (ABTS and trolox) imply that O2 reacted with radical species. Our study suggests that aminyl radicals from the oxidation of organic amines by NH2Cl and N-peroxyl radicals from the reaction of aminyl radicals with aqueous O2 are part of the NDMA formation mechanism.

■ INTRODUCTION

N-Nitrosodimethylamine (NDMA) and other N-nitrosamines are potent carcinogens that can be formed as disinfection byproducts (DBPs) during chlorination, chloramination, and ozonation of drinking water and wastewater. 1-5 Despite the identification of numerous NDMA precursor compounds and suggestions for NDMA mitigation under different treatment conditions, ^{2,6–17} many aspects of the reaction mechanisms leading to NDMA remain elusive. In fact, the chloramination of amine-containing organic compounds has been identified as a major source of N-nitrosamines. 2,18,19 Secondary amines such as dimethylamine (DMA) are reported as frequently occurring NDMA precursors with molar NDMA yields of up to 4%. 20-22 Similar yields (<6%) observed during chloramination of tertiary amines with N,N-dimethylamine functional groups were interpreted as evidence for their transformation to secondary amines prior to NDMA formation.^{8,19-21,23-25} However, NDMA yields are substantially higher (>60%) if the tertiary N,N-dimethylamine moiety is bound via one methylene group to a (hetero)aromatic ring such as in the pharmaceutical

ranitidine. 8,20,26-28 These high yields suggest that secondary amines are probably not central intermediates in reactions leading to NDMA. It remains unclear, however, whether differing NDMA yields from secondary and tertiary amines indeed reflect differing reaction mechanisms.

To date, there is only limited understanding of how NDMA is formed during the reaction of chloramine with tertiary amines. Based on the detection of cationic dimethylhydrazine intermediates during chloramination of ranitidine, Le Roux et al. proposed that NH₂Cl is attacked through nucleophilic substitution by the tertiary amine moiety of the precursor compound.²⁹ Moreover, the extent of NDMA formation depends on the molecular structure of the tertiary amine. 27,30 Heterolytic bond dissociation energies for the elementary reaction to NDMA suggest that leaving groups capable of

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forming stable carbocations (e.g., methylfuranes) are key for high yields of NDMA. 27,30 These studies provide important evidence for the initial chloramination reaction and possible factors influencing the formation of NDMA. However, information about the consumption of NH₂Cl and O₂ in central reactions, such as the incorporation of aqueous O2 into the N-O bond of NDMA, is scarce and mainly circumstantial due to the challenges of characterizing transient reactive (oxygen) intermediates.

Regardless of the yield of NDMA formation during chloramination, reactions of aqueous O2 play an important role in the NDMA formation pathway. Whereas chloramination experiments with ¹⁸O-labeled H₂O showed no incorporation of ¹⁸O into NDMA, the amount of NDMA formed increased with increasing concentrations of aqueous O2. 22,26 Although thermodynamically feasible, elementary reactions of ground state triplet oxygen $({}^{3}O_{2})$ with even-electron species such as the known NDMA precursors are spin forbidden and thus too slow to lead to the oxygenation of organic amines or NH₂Cl.^{31–34} As a consequence, molecular O2 can only react after activation to singlet oxygen (${}^{1}O_{2}$), through reduction to superoxide ($O_{2}^{\bullet-}$), or in reactions with radical species. 31,34-36 Previous work suggests that the latter is the most likely option for NDMA formation during chloramination because neither the addition of β -carotene as $^1\mathrm{O}_2$ scavenger nor the addition of superoxide dismutase as $\mathrm{O_2}^{\bullet-}$ quencher had an effect on NDMA formation during chloramination of DMA.²² In contrast, formation of NDMA through radical intermediates has been proposed for breakpoint chlorination of DMA³⁷ as well as for chloramination of quaternary amines.³⁸ But direct experimental evidence for radical reactions with aqueous O2 is still lacking.

The goal of this study was to elucidate the reactions of aqueous O2 and NH2Cl with regard to contributions of radical intermediates to NDMA formation during chloramination. To this end, we performed laboratory experiments with five NDMA precursor compounds, namely ranitidine, 5-(dimethylaminomethyl)furfuryl alcohol (DFUR), N,N-dimethylbenzylamine (DMBA), 2,4,6-tris(dimethylaminomethyl)phenol (TDMAP), and dimethylamine (DMA). Evidence for potential NDMA formation mechanisms was obtained from (i) the kinetics of precursor disappearance and NDMA formation, (ii) the quantification of aqueous O₂ and NH₂Cl consumption, (iii) the analysis of oxygen isotope fractionation of aqueous O_2 , and (iv) the study of the impact of radical scavengers on NDMA formation. We used the analysis of oxygen isotope ratios ($^{18}O/^{16}O$) of aqueous O_2 for the first time in the context of DBP formation. This methodology is well established for studies on activation of oxygen in enzymes and by transition metal complexes³⁹⁻⁴² and reveals the mechanisms of O₂ activation from the magnitude of ¹⁸O-kinetic isotope effects.

EXPERIMENTAL SECTION

Chemicals. A list of all chemicals including suppliers and purities is provided in the Supporting Information (SI). Monochloramine (NH₂Cl) stock solutions (30 mM) were prepared daily by mixing hypochlorite and ammonium chloride at pH 9.5 (molar Cl:N ratio of 1:1.05) as described previously. 43,44

Chloramination Experiments. Chloramination experiments were carried out with five NDMA precursors as listed in the Introduction section. Unless stated otherwise, reactors contained 10 mM phosphate buffer at pH 8.0 in amber glass bottles of volumes between 100 and 1000 mL. Organic amines were added from either methanolic, ethanolic, or aqueous stock solutions to reach initial concentrations of 15 μ M (see Figure S4). Reactions were initiated by addition of NH₂Cl in 15- to 18-fold excess corresponding to initial concentrations of 225-270 µM. For concentration analysis and reaction product identification, 1 mL of aqueous sample was withdrawn at selected time points and the reaction was quenched by adding 5 μ L of a Na₂S₂O₃ solution (100 g/L). Na₂SO₃ was not used as a quencher owing to its reactivity with DFUR (Figure S5). NH₂Cl concentrations were quantified by either membrane introduction mass spectrometry (MIMS) or colorimetric methods (see Chemical Analyses). For continuous monitoring of the concentration of aqueous O2, we filled aliquots of the reaction solution in 11 mL amber glass vials that were closed without headspace with butyl rubber stoppers and aluminum crimp caps, and immersed a needle-type fiber-optic oxygen microsensor into each vial. Two types of control experiments were set up identically to assess the stability of the organic amine in the absence of NH₂Cl and to quantify the self-decay rate constant of NH₂Cl in the absence of the organic amine. Molar NDMA yields were calculated by dividing the measured concentration of NDMA by the initial concentration and the number of N,N-dimethylamine groups of the precursor.

