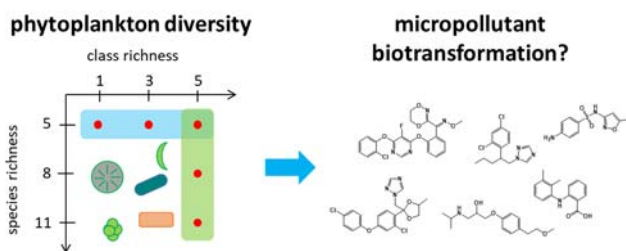


# Biodiversity drives micropollutant biotransformation in freshwater phytoplankton assemblages

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## Abstract

Biotransformation of chemical pollutants is an ecological process requiring multifunctionality (multiple metabolic pathways) and, potentially, high biodiversity. Phytoplankton communities are highly diverse functionally and taxonomically, and co-occur with complex mixtures of organic pollutants in aquatic environments. Here, we investigated how phytoplankton species richness (SR) and class richness (CR) determine the biotransformation of a mixture of 37 structurally diverse pollutants using laboratory experiments and analysis of high-resolution mass-spectrometry data. Laboratory phytoplankton communities were assembled from pure cultures by creating a gradient from one to five taxonomic groups, and 5 to 11 total species, in defined medium. The biotransformation of pollutants over 6 days and the total number of transformed chemicals increased with CR for 13 considerably transformed compounds. The total number of transformation products (TPs, up to 42) was positively affected by both CR and SR: CR had a positive effect on stable TPs found, SR led to more transient TPs. Our data indicate that both taxonomic and functional diversity are important for biotransformation of anthropogenic chemicals in phytoplankton and suggest that plankton biodiversity could play a role in the remediation of pollutant loads in aquatic ecosystems.

29

## 30 Introduction

31 The environmental fate of anthropogenic chemical pollutants is of preeminent research interest  
32 as they can affect flora and fauna, and ultimately also human health. Surface waters receive  
33 inputs of organic pollutants from agricultural sources, e.g., pesticides, as well as from urban  
34 areas, e.g., pharmaceuticals and personal care products, which are not degraded in  
35 wastewater treatment plants. The fate of these micropollutants in aquatic ecosystems is  
36 governed by sorption to abiotic material, abiotic transformation, bioconcentration in organisms,  
37 and biotransformation. Biotransformation processes can lead to the complete degradation of a  
38 compound to CO<sub>2</sub> (mineralization) or to the formation of transformation products (TPs). The  
39 latter can have properties (persistence, toxicity, sorption potential) distinct from their parent  
40 compounds, and the study of biotransformation is essential for understanding the  
41 environmental fate of micropollutants <sup>1,2</sup>.

42 Phytoplankton are primary producers at the basis of aquatic food-webs and have recently been  
43 studied as a potential driver of biotransformation processes. Thomas and Hand presented  
44 evidence that degradation of different micropollutants is influenced by the presence of  
45 phytoplankton <sup>3</sup> and showed, for example, that a number of cyanobacteria and green  
46 microalgae are competent in the degradation of the fungicide fludioxonil <sup>4</sup>. Further, the  
47 biotransformation of selected estrogens, industrial chemicals, and herbicides by phytoplankton  
48 has been investigated in different studies <sup>5-8</sup>. We recently elucidated the transformation of 24  
49 micropollutants by two cyanobacterial species and the green alga *Chlamydomonas reinhardtii*.  
50 For 10 micropollutants, we could observe 14 transformation products formed by oxidation,  
51 reduction, and conjugation reactions <sup>9</sup>. Phytoplankton is however a highly diverse, polyphyletic  
52 group of organisms, spanning the domains of bacteria and eukaryotes, and within the latter,  
53 distributed across different phyla <sup>10</sup>. Major groups are the Cyanobacteria (prokaryotic),  
54 Chlorophyta, Chrysophyta, Cryptophyta, and the Bacillariophyta (or diatoms; all eukaryotic).  
55 Whereas heterotrophic nutrition is observed in isolated cases for all listed groups, mixotrophy  
56 is common only in chrysophytes and cryptophytes <sup>11</sup>. The community composition of  
57 phytoplankton is very dynamic and continuously driven by fluctuations in abiotic and biotic  
58 variables <sup>12,13</sup>.

59 Given the breadth of organisms that make up the phytoplankton, it is of immediate interest how  
60 biotransformation processes of micropollutants in aquatic ecosystems might depend on  
61 phytoplankton community diversity and composition. Higher biodiversity is generally agreed to  
62 improve efficiency and stability in performed community functions and can contribute to  
63 enhanced provision of services <sup>14</sup>. The relationship between diversity and ecosystem  
64 functioning is however not trivial. Recent analyses suggest a saturating relationship, as at high  
65 diversity new species introduce increasingly redundant functions (but can contribute to  
66 stability) <sup>14</sup>. When multiple functions or services are considered, the influence of biodiversity is  
67 larger, i.e., higher biodiversity is required to provide multiple functions or services  
68 simultaneously (multifunctionality) <sup>14,15</sup>. In phytoplankton communities, species richness (SR)  
69 and trait diversity have been shown to improve the productivity and resource use efficiency in  
70 observational studies <sup>16,17</sup>. Regarding micropollutant degradation, a positive effect of bacterial  
71 diversity was observed in wastewater treatment plants on degradation rates of individual  
72 micropollutants, with the highest effect seen for multifunctionality (i.e., the composite  
73 biotransformation of multiple micropollutants) <sup>18</sup>.

74 Ecosystem effects are mediated by functions performed by assemblages of organisms and by  
75 the diversity of species and functional groups within; in many cases, the richness of broad  
76 taxonomic groups, like classes, which represents the diversity of phylogenetically conserved  
77 functional traits (e.g. metabolic pathways, pigments), is a more powerful measure than SR for  
78 diversity when attempting to predict ecosystem processes <sup>17,19</sup>. Therefore, association of

79 species into classes (e.g., green algae, cyanobacteria) allows accounting for important  
80 ecological, physiological and genetic differences among phytoplankton species<sup>12,20,21</sup>. Here,  
81 we assume that phytoplankton classes as defined above differ in the physiological and genetic  
82 basis that reflect functional diversity for co-metabolic or metabolic biotransformation of  
83 micropollutants. Therefore, we hypothesize that both class richness (CR) and independently  
84 SR can influence biotransformation processes<sup>22</sup>.

