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Effect of operational and water quality parameters on conventional ozonation and the advanced oxidation process O<sub>3</sub>/H<sub>2</sub>O<sub>2</sub>: Kinetics of micropollutant abatement, transformation product and bromate formation in a surface water

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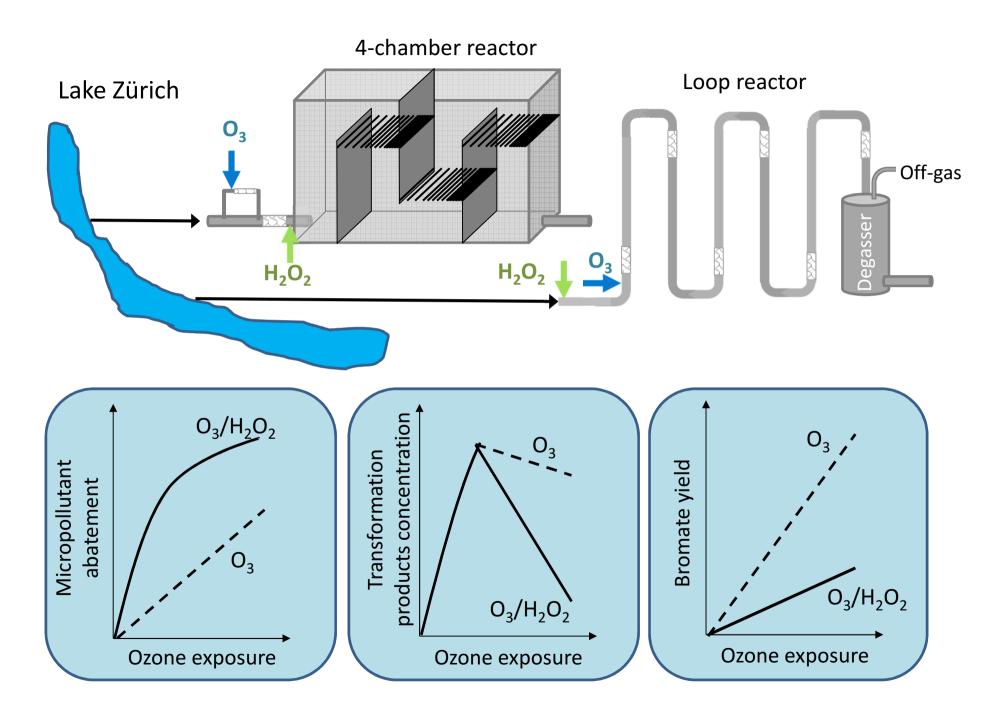
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**ACCEPTED MANUSCRIPT** 1 Effect of operational and water quality parameters on conventional ozonation and the advanced 2 oxidation process O<sub>3</sub>/H<sub>2</sub>O<sub>2</sub>: Kinetics of micropollutant abatement, transformation product and bromate 3 formation in a surface water 4 Marc Bourgin<sup>1</sup>, Ewa Borowska<sup>1,2,‡</sup>, Jakob Helbing<sup>3</sup>, Juliane Hollender<sup>1,4</sup>, Hans-Peter Kaiser<sup>3</sup>, Cornelia 5 Kienle<sup>5</sup>, Christa S. McArdell<sup>1</sup>, Eszter Simon<sup>5</sup>, Urs von Gunten<sup>1,4,6,\*</sup> 6 7 8 <sup>1</sup>Eawag, Swiss Federal Institute of Aquatic Science and Technology, CH-8600 Dübendorf, Switzerland 9 <sup>2</sup>Silesian University of Technology, Faculty of Power and Environmental Engineering, Environmental 10 Biotechnology Department, PL-44100 Gliwice, Poland 11 <sup>3</sup>Zürich Water Works, CH-8021 Zürich, Switzerland <sup>4</sup>Institute of Biogeochemistry and Pollutant Dynamics (IBP), ETH Zurich, CH-8092 Zurich, Switzerland 12 <sup>5</sup>Swiss Centre for Applied Ecotoxicology Eawag-EPFL, Überlandstrasse 133, CH-8600 Dübendorf, 13 14 Switzerland <sup>6</sup>School of Architecture, Civil and Environmental Engineering (ENAC), Ecole Polytechnique Fédérale 15 16 de Lausanne (EPFL), CH-1015 Lausanne, Switzerland 17 \* Corresponding author: Urs von Gunten, vongunten@eawag.ch 18

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# ABSTRACT

The efficiency of ozone-based processes under various conditions was studied for the treatment of a
surface water (Lake Zürich water, Switzerland) spiked with 19 micropollutants (pharmaceuticals,
pesticides, industrial chemical, X-ray contrast medium, sweetener) each at 1 $\mu g \ L^{-1}$ . Two pilot-scale
ozonation reactors (4-5 m <sup>3</sup> h <sup>-1</sup> ), a 4-chamber reactor and a tubular reactor were investigated by either
conventional ozonation and/or the advanced oxidation process (AOP) O <sub>3</sub> /H <sub>2</sub> O <sub>2</sub> . The effects of selected
operational parameters, such as ozone dose (0.5-3 mg $L^{-1}$ ) and $H_2O_2$ dose ( $O_3$ : $H_2O_2$ = 1:3-3:1 (mass
ratio)), and selected water quality parameters, such as pH (6.5-8.5) and initial bromide concentration
(15-200 µg L <sup>-1</sup> ), on micropollutant abatement and bromate formation were investigated. Under the
studied conditions, compounds with high second-order rate constant $k_{O3}>10^4$ M <sup>-1</sup> s <sup>-1</sup> for their reaction
with ozone were well abated (>90%) even for the lowest ozone dose of 0.5 mg/L. Conversely, the
abatement efficiency of sucralose, which only reacts with hydroxyl radicals (•OH), varied between 19
and 90%. Generally, the abatement efficiency increased with higher ozone doses and higher pH and
lower bromide concentrations. $H_2O_2$ addition accelerated the ozone conversion to ${}^{ullet}OH$ , which enables a
faster abatement of ozone-resistant micropollutants. Interestingly, the abatement of micropollutants
decreased with higher bromide concentrations during conventional ozonation due to competitive ozone-
consuming reactions, except for lamotrigine, due to the suspected reaction of HOBr/OBr with the
primary amine moieties. In addition to the abatement of micropollutants, the evolution of the two main
transformation products (TPs) of hydrochlorothiazide (HCTZ) and tramadol (TRA), chlorothiazide
(CTZ) and tramadol N-oxide (TRA-NOX) respectively, was assessed by chemical analysis and kinetic
modelling. Both selected TPs were quickly formed initially to reach a maximum concentration followed
by a decrease of their concentrations for longer contact times. For the studied conditions, the TP's
concentrations at the outlet of the reactors ranged from 0-61% of the initial parent compound
concentration, CTZ being a more persistent TP than TRA-NOX. Finally, it was demonstrated in both
reactors that the formation of bromate (BrO3), a potentially carcinogenic oxidation by-product, could be
controlled by $H_2O_2$ addition with a general improvement on micropollutant abatement. Post-treatment by
granular activated carbon (GAC) filtration enabled the reduction of micropollutants and TPs

concentrations but no changes in bromate were observed. The combined algae assays showed that water quality was significantly improved after oxidation and GAC post-treatment, driven by the abatement of the spiked pesticides (diuron and atrazine).

- 53 Keywords: Surface water, AOP O<sub>3</sub>/H<sub>2</sub>O<sub>2</sub>, micropollutants, transformation products, bromate, ozonation
- 54 reactors

# 1. Introduction

56	The production of drinking water has become an important challenge, particularly in densely populated
57	areas with limited clean water resources (Schwarzenbach et al. 2010). In this context, impaired surface
58	waters are often the only available water resources. Their treatment usually requires a multi-barrier
59	approach to fulfill the standards in terms of particle removal, disinfection, micropollutant and organic
60	matter abatement, and organoleptic quality (Crittenden et al. 2012). One option is the use of a succession
61	of oxidation and adsorptive filtration steps (Rosario-Ortiz et al. 2016). The type, the design and the order
62	of the differing treatment steps are case-specific and strongly depend on the water quality.
63	Oxidation-based processes implemented in drinking water treatment processes were originally applied
64	for disinfection purposes (Schwarzenbach et al. 2010). In Switzerland, an ozonation step is commonly
65	used for the production of drinking water from lake water (Kaiser et al. 2013, von Sonntag and von
66	Gunten 2012) and is known to abate efficiently many micropollutants such as pesticides,
67	pharmaceuticals, cyanotoxins and taste and odor compounds (Huber et al. 2003, Ikehata and Gamal El-
68	Din 2005a, b, Ikehata et al. 2006, Onstad et al. 2007, Peter and von Gunten 2007). During ozonation,
69	two reactive species are involved, i.e., ozone and hydroxyl radicals (•OH) formed during the
70	decomposition of ozone in water (Hoigné and Bader 1975, Staehelin et al. 1984, Staehelin and Hoigné
71	1982, von Sonntag and von Gunten 2012). Ozone reacts readily with electron-rich moieties (direct
72	reaction), while hydroxyl radicals are much less selectively reacting with most organic compounds in an
73	almost diffusion controlled reaction (second-order rate constants in the range of 10 <sup>9</sup> -10 <sup>10</sup> M <sup>-1</sup> s <sup>-1</sup> ) (von
74	Sonntag and von Gunten 2012). Thus, •OH can abate ozone-resistant compounds. Hydrogen peroxide
75	may be added during ozonation to enhance the ozone decomposition rate to hydroxyl radicals (Staehelin
76	and Hoigné 1982) resulting in an advanced oxidation process (AOP O <sub>3</sub> /H <sub>2</sub> O <sub>2</sub> , hereafter only AOP). Even
77	though the rate of •OH formation can be enhanced, the yield compared to conventional ozonation
78	remains quite constant (Acero and von Gunten 2001). Under realistic treatment conditions,
79	micropollutants are not fully mineralized by ozone and/or •OH reactions but degraded to transformation
80	products (TPs). There is a growing concern about TPs because of their unknown structures and their
81	potential biological effects. Recent reviews revealed transformation pathways from the reactions of