To assess the reactivity of NH₂Cl with the furfuryl alcohol moiety of DFUR, we quantified the concentration of NH2Cl during the reaction of 3 µM furfuryl alcohol with 45 µM NH₂Cl in 10 mM phosphate buffer at pH 8.0. To study the impact of radical scavengers on NDMA formation, we added either the hydroxyl radical scavenger tert-butanol (t-BuOH, 40 mM final concentration) or peroxyl radical scavengers, namely 2,2'-azinobis(3-ethylbenzothiazoline-6-sulfonic acid, ABTS, 2 mM) or rac-6-hydroxy-2,5,7,8-tetramethylchromane-2-carboxylic acid (trolox, 0.5 mM), to the reaction of DFUR (3 or 15 μ M) with $\mathrm{NH_2Cl}$ (45 or 225 $\mu\mathrm{M}$). Note that we refer to ABTS and trolox as reduced species of the radical scavengers. Experiments with radical scavengers differed regarding to the sequence of reactant addition and NH₂Cl quantification method. t-BuOH was spiked to a phosphate buffered solution containing DFUR before the addition of NH2Cl. In contrast, ABTS was added immediately after spiking the DFUR-containing buffer with NH₂Cl. Trolox was dissolved in phosphate buffer to which DFUR as well as NH₂Cl were added. In the presence of t-BuOH and trolox, we quantified the NH₂Cl concentration with the ABTS method and MIMS, respectively. When ABTS was added as a radical scavenger, we observed the oxidation of ABTS to the colored ABTS - and used its absorbance to quantify the amount of consumed NH2Cl indirectly (Figure S21a). Control experiments were set up to assess the reactivity of the organic amine or NH₂Cl with the radical scavengers.

To quantify the formation of H₂O₂ during the reaction of DFUR (50 μ M) with NH₂Cl (750 μ M), we filled the reaction solution into 11 mL amber glass vials that were closed headspace-free and monitored the decrease of O₂ concentration as described above. When the consumed O2 was stoichiometrically equal to the initial nominal concentration of DFUR, we added 100 μ L catalase from bovine liver (0.3 g L⁻¹ final concentration). The observed increase in aqueous O₂ concentration upon addition of catalase corresponds to half of the H₂O₂ concentration in the reactor. To assess the stability of H₂O₂ during the NDMA formation reaction, we added 50 μ M H₂O₂ immediately after the reaction of DFUR (50 μ M) with NH₂Cl (750 μ M) was initiated and determined the recovery of H₂O₂ by addition of catalase after 3.3 h. A more

detailed description of experiments with catalase is provided in the SI (section S13).

Oxygen Isotope Fractionation Experiments. The fractionation of stable O isotopes of aqueous O2 was studied in amber reactors containing a magnetic stir bar and 11 mL of a 3 mM NH₂Cl solution in 10 mM phosphate buffer (pH 8.0). The vessels were closed without headspace and an oxygen microsensor was introduced to measure continuously the concentration of aqueous O2. 15 to 200 µM organic amine (ranitidine, DFUR, DMBA, or TDMAP) was added to the reactor while stirring to initiate the reaction. Once the amount of consumed O2 equaled the initial nominal concentration of the added organic amine, the reaction was quenched by creating a N₂ headspace following the procedure of Pati et al.⁴⁵ To this end, 3 mL of solution was replaced by N₂ gas with a gastight glass syringe. Partitioning of O₂ to the N₂-headspace was promoted through horizontal shaking for 30 min at 200 rpm while keeping the vessels upside down. Control samples containing 3 mM NH₂Cl without organic amine or 200 µM organic amine without NH₂Cl were prepared similarly. Owing to much slower reaction kinetics of DMA and NH₂Cl, we prepared 12 replicate samples containing 200 µM DMA and 3 mM NH₂Cl as well as 12 control samples with 3 mM NH₂Cl. Two reactive batches and one control batch were processed at selected time points over a time period of 4 days. In experiments with DMBA, TDMAP, and DMA, we additionally determined the concentration of NDMA immediately after sample quenching in the withdrawn reaction solution.

Chemical Analyses. Concentrations of ranitidine, DFUR, and NDMA were quantified with reverse phase HPLC coupled to a UV—vis detector using previously described methods (see section S3 for details). Analytical errors of the reported concentrations are typically <1%. Transformation products formed during chloramination of ranitidine and DFUR were analyzed by liquid chromatography-high-resolution tandem mass spectrometry (LC-HR-MS/MS) using an adjusted analytical method described in Gulde et al. (see section S4 for details).

Quantification of Aqueous O_2 . Concentrations of aqueous O_2 were continuously measured with needle-type fiber-optic oxygen microsensors connected to a 4-channel transmitter (PreSens Precision Sensing GmbH, Germany). Four oxygen sensors were operated simultaneously after daily calibration with air-saturated and oxygen-free water. O_2 concentrations were corrected for variations in temperature. The analytical uncertainties of O_2 concentrations were smaller than $\pm 0.5 \, \mu M$.