85 This paper aims to test these hypotheses. To this end, we conducted experiments to  
86 determine the biotransformation potential of assembled communities of different CR (1-5  
87 groups) and SR (5-11 species) on a mixture of 37 environmentally relevant micropollutants  
88 with a wide range of chemical structural features. Transformation of compounds as well as  
89 formation of TPs was assessed using liquid chromatography coupled to high-resolution mass  
90 spectrometry.

91

## 92 **Materials and Methods**

### 93 **Chemical mixture**

94 A mixture of 37 compounds (16 pharmaceuticals, 10 fungicides, 6 insecticides, and 4  
95 additional chemicals) with a wide range of chemical structural features (therefore, potentially  
96 subject to different transformation pathways), molecular weight (120 to 792 Da), and  
97 hydrophobicity ( $\log K_{ow}$  -2.5 to 5.8) was prepared from stock solutions of analytical grade  
98 (95%+) reference standards (Table S3). Chemicals were obtained from Sigma-Aldrich, Fluka  
99 (now Sigma-Aldrich), Dr. Ehrenstorfer (now LGC Standards), TRC Canada, Lipomed AG, or  
100 Riedel-de Haën (see Table S3). In preliminary tests (see SI S1.1 and Figure S2), the mixture  
101 did not inhibit growth at a concentration of 2.5  $\mu\text{g}/\text{mL}$  for one species per taxonomic class.

### 102 **Growth conditions**

103 Twenty-two cultures from five algal taxonomic classes were obtained from different sources  
104 (see Table S2) and were maintained in ca. 50 mL volume in Woods Hole Combo medium  
105 (WC), WC with added silicate (WC+Si) or WC with added heat-killed bacteria (WC+Bac;  
106 details see Supporting Information (SI)) in 100-mL Erlenmeyer flasks under a 8 h / 16 h  
107 day/night cycle in a temperature-controlled room at 20°C. Sub-culturing occurred under sterile  
108 conditions (laminar flow cabinet) every 1 to 4 weeks depending on growth.

### 109 **Experiment**

110 Twenty-two algal species, belonging to one of 5 taxonomic classes (Chlorophyceans CHL,  
111 Cyanobacteria CYA, Chrysophytes CHR, Cryptophytes CRY, and diatoms DIA) were  
112 precultured as described above. These species were arranged into 27 communities with  
113 different CR and SR: one experimental block with constant SR (SR 5) but varying CR (CR 1, 3  
114 or 5) and one experimental block with constant CR (all classes present, CR 5) and varying SR  
115 (SR 5, 8 or 11; see Table S1 for experimental design). Five communities per SR/CR  
116 combinations were drawn. The SR 5 / CR 5 combination was shared between the  
117 experimental blocks. Note that the 5 communities for the special case SR 5 / CR 1 consisted in  
118 all the available species for the respective taxonomic group. While a number of cultures were  
119 examined, 5 species that grew in the selected medium could not be found for all classes.  
120 Therefore, only 3 species for CHR and 4 species for CRY could be used. In addition, a  
121 selection of SR 3 was performed for the classes CHL and CYA. The random selections were  
122 adjusted such that all taxonomic groups were evenly represented in SR 5 / CR 3 experiments

123 and such that overall species representation was approximately even. All selections are listed  
124 in Table S4.

125 One week before the experiment, an aliquot of each species was diluted with fresh medium  
126 and incubated in an incubation shaker (Multitron II, Infors HT) at 20°C, 90 rpm, and  
127 approximately 100 µEinstein light irradiation from fluorescent tubes. The fluorescent tubes  
128 were shielded by UV protection tubes (METOLIGHT ASR-UV-400-60-T8, Asmetec), and UV  
129 protection foil (METOLIGHT SFC-10, Asmetec) was used to cover the shaker window, to  
130 reduce possible photodegradation of chemicals by UV light during the subsequent experiment.

131 Before the experiment, the biovolume of each strain was determined from flow cytometry  
132 measurements of single cultures (see SI) using a formula for biovolume estimation from Total  
133 Forward Scattering per particle<sup>23,24</sup>. For each selection, volumes of different species were  
134 calculated such that the total biovolume of all species combined was constant ( $3.3 \times 10^5$  µg/L).  
135 Species evenness was not necessarily constant, since not all species were available in the  
136 same density; in isolated cases, the total biovolume could not be attained from the present  
137 culture densities. Biovolumes for each culture are listed in Table S5. For each selection, the  
138 specified volumes of each species were combined into a sterilized 20-mL glass vial and  
139 adjusted with WC+0.5Si medium to a total volume of 6 mL. Additionally, the 5 “CR 1/SR 5”  
140 selections were prepared in duplicate for use as chemical-negative controls (see below). In  
141 addition, two bacterial control selections were prepared: a constant volume of all CHL and  
142 CYA species except *Synechococcus* (9 total, BAC 1) and all CHR, CRY, and DIA species (12  
143 total, BAC 2) was pooled and filtered through a 1 µm track-etched polycarbonate filter  
144 (Whatman Nuclepore, cat. no. 111110, Sigma-Aldrich). The filtrate, which contained only  
145 particles <1 µm, represented the bacterial contaminations of all species. Because of their small  
146 size, *Synechococcus* was excluded from this control mixture. The filtrate was collected and  
147 adjusted with WC+0.5Si to 6 mL. Two medium controls of WC+0.5Si were also prepared. This  
148 resulted in 36 total samples (5x5 + 2 selections, 5 chemical-negative controls, 2 bacterial  
149 controls, 2 medium controls.) The vials were covered loosely with a plastic cover and  
150 incubated as above.