82	ozone with micropollutants (Hübner et al. 2015, Lee and von Gunten 2016, von Sonntag and von
83	Gunten 2012). For amines, typically a formation of the corresponding N-oxides/hydroxylamines and/or
84	dealkylated compounds has been observed (Benner and Ternes 2009a, Benner and Ternes 2009b,
85	Borowska et al. 2016, Lange et al. 2006, Lester et al. 2013, Zimmermann et al. 2012). Olefinic and
86	aromatic compounds usually lead (i) either to hydroxylated compounds or (ii) to aldehydes from the
87	cleavage of C-C double bonds and thereafter to carboxylic acids (Deborde et al. 2008, Müller et al.
88	2012, Mvula and von Sonntag 2003, Ramseier and von Gunten 2009).
89	In addition to mechanistic aspects, the evolution of the effects of transformation product mixtures are
90	relevant (Prasse et al. 2015). Though recent studies showed ozone-treated water may have negative
91	effects on specific toxicity endpoints (Stalter et al. 2010a, Stalter et al. 2010b), ozonation was
92	demonstrated to generally improve water and wastewater quality (Bourgin et al. 2013, Dodd et al. 2006,
93	Margot et al. 2013, Mestankova et al. 2012).
94	Furthermore, ozonation can lead to the formation of oxidation/disinfection by-products. During water
95	treatment, the reactions of ozone and hydroxyl radicals with bromide and its oxidation products leads to
96	bromate (BrO <sub>3</sub> ) formation (Haag and Hoigné 1983, von Gunten and Hoigné 1994), which is classified
97	as a potential human carcinogen (Kurokawa et al. 1990). For this reason, a drinking water
98	standard/guideline value was set to 10 µg BrO <sub>3</sub> - L <sup>-1</sup> (Commission Directive 2003, U.S. EPA 2006, WHO
99	2011). A post-treatment showed limited bromate removal, except filtration through fresh granular
100	activated carbon (Asami et al. 1999, Kirisits et al. 2000, L. Bao et al. 1999, Legube 1996). Therefore,
101	bromate formation must be mitigated during oxidation. A key intermediate in the formation of BrO3 is
102	HOBr/OBr (von Gunten and Hoigné 1994). In H <sub>2</sub> O <sub>2</sub> -based AOPs, HOBr/OBr reacts competitively (i)
103	with the oxidant species to bromate, (ii) with the natural organic matter to bromo-organic compounds
104	and (iii) with $H_2O_2/HO_2^{-1}$ ( $k_{HOBr,HO2-} = 7.6 \cdot 10^8 \text{ M}^{-1} \text{ s}^{-1}$ ) to bromide (von Gunten and Oliveras 1997). Based
105	on the reaction of HOBr with hydrogen peroxide and a lower ozone exposure compared to conventional
106	ozonation (leading to a reduced disinfection efficiency), bromate formation is lower in the AOP (von
107	Gunten and Oliveras 1997), but not entirely suppressed (von Gunten and Oliveras 1998).
108	The aim of this study was to compare two ozone-based processes (conventional ozonation and the AOP)
109	in two pilot-scale reactors (a conventional 4-chamber reactor and a tubular reactor) for the treatment of a

Swiss surface water (Lake Zürich water) in terms of efficiency of micropollutant abatement and bromate formation. The abatement of a selection of environmentally-relevant, spiked micropollutants with various reactivities with ozone was investigated for differing operational conditions and water quality parameters, *i.e.*, O<sub>3</sub> and H<sub>2</sub>O<sub>2</sub> doses, influent bromide concentrations and pH, and was quantified based on reaction kinetics. In addition, the formation of two transformation products and bromate was monitored. Furthermore, the fate of ozone-resistant micropollutants and the selected transformation products were studied over a post-filtration with granular activated carbon. Finally, bacteria luminescence inhibition and algal photosynthesis and growth bioassays were performed to evaluate the water quality after oxidative treatment and after post-treatment with granular activated carbon.

### 2. Material and methods

### 2.1 Water quality parameters

The raw water from Lake Zürich (from a depth of about 30 m and at a distance of about 500 m from the lakeshore) was continuously fed into the pilot plant, where it was membrane-filtered (ultrafiltration) before ozonation. The water quality parameters were relatively stable over the experimental period (November 2013-April 2014, see Table S1). The term  $R_{ct}$  defined as the ratio of •OH exposure to ozone exposure (Elovitz and von Gunten 1999) was determined to be constant over this period ( $R_{ct} = 10^{-8}$ ).

# 2.2 Chemicals and preparation of stock solution

Nineteen environmentally-relevant micropollutants with differing physical-chemical properties (molecular weight, hydrophobicity, pK<sub>a</sub>, structures, reactivity with ozone; see Tables S2-S4) were chosen. Benzotriazole (BZT), carbamazepine (CBZ), diclofenac (DIC), hydrochlorothiazide (HCTZ), N,N-Diethyl-m-toluamide (DEET), diuron (DIU), metoprolol (MET), phenazone (PHE), primidone (PRI), sucralose (SUC), sulfamethoxazole (SMX), tramadol (TRA) and trimethoprim (TRI) were obtained from Sigma-Aldrich (Buchs, Switzerland); atrazine (ATZ), lamotrigine (LAM) and valsartan (VAL) from TCI Europe (Zwijndrecht, Belgium); bezafibrate (BZF) and gabapentin (GAB) from Toronto Research Chemicals (Toronto, ON); and iopromide (IOP) from LGC Standards (Wesel, Germany). The two monitored ozone transformation products, chlorothiazide (CTZ) and tramadol Noxide (TRA-NOX), were obtained from Sigma-Aldrich and LGC Standards, respectively. For some

- compounds, second-order rate constants for their reaction with ozone were experimentally determined as
- described in Text S1 and Fig. S1. The rate constants are compiled in SI, Table S4.
- A stock solution was prepared by dissolving the 19 parent compounds (each at 1 mg L<sup>-1</sup>) in ultrapure
- water overnight. To enhance the solubility of the majority of the compounds, the pH was adjusted to 7
- with 1 M NaOH and the solution was heated to 40 °C.
- Potassium indigo trisulfonate, sodium bromide and hydrogen peroxide (35% (w/w) in H<sub>2</sub>O) were
- obtained from Sigma-Aldrich (Buchs, Switzerland).

### 2.3 Pilot-scale ozonation reactors

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- 145 Two ozonation reactors were investigated in this study, a 4-chamber reactor and a tubular reactor. The
- reactors were fed with membrane-filtered Lake Zurich water spiked with the 1 mg L<sup>-1</sup> micropollutant
- stock solution to get inlet concentrations of about 1 µg L<sup>-1</sup> for each micropollutant. The bromide inlet
- concentration in Lake Zürich water was approximately 15 µg L<sup>-1</sup> or adjusted to 50, 100 or 200 µg L<sup>-1</sup> by
- dosing a 200 mg Br L aqueous solution of sodium bromide. The raw water pH (7.8-8.2) was
- occasionally adjusted to a different pH in the range of 6.5-8.5 by the addition of 37% (w/w)
- hydrochloric acid or 30% (w/w) sodium hydroxide aqueous solutions.

# 152 2.3.1 4-chamber ozonation reactor

The first pilot-scale ozonation reactor consisted of 4 chambers with a total volume of 2.2 m<sup>3</sup> (Kaiser et al. 2013) operated at 4 m<sup>3</sup> h<sup>-1</sup> (Fig. S2). Each chamber was equipped with tubular obstacles to improve the hydraulics in the reactor to approach a plug-flow behavior. After inlet water enrichment with micropollutants and, if necessary bromide and/or pH modifications, 10% of the flow was diverted to a side stream, where ozone, produced from oxygen gas by an ozone generator (Ozonia, Switzerland), was injected. After ozone dosing, the water passed through a static mixer and the ozone-enriched side stream was mixed again into the full stream by a second static mixer to get the appropriate ozone doses (0.5-3 mg O<sub>3</sub> L<sup>-1</sup> corresponding to approximately 0.5-3 g O<sub>3</sub>/g DOC). The reactor was used for both the conventional ozonation and the AOP. For the AOP, an aqueous solution of hydrogen peroxide (1 g L<sup>-1</sup>), prepared from a 35% hydrogen peroxide solution (Sigma-Aldrich, Switzerland), was spiked after addition of ozone in the main stream with differing O<sub>3</sub>:H<sub>2</sub>O<sub>2</sub> ratios (w/w): 3:1, 1:1, 1:2 and 1:3. In

addition to the inlet (INF) and the outlet (EFF, hydraulic residence time of 33 min), 3 sampling points

(SP1-3) were assessed at hydraulic residence times of about 8.3, 16.5 and 24.8 min, respectively. A posttreatment step with granular activated carbon followed the ozonation reactor and is described in Text S2.

### 2.3.2 Tubular ozonation reactor

A second ozonation reactor, called tubular reactor hereafter, consisted of a pipe (V = 9 L) and a degassing chamber (V = 33 L) and was operated at a flow rate of 5 m<sup>3</sup> h<sup>-1</sup> (Fig. S3). In contrast to the 4-chamber reactor, it was only operated as an AOP system with injection of hydrogen peroxide, micropollutants and bromide (if applicable) at the influent. Ozone-enriched gas (75-150 g O<sub>3</sub> m<sup>-3</sup>) was directly injected (30-100 L h<sup>-1</sup>) into the main stream to achieve an ozone dose of 0.5-3 mg O<sub>3</sub> L<sup>-1</sup>, corresponding to approximately 0.5-3 g O<sub>3</sub>/g DOC. After injection, the transfer of ozone gas to the aqueous phase was enhanced by various static mixers placed at regular distances in the tube. In addition to the inlet (INF), 5 sampling points (SP 1-5) were placed at regular hydraulic residence time intervals (ca. 1.2 second between the sampling points). After SP5, the treated water remained in a degassing contact chamber for about 25 s to strip oxygen and residual ozone. The off-gas was directed into an activated carbon column for a catalytic decomposition of ozone to oxygen. A final sampling point (EFF, hydraulic residence time of 31 sec) was placed at the outlet of the degassing contact chamber.

## 2.4 Chemical analyses

2.4.1 Determination of ozone concentrations, ozone exposures and hydroxyl radical exposures

Ozone and  $H_2O_2$  concentrations were monitored at the differing sampling points (SI, Text S3). Since both reactors behave like plug-flow reactors, ozone exposure ( $\int [O_3] dt$ ) was determined from the area under the measured ozone depletion curves, at the sampling points in the reactors where ozone was measured (Kaiser et al. 2013). Additionally, •OH exposure ( $\int [\bullet OH] dt$ ) was calculated from the abatement of an ozone-resistant compound, sucralose, according to equation (1):

$$\int [\bullet OH] dt = -\ln([SUC]/[SUC]_0) / k_{\bullet OH,SUC}$$
(1)

where [SUC]<sub>0</sub> and [SUC] are the respective concentrations of sucralose at times 0 and t, and k<sub>•OH,SUC</sub> is the second-order rate constant for the reaction of sucralose with •OH (k<sub>•OH,SUC</sub> =1.5-1.6·10<sup>9</sup>) (Keen and Linden 2013, Toth et al. 2012).