NH₂Cl Concentrations. Concentrations of NH₂Cl were determined by membrane introduction mass spectrometry (MIMS)⁴⁷ as well as spectrophotometrically using either ABTS or N,N-diethyl-p-phenylenediamine (DPD) with iodide (I⁻) as catalyst, ^{48,49} or the indophenol method. ⁵⁰ NH₂Cl does not react with ABTS but with I- to form hypoiodous acid, which oxidizes ABTS²⁻ to the colored ABTS^{•-}. A detailed description of the methods for NH2Cl quantification can be found in section S5. A Varian Cary 100 Bio UV-visible spectrophotometer was used for colorimetric assays. MIMS analysis was performed using a MIMS 2000 (Microlab, Aarhus, Denmark) equipped with a multiport valve to enable an automatic measurement of multiple samples. The membrane inlet temperature was set to 40 °C and the sample flow rate was 4 mL min⁻¹. Steady-state signals of m/z 51 and m/z 53 were reached after 2 min and measured for 6-8 min. The software used for analysis of MIMS signals is described elsewhere.⁴⁷ We

used NH₂Cl calibration rows from either $10-50~\mu M$ or $50-300~\mu M$. Note that ranitidine, DFUR, NDMA, and trolox did not produce any interfering signals in the mass spectrometer. In NDMA formation experiments, we detected an interference at m/z 51 that was presumably created from an unknown reaction intermediate (see Figure S2). In this case, quantification of NH₂Cl was performed at m/z 53. All reported NH₂Cl concentrations were corrected by the self-decay of NH₂Cl observed in controls containing chloramine only. Note that the four methods used for determining NH₂Cl concentrations led to differing results when NH₂Cl was quantified during NDMA formation (see discussion in section S5.3 and Figure S3). The total turnover of NH₂Cl determined at the end of these experiments was, however, identical (section S5).

Stable Oxygen Isotope Analysis. ¹⁸O/¹⁶O ratios of aqueous O2 were measured with gas chromatography isotope ratio mass spectrometry (GC/IRMS) following recently developed procedures of Pati et al.⁴⁵ Briefly, sample vials to which a 3 mL N₂ headspace had been introduced were mounted on a CombiPAL autosampler (CTC Analytics) equipped with a 2.5 mL gastight headspace syringe. The syringe was flushed with N_2 gas for 1 min before withdrawing 250 μ L of the headspace from the sample. The gaseous sample was injected into a split injector (5 mm ID quartz liner, 200 °C) of a Trace GC (Thermo Fisher Scientific) that was equipped with a Rt-Molsieve 5 Å PLOT column (Restek, 30 m \times 32 mm ID, 30 μ m film thickness at 30 °C) to separate O₂ from N₂. Helium (99.999%) was used as carrier gas at 80 kPa and the split flow was 40 mL min⁻¹. O₂ pulses were introduced into a GC combustion III interface (Thermo Fisher Scientific) equipped with a Nafion membrane for removal of water and subsequently entered a Delta Plus XL IRMS (Thermo Fisher

O isotope ratios are expressed in the delta notation as δ^{18} O in permil (eq 1) relative to Vienna Standard Mean Ocean Water (VSMOW). Sample sequences included standards generated from air-saturated phosphate buffer, which were measured in triplicates after every 6 samples to ensure accuracy of δ^{18} O measurements in a standard bracketing procedure. Uncertainties of δ^{18} O measurements were below $\pm 0.5\%$. Blank samples containing oxygen-free water were analyzed in triplicates at the end of each sequence for blank corrections of diffusive O₂ input during sample preparation and injection (see Pati et al. 45 for details).

$$\delta^{18}O = \frac{\binom{^{18}O}{^{16}O}_{sample}}{\binom{^{18}O}{^{16}O}_{VSMOW}} - 1$$
 (1)

We used Igor Pro software (WaveMetrics Inc., Lake Oswego OR, USA) to derive O isotope enrichment factors, $\varepsilon_{\rm O}$, with a nonlinear least-squares regression according to eq 2, where $\delta^{18}{\rm O}$ and $\delta^{18}{\rm O}_0$ are O isotope signatures determined in samples from oxygen isotope fractionation experiments and in standards, respectively. c/c_0 is the fraction of remaining aqueous ${\rm O}_2$.

$$\frac{\delta^{18}O + 1}{\delta^{18}O_0 + 1} = (c/c_0)^{\epsilon_0}$$
(2)

Average $^{18}\text{O-kinetic}$ isotope effects ($^{18}\text{O-KIE})$ of both O atoms were determined with eq 3. 41,51 Uncertainties of $\varepsilon_{\rm O}$ and $^{18}\text{O-KIEs}$ are reported as 95% confidence intervals.

$$^{18}\text{O-KIE} = \frac{1}{1 + \varepsilon_{\text{O}}} \tag{3}$$

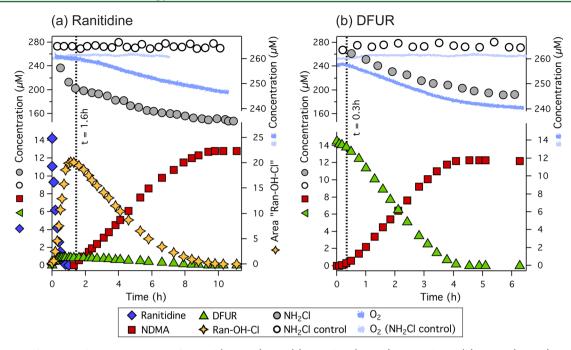


Figure 1. NDMA formation during the reaction of NH₂Cl (270 μ M) with (a) ranitidine (15 μ M) over 11 h and (b) DFUR (15 μ M) over 6.5 h in 10 mM phosphate buffer at pH 8.0. Consumption of NH₂Cl (measured with MIMS) and aqueous O₂ is depicted as gray circles and light blue line, respectively. Note break in *y*-axes and scale change.