151 After 1 day of acclimation, 100 µL of spike solution (150 µg/L per compound in 7.5 % EtOH /  
152 H<sub>2</sub>O) was added to each vial except the chemical-negative controls to a final concentration of  
153 2.5 µg/L for each compound. To the chemical-negative controls, 100 µL 7.5% EtOH in H<sub>2</sub>O  
154 was added. Immediately, samples were withdrawn for chemical analysis and growth  
155 determination: 200 µL were sampled into a 96-well plate and the optical density at 750 nm  
156 (OD<sub>750</sub>) was determined (Cytation 5, Biotek). 550 µL were diluted 1:1 with MeOH in 2 mL  
157 HPLC vials, mixed well, and incubated in an ultrasonic bath for 10 minutes at 37°C.  
158 Subsequently, 350 µL were transferred into flat-bottom glass inserts (SUPELCO, cat. no.  
159 29441-U, Sigma-Aldrich) in Eppendorf tubes and centrifuged for 4 min at 9000 rpm. The  
160 supernatant was recovered into a 2 mL HPLC vial and stored at -20°C until analysis. After the  
161 initial (t<sub>0</sub>) sampling, the experimental vials were incubated as above. After 1, 2, 4, and 6 days,  
162 samples for chemical analysis and growth determination were taken as described above. The  
163 entire experiment was repeated after two weeks for replication, and both experiments were  
164 analyzed in common. Additionally, a sample was taken at 4 days (first replicate experiment)  
165 and 6 days (second replicate experiment) for bacterial counting by flow cytometry. 500 µL were  
166 sampled into a 2 mL HPLC vial, and fixed with 0.01% paraformaldehyde and 0.1%  
167 glutaraldehyde. These samples were stored at 4°C until measurement.

## 168 **Chemical analysis**

169 Compound concentrations were determined using online solid phase extraction coupled to LC-  
170 HRMS based on a previously published method<sup>9</sup>. Briefly: 150 µL of supernatant sample was

171 diluted to 20 mL with nanopure water and fortified with internal standard (IS) solution (absolute  
172 quantity 187.5 pg per IS compound per sample). The 20 mL sample was enriched on a custom  
173 multilayer online SPE cartridge (see SI) and eluted with MeOH / 0.1% formic acid (FA) onto the  
174 analytical column (Atlantis T3, 3  $\mu$ m, 150 mm) after predilution with H<sub>2</sub>O / 0.1% FA.  
175 Chromatography was performed with a 13.3-95% MeOH / 0.1% FA in H<sub>2</sub>O / 0.1% FA gradient  
176 over 32 min. A quadrupole-Orbitrap mass spectrometer (Q-Exactive, Thermo Scientific) with a  
177 heated-electrospray source was used for detection. Measurements were performed in MS1  
178 and data-independent MS2 in polarity switching mode. Analytes were quantified using the  
179 internal standard method with TraceFinder EFS (version 3.2.368.22, Thermo Scientific).  
180 Details are listed in the SI. The raw calculated amounts were exported in csv format.

### 181 Transformation product screening

182 For 13 compounds with quantifiable biotransformation a list of potential TPs was generated.  
183 Using the open-source workflow RMassScreening ([https://github.org/meowcat/  
184 RMassScreening](https://github.org/meowcat/RMassScreening)), the sample time series were screened for potential TPs occurring in culture  
185 samples, not in bacterial controls, chemical-negative controls, or the t<sub>0</sub> samples. Details are  
186 listed in the SI.

### 187 Data evaluation and transformation assessment

188 All data processing and statistical evaluation was performed in R. Three compounds were  
189 persistent in all samples (thiamethoxam, hydrochlorothiazide, sucralose), and all  
190 concentrations were divided by the mean of these compounds to correct for evaporation in the  
191 samples, sampling inaccuracies, etc. This resulted in a time series for 37 compounds in 72  
192 experiments. Values for two missing samples (out of 288 total) were imputed from the  
193 preceding time point. Exemplar time series plots are shown in Fig. S3.

194 For each compound, two measures of the transformation rate were fitted to each time series  
195 (transformation integral %deg and transformation rate k). The transformation integral %deg  
196 was determined as the area under the curve of the relative amount of compound removed  
197 since the start of the experiment:

$$198 \quad \% \text{ deg} = \frac{1}{6d C_0} \left( \int_{t=0}^{6d} C_0 - C(t) \right)$$

199 as described in SI S1.3 and illustrated in Fig. S1. This resulted in a value roughly in the [0,1]  
200 range where 0 means no transformation and 1 means total transformation. The transformation  
201 rate k (in d<sup>-1</sup>) was determined by nonlinear fitting of the equation  $C = C_0 e^{-kt}$ , where C<sub>0</sub> was set  
202 as the mean of all t<sub>0</sub> concentrations for the compound. k is a value roughly in the [0,infinity]  
203 range where 0 means no transformation (and 1 corresponds to one natural logarithm unit  
204 attenuation per day). Not all compounds showed transformation trends that qualitatively fit first-  
205 order kinetics, likely because of community dynamics effects; notwithstanding, the obtained  
206 values for k qualitatively appear to describe the extent and speed of transformation well.

207 In Fig. S4, %deg and k corresponded well for all compounds, and the relationship was linear  
208 except for rare samples for which transformation integrals were near 1, since they cannot  
209 capture differences in extremely fast transformation processes. (e.g., for kresoxim-methyl). For  
210 further analysis, compounds were classified as “transforming” when they showed a maximum  
211 %deg of 0.2 and above, and significant number of samples with transformation stronger than  
212 the bacterial control (see SI). The bacterial control was preferred over medium control since it  
213 reflects sample conditions closer; in addition, medium controls without biomass appeared  
214 susceptible to abiotic transformation, possibly through indirect photolysis.

215 OD<sub>750</sub> minus background was used as a proxy to correct for biomass effects. All %deg and k  
216 values were divided by the biomass integral for each sample, computed from OD<sub>750</sub> in analogy  
217 to %deg (see SI). For each compound, the corrected rates were centered to zero mean and  
218 scaled to unit standard deviation to examine diversity effects on each compound equally,  
219 independent of the total average transformation rate of the compound.