# 2.4.2 Quantification of micropollutants and kinetic modeling

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For the quantification of micropollutants in water samples, a 100 mL sample was taken from the reactors, quenched with sodium sulfite (1.5 mL, 1.5 M) and stored at 4 °C. If the micropollutant measurements were not carried out within 2 weeks, samples were frozen at -20 °C and thawed before sample preparation. After filtration through a 13-mm syringe filter (regenerated cellulose membrane, 0.45µm porosity, Infochroma), 20 mL aliquots were spiked with 5 ng of internal standards methanolic solution (Table S5) and enriched by online SPE through a cartridge filled with Oasis HLB (top layer, 9 mg, Waters) and a mixture (bottom layer, 9 mg, 1:1:1.5, w/w/w) of Strata-X-AW (anion exchanger, Phenomenex), Strata-X-CW (cation exchanger, Phenomenex) and ENV+ (Biotage). The cartridges were conditioned online successively with acetonitrile and 2 mM ammonium acetate in ultrapure water and loaded for sample enrichment (see Jeon et al. (2013) for more details on the analytical method). The sample was rinsed with an ammonium acetate solution and back-flush eluted with a methanolic solution containing 0.1% formic acid (Optima LC/MS grade, Fisher Scientific). The eluate was mixed with 0.1% formic acid in ultrapure water and transferred to the LC system and separated on an Atlantis T3 column (3 µm particle size, i.d. 3.0 x 150 mm, Waters) guarded with a pre-column and an online filter. Elution in the HPLC column was performed with both 0.1% formic acid in ultrapure water and methanol (300 μL min<sup>-1</sup>) at 30 °C. Detection was performed with electrospray ionization (ESI) in positive and negative modes simultaneously using a ThermoScientific Q-Exactive high-resolution mass spectrometer at a resolution of 70,000. External mass calibration was performed and mass accuracy of the measurements was in general better than 5-8 ppm. For quality control, multiple blank (ultrapure water), blind samples (internal standards in ultrapure water) and calibration standards (500 ng L-1 in ultrapure water) for each micropollutant were regularly analyzed. The limits of quantification ranged from 1-50 ng L<sup>-1</sup> (SI, Table S5) and recoveries were 100±4%. The software Kintecus (Ianni 2015) was used to perform kinetic

- 213 model calculations to simulate the fate of 3 parent compounds (LAM, HCTZ and TRA) and their major
- 214 TPs (CTZ and TRA-NOX) during conventional ozonation (SI, Text S4, Tables S6-S7).
- 215 2.4.3 Bromine species
- For the quantification of bromide and bromate, samples (250 mL) were collected in a bottle containing
- 217 1-3 mL of a potassium indigo trisulfonate solution (6.2 g L<sup>-1</sup>) to quench ozone. As described previously
- 218 (Salhi and von Gunten 1999), both Br and BrO<sub>3</sub> were measured by ion chromatography followed by
- 219 combined detection: conductivity for bromide determination and post-column reaction with potassium
- 220 iodide with UV detection at 352 nm for bromate determination. The quantification limits were 2.1 μg L<sup>-1</sup>
- for bromide and 0.6 µg L<sup>-1</sup> for bromate.

### 222 2.5 Ecotoxicological evaluation of samples after ozone-based processes

- 223 Ecotoxicological effects were assessed in a bacteria luminescence inhibition and combined algal
- bioassays as described in SI, Text S5 with selected samples (Table S8).

#### 225 3. Results and discussion

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### 3.1 Abatement of micropollutants

- 227 3.1.1 Role of ozone dose on micropollutant abatement
- 228 The abatement of micropollutants was investigated at differing ozone doses (0.5-3 mg O<sub>3</sub> L<sup>-1</sup>) in both
- reactors (Figs. 1a-d). At the lowest ozone dose (0.5 mg L<sup>-1</sup>), 10 compounds (DIC, CBZ, TRI, SMX,
- 230 PHE, HCTZ, TRA, MET, DIU and BEZ) were well abated (>87%) in the conventional ozonation
- 231 process, although ozone was not completely consumed (13% residual, Table S9). Ozone and •OH
- 232 exposures were high enough (Table S9) to abate these compounds, due to their high reactivity with
- ozone and •OH: apparent second-order rate constants for the reactions with ozone ( $k_{O3}$ ) and •OH ( $k_{OH}$ )
- 234 are  $\geq 10^4$  M<sup>-1</sup> s<sup>-1</sup> and 5.0 ×10<sup>9</sup> M<sup>-1</sup> s<sup>-1</sup>, respectively (Table S4). Their extents of abatement were increasing
- with increasing  $k_{O3}$ . For compounds with low  $k_{O3}$  (<10<sup>2</sup> M<sup>-1</sup> s<sup>-1</sup>), the extent of abatement depends more
- strongly on  $k_{\text{OH}}$  rather than on  $k_{\text{O3}}$ . The abatement of micropollutants also increased with increasing
- 237 ozone doses (Fig. 1, increasing ozone doses from a-d). Fig. 2 shows the abatement of four ozone

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recalcitrant micropollutants (i.e., PRI, LAM, ATZ and SUC) as a function of ozone consumed for all ozone doses (i.e., difference between ozone dose and residual ozone), at each sampling point in the reactor. Independently of the initial ozone dose, the micropollutants were abated to the same extent for the same ozone consumption, because of similar ozone and •OH exposures (Table S9). It is noteworthy that the maximum ozone consumption did not exceed 0.9 mg  $L^{-1}$ , even for an ozone dose of 3 mg  $O_3$   $L^{-1}$ . Therefore, a large fraction of the applied ozone is leaving the reactor for conventional ozonation, potentially leading to •OH formation in the activated carbon filter (Sánchez-Polo et al. 2005). In contrast, for the AOP systems (O3:H2O2 mass ratio 1:3, (w/w)), dissolved ozone was almost instantaneously converted to •OH. In this case, ozone exposure was very low and the oxidation was almost exclusively driven by •OH. Even though, •OH is more reactive than ozone, it is also less selective and is consumed to a large extent in many competing reactions with e.g., the natural organic matter (NOM). Therefore, the ozone-reactive compounds are not anymore preferentially attacked under these conditions. This explains why the abatement of some compounds (HCTZ, TRA, MET, DIU, BZF) was significantly lower in the AOP compared to conventional ozonation (Fig. 1). Conversely, ozoneresistant compounds, e.g., SUC and IOP, were abated more efficiently in the AOPs because their abatements are controlled by •OH under these conditions. Independently of the reactor, all 19 micropollutants were abated in AOP by more than 87% at 3 mg O<sub>3</sub> L<sup>-1</sup>, while only 7 compounds were abated to this level at 0.5 mg O<sub>3</sub> L<sup>-1</sup> (Fig. 1).

# 3.1.2 Role of bromide concentration for micropollutant abatement

The concentration of bromide in drinking water resources may vary widely in the range of µg L<sup>-1</sup> to mg L<sup>-1</sup> (Flury and Papritz 1993, Magazinovic et al. 2004, Salhi and von Gunten 1999, Soltermann et al. 2016). In Lake Zürich water, the background bromide concentration is relatively low (approximately 15 µg L<sup>-1</sup>). Therefore, in certain experiments, bromide was dosed to cover a wider range of up to ~200 µg L<sup>-1</sup>. The influence of bromide on micropollutants abatement is shown in Fig. 3, while bromate formation is discussed later in section 3.3. For conventional ozonation at a high ozone dose (3 mg L<sup>-1</sup>), the abatement of ozone-reactive compounds, *e.g.*, HCTZ and TRA, was not affected by varying bromide concentrations. For the more persistent compounds, the abatement generally decreased with increasing

bromide concentrations. For instance, PRI and SUC were abated by 90% and 42%, respectively at the background bromide concentration, whereas the abatement efficiency was reduced to 55% and 19%, respectively, at a bromide concentration of 200 µg L<sup>-1</sup>. The ozone profiles are not affected significantly by bromide, which is expected from the low reactivity of bromide with ozone (Haag and Hoigné 1983). Furthermore, the fraction of •OH scavenged by bromide is low, even at the highest bromide concentration (Table S10). Therefore, it is unclear what causes this effect and further investigations are needed. In the case of LAM, the opposite effect was observed with a significantly higher extent of abatement with increasing bromide concentrations, i.e., 79% abatement at 15 µg Br L-1 and 92% abatement at 200 µg Br<sup>-</sup> L<sup>-1</sup>. Due to the presence of two primary amine (-NH<sub>2</sub>) groups in its structure, LAM reacts with both ozone (k<sub>O3,LAM</sub> = 4 M<sup>-1</sup> s<sup>-1</sup> at pH 7, see Table S4) and with HOBr/OBr (pKa 8.8) formed during the oxidation of Br (equation S1 in Text S6, k<sub>O3,Br</sub>= 160 M<sup>-1</sup> s<sup>-1</sup>) (Haag and Hoigné 1983). The second order rate constants for the reactions of HOBr with amine-containing compounds are in the range  $10^4$ - $10^5$  M<sup>-1</sup> s<sup>-1</sup> at circumneutral pH (Heeb et al. 2014). A kinetic model (Table S6, Fig. S4) confirms that a bromide concentration up to 100 µg Br L-1 does not significantly influence LAM abatement. However, at higher bromide concentrations (200 µg Br L-1), LAM elimination is significantly enhanced. Even though the model does not exactly predict LAM abatement, the trend of the HOBr/OBr effect is evident and shows an involvement of bromine in the abatement of LAM. For the AOPs, the abatement of micropollutants remained high, typically >90%, with no significant influence of the bromide concentration (Fig. 3b-c). Due to the presence of H<sub>2</sub>O<sub>2</sub>, the contribution of Br on •OH scavenging is expected to be less significant than in conventional ozonation. Furthermore, in high excess of hydrogen peroxide, HOBr is quickly reduced to bromide, wherefore, no effect on the abatement of bromine-reactive micropollutants such as LAM is expected.