RESULTS AND DISCUSSION

Chloramination of Amines: Kinetics, Reaction Products, and Stoichiometry of NH₂Cl and O₂ Consumption. Chloramination of Ranitidine. The reaction of ranitidine (15 μ M) with NH₂Cl (270 μ M) at pH 8.0 produced NDMA with a molar yield of 89.9 \pm 0.1% in agreement with previous observations. Figure 1a shows the kinetics of the disappearance of ranitidine, dissolved O₂, and NH₂Cl as well as the formation of NDMA. Within 1 h, ranitidine was depleted following pseudo-first-order kinetics (Figure S8). The second-order rate constant for the reaction of ranitidine with NH₂Cl was 6.1 \pm 0.3 M⁻¹ s⁻¹ in agreement with a previous study. ²⁸

The formation of NDMA only started after 1.6 h (dashed vertical line in Figure 1a) when ranitidine had disappeared completely suggesting the presence of a critical intermediate. Indeed, NDMA formation was concomitant with the decline of a transient reaction product (yellow stars, Figure 1a) with m/z $[M + H^{+}] = 365.1049$ corresponding to the molecular formula C₁₃H₂₁N₄O₄SCl. We report this intermediate with arbitrary peak areas due to the lack of standard materials. The mass of $C_{13}H_{21}N_4O_4SCl$ exceeds that of ranitidine $(m/z [M + H^+] =$ 315.1485) by 50 Da, which corresponds to the presence of an additional hydroxyl group (+OH), an additional Cl atom (+Cl), and a loss of 2 hydrogen atoms (-2H). The intermediate, which we refer to as Ran-OH-Cl, was identified in a previous study for the chloramination of ranitidine under similar experimental conditions.²⁹ MS/MS fragmentation patterns of Ran-OH-Cl suggest that the 2-(dimethylamino)methylfuran moiety remained intact during chloramination (Figure S15). Apparently, chlorination and hydroxylation of ranitidine occurred more rapidly at the thioethyl-N-methyl-2-nitroethene-1,1-diamine moiety than at the 2-(dimethylamino)methylfuran group. Note that we measured only minor concentrations of 5-(dimethylaminomethyl)furfuryl alcohol (DFUR, m/z [M + H⁺] = 156.1021, Figure 1a), a known NDMA precursor.²⁷ Small amounts of DFUR as well as the coincidence of NDMA formation with the disappearance of Ran-OH-Cl suggest that the latter was the primary NDMA precursor during chloramination of ranitidine.

The concentration trends of NH₂Cl and O₂ reveal that the transformation of ranitidine within the first 1.6 h involved NH₂Cl, but not dissolved O₂ because its concentration remained constant until ranitidine disappeared. In contrast, the disappearance of Ran-OH-Cl and the concomitant formation of NDMA after 1.6 h were accompanied by a decline in NH₂Cl and O₂ concentration (Figure 1a). The total amount of consumed NH2Cl during chloramination of ranitidine amounted to 124 \pm 2 μ M corresponding to 8.7 \pm 0.2 times the initial ranitidine concentration (Table 1). NH₂Cl consumption rates were distinctly different before and after 1.6 h and enabled us to attribute the loss of NH2Cl to the transformation of ranitidine vs the formation of NDMA (Figure S9a). To compare the two kinetic regimes, we report operational pseudo-first-order rate constants for the consumption of NH₂Cl. During the transformation of ranitidine, 60.5 μ M NH₂Cl were consumed with a rate constant $k_{\text{obs}, 1}^{\text{NH}_2\text{Cl}}$ of $(7.2 \pm 0.5)\cdot 10^{-5}$ s⁻¹. This share of NH₂Cl consumption equaled 4.3 times the initial ranitidine concentration. The overstoichiometric NH2Cl consumption suggests that multiple sites of the thioethyl-N-methyl-2-nitroethene-1,1-diamine moiety (e.g., S and N atoms) were chlorinated as suggested previously by Le Roux et al.²⁹ During the formation of NDMA, 63 µM NH₂Cl were consumed corresponding to 4.4 times the initial ranitidine concentration (Table 1). The rate constant of NH₂Cl consumption $(k_{\text{obs, 2}}^{\text{NH}_2\text{Cl}} = (1.1 \pm 0.04) \cdot 10^{-5} \text{ s}^{-1})$ was 7fold smaller than the one observed during transformation of ranitidine implying differing reactions of NH₂Cl with ranitidine and reaction intermediates such as Ran-OH-Cl, respectively. The overstoichiometric NH2Cl consumption during NDMA formation indicates that NH2Cl might be involved in several reactions of the multistep NDMA formation pathway. Experiments with differing ranitidine to NH₂Cl ratios underscore the

Table 1. NDMA Formation from the Reaction of Ranitidine, 5-(Dimethylaminomethyl)furfuryl alcohol (DFUR), N,N-Dimethylbenzylamine (DMBA), 2,4,6-Tris(dimethylaminomethyl)phenol (TDMAP), and Dimethylamine (DMA) with NH₂Cl in 10 mM Phosphate Buffer at pH 8.0 in the Presence and Absence of t-BuOH, ABTS, and Trolox. Molecular Structure of the Precursors, Molar NDMA Yields, Stoichiometries of the Reaction of N(CH₃)₂-groups, Dissolved O₂, and NH₂Cl, as well as ¹⁸O Kinetic Isotope Effects (¹⁸O-KIEs).

precursor	molecular structure	NDMA yield ^a (%)	molar reaction stoichiometry $^{\rm b}$ N(CH ₃) ₂ -group : ${\rm O_2^{ c}: NH_2Cl^{ c}}$	¹⁸ O-KIE (-)
Ranitidine		89.9 ± 0.1	1.0 : 1.0 : 8.7 ^d (4.4 ^e)	1.0061 ± 0.0004
+ t-BuOH (40 mM)	NO ₂	87.8 ± 0.2	n.m. $^{\rm f}$: n.m. : 6.6 $^{\rm d}$	
DFUR	N O OH	84.6 ± 0.4	1.0 : 1.1 : 4.7	1.0060 ± 0.0006
+ t-BuOH (40 mM) + ABTS (2 mM) + trolox (0.5 mM)		80.6 ± 0.1 < 0.7^{g} < 0.7^{g}	n.m. ^f : n.m. : 4.0 0.9 : 0 : 16 0.12 : n.m. ^f : 7.5	
DMBA	N OH	82.5 ± 0.2	1.0 ^h : 1.1 : 4.7	1.0026 ± 0.0003
TDMAP	N N	15.8 ± 0.01	1.0 ^h : 1.0 : 3.9	1.0092 ± 0.0009
DMA	NH I	1.4 ± 0.1	1.0 h : >0.6 i : 2.2	1.0077 ± 0.0012

^aPer N(CH₃)₂-group of the precursor. ^bConcentration of removed N(CH₃)₂-groups, O₂, and NH₂Cl at the end of the experiment relative to the initial concentration of $N(CH_3)_2$ -groups of the precursor compound. Uncertainties are $\pm (0.1-0.2)$. Overall NH_2CI consumption. 6NH_2CI consumption associated with formation of NDMA. $f_{n.m.}$ = not measured. $g_{n.m.}$ Below the detection limit of NDMA in aqueous samples (<0.1 μ M). ^hNominal initial concentration of $N(CH_3)_2$ -groups. ⁱDetermined after approximately 50% of the total reaction time (Figure S11).

importance of NH₂Cl because maximum NDMA yields from ranitidine were only reached in the presence of \geq 15-fold excess of NH₂Cl (Figure S7).