## 220 **Statistical analysis**

221 The number of transformed compounds (#TC) was computed for each sample. For #TC  
222 determination, biomass-corrected %deg or k were scaled to a maximum of 1, where 0 was the  
223 maximal transformation rate observed in a control sample and 1 the maximal overall  
224 transformation rate. For each sample, the number of substances exceeding a cutoff relative to  
225 the maximal transformation rate were counted.

226 The micropollutant multifunctionality (MPMF) was computed as proposed by Johnson et al.<sup>18</sup>.  
227 For each compound, transformation rates were centered and scaled to a mean of zero and a  
228 standard deviation of one. For each sample, the normalized transformation rates for all  
229 compounds were averaged.

230 The influence of CR and SR on individual compound transformation, #TC, MPMF, or  
231 biotransformation products observed was determined with a one-sided Pearson correlation test  
232 of CR or SR, respectively, to the examined value.

233 For visualization of compound correlations, the Pearson correlation matrix of k or %deg values  
234 was ordered by hierarchical clustering using Euclidean distances and complete cluster linkage.

## 235 **Results and Discussion**

### 236 **Overall extent of transformation**

237 Of the 37 tested compounds, 13 showed measureable transformation in polyculture samples:  
238 atenolol, azoxystrobin, benzotriazole, carbendazim, climbazole, cyprodinil, kresoxim-methyl,  
239 mefenamic acid, metoprolol, tebuconazole, venlafaxine, fipronil and fludioxonil (see Table S8).  
240 Transformation integrals for these compounds ranged from partial (%deg 0.2, or k=0.06 d<sup>-1</sup> for  
241 venlafaxine) to complete (%deg 1.09, or k=1.37 d<sup>-1</sup> for atenolol). From the remaining  
242 compounds, sulfamethoxazole also showed fast transformation in a number of samples, but  
243 was degraded in one bacterial control (no phytoplankton, BAC 2) for both experimental  
244 replicates and therefore discarded from further evaluation.

245 Thirteen compounds were stable, i.e., were not transformed in any sample, and one  
246 (methoxyfenozide) degraded only in medium controls (no phytoplankton or bacteria), possibly  
247 by indirect photolysis. For the remaining 9 compounds, quantification was inaccurate because  
248 of interferences or too low concentrations; higher concentrations were not used to avoid  
249 toxicity. These compounds were not further evaluated, and only a tentative classification of the  
250 transformation behaviour was given. Details for all 37 compounds are shown in Table S8.

### 251 **Influence of class and species richness on single compound transformation**

252 In Fig. 1, the biomass corrected and scaled biotransformation integrals (%deg, see Methods)  
253 are shown separated by CR and SR for each of the 13 compounds with quantifiable  
254 biotransformation. On top, the Pearson correlation coefficients are shown for each compound  
255 for %deg against CR in all SR≤5 experiments (influence of CR, n=34 for each compound), and  
256 against SR in all CR=5 experiments (influence of SR, n=30 for each compound). The overall  
257 effect is given by the distribution of CR and SR effects on each compound (n=13). Fig. S5  
258 shows the identical metrics calculated on the transformation rate k.

259 As a general trend, biodiversity had a positive influence on biotransformation (Fig. 1). The  
260 influence of CR is significant for both %deg and k (%deg:  $p < 0.001$ ; k:  $p = 0.015$ ,  $n=13$ ),  
261 whereas the SR effect is not significant for either measure (%deg:  $p = 0.25$ , k:  $p = 0.35$ ,  $n=13$ ).  
262 It is apparent that different compounds show different trends in their transformation patterns.  
263 For example, the transformation of benzotriazole and climbazole depends strongly on the CR  
264 but not on SR; whereas for azoxystrobin, imidacloprid, or kresoxim-methyl, transformation is  
265 more strongly related to SR and hardly affected by CR. For all compounds except atenolol,  
266 either CR, SR, or both effects were positive. If only statistically significant slopes ( $p < 0.05$ ,  
267 one-sided Pearson correlation test) for each compound are considered, then 4 positive CR  
268 effects are observed for %deg (3 positive effects for k) and no significant SR effect is found.

269 The same analysis was conducted without biomass correction. For this case, the CR effect is  
270 significant only for %deg ( $p=0.04$ ) but not for k ( $p=0.27$ , both  $n=13$ ). This is because of a  
271 specific CR=1 experiment (CHR class), which exhibited fast growth and concomitantly fast  
272 transformation of multiple compounds (carbendazim, azoxystrobin, cyprodinil, kresoxim-  
273 methyl), reducing the net CR effect as a result. As expected, a model using robust linear  
274 regression excludes this effect and gives a stable relationship ( $p=0.009$  for %deg, 0.03 for k,  
275  $n=13$ .)