# 3.1.3 Effect of pH on micropollutant abatement

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The pH was varied in the range of 6.5-8.5 to determine its influence on micropollutant abatement under typical drinking water treatment conditions (Fig. 4). During conventional ozonation at a high ozone dose (3 mg O<sub>3</sub> L<sup>-1</sup>), the compounds with moderate/high ozone reactivity and/or high •OH reactivity, *i.e.*, DIC, SMX, CBZ, TRI, HCT, PHE, TRA, MET, BEZ, were abated to >99%, independently of the pH. For the

more ozone-resistant compounds, the abatement was generally increasing at higher pH (Fig. 4a). This is
firstly because of the enhanced conversion of O <sub>3</sub> to •OH at higher pH: the reactivity of DOM with ozone
increases due to the deprotonation of many functional groups, which leads to a higher reactivity towards
ozone and concomitantly a higher conversion rate to •OH (Nöthe et al. 2009). Under our conditions, it
was confirmed that the extent of ozone consumption/conversion in the reactor increased with increasing
pH (22%, 31% and 38% at pH 6.5, 7.8 and 8.5, respectively, Table S11). In addition, most of the studied
compounds have at least one $pKa$ value in the pH range 4-11 (see Table S2), and may therefore
dissociate at least partially at circumneutral pH, what increases their reactivity with ozone with
increasing pH (Table S4) (von Sonntag and von Gunten 2012). Overall, the •OH formation and exposure
increased at higher pH (Table S11), promoting the abatement of ozone-resistant compounds.
Furthermore, the deprotonation of target compounds enables a faster direct ozone reaction.
For the AOP in the 4-chamber reactor, the micropollutant abatement was driven almost exclusively by
the oxidation by •OH and was pH independent (Fig. 4b). The •OH exposures, deduced from sucralose
abatement (equation 1 in section 2.4.1), were similar for all pH values (Fig. 4b), while the reactivity of
micropollutants with •OH was also assumed to be independent of pH. Conversely, in the tubular reactor,
ozone was more stable (Table S12). This means that the oxidative abatement of micropollutants can
occur by both O <sub>3</sub> and •OH and the abatement efficiency depends on the pH (Fig. 4c). At pH 6.5, only 5
compounds (DIC, CBZ, TRI, LAM and PRI) were abated to >99% until the outlet. Ozone consumption
was very low: at the outlet, even after degassing, an ozone residual of 2.25 mg L <sup>-1</sup> was still present,
corresponding to 75% of the initial ozone dose. At pH $\geq$ 7.5, 15 micropollutants, <i>i.e.</i> , all except PHE,
ATZ, IOP and SUC, were abated to >99%. Consequently, due to short hydraulic residence times in the
tubular reactor, a relatively high pH is necessary to consume the dosed oxidant completely and to obtain
a maximum abatement. This is also confirmed by the higher •OH exposures at higher pH (Table S12).

# 3.2 Formation of transformation products

# 3.2.1 Effect of ozone dose

- 317 Hydrochlorothiazide yields predominantly chlorothiazide by direct reaction with ozone (Borowska et al.
- 318 2016), while tramadol forms tramadol N-oxide with a high yield (Zimmermann et al. 2012). Their

319	formation and fate were evaluated during conventional ozonation (0.5-3 mg O <sub>3</sub> L <sup>-1</sup> ) in the 4-chamber
320	reactor (Fig. 5). The fate of the investigated TPs is expressed as the ratio of the TP concentration to the
321	initial concentration of the corresponding parent compound, e.g., [CTZ]/[HCTZ]0 for CTZ. A kinetic
322	model calculation of the evolutions of CTZ and TRA-NOX formation for the four applied ozone doses is
323	presented in SI, Fig. S5. At SP1, both TRA and HCTZ were completely abated even at the lowest ozone
324	dose of 0.5 mg O <sub>3</sub> L <sup>-1</sup> . Maximum TP concentrations were detected at SP1 followed by a decrease for
325	longer contact times. For an ozone dose of 0.5 mg L <sup>-1</sup> , 75% of the initial HCTZ concentration was
326	detected as CTZ at SP1. In contrast, only 35% of TRA was detected as its main ozone transformation
327	product TRA-NOX. TP concentrations were also the highest at SP1 for higher ozone doses. However,
328	the TP concentrations at this sampling point decreased with increasing ozone doses. For instance, for an
329	ozone dose of 3 mg O <sub>3</sub> L <sup>-1</sup> , the CTZ concentration was only 20% of the initial HCTZ concentration at
330	SP1. The investigated transformation products are more resistant towards ozone attack than their parent
331	compounds because only the latter contain an ozone-reactive site (Zimmermann et al. 2012, Borowska et
332	al. 2016). The apparent second-order rate constants at pH 7 for the reaction of ozone with HCTZ and
333	TRA are $8 \cdot 10^4$ and $2.2 \cdot 10^3$ M <sup>-1</sup> s <sup>-1</sup> , respectively. For CTZ, the second order rate constant is $1.5$ M <sup>-1</sup> s <sup>-1</sup>
334	(Borowska et al. 2016), and TRA-NOX is assumed to react as fast with ozone as the protonated
335	tramadol amine species, <i>i.e.</i> , 77 M <sup>-1</sup> s <sup>-1</sup> (Zimmermann et al. 2012), since the rest of the molecule remains
336	unchanged. Based on these relatively low second order rate constants for the reactions of the TPs with
337	ozone, it can be concluded that the further abatement of the TPs after their formation is also affected by
338	•OH oxidation. CTZ and TRA-NOX have similar second-order rate constants for their reactions with
339	•OH (5.7·10 <sup>9</sup> and 6.3·10 <sup>9</sup> M <sup>-1</sup> s <sup>-1</sup> , respectively, Table S4). The lower second order rate constants for both
340	the reactions of CTZ with O3 and •OH explain why it is more persistent to further abatement than TRA-
341	NOX. TRA-NOX was completely abated at the outlet of the reactor at an ozone dose of 2 mg L <sup>-1</sup> , while
342	CTZ was still present (7% of the initial HCTZ concentration).
343	Overall, the experimental results are in agreement with expectations of the kinetic model, which shows
344	an instantaneous formation of CTZ and TRA-NOX to reach a maximum relative concentration in <20 s,
345	followed by a slow decrease for longer ozone contact times (Fig. S5).

During the AOP in the 4-chamber reactor, the oxidative transformation of both parent compounds was
also almost immediate and complete for an ozone dose $\geq$ 1 mg $\mathrm{O}_3$ L <sup>-1</sup> (Fig. S6). No ozone residual was
detected at SP1 for any ozone dose, explaining why the abatement of micropollutants did not increase
with increasing contact time. TPs also reached a maximum concentration at SP1 and their concentration
stayed constant thereafter due to the lack of residual oxidant. For an ozone dose of $0.5\ mg\ O_3\ L^{-1}$ , CTZ
and TRA-NOX were measured at the outlet at concentrations corresponding to 48% and 16% of the
initial concentrations of the parent compounds, respectively. However, with higher ozone doses, the TP
concentrations at the outlet decreased significantly to only 1% of HCTZ for CTZ at 3 mg $\mathrm{O_3}\ \mathrm{L^{\text{-1}}}$ ,
whereas TRA-NOX was not detected anymore at the outlet for ozone doses $\geq$ 2 mg L <sup>-1</sup> . Consequently,
the concentration of the investigated TPs was lower for the AOP compared to conventional ozonation at
the same ozone dose. This is due to a shift from a direct ozone reaction to hydroxyl radical
transformation products, which were not identified in this study.
In contrast to the 4-chamber reactor, in the tubular reactor, the abatement of the parent compounds
occurred stepwise (Fig. 6). This is due to a stepwise dissolution of ozone by multiple static mixers. The
abatement of HCTZ and TRA increased with higher O <sub>3</sub> exposure (due to higher residence time and/or
higher ozone dose, SI Table S13) and higher •OH exposure, ranging from 17-78% for ozone doses of
$0.5$ -3 mg $L^{-1}$ at SP1 and reached 91-100% abatement at the outlet. For an ozone dose of $0.5$ mg $L^{-1}$ , the
concentrations of CTZ and TRA-NOX gradually increased almost over the entire reactor to reach 62%
and 23% of the initial concentrations of the parent compounds, respectively (at EFF for CTZ and at SP5
for TRA-NOX). At this low ozone dose, the production of TPs from the oxidation of the parent
compounds is more important than their further oxidation by •OH. For an ozone dose of 1 mg L <sup>-1</sup> , the
TPs concentrations only increased up to SP2. From SP3 to SP5, the abatement of HCTZ and TRA was
already $>80\%$ and the TPs concentrations were at a pseudo steady state with $ca$ . $40\%$ for CTZ and $16\%$
for TRA-NOX. After SP5 (additional 25 sec residence time in the degasser), the parent compounds were
completely abated. Hence, no more TPs were formed and a further oxidation occurred, leading to a
significant decrease of their concentrations. Parent compounds were markedly abated at SP2 for an
ozone dose of 2 mg L <sup>-1</sup> (>92% abatement) and at SP1 for an ozone dose of 3 mg L <sup>-1</sup> (>77% abatement),

- and therefore, the relative concentrations of TPs were generally decreasing from this point to non-detect at the outlet of the reactor.
- 375 *3.2.2 Effect of pH*

376 The fate of the parent compounds and the transformation products during the AOP in the tubular reactor 377 at three pH values (6.5, 7.5 and 8.5) is presented in Fig. 7. CTZ accumulated along the treatment at pH 6.5 and its concentration reached 27% of the initial HCTZ concentration at the outlet, where HCTZ was 378 eliminated by 91%. This indicates that the formation of this TP is still significant with a relatively low 379 380 abatement by •OH, mainly because at pH 6.5, the reaction between ozone and hydrogen peroxide to produce •OH is very slow (Staehelin and Hoigné 1982). At pH 7.5, the relative CTZ concentration 381 increased to~30% of the initial HCTZ concentration at SP2, where HCTZ abatement was 75% and 382 383 reached a plateau up to SP4 (93% HCTZ abatement). For higher contact times, the produced CTZ was very low and the CTZ concentration decreased to 3% of the initial HCTZ concentration. For the 384 385 experiments at pH 8.5, where HCTZ was quickly abated (93% at SP1), the maximum CTZ concentration was observed at SP1 (30% of initial HCTZ) and then decreased quickly to ≤1% of the initial HCTZ at 386 387 SP4. Similarly, TRA-NOX accumulated during the treatment at pH 6.5, but only up to SP5 to reach a relative 388 389 concentration of 3% before decreasing to 2% after the degasser. At the pHs 7.5 and 8.5, TRA-NOX 390 reached a maximum of 7% relative to TRA at SP2 and SP1. Thereafter, the TRA-NOX concentration 391 decreased to <LOQ until the reactor outlet (Fig. 7b). Overall, at higher pH, the reactivity of HCTZ and TRA with ozone increases, leading to a faster 392 oxidation to the known TPs. Therefore, depending on pH, the maximum concentration of the studied 393 TPs was observed at differing residence times: the maximal concentration was observed at SP1 for pH 394 395 8.5, while it was observed at SP5 or EFF for pH 6.5. At higher pH, TPs are also abated more efficiently, 396 because of their higher reactivity with ozone (higher degree of dissociation) and higher •OH exposures 397 (Table S12). This explains why the concentrations of the studied TPs decrease more rapidly at higher 398 рН.