In contrast to overstoichiometric NH₂Cl consumption, we observed a stoichiometric disappearance of dissolved O2 during the formation of NDMA. After 10 h, NDMA formation was complete and the amount of consumed O_2 (14.3 \pm 0.3 μ M) matched the initial concentration of ranitidine (14.2 \pm 0.1 μ M) within analytical uncertainty. The stoichiometries of O₂ and NH₂Cl consumption are compiled in Tables 1 and S1. To assess whether the molar reaction stoichiometry determined in the ranitidine experiment also applies for other aminecontaining NDMA precursors, we chloraminated two tertiary amines, which are known to produce high molar NDMA yields, namely DFUR and N,N-dimethylbenzylamine (DMBA) as well as 2,4,6-tris(dimethylaminomethyl)phenol (TDMAP) and dimethylamine (DMA), which are known to produce significantly lower molar NDMA yields.

Chloramination of Other Tertiary Amines with High Molar NDMA Yield. Chloramination of DFUR and DMBA under experimental conditions that were identical to experiments with ranitidine (pH 8.0, 270 µM NH₂Cl, Figures 1b and S10) led to high molar NDMA yields of 84.6% and 82.5%, respectively, in agreement with previously reported values. 20,27 DFUR and DMBA are structurally similar to ranitidine and are likely transformed to NDMA by the same reaction mechanism. This

interpretation is supported by almost identical reaction stoichiometries (Table 1). The consumption of aqueous O₂ was stoichiometric (15.2 \pm 0.3 μ M vs 14.5 μ M of initial DFUR concentration), while the consumption of NH₂Cl (68.2 \pm 2.9 μ M) exceeded the initial DFUR concentration by a factor of 4.7 (Table 1; data for DMBA, see Table S2). To assess whether reactions of NH₂Cl with the heterocyclic ring of DFUR contributed to the overall NH₂Cl consumption, we conducted experiments with 3 μ M furfuryl alcohol and 45 μ M NH₂Cl under otherwise identical experimental conditions (Figure S17). No significant decrease in the NH₂Cl concentration was observed within 30 h, indicating the initial reaction of NH₂Cl and DFUR happens exclusively at the N,N-dimethylamine group of DFUR.

Although the molar NDMA yield and the reaction stoichiometries were almost identical for ranitidine, DFUR, and DMBA, the reaction kinetics differed significantly. Figures 1b and S10 show that NDMA formation during chloramination of DFUR and DMBA was completed within 4 and 6 h, respectively, and was thus faster than NDMA formation from ranitidine (within 10 h). During chloramination of DFUR, we observed a short lag phase within the first 0.3 h of the reaction in which only 0.3 μ M of NDMA were detected. This amount is too small to lead to measurable changes of O₂ and NH₂Cl concentrations. After 0.3 h, the reaction accelerated and the formation of NDMA coincided with the disappearance of

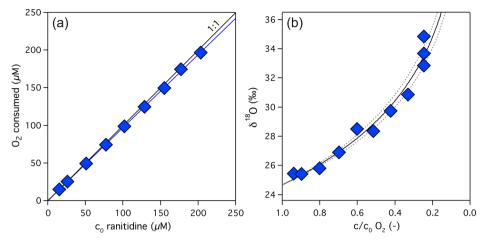


Figure 2. Reaction of ranitidine (15–200 μ M) with NH₂Cl (3 mM) in 10 mM phosphate buffer at pH 8.0. (a) Consumption of aqueous O₂ after completion of the chloramination reactions vs initial concentration, c_0 , of ranitidine. (b) Oxygen isotope fractionation shown as changes of δ^{18} O-values in aqueous O₂ vs fraction of remaining O₂ (c/c_0). The solid line represents the nonlinear least-squares regression with eq 2, and dashed lines are 95% confidence intervals of the fit.

DFUR, O₂, and NH₂Cl (Figure S8b). NH₂Cl was consumed with a pseudo-first-order rate constant of $(2.2 \pm 0.1) \cdot 10^{-5}$ s⁻¹, which is twice the $k_{\rm obs,\,2}^{\rm NH_2Cl}$ observed during NDMA formation from ranitidine. Even after NDMA formation ceased (after 4 h), the consumption of NH₂Cl continued with a smaller $k_{\rm obs}^{\rm NH_2Cl}$ of $(7.7 \pm 0.7) \cdot 10^{-6}$ s⁻¹ (Figure S9b). This finding suggests that some additional reactions of NH₂Cl contributed to the observed overstoichiometric NH₂Cl consumption. A tentatively identified reaction product with molecular formula C₅H₅ClO₂ (m/z [M + H⁺] = 133.0053) indicates that NH₂Cl reacts with intermediates of the NDMA formation reaction leading to chlorinated furfuryl alcohol as final reaction product (for possible molecular structures, see Figure S16).