### 276 **Influence of class and species richness on overall compound transformation**

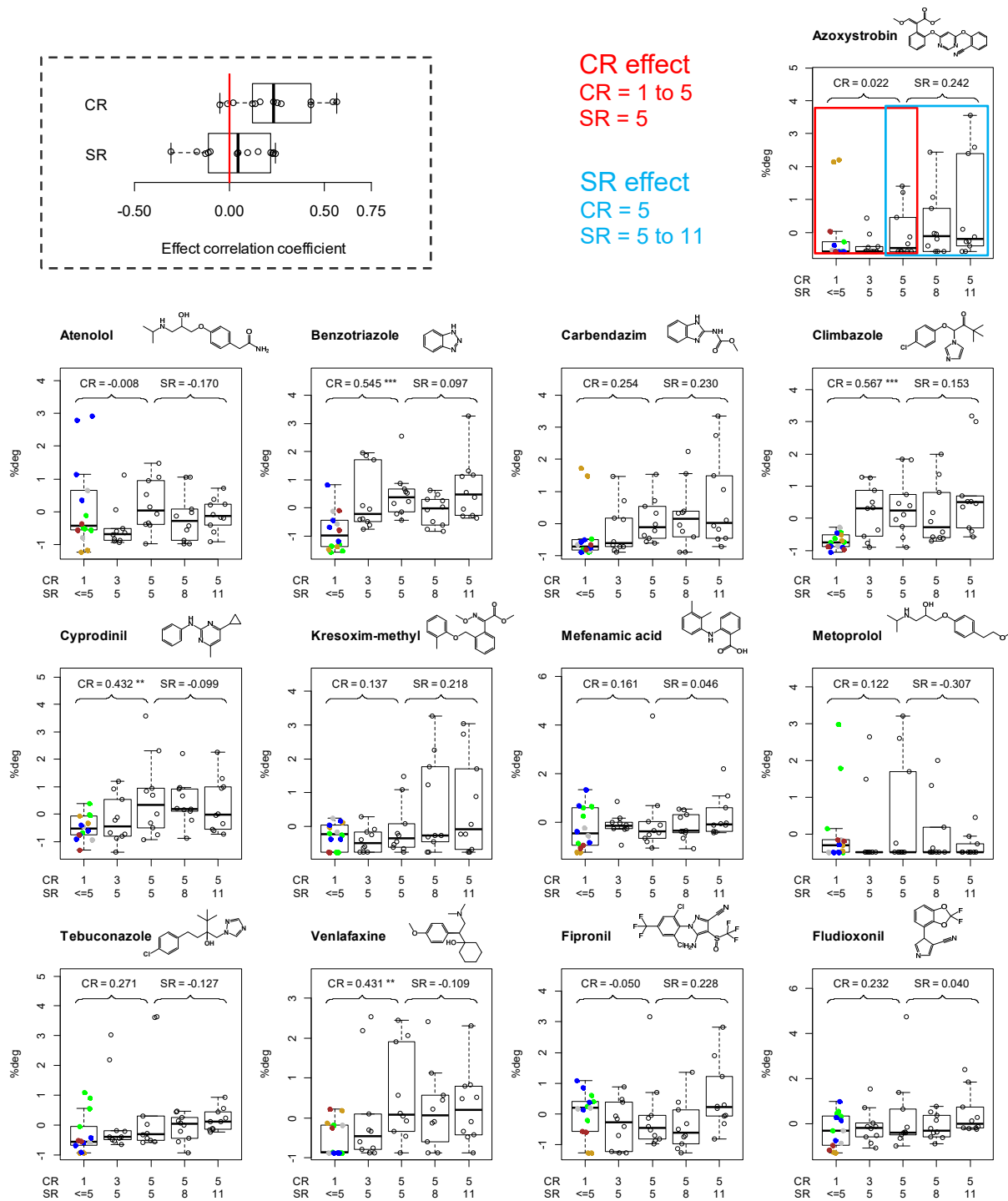
277 To complement these results, the biotransformation potential of communities was also  
278 assessed as the number of transformed compounds (#TC). The cutoff value for compound  
279 transformation was determined to maximize the range of #TC values over the cultures. We  
280 calculated micropollutant multifunctionality (MPMF) using centered, scaled rates of change for  
281 all compounds that were averaged per experiment, such that the resulting average represents  
282 a normalized relative biotransformation potential for each community, as suggested by  
283 Johnson et al.<sup>18</sup>. For both #TC and MPMF, significantly positive effects of CR and non-  
284 significant effects of SR were found when analyzed with either transformation integrals (Fig. 2)  
285 or rates (Fig. S6).

286 This suggests that the overall transformation potential of a phytoplankton community is mainly  
287 dependent on the number of taxonomic classes that can potentially be active; whereas once all  
288 taxonomic groups are present, additional species have a small effect. This would correspond  
289 to what is expected when the metabolic / genetic basis for biotransformation are similar within  
290 taxonomic groups. In this case, the diversity of enzymes potentially active in transformation is,  
291 as a first approximation, determined by the identity or total number of taxonomic classes  
292 present.

293 Further analyses were conducted to verify that the observed effects of CR, both for single  
294 compounds and overall transformation, are indeed caused by overall diversity rather than a  
295 single taxonomic class (sampling effect; SI S2.5, Tables S9-11). In summary, it was found that  
296 for some compounds individual taxonomic classes were significantly important, but that no  
297 single group dominated the total community effect. Notably, diatoms presence showed only  
298 negative effects, possibly due to slow growth of these strains in the communities.

299 Finally, to verify that bacterial growth did not influence the results, bacterial counts were  
300 assessed after 6 days (first experiment) or 4 days (second experiment) using staining and flow  
301 cytometry. All corresponding gated samples are shown in Figure S10. The results showed that  
302 bacterial growth was minor; in fact, most counts in the gate corresponding to bacteria can be  
303 attributed to cyanobacteria that are not clearly separated from bacteria but have their center  
304 outside the counted gate. From this, we conclude that bacterial growth was not a driver behind  
305 biotransformation in the experiment.