### 3.3 Bromate formation

### 3.3.1 Effect of ozone dose

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The formation of bromate was first investigated at the background bromide concentration of 15 µg L<sup>-1</sup> 401 402 for 4 ozone doses in both reactors for conventional ozonation and the AOPs. The mechanism of bromate 403 formation during ozonation of bromide-containing waters is described in Text S6. Based on previous 404 studies, hydrogen peroxide addition is known to mitigate bromate formation (Pinkernell and von Gunten 2001). For an ozone dose of 0.5 mg L<sup>-1</sup>, the formation of bromate was insignificant (<0.4 µg L<sup>-1</sup>), even 405 for conventional ozonation in the 4-chamber reactor (Fig. 8). For higher ozone doses (>1 mg O<sub>3</sub> L<sup>-1</sup>), 406 bromate concentrations at the outlet increased linearly with increasing ozone doses. Consequently, with 407 respect to drinking water standards, Lake Zürich water can be treated by conventional ozonation with a 408 maximum ozone exposure of ca. 0.06 M s (i.e., 48 mg L<sup>-1</sup> min), corresponding to the exposure at the 409 outlet for an applied ozone dose of 2 mg O<sub>3</sub> L<sup>-1</sup> (Fig. 8 and Fig. S7). However, this implies an ozone 410 residual of 1.2 mg L<sup>-1</sup> (Table S9), which will decompose later in the post-treatment, possibly yielding 411 more bromate. This potential issue is discussed in Text S7. 412 In both AOP systems (at  $H_2O_2$ :  $O_3$  mass ratio, 3:1), bromate formation was similar (i.e., 0.4-0.7 µg L<sup>-1</sup> at 413 1 mg O<sub>3</sub> L<sup>-1</sup> and 2.6-2.9 µg L<sup>-1</sup> at 3 mg O<sub>3</sub> L<sup>-1</sup>) but significantly lower than for conventional ozonation 414 (Fig. 8). In presence of H<sub>2</sub>O<sub>2</sub>, the intermediate HOBr/OBr can be reduced to Br, but more importantly, 415 the ozone residual concentration is minimal, which results in a low formation of BrO, a decisive 416 417 intermediate in the combined ozone-hydroxyl radical pathway for bromate formation (von Gunten and Oliveras 1997, 1998, von Sonntag and von Gunten 2012). 418

### 3.3.2 Effect of bromide concentration

The bromate formation at high ozone doses (3 mg  $O_3$  L<sup>-1</sup>) was also evaluated with differing influent bromide concentrations (15-250  $\mu$ g L<sup>-1</sup>) (Fig. S8). In conventional ozonation with 3 mg  $O_3$  L<sup>-1</sup>, bromate concentration already exceeded the drinking water standard in natural Lake Zürich water with 15  $\mu$ g L<sup>-1</sup> Br<sup>-</sup> (13.7  $\mu$ g Br $O_3$ <sup>-</sup> L<sup>-1</sup>, 53% yield, *i.e.* ratio mol Br $O_3$ <sup>-</sup> L<sup>-1</sup>/mol Br<sup>-</sup> L<sup>-1</sup>) and increased significantly with increasing bromide levels to a bromate concentration of 72  $\mu$ g L<sup>-1</sup> for 197  $\mu$ g L<sup>-1</sup> bromide (23% yield). In the two AOP systems (3 mg  $O_3$  L<sup>-1</sup>, 9 mg  $H_2O_2$  L<sup>-1</sup>), similar bromate concentrations and bromide conversion efficiencies (9  $\pm$  1%) were observed at identical conditions. Again, Br $O_3$ <sup>-</sup> formation was

427 significantly mitigated in presence of H<sub>2</sub>O<sub>2</sub>. Overall, in terms of bromate mitigation for similar AOP 428 conditions, the performance of both reactors was quite similar. Effect of hydrogen peroxide dose 429 3.3.3 430 Fig. 9 presents the bromate yield as a function of the abatement of selected ozone-resistant 431 micropollutants (PRI, LAM, ATZ, SUC) for differing conditions, including conventional ozonation in 432 the 4-chamber reactor or the AOP in both reactors with various H<sub>2</sub>O<sub>2</sub> doses. Fig. 9 shows similar trends for the four compounds. As discussed above, conventional ozonation led to both a poor oxidation of 433 ozone-resistant compounds and a high bromate formation. For example, selected micropollutants were 434 abated by 19-92% at 3 mg O<sub>3</sub> L<sup>-1</sup>, while the bromate yield was 23% (symbols (+) in Figs. 9a-d). 435 In the AOPs, a slight increase of •OH scavenging contribution by H<sub>2</sub>O<sub>2</sub> was expected (Table S15). 436 437 However, it was not significant enough to result in a decreased micropollutant abatement: The micropollutant abatement at the outlet was similar for all H<sub>2</sub>O<sub>2</sub> doses, i.e., 83-91% abatement for 438 439 sucralose and 91-100% abatement for the other compounds, except for O<sub>3</sub>:H<sub>2</sub>O<sub>2</sub>, 3:1 (w/w) in the tubular reactor (61-94% abatement). In this case, the oxidation was not complete: A residual of 1.5 mg O<sub>3</sub> L<sup>-1</sup> 440 441 was measured at the outlet (Table S14). 442 Both AOP systems showed similar bromate yields for a given relative micropollutant abatement: for 443 instance, at an O<sub>3</sub>:H<sub>2</sub>O<sub>2</sub> of 1:3 (w/w), atrazine and sucralose were abated 92% and 83%, respectively, with a bromate yield of 7.7% in the 4-chamber reactor (EFF), while a respective 90% and 81% 444 abatement and a bromate yield of 7.9% were observed in the tubular reactor (SP4) (Figs. 9c-d). 445 446 Compared to conventional ozonation, for a comparable micropollutant abatement, AOPs result in a significant bromate mitigation. For instance, sucralose was abated by 17% at O3:H2O2, 3:1 (w/w) in the 447 tubular reactor with a bromate yield of only 1.6% (SP1) compared to a bromate yield of 23% for a 19% 448 449 abatement in conventional ozonation (Fig. 9d). 450 During the initial phase of the treatment in the tubular reactor (INF to SP2), the slopes of the 451 micropollutant abatement vs. bromate yield curves were similar, independent of the H<sub>2</sub>O<sub>2</sub> dose. For

instance, for O<sub>3</sub>:H<sub>2</sub>O<sub>2</sub>, 1:3 (w/w), a slope of 22.9 (94% primidone abatement for a 4.1% bromate yield)

was observed, while it was 24.4 (39% primidone abatement for a 1.6% bromate yield) for O<sub>3</sub>:H<sub>2</sub>O<sub>2</sub>, 3:1

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(w/w) (Fig. 9a). Therefore, in the studied range (1-9 mg H<sub>2</sub>O<sub>2</sub> L<sup>-1</sup>), at the beginning of the treatment (up to SP2), micropollutant abatement and bromate yield increased proportionally with H<sub>2</sub>O<sub>2</sub> doses. After SP2, the slope decreased for every compound and H<sub>2</sub>O<sub>2</sub> dose: Bromate formation increased faster than micropollutant abatement, compared to the initial phase. Consequently, a O3:H2O2 ratio of 1:3 (w/w) seems to be the best condition for an efficient micropollutant abatement and bromate mitigation for a moderate cost increase (Fig. 9). However, the H<sub>2</sub>O<sub>2</sub> residual is generally high, close to the dosed concentration, since only a small fraction reacts with ozone. Therefore, it has to be guaranteed that H<sub>2</sub>O<sub>2</sub> is properly removed during post-treatment (see 

# 3.4 Post-treatment with granular activated carbon

section 3.4).

The granular activated carbon (GAC) filtration step can eliminate very efficiently ozone (no residual after 2 min contact in 10 cm depth) and  $H_2O_2$ . For instance, in the effluent of the AOP at 3 mg  $O_3$  L<sup>-1</sup> and 9 mg  $H_2O_2$  L<sup>-1</sup>, the concentration of  $H_2O_2$  dropped from 7.9 to 0.3 mg L<sup>-1</sup> after 4 min contact in 20 cm depth (Text S7, Fig. S9). Additionally to the removal of  $H_2O_2$ , the GAC post-treatment enabled to remove organic compounds (parent compound residuals and TPs) (Text S7, Fig. S10). The elimination of organic compounds varied between 37% and 98%, depending on the compound and the contact time.

### 3.5 Evaluation of water quality after treatment

These results are discussed in more details in SI, Text S7.

Water quality was evaluated by 2 bioassays. First, a bacteria luminescence inhibition test (Escher et al. 2008) was implemented for the assessment of general, non-specific toxicity. This assay potentially targets all the chemicals bacteria are sensitive to. However, the response was too close to the quantification limit to draw any conclusions (Text S8, Table S8). The second test, the combined algae assay (photosynthesis and growth rate inhibition) (Schreiber et al. 2007), targets more specifically photosystem II-inhibiting herbicides, such as diuron and atrazine, both spiked in this study. Non-spiked samples showed low or no activity. In the spiked samples, similar activities were found before treatment (766-839 ng DEQ L<sup>-1</sup>), as expected based on similar spiking mixture composition. After treatment (ozonation or AOP), a significant decrease of the activity was observed (15.8-92.6 ng DEQ L<sup>-1</sup>) before it was completely removed after GAC. More details are provided in SI (Text S8, Fig. S11 and Table S16).