Chloramination of Tertiary and Secondary Amines with Low Molar NDMA Yield. Molar NDMA yields from chloramination of TDMAP and DMA were normalized to the number of N,N-dimethylamine groups of the precursor molecule and amounted to 15.8% and 1.4%, respectively. Our data is in good agreement with previously reported NDMA yields of 18.4% for TDMAP²⁰ and 1.2–2.3% for DMA.^{20,27} The kinetics of NDMA formation and concomitant NH₂Cl and O₂ consumption are shown in Figure S11. Despite significantly smaller molar NDMA yields from TDMAP and DMA, the stoichiometries of NH₂Cl and O₂ consumption were similar to the one for ranitidine, DFUR, and DMBA. The consumed amount of O2 corresponded with the initial concentration of N,N-dimethylamine groups, whereas the amount of reacted NH₂Cl exceeded the initial concentrations of N₂N-dimethylamine groups by a factor of 3.9 and 2.2 for TDMAP and DMA, respectively (Tables 1 and S2). The overstoichiometric consumption of NH₂Cl in experiments with low-yield NDMA precursors indicates that unidentified reactions other than NDMA formation likely contributed to the disappearance of NH₂Cl. The stoichiometric O₂ consumption in experiments with high- and low-yield NDMA precursors hints at the same mechanism of N atom oxygenation of secondary and tertiary amines. However, reactions with dissolved O2 do not necessarily lead to the formation of NDMA and it is likely that other factors such as the molecular structure of the precursor molecule determine the molar NDMA yield. Note that chloramination of DMA was too slow to carry out reliable O₂ concentration measurements over the entire experiment

period (>3 days). The reported reaction stoichiometry of >0.6 in Table 1 indicates that a higher number should be expected based on an observed stoichiometric O_2 consumption in experiments with higher initial concentrations of DMA (43 μ M) and NH₂Cl (1000 μ M, Figure S12).

Oxygen Isotope Fractionation during the Reaction of **Aqueous O_2.** To gain new insights into the reaction of O_2 during chloramination of secondary and tertiary amines, we conducted oxygen isotope analyses of aqueous O₂. Figure 2a shows that the consumption of O₂ measured after completion of the chloramination of ranitidine was stoichiometric regardless of the initial ranitidine concentration. The same observation was made for all of the five studied organic amines (Figures S18 and S19). In these experiments, we determined the ¹⁸O/¹⁶O ratios of aqueous O₂ at natural abundance which are reported as δ^{18} O-values (eq 1). As shown for ranitidine in Figure 2b, δ^{18} O-values of aqueous O₂ increased with decreasing fraction of remaining aqueous O2. The observed O isotope fractionation shows that O2 molecules containing 16O reacted preferentially. The extent of O isotope fractionation was quantified with an ¹⁸O-kinetic isotope effect (¹⁸O-KIE, eq 3) of 1.0061 ± 0.0004 , which reflects the ratio of reaction rate constants of light and heavy O isotopes $\binom{16}{K_{O_2}} \binom{18}{K_{O_2}}$. In the present case, ¹⁶O₂ reacted approximately 0.6% faster than ¹⁶O¹⁸O molecules. The reaction of aqueous O₂ during the chloramination of DFUR, DMBA, TDMPA, and DMA resulted in similar ¹⁸O-KIE values between 1.0026 and 1.0092 (Table

The $^{18}\text{O-KIEs}$ found in our experiments are small compared to the range of known values of up to 1.05 for the reduction of O_2 and the activation of O_2 by enzymes and transition metal complexes. $^{39-42,52-55}$ The magnitude of $^{18}\text{O-KIEs}$ is a proxy for the number of electrons transferred to O_2 as well as for the formation and cleavage of bonds to oxygen atoms in reactions up to and including the first irreversible reaction step. 41,42 Theoretical calculations show that one and two electron reductions of O_2 to $O_2^{\bullet-}$ and $O_2^{(-II)}$ are accompanied by the largest ^{18}O isotope effects in the order of 1.03 and 1.05, respectively. 39,40 The small $^{18}\text{O-KIE}$ values below 1.01 measured here for chloramination reactions imply that the disappearance of aqueous O_2 was not associated with the formation of $O_2^{\bullet-}$ and $O_2^{(-II)}$. Indeed, none of the studied

organic amines are powerful reductants that would enable the reduction of O_2 . Such an interpretation is in agreement with the previously reported lack of $O_2^{\bullet-}$ detection after addition of superoxide dismutase to the chloramination of DMA. Moreover, $O_2^{\bullet-}$ and $O_2^{(-II)}$ could react with organic amine precursors, chloramine, or reaction intermediates thus causing an overstoichiometric consumption of O_2 .

Much smaller ¹⁸O isotope effects between 1.01 and 1.03 are known for the reversible binding of O2 to transition-metal complexes (e.g., with Co^{II} , Cu^{II}) and the reductive activation of O_2 at enzyme active site metal centers. 41,51,52,54,55 The smallest ¹⁸O isotope effects of 1.004-1.005 have been assigned to the reversible binding of O2 to oxygen transport proteins such as myoglobin.³⁹ These proteins have paramagnetic transition metals (e.g., Fe^{II}) in their active site, which allows binding of triplet state O₂. The ¹⁸O-KIE values associated with chloramination of amines (Table 1) are in the same range than observed for the O2 binding to odd electron chemical species, 39,40,53 but we exclude the presence of transition metals in our experiments. Small ¹⁸O-KIE values thus suggest that the NDMA formation mechanism involves the binding of O₂ to not yet identified radical intermediates. Such elementary reactions could explain the stoichiometric disappearance of aqueous O₂ but require the presence of organic radicals, with which ground state O2 can react in a spin allowed process. Because O2 neither reacts with any of the organic amines nor with NH2Cl alone, we hypothesize that radical intermediates are formed after the initial reaction of the organic amine with chloramine.

Impact of Radical Scavengers on NDMA Formation. The presence of radical intermediates was investigated by chloramination of ranitidine and DFUR in the presence of three radical scavengers, namely *tert*-butanol (*t*-BuOH), ABTS, and trolox. Whereas *t*-BuOH served as a scavenger for hydroxyl radicals (*OH), *S6* ABTS and trolox acted as reductants of radical intermediates *S7-60* through different reaction mechanisms (see below).