306

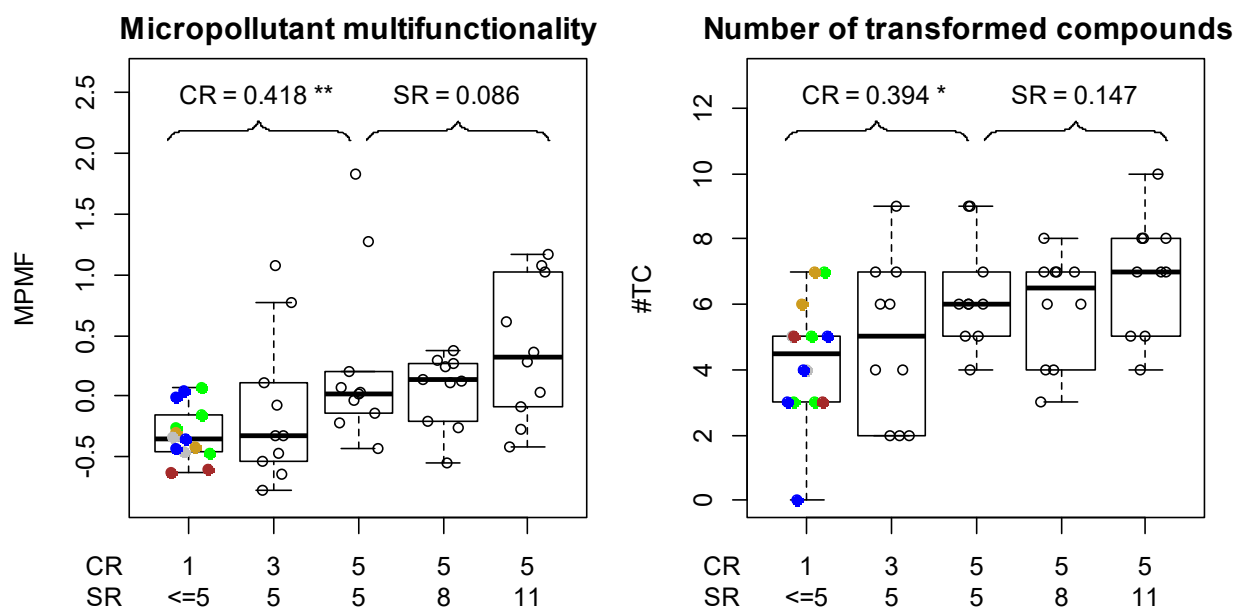


307

308 **Figure 1.** Top left: Distribution of class richness (CR) effect and species richness (SR) effect  
 309 slopes for all compounds for %deg values. Red line indicates the zero effect line. Top right and  
 310 below: Distribution of %deg values, for each compound, separated by CR and SR, and  
 311 Pearson correlation coefficients for CR and SR effects (top right, illustrated example for  
 312 azoxystrobin). *On top* Pearson correlation coefficient for CR and SR effects, respectively. \*:  
 313  $p < 0.05$ ; \*\*:  $p < 0.01$ ; \*\*\*:  $p < 0.001$ . For CR=1, colors indicate the class: *green*, chlorophytes;  
 314 *blue*, cyanobacteria; *golden*, chrysophytes; *brown*, diatoms; *grey*, cryptomonads. Boxplots  
 315 represent median and first/third quartile (hinges) and the most extreme data points no more  
 316 than 1.5x the interquartile range from the box (whiskers).

317





319

320

321 **Figure 2.** Influence of CR and SR on micropollutant multifunctionality (MPMF, *left*) and number  
 322 of transformed compounds (#TC, *right*). On top Pearson correlation coefficient for CR and SR  
 323 effects, respectively. \*:  $p < 0.05$ ; \*\*:  $p < 0.01$ ; \*\*\*:  $p < 0.001$ . Colors for taxonomic groups, and  
 324 boxplot margins are as specified in Fig. 1.

325

326

## 327 Transformation patterns of compounds

328 Given that the biotransformation of each compound was influenced by different classes, we  
329 further inquired whether there are groups of similarly behaving compounds, whose  
330 transformation is performed by similar groups of organisms. Heat maps (Fig. 3, Fig. S7)  
331 visualize the correlation matrix of all %deg and k values, respectively, across all selections by  
332 compounds, ordered by hierarchical clustering. For each compound, the effects of CR and SR,  
333 and of individual taxonomic classes presence/absence are also shown, to identify common  
334 factors driving the transformation behavior. Considering either k or %deg, three main groups  
335 are apparent: The two strobilurin fungicides (kresoxim-methyl and azoxystrobin) and  
336 carbendazim fall into group 1, venlafaxine and metoprolol, both rather polar with larger  
337 aliphatic moieties, build group 2, and a larger cluster with multiple compounds with nitrogen  
338 heterocycles (climbazole, tebuconazole, benzotriazole, fipronil, mefenamic acid, and  
339 fludioxonil) belong to group 3. Atenolol shows weak correlation to any other compound.  
340 Cyprodinil is assigned to group 3, but also shows similarity to group 1. Overall, strongly  
341 correlated groups are noted, which are often associated with specific chemical moieties, but  
342 little apparent anticorrelation, suggesting that no evident tradeoffs exist between  
343 transformations of different compounds.

344 Fig. 3 (*bottom*) shows the compound groups with chemical structures and associated CR, SR,  
345 and composition effects. Group 1 is characterized by positive (though non-significant) effects  
346 of SR, positive effects of CHR, and negative effects of CRY. Group 2 is associated with  
347 positive CR effects and negative SR effects, as well as positive CHR and negative CRY  
348 effects. For the broad group 3, a CR effect can be observed best since both CR and multiple  
349 individual classes show a positive influence. Whereas these interpretations have anecdotal  
350 character, they suggest that transformation mechanisms for different structural features might  
351 be unequally common, and differently distributed within algal classes.

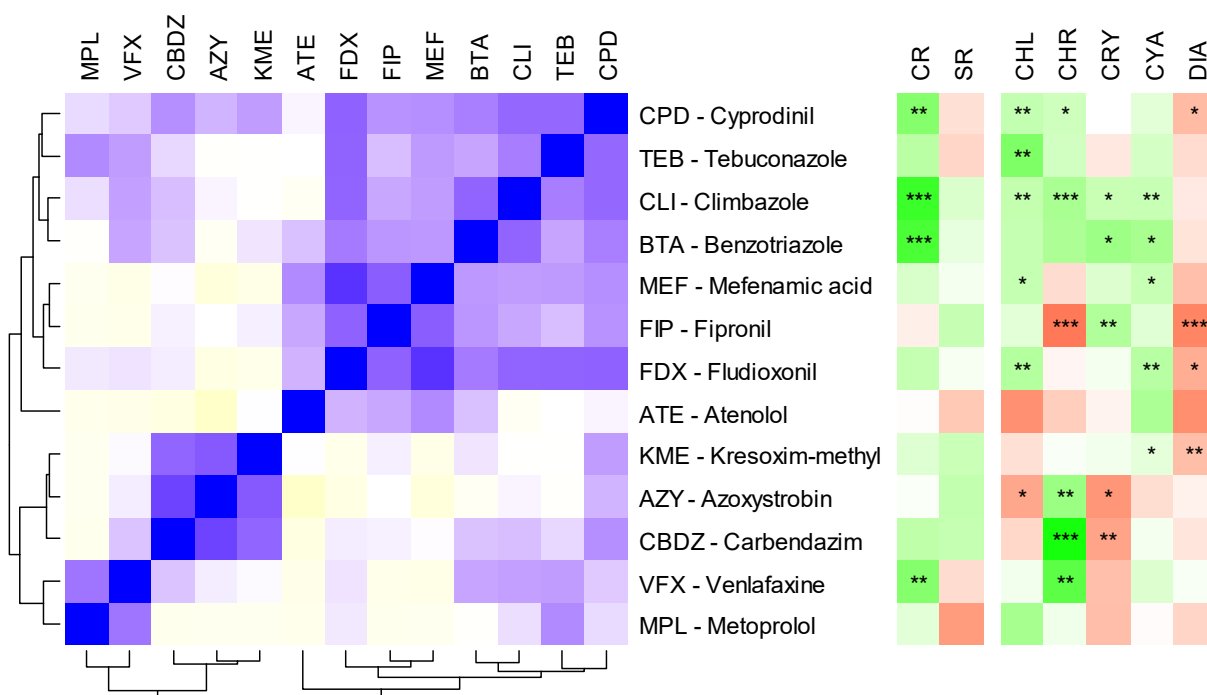
## 352 Influence of taxonomic group and species richness on transformation products