# 4. CONCLUSIONS

Lake Zürich water was treated by conventional ozonation and the AOP O <sub>3</sub> /H <sub>2</sub> O <sub>2</sub> for the abatement of
micropollutants in two reactor systems. Conventional ozonation led to moderate abatements of ozone-
resistant compounds, even for high ozone doses of 3 mg O <sub>3</sub> L <sup>-1</sup> . The AOPs in a 4-chamber reactor and in
a tubular reactor showed better results, the two reactors having quite similar performances. For ozone-
resistant compounds, the abatement was significantly enhanced in the AOPs compared to conventional
ozonation, due to a faster transformation of ozone to hydroxyl radicals.
Simultaneously to the abatement of micropollutants, the evolution of two transformation products (TPs,
i.e., chlorothiazide from hydrochlorothiazide and tramadol N-oxide from tramadol) was measured for all
operational conditions and could be adequately simulated by a kinetic model considering the second
order rate constants for all reactions with ozone and •OH. Both TPs were formed initially with
significant yields relative to the abated parent compounds, however, for prolonged treatment a decrease
in their concentrations was observed due to further oxidation. At the highest ozone dose (3 mg L <sup>-1</sup> ), the
selected TPs were not detected after the AOP treatment.
Due to its low bromide concentration of about 15 µg L <sup>-1</sup> , Lake Zürich water can be treated by
conventional ozonation with a dose of up to 2 mg $O_3$ $L^{-1}$ and a hydraulic residence time of 33 min
without exceeding the drinking water standard for bromate (10 $\mu g \ L^{-1}$ ). The AOPs in the two reactor
systems yield significantly less bromate and can be applied for micropollutant abatement for higher
bromide concentrations of up to 200 $\mu$ g/L.
Investigations by algal growth and photosynthesis inhibition bioassays showed an improvement along
the treatment chain (ozone-based process followed by GAC).
Overall, the tested AOPs O <sub>3</sub> /H <sub>2</sub> O <sub>2</sub> in two reactor systems were able to significantly abate
micropollutants without violating the drinking water standards for bromate even for high bromide levels,
however, with a limited disinfection efficiency of the AOP compared to conventional ozonation.

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513	SUPPLEMENTARY INFORMATION
514	Additional information is presented in the Supplementary Information (Texts S1-S8, Tables S1-S16 and
515	Figs. S1-S11).
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- 662 List of figure captions
- Fig. 1. Comparison of the effects of ozone doses (a) 0.5 mg O<sub>3</sub> L<sup>-1</sup>, (b) 1 mg O<sub>3</sub> L<sup>-1</sup>, (c) 2 mg O<sub>3</sub> L<sup>-1</sup> and (d)
- 3 mg O<sub>3</sub> L<sup>-1</sup> on the abatement of micropollutants (sorted by decreasing apparent second-order rate constants
- 665 for their reaction with ozone at pH 7) in the 3 differing systems: (white bars) conventional ozonation in the
- 4-chamber reactor, (grey bars) AOP O<sub>3</sub>/H<sub>2</sub>O<sub>2</sub> in the 4-chamber reactor and (black bars) AOP O<sub>3</sub>/H<sub>2</sub>O<sub>2</sub> in the
- tubular reactor. Experiments for both AOP systems were carried out with an initial O<sub>3</sub>:H<sub>2</sub>O<sub>2</sub> mass ratio of 1:3
- 668 (w/w). pH: 7.8, initial bromide concentration: 15 μg L-1.
- 669 Fig. 2. Abatement efficiency during conventional ozonation of selected micropollutants ((a) primidone, (b)
- lamotrigine, (c) atrazine and (d) sucralose) as a function of the consumed ozone at the sampling points (INF,
- 671 SP1, SP2, SP3, EFF, SI Fig. S2) at pH 7.8 for various ozone doses: (♦) 0.5 mg O<sub>3</sub> L<sup>-1</sup>, (■) 1 mg O<sub>3</sub> L<sup>-1</sup>, (▲)
- 672 2 mg  $O_3 L^{-1}$ , and ( $\bullet$ ) 3 mg  $O_3 L^{-1}$ .
- 673 Fig. 3. Comparison of the effects of bromide concentrations (white): background bromide concentration
- 674 (15 μg L<sup>-1</sup>), (light grey shaded): 50 μg L<sup>-1</sup>, (dark grey shaded): 100 μg L<sup>-1</sup>, (black): 200 μg L<sup>-1</sup>- on the
- abatement of selected micropollutants in the 3 differing systems: (a) conventional ozonation in the 4-
- 676 chamber reactor, (b) AOP O<sub>3</sub>/H<sub>2</sub>O<sub>2</sub> in the 4-chamber reactor, and (c) AOP O<sub>3</sub>/H<sub>2</sub>O<sub>2</sub> in the tubular reactor.
- Ozone dose: 3 mg L<sup>-1</sup>, hydrogen peroxide dose (if applicable): 9 mg L-1 (O<sub>3</sub>:H<sub>2</sub>O<sub>2</sub> ratio of 1:3 (w/w)).
- Fig. 4. Comparison of the effects of pH (white): pH 6.5, (grey): pH 7.8 (for conventional ozonation) or pH
- 679 7.5 (for AOPs), (black): pH 8.5 on the abatement of selected micropollutants during (a) conventional
- ozonation in the 4-chamber reactor, (b) AOP O<sub>3</sub>/H<sub>2</sub>O<sub>2</sub> in the 4-chamber reactor, and (c) AOP O<sub>3</sub>/H<sub>2</sub>O<sub>2</sub> in the
- tubular reactor. Ozone dose: 3 mg L<sup>-1</sup>, hydrogen peroxide dose (if applicable): 9 mg L<sup>-1</sup> (O<sub>3</sub>:H<sub>2</sub>O<sub>2</sub> ratio of 1:3
- (w/w)). Inlet bromide concentrations: ca. 200  $\mu$ g L<sup>-1</sup>.
- Fig. 5. Evolution of parent compounds (left y-axis), (a) hydrochlorothiazide (HCTZ) and (b) tramadol
- 684 (TRA), and their respective ozone transformation products (right y-axis), chlorothiazide (CTZ) and tramadol
- N-oxide (TRA-NOX), during conventional ozonation of lake water for differing ozone doses. Parent
- 686 compounds (bars) and transformation products (symbols): (white and ♦): 0.5 mg O3 L<sup>-1</sup>, (light grey and ■):

- 1 mg O3 L<sup>-1</sup>, (dark grey and ▲): 2 mg O3 L<sup>-1</sup>, and (black and ●): 3 mg O3 L<sup>-1</sup>. INF, SP1, SP2, SP3, EFF:
- sampling points at hydraulic residence times of 0, 8.3, 16.5, 24.8 and 33 min (SI, Fig. S2). pH 7.8.
- Fig. 6. Evolution of parent compounds (left y-axis), (a) hydrochlorothiazide (HCTZ) and (b) tramadol
- 690 (TRA), and their respective ozone transformation products (right y-axis), chlorothiazide (CTZ) and tramadol
- N-oxide (TRA-NOX), along the AOP treatment of lake water in the tubular reactor (O<sub>3</sub>:H<sub>2</sub>O<sub>2</sub>, 1:3 (w/w)) for
- various ozone doses. Parent compounds (bars) and transformation products (symbols): (white and  $\diamondsuit$ ): 0.5
- 693 mg  $O_3$  L<sup>-1</sup>, (light grey and  $\blacksquare$ ): 1 mg  $O_3$  L<sup>-1</sup>, (dark grey and  $\triangle$ ): 2 mg  $O_3$  L<sup>-1</sup>, and (black and  $\bullet$ ): 3 mg  $O_3$  L<sup>-1</sup>.
- 694 INF, SP1, SP2, SP3, SP4, SP5 and EFF: sampling points at residence times of 0, 1.2, 2.4, 3.6, 4.8, 6 and 30 s
- 695 (SI Fig. S2). pH 8.2.
- 696 Fig. 7. Evolution of parent compounds (left y-axis), (a) hydrochlorothiazide (HCTZ) and (b) tramadol
- 697 (TRA), and their respective ozone transformation products (right y-axis), chlorothiazide (CTZ) and tramadol
- N-oxide (TRA-NOX), along the AOP treatment of lake water in the tubular reactor (O<sub>3</sub>:H<sub>2</sub>O<sub>2</sub>, 1:3 (w/w)) for
- various pH values. Parent compounds (bars) and transformation products (symbols): (white and ♦): pH 6.5,
- (grey and ■): pH 7.5, and (black and △): pH 8.5. Ozone dose: 3 mg L<sup>-1</sup>, hydrogen peroxide dose: 9 mg L<sup>-1</sup>.
- 701 INF, SP1, SP2, SP3, SP4, SP5 and EFF: sampling points at respective residence times of 0, 1.2, 2.4, 3.6, 4.8,
- 702 6 and 30 s (Fig. S2).
- Fig. 8. Comparison of bromate concentrations at the outlet of the differing reactors as a function of the ozone
- dose (0.5-3 mg L<sup>-1</sup>): ( $\diamondsuit$ ) conventional ozonation in the 4-chamber reactor, ( $\blacktriangle$ ) AOP in the 4-chamber
- 705 reactor, (•) AOP in the tubular reactor. Initial bromide concentration: 15 μg L<sup>-1</sup>, O<sub>3</sub>:H<sub>2</sub>O<sub>2</sub>, 1:3 (w/w).
- 706 Bromate drinking water standard: 10 μg L<sup>-1</sup>.
- Fig. 9. Comparison of the effect of H<sub>2</sub>O<sub>2</sub> dose on the abatement of selected micropollutants (a) primidone,
- 708 (b) lamotrigine, (c) atrazine and (d) sucralose as a function of the bromate yield (mol BrO<sub>3</sub>- L<sup>-1</sup>/mol Br<sup>-</sup> L<sup>-1</sup>)
- during conventional ozonation in the 4-chamber reactor (+) and the AOPs in the 4-chamber reactor (open
- symbols) and the tubular reactor (closed symbols).  $(\diamondsuit, \diamondsuit)$   $O_3:H_2O_2$ , 3:1 (w/w),  $(\blacksquare, \square)$   $O_3:H_2O_2$ , 1:1 (w/w),
- 711 ( $\triangle$ , $\triangle$ ) O<sub>3</sub>:H<sub>2</sub>O<sub>2</sub>, 1:2 (w/w), and ( $\bigcirc$ ,O) O<sub>3</sub>:H<sub>2</sub>O<sub>2</sub>, 1:3 (w/w). For the 4-chamber reactor, samples were taken
- at INF, SP2 and EFF: sampling points at hydraulic residence times of 0, 16.5 and 33 min, respectively. For