As shown by the data in Table 1, t-BuOH did not affect the formation of NDMA. In agreement with previous studies, 22,37 we found almost identical molar NDMA yields from the reaction of ranitidine or DFUR (both 15 μ M) with NH₂Cl (225 μ M) in the presence and absence of 40 mM of t-BuOH. Addition of t-BuOH did also not influence the extent of chloramine consumption (see Tables 1 and S1, Figure S20). These observations suggest that hydroxyl radicals neither contributed to the formation of NDMA nor to the consumption of NH₂Cl. 61

Chloramination experiments with ABTS or trolox strongly contrast those with t-BuOH in that NDMA formation from DFUR was completely suppressed. In the presence of 2 mM ABTS or 0.5 mM trolox, the reaction of DFUR with NH₂Cl did not lead to the formation of NDMA over a time period of 36 h and 4 days, respectively (Figures S22 and S24). This finding contrasts the formation of >80% NDMA within 4-8 h without radical scavenger (Figures 1b and S24a). ABTS and trolox are both known to react with a wide range of reactive oxygen species including (alk)oxyl (R-O*), nitroxyl (N-O*), (aryl)peroxyl (R-O-O*), and nitryl (N-O-O*) radicals through a one electron or H atom transfer, respectively. 58-60,62-68 Control experiments containing radical scavengers and either DFUR or NH₂Cl showed that ABTS and trolox did not react with DFUR (Figures S22a and S24b). Reactions of ABTS and trolox with NH2Cl were of minor relevance within the time frame of the reactions (Figures S21a and S23). Because ABTS

and trolox are weak one-electron reductants under neutral conditions ($E_H^{0\prime}(ABTS^{\bullet-} + e^- \rightleftharpoons ABTS^{2-}) = 0.70 \text{ V},^{69} E_H^{0\prime}$ (trolox $^{\bullet} + e^- + H^+ \rightleftharpoons \text{trolox}) = 0.48 \text{ V}^{70}$), they are not oxidized by NH₂Cl. However, ABTS and trolox are known to be oxidized by radicals. ^{57–60} The inhibition of NDMA formation in the presence of ABTS and trolox thus implies that the reaction intermediates from the reaction of DFUR and NH₂Cl were radicals. Similar observations regarding lower NDMA yields in the presence of trolox were made by Schreiber and Mitch³⁷ using DMA as precursor under differing experimental conditions. Furthermore, in experiments with trolox, we did no longer observe an interference at m/z 51 during MIMS measurements, which was indicative for a transient intermediate formed during chloramination of DFUR (Figure S25).

To gain additional insights into the impact of radical scavengers on the NDMA formation mechanism, we quantified the consumption of DFUR, NH2Cl, and O2. In the presence of ABTS or trolox, DFUR disappeared at an approximately 15and 8-fold smaller rate, respectively, compared to experiments without radical scavenger (Tables 1 and S1, Figure S24). The significantly retarded DFUR transformation suggests that radical scavengers reduce radical intermediates concomitant with the regeneration of DFUR. Regenerated DFUR again reacts with NH₂Cl leading to a continuous consumption of NH₂Cl until the latter is completely consumed as shown in the experiment with ABTS (Figures S21a and S22b). The amount of consumed NH₂Cl increased by a factor of 3.3 and 2.3 in the presence of ABTS and trolox, respectively (Table S1). Note that colorimetric methods for NH2Cl quantification were impeded in the presence of ABTS. We observed, however, that ABTS was oxidized during the reaction of DFUR with NH₂Cl, which was applied in 16-fold excess (Figure S21a). The amount of oxidized ABTS equaled the initial concentration of chloramine (225 μ M) after 23 h, indicating complete NH₂Cl consumption (Table S1 and Figure S21a). Aqueous O2 measurements were unsuccessful in the presence of trolox (Figure S26), whereas the concentration of O₂ remained constant in experiments with ABTS (Table 1, Figure S21b). The constant O₂ concentration may be due to the fact that radical intermediates reacted more rapidly with ABTS than with aqueous O₂ and the former was present in excess (2 mM ABTS vs 0.25 mM O_2). An alternative explanation is that oxygencentered peroxyl radicals, formed from the reaction of radical intermediates with O_2 , were reduced back to O_2 by ABTS.

Potential NDMA Formation Mechanisms Involving Radical Intermediates. Changes of $^{18}\text{O}/^{16}\text{O}$ of aqueous O_2 as well as inhibited NDMA formation in the presence of radical scavengers point at the presence of radical intermediates in the NDMA formation mechanism. This conclusion is also in agreement with the observed NDMA formation kinetics in Figure 1. After a short lag phase, NDMA was formed concomitant with the degradation of DFUR showing that only reaction steps at the very beginning of the reaction were rate-determining, whereas later reaction steps leading to NDMA occurred almost instantaneously in agreement with fast reactions involving radical species.

Figure 3 shows a postulated mechanism for a precursor molecule with a *N*,*N*-dimethylamine-methylfurane structure (ranitidine, DFUR, Ran-OH-Cl; compound 1 in Figure 3) but is thought to apply likewise for other tertiary amines studied here (DMBA, TDMAP). Previous studies showed that chloramination of tertiary amines is initiated by a nucleophilic substitution reaction to a dimethylhydrazine-type compound

Figure 3. Hypthesized NDMA (7) formation from chloramination of *N*,*N*-dimethylamine-methylfurane moieties (1) through transient intermediates: substituted hydrazine (2), aminyl radicals (3 and *NH₂), *N*-peroxyl radicals (4). Note that decomposition of the *N*-peroxyl coupling product (6) leads to formation of 2 equivalents of NDMA and methyl-furane carbocations (8) but only one is shown here.

(2). 29,30 Our investigation provides experimental evidence for the reaction of O_2 with transient intermediates that are likely of radical nature. The latter could be generated as aminyl radicals (3 and H_2N^{\bullet}) from the one-electron oxidation of 2 by NH_2Cl . Support for this assumption comes from observations that reactions of Fe^{2+} , phenols, or tertiary amines (e.g., chlorpromazine, aminopyrine) with chloramines lead to N-centered radicals at considerable rates. The overstoichiometric consumption of NH_2Cl during the formation of NDMA (Table 1) indicates that NH_2Cl might play an important role not only for the initial nucleophilic substitution reaction, but also for the generation of radical intermediates.