353 TPs observed for micropollutants reflect metabolic pathways active in their respective  
354 community, but their relationship with community diversity is non-trivial. On one hand, a higher  
355 richness suggests the presence of more transformation pathways, which should lead to a  
356 higher variety of observed TPs. On the other hand, high richness can also lead to first-order  
357 TPs being (quickly) further transformed into structurally more distant, very small or polar TPs,  
358 or even mineralized. This would lead to less apparent/observable TPs (in particular first-  
359 generation TPs) detectable with our LC-HRMS analysis. To shed light on this relationship, the  
360 entire dataset was screened for masses of >1000 putative TPs of selected compounds for  
361 which notable transformation in the assembled communities was observed. The search was  
362 limited to expected first-generation TPs and extended to later generations of observed first-  
363 generation products (see SI). Whereas the identified chemical features are reasonably likely to  
364 be TPs, detailed structure elucidation and confirmation was outside the scope of this study.  
365 Forty two TPs were observed in total. Thereof, 15 were attributed to the parent fludioxonil, 12  
366 to metoprolol, and 5 to cyprodinil, whereas 1 to 3 TPs could be found for the remaining  
367 compounds. The observed atenolol acid is a well-known TP of both atenolol and metoprolol.  
368 To facilitate analysis, it was attributed to atenolol alone. No TPs were observed for  
369 benzotriazole, climbazole and venlafaxine.

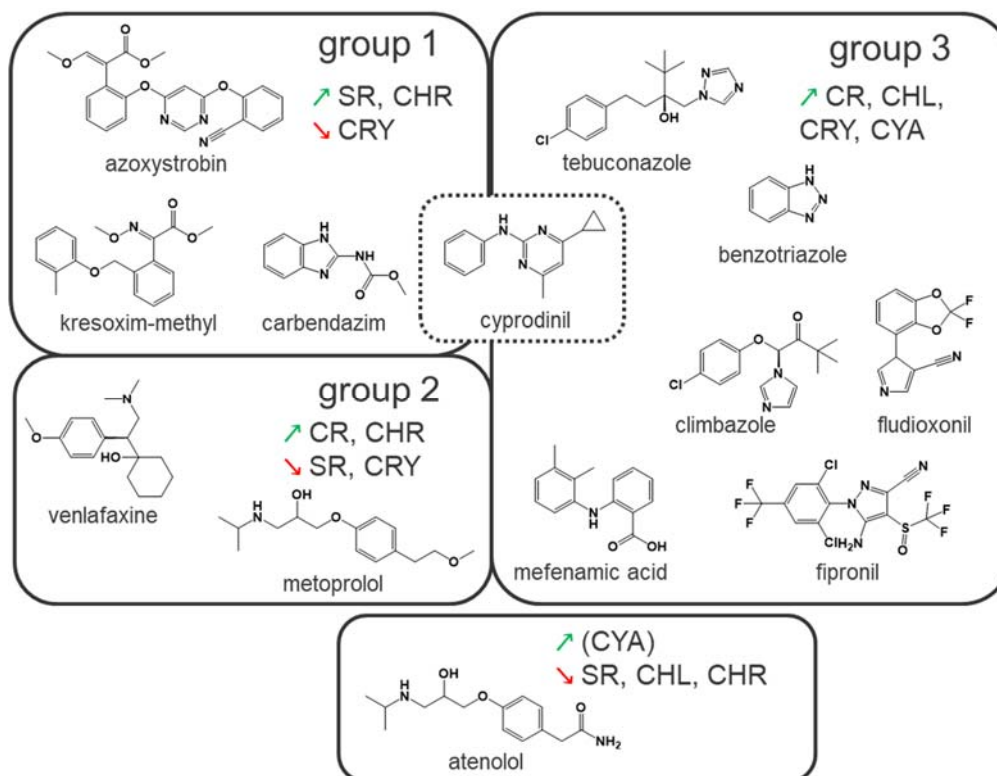
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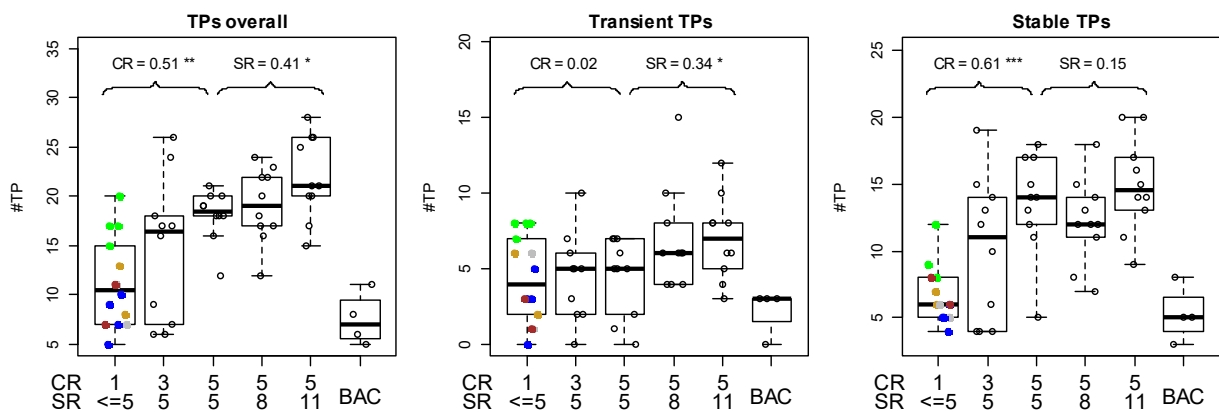
375 **Figure 3.** Top left: Hierarchical clustering of Pearson correlation coefficients between  
 376 compound transformation integrals (%deg) across all samples. *Blue*, positive correlation;  
 377 *white*, no correlation; *yellow*, negative correlation. Column names are the compound name  
 378 abbreviations as specified in rows. Top right: Effect of CR and SR (as from Fig. 1), and effect  
 379 of presence/absence of individual taxonomic groups in CR=1 and CR=3 samples, on %deg.  
 380 *Green*, positive effect; *white*, no effect; *red*, negative effect. \*:  $p < 0.05$ ; \*\*:  $p < 0.01$ ; \*\*\*:  $p < 0.001$ .  
 381 Bottom: Compounds as grouped by hierarchical clustering.