713	the tubular reactor, samples were taken at INF, SP2, SP4 and EFF: sampling points at hydraulic residence
714	times of 0, 2.4, 4.8 and 30 s, respectively. Ozone dose: 3 mg $L^{-1}$ , pH: 8.2, Br-: 200-250 $\mu$ g $L^{-1}$ . The bromate
715	vield obtained for the AOP in the 4-chamber reactor at Ov-HoO2 1.1 (w/w) is considered as an outlier

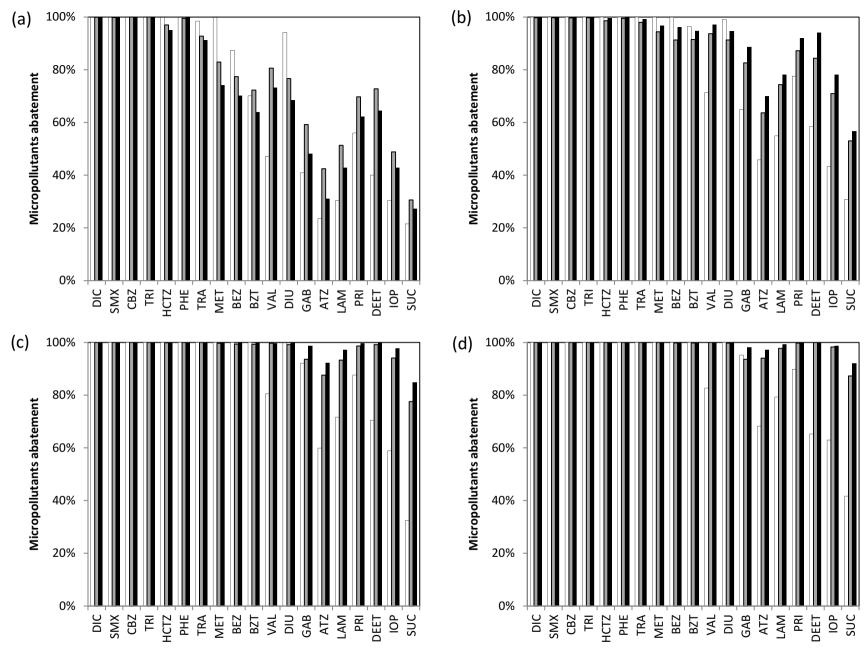


Fig. 1. Comparison of the effects of ozone doses - (a)  $0.5 \text{ mg O}_3 \text{ L}^{-1}$ , (b)  $1 \text{ mg O}_3 \text{ L}^{-1}$ , (c)  $2 \text{ mg O}_3 \text{ L}^{-1}$  and (d)  $3 \text{ mg O}_3 \text{ L}^{-1}$  - on the abatement of micropollutants (sorted by decreasing apparent second-order rate constants for their reaction with ozone at pH 7) in the 3 differing systems: (white bars) conventional ozonation in the 4-chamber reactor, (grey bars) AOP  $O_3/H_2O_2$  in the 4-chamber reactor and (black bars) AOP  $O_3/H_2O_2$  in the tubular reactor. Experiments for both AOP systems were carried out with an initial  $O_3:H_2O_2$  mass ratio of 1:3 (w/w). pH: 7.8, initial bromide concentration: 15  $\mu$ g L<sup>-1</sup>.

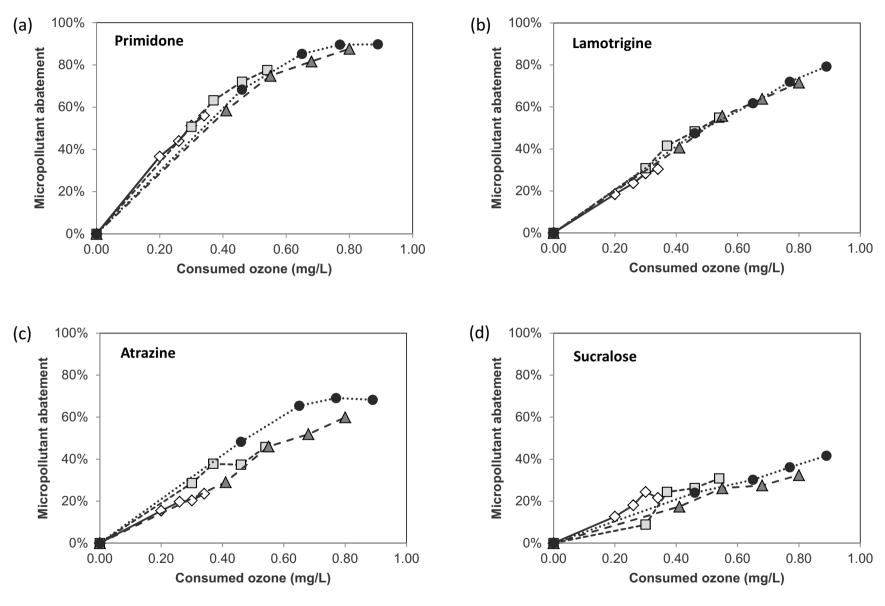


Fig. 2. Abatement efficiency during conventional ozonation of selected micropollutants ((a) primidone, (b) lamotrigine, (c) atrazine and (d) sucralose) as a function of the consumed ozone at the sampling points (INF, SP1, SP2, SP3, EFF, SI Fig. S2) at pH 7.8 for various ozone doses: ( $\diamondsuit$ ) 0.5 mg O<sub>3</sub> L<sup>-1</sup>, ( $\square$ ) 1 mg O<sub>3</sub> L<sup>-1</sup>, ( $\triangle$ ) 2 mg O<sub>3</sub> L<sup>-1</sup>, and ( $\bigcirc$ ) 3 mg O<sub>3</sub> L<sup>-1</sup>.

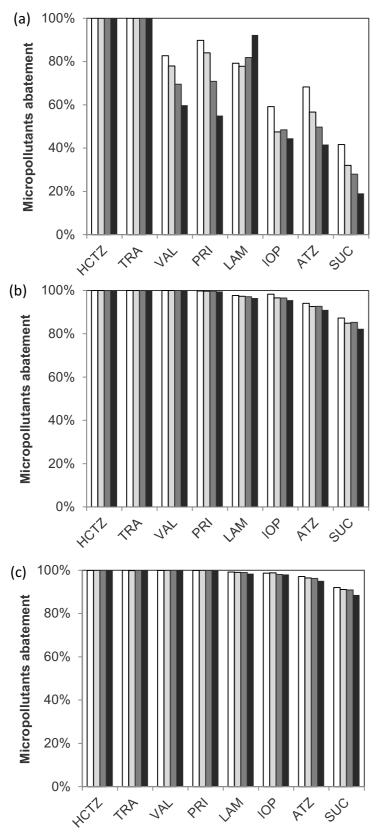


Fig. 3. Comparison of the effects of bromide concentrations – (white): background bromide concentration (15  $\mu$ g L<sup>-1</sup>), (light grey shaded): 50  $\mu$ g L<sup>-1</sup>, (dark grey shaded): 100  $\mu$ g L<sup>-1</sup>, (black): 200  $\mu$ g L<sup>-1</sup>- on the abatement of selected micropollutants in the 3 differing systems: (a) conventional ozonation in the 4-chamber reactor, (b) AOP O<sub>3</sub>/H<sub>2</sub>O<sub>2</sub> in the 4-chamber reactor, and (c) AOP O<sub>3</sub>/H<sub>2</sub>O<sub>2</sub> in the tubular reactor. Ozone dose: 3 mg L<sup>-1</sup>, hydrogen peroxide dose (if applicable): 9 mg L<sup>-1</sup> (O<sub>3</sub>:H<sub>2</sub>O<sub>2</sub> ratio of 1:3 (w/w)).

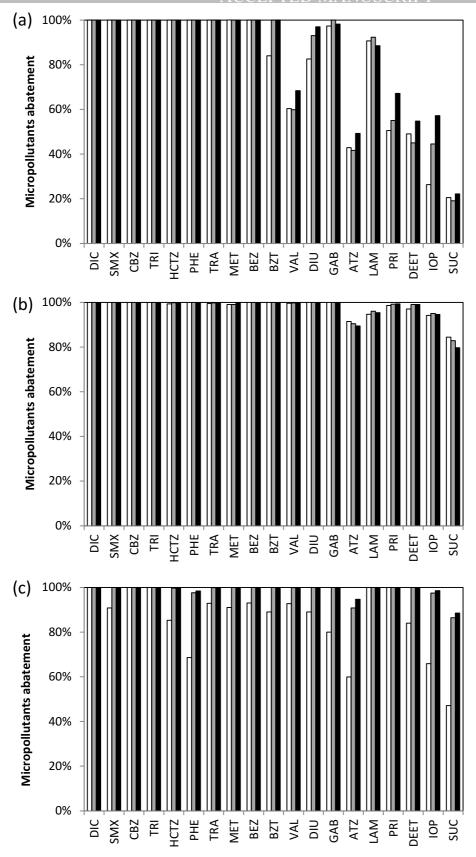


Fig. 4. Comparison of the effects of pH - (white): pH 6.5, (grey): pH 7.8 (for conventional ozonation) or pH 7.5 (for AOPs), (black): pH 8.5 - on the abatement of selected micropollutants during (a) conventional ozonation in the 4-chamber reactor, (b) AOP  $O_3/H_2O_2$  in the 4-chamber reactor, and (c) AOP  $O_3/H_2O_2$  in the tubular reactor. Ozone dose: 3 mg  $L^{-1}$ , hydrogen peroxide dose (if applicable): 9 mg  $L^{-1}$  ( $O_3:H_2O_2$  ratio of 1:3 (w/w)). Inlet bromide concentrations: *ca.* 200  $\mu$ g  $L^{-1}$ .