Reactions of short-lived aminyl radicals are key to rationalize the stoichiometric consumption of O_2 as well as ^{18}O -KIEs. We hypothesize that aminyl radicals such as 3 exist as N-centered radicals and would not be prone to typical rearrangement to carbon centered peroxyl radicals because the HN $^{\bullet}$ moiety is not bound to a C atom. N-centered aminyl radicals can be oxygenated by molecular O_2 to amino-peroxyl radicals (4 and H_2N-O-O^{\bullet}) with rate constants of $10^9~M^{-1}~s^{-1.76-78}$ Such a reaction of triplet O_2 is spin allowed, consistent with N-KIEs < 1.01, and could thus be responsible for formation of the nitroso bond of NDMA.

Figure 3 illustrates possible pathways leading from aminyl radical 3 to NDMA. The coupling of two N-peroxyl radicals 4 to compound 6 followed by the decay of 6 through the Bennett mechanism^{79,80} seems a likely option. This pathway results in the formation of two equivalents of NDMA and methyl-furfuryl carbocations (8) and one equivalent of hydrogen peroxide (H_2O_2). In separate experiments, we indeed detected H_2O_2 by adding catalase to reactors, in which 50 μ M DFUR had been transformed to NDMA in the presence of 750 μ M NH₂Cl (Figure S27). Upon addition of catalase, which converts two molecules of H_2O_2 to one molecule of O_2 , we measured 5.0 \pm 1.6 μ M of additional O_2 , which corresponds to a H_2O_2 concentration of 7.0–13 μ M at the end of the chloramination experiment (see section S13 for details). These numbers correspond to 28–52% of the theoretical maximum of 25 μ M

 H_2O_2 assuming a stoichiometric NDMA yield from 50 μ M DFUR. Because H_2O_2 was not stable when added at the beginning of a chloramination experiment (40% loss of H_2O_2 within 3.3 h, Figure S27, e.g., through partial transformation by chloramine⁸¹), we conclude that the effective concentration of H_2O_2 during NDMA formation must have been higher.

The proposed reaction pathway through species $1 \rightarrow 2 \rightarrow 3$ \rightarrow 4 \rightarrow 6 \rightarrow NDMA is plausible based on our experimental evidence, but this interpretation strongly relies on selective coupling of amino-peroxyl radicals (4). We cannot rule out reactions of H2N° with aqueous O2 what would lead to an overstoichiometric consumption of O2, except if H2N-O-O° transforms 3 to 5, from which NDMA could be cleaved off. Moreover, N-peroxyl radicals (4 and H₂N-O-O[•]) could decompose by alternative routes (e.g., to nitric oxide) that do not lead to NDMA but inevitably consume aqueous O2.82 If such reactions were to happen, however, one would not expect to observe the disappearance of one molecule of O_2 per N_1N_2 dimethylamine group of the precursor compound (see Table 1). On the basis of the same reasoning, we consider it unlikely that nitrosating agents (e.g., nitric oxide), which would be readily oxidized by O2, were involved in the reactions leading to NDMA. Note that compounds like TDMAP and DMA may also react along the proposed pathways to N-peroxyl radicals because these precursors also exhibit (near) stoichiometric O₂ consumption. However, their much smaller yields of NDMA show the importance of the molecular structure of the NDMA precursor. Whereas tertiary amines that possess an electron-rich aromatic moiety could stabilize N-peroxyl intermediates and lead to the formation of stable carbocationic leaving groups, these criteria might not be fulfilled in case of TDMAP and secondary amines such as DMA.²⁷ Finally, the pathways shown in Figure 3 only account for the reaction of 2 to 3 equivalents of chloramine per tertiary amine while our data suggest a more than 4-fold excess of chloramine consumption (Table 1), which might be caused by side reactions leading to reaction products other than NDMA.

■ IMPLICATIONS FOR WATER TREATMENT

The radical pathway for NDMA formation, as described above, was proposed based on evidence from experiments with laboratory-grade buffer solutions containing only the organic precursors and NH2Cl. During chloramination of source waters used for drinking water production, naturally occurring antioxidants containing, e.g., phenolic moieties, might effectively scavenge peroxyl radicals, 83,84 leading to a net decrease of NDMA formation. However, it has been shown previously that NDMA was also formed during chloramination of natural water samples that were spiked with ranitidine.85 Compared to experiments in ultrapure water, NDMA formation was slowed down in lake and river water, presumably due to interactions of unidentified natural organic matter (NOM) components with ranitidine. However, the molar NDMA yield from ranitidine was not affected by the water matrix, indicating that reactive intermediates of the NDMA formation pathway were not scavenged by natural organic matter. 85 Indeed, it is known that the selective coupling of peroxyl radicals to tetroxide species (similar to $4 \rightarrow 6$) occurs in natural water samples. 86-88 This selective peroxyl radical coupling is even exploited for the determination of OH in raw waters using t-BuOH.^{86–88} The NDMA formation mechanism proposed here is thus also likely to be operational during chloramination of amine-containing source waters used for drinking water production.

To mitigate N-nitrosamine formation during full-scale water treatment, utilities using chloramine might need to implement additional treatment steps that lead to an abatement of NDMA precursors using, e.g., granular activated carbon or oxidative pretreatment with ozone. However, these approaches might not entirely prevent the formation of NDMA owing to varying source water qualities and the wide spectrum of precursor compounds and treatment conditions leading to NDMA. New methods for the identification of relevant precursors and NDMA formation pathways in source waters are required to select optimal water treatment conditions and NDMA mitigation strategies.

■ ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.est.6b04780.

List of all chemicals, detailed description of chemical analyses and transformation product identification, comparison of four different methods used for chloramine quantification, reactivity of DFUR with sulfite, figures illustrating (i) the impact of the precursor to chloramine ratio on molar NDMA yields, (ii) the reaction kinetics of chloramination of five NDMA precursor compounds, (iii) transformation product analysis, (iv) oxygen isotope fractionation analyses of all five precursor compounds, (v) the impact of radical scavengers on NDMA formation, and (vi) the quantification of H₂O₂ using catalase (PDF)

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