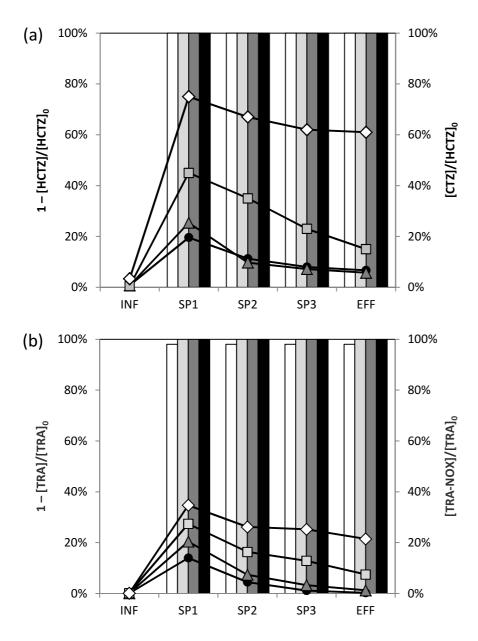


Fig. 5. Evolution of parent compounds (left y-axis), (a) hydrochlorothiazide (HCTZ) and (b) tramadol (TRA), and their respective ozone transformation products (right y-axis), chlorothiazide (CTZ) and tramadol N-oxide (TRA-NOX), during conventional ozonation of lake water for differing ozone doses. Parent compounds (bars) and transformation products (symbols): (white and  $\diamondsuit$ ): 0.5 mg O<sub>3</sub> L<sup>-1</sup>, (light grey and  $\square$ ): 1 mg O<sub>3</sub> L<sup>-1</sup>, (dark grey and  $\triangle$ ): 2 mg O<sub>3</sub> L<sup>-1</sup>, and (black and  $\blacksquare$ ): 3 mg O<sub>3</sub> L<sup>-1</sup>. INF, SP1, SP2, SP3, EFF: sampling points at hydraulic residence times of 0, 8.3, 16.5, 24.8 and 33 min (SI, Fig. S2). pH 7.8.

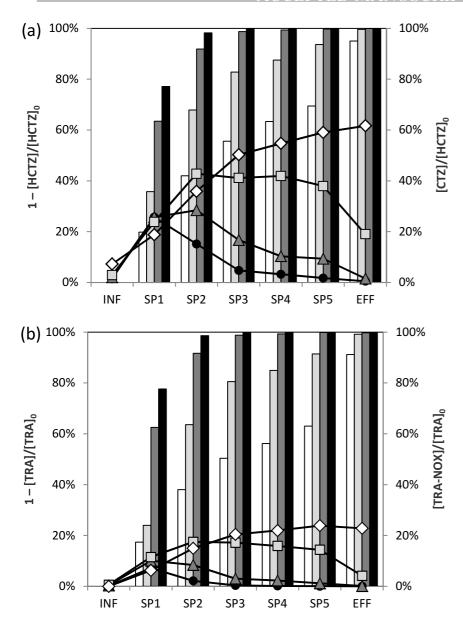


Fig. 6. Evolution of parent compounds (left y-axis), (a) hydrochlorothiazide (HCTZ) and (b) tramadol (TRA), and their respective ozone transformation products (right y-axis), chlorothiazide (CTZ) and tramadol *N*-oxide (TRA-NOX), along the AOP treatment of lake water in the tubular reactor (O<sub>3</sub>:H<sub>2</sub>O<sub>2</sub>, 1:3 (w/w)) for various ozone doses. Parent compounds (bars) and transformation products (symbols): (white and ♦): 0.5 mg O<sub>3</sub> L<sup>-1</sup>, (light grey and □): 1 mg O<sub>3</sub> L<sup>-1</sup>, (dark grey and △): 2 mg O<sub>3</sub> L<sup>-1</sup>, and (black and ●): 3 mg O<sub>3</sub> L<sup>-1</sup>. INF, SP1, SP2, SP3, SP4, SP5 and EFF: sampling points at residence times of 0, 1.2, 2.4, 3.6, 4.8, 6 and 30 s (SI Fig. S2). pH 8.2.

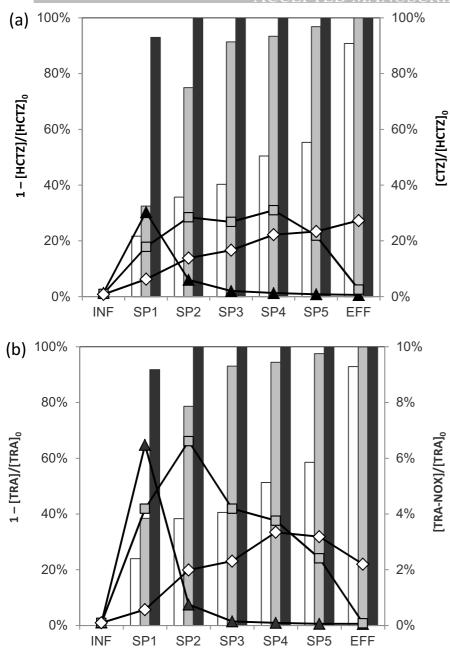


Fig. 7. Evolution of parent compounds (left y-axis), (a) hydrochlorothiazide (HCTZ) and (b) tramadol (TRA), and their respective ozone transformation products (right y-axis), chlorothiazide (CTZ) and tramadol N-oxide (TRA-NOX), along the AOP treatment of lake water in the tubular reactor  $(O_3:H_2O_2, 1:3 \text{ (w/w)})$  for various pH values. Parent compounds (bars) and transformation products (symbols): (white and  $\diamondsuit$ ): pH 6.5, (grey and  $\square$ ): pH 7.5, and (black and  $\blacktriangle$ ): pH 8.5. Ozone dose: 3 mg L<sup>-1</sup>, hydrogen peroxide dose: 9 mg L<sup>-1</sup>. INF, SP1, SP2, SP3, SP4, SP5 and EFF: sampling points at respective residence times of 0, 1.2, 2.4, 3.6, 4.8, 6 and 30 s (Fig. S2).

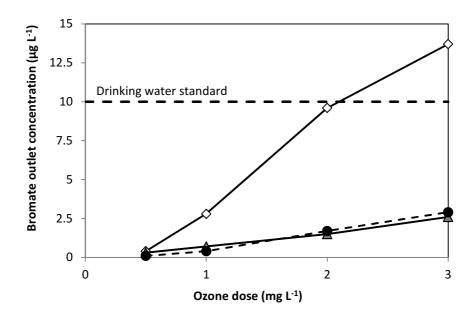


Fig. 8. Comparison of bromate concentrations at the outlet of the differing reactors as a function of the ozone dose (0.5-3 mg L<sup>-1</sup>): ( $\diamondsuit$ ) conventional ozonation in the 4-chamber reactor, ( $\blacktriangle$ ) AOP in the 4-chamber reactor, ( $\spadesuit$ ) AOP in the tubular reactor. Initial bromide concentration: 15  $\mu$ g L<sup>-1</sup>, O<sub>3</sub>:H<sub>2</sub>O<sub>2</sub>, 1:3 (w/w). Bromate drinking water standard: 10  $\mu$ g L<sup>-1</sup>.

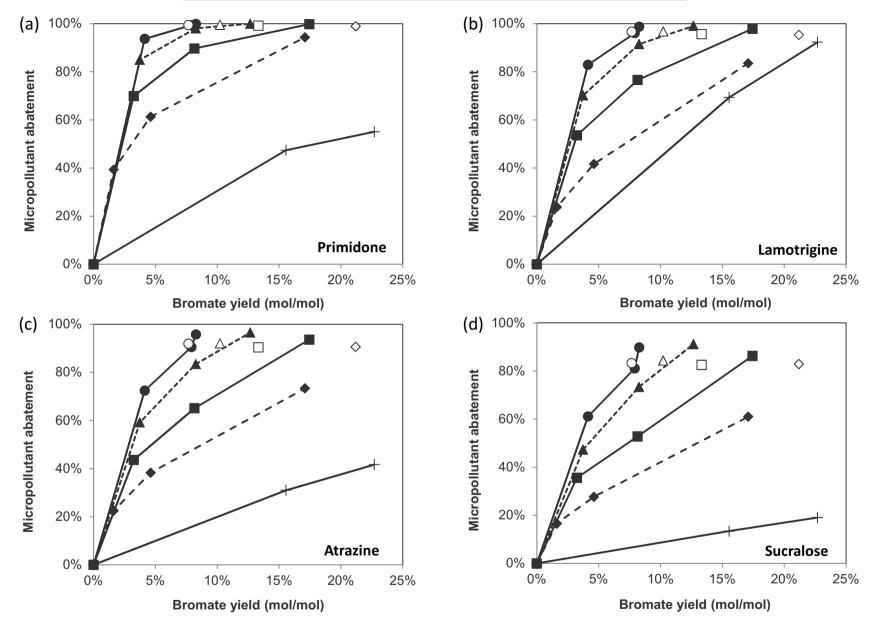


Fig. 9. Comparison of the effect of  $H_2O_2$  dose on the abatement of selected micropollutants - (a) primidone, (b) lamotrigine, (c) atrazine and (d) sucralose - as a function of the bromate yield (mol BrO<sub>3</sub>- L<sup>-1</sup>/mol Br- L<sup>-1</sup>) during conventional ozonation in the 4-chamber reactor (+) and the AOPs in the 4-chamber reactor (open symbols) and the tubular reactor (closed symbols). ( $\spadesuit$ , $\diamondsuit$ )  $O_3$ : $H_2O_2$ , 3:1 (w/w), ( $\blacksquare$ , $\square$ )  $O_3$ : $H_2O_2$ , 1:1 (w/w), ( $\blacktriangle$ , $\triangle$ )  $O_3$ : $H_2O_2$ , 1:2 (w/w), and ( $\blacksquare$ , $\bigcirc$ )  $O_3$ : $H_2O_2$ , 1:3 (w/w). For the 4-chamber reactor, samples were taken at INF, SP2 and EFF: sampling points at hydraulic residence times of 0, 16.5 and 33 min, respectively. For the tubular reactor, samples were taken at INF, SP2, SP4 and EFF: sampling points at hydraulic residence times of 0, 2.4, 4.8 and 30 s, respectively. Ozone dose: 3 mg L<sup>-1</sup>, pH: 8.2, Br-: 200-250 µg L<sup>-1</sup>. The bromate yield obtained for the AOP in the 4-chamber reactor at  $O_3$ : $H_2O_2$ , 1:1 (w/w) is considered as an outlier.

# Highlights:

- The abatement of 19 micropollutants was studied by ozonation and the AOP O<sub>3</sub>/H<sub>2</sub>O<sub>2</sub>
- The effects of O<sub>3</sub>, H<sub>2</sub>O<sub>2</sub> and bromide doses and pH were investigated in two reactors
- Micropollutant abatement was generally higher in the AOP compared to ozone
- The yield of two monitored transformation products ranged from 0-61%
- Bromate formation was significantly mitigated in presence of H<sub>2</sub>O<sub>2</sub>