382

383 For each TP and experimental sample, the integral of peak area (total peak area) under the  
384 linearly interpolated time trend  $C(t)$  was calculated and corrected by biomass. Correlation  
385 coefficients for CR and SR influence were then calculated as above; the presence of the TPs  
386 was also checked in bacterial controls. Individually analyzed, most TPs show uncharacteristic  
387 behavior, since they appear only in certain samples (Fig. S9). However, for selected TPs, a  
388 clear trend can be observed, e.g. three putative fludioxonil TPs, four putative metoprolol TPs,  
389 and one mefenamic acid TP show a significant positive correlation with increasing CR.

390 When considering the entirety of TPs, a count of observed different TPs per sample shows a  
391 significant positive slope for CR and SR and a net overall positive effect (Fig. 4). TPs were  
392 then separated into “transient” and “stable”: TPs were denoted “transient” when their final peak  
393 area was <50% of their maximal peak area, indicating that they are being further transformed  
394 to another product. Notably, the count of stable TPs shows a significant positive slope for CR  
395 and no effect for SR, whereas the count of transient TPs shows no effect for CR and a  
396 significant positive slope for SR (Fig. 4).

397



398

399 **Figure 4.** Number of observed transformation products dependent on CR and SR. *Left*, all  
400 TPs; *middle*, transient TPs (see text); *right*, stable TPs (see text). BAC, bacterial control. On  
401 top, Pearson correlation coefficient for CR and SR effects, respectively (n=34 and n=30 for CR  
402 and SR effect, respectively.) \*: p<0.05; \*\*: p<0.01; \*\*\*: p<0.001. Colors for classes, and boxplot  
403 margins are as specified in Fig. 1.

404

405 The results for stable TPs reflect the general findings above and are expected in light of the  
406 observed positive relationship between CR and number of compounds transformed (#TC, Fig.  
407 2). By contrast, the positive effect of SR on transient TPs unravel novel results that were  
408 hidden in the parent transformation rate analysis. The increased number of transient TPs  
409 represents additional biotransformation mechanisms, leading to further modification of TPs, or  
410 formation of the same final TP via different intermediates. These additional biotransformation  
411 pathways do not result in enhanced overall transformation of the studied compounds, but  
412 instead could contribute to the transformation of other compounds beyond those investigated  
413 in this study.

414 In summary, our data support the hypothesis that increased biodiversity leads to more  
415 observable TPs. By contrast, a general trend towards “further biotransformation” (i.e., a shift  
416 towards structurally distant or highly polar transformation products not captured by screening,  
417 or mineralization), which should result in a negative influence of biodiversity on the number of  
418 stable TPs, is not supported by the data. However, trends matching “further biotransformation”  
419 can be observed for individual compounds including mefenamic acid and cyprodinil (see SI,

420 Fig. S8). These examples show that the consequences of phytoplankton biodiversity for  
421 micropollutants transformation can be more complex than just additive effects, and reinforce  
422 the need for additional future studies <sup>14</sup>.

## 423 **Environmental Significance**

424 To the best of our knowledge this study reports the first evidence for phytoplankton biodiversity  
425 and composition effects on micropollutant biotransformation (37 compounds). Our work  
426 highlights the need to address the effects of biodiversity on ecosystem processes, such as the  
427 degradation of pollutants, at a comprehensive level: a study on a single compound alone  
428 would not have provided the same detailed and convincing data as reported here. In this study,  
429 results were facilitated by modern high-resolution mass spectrometry, which can be used to  
430 quantify the transformation of a large number of compounds in parallel, and further allowed to  
431 investigate TP formation at the community-level.

432 In nature, the effects of phytoplankton community composition and richness on micropollutant  
433 biotransformation will be dependent on the complex interaction between these organisms and  
434 their fluctuating environment. For example, phytoplankton taxonomic groups have different  
435 abiotic and biotic environmental preferences and community composition follows  
436 environmental gradients over time and space (e.g., seasonal succession, trophic state of the  
437 aquatic ecosystem) <sup>12,13</sup>. Our results suggest that meso-oligotrophic environments, generally  
438 characterized by high functional and taxonomic diversity <sup>12,25</sup>, will perform better than eutrophic  
439 ecosystems in biotransforming micropollutants. Biotransformation can additionally be  
440 influenced by the total biomass, which peaks in summertime and in eutrophic ecosystems <sup>26</sup>.  
441 These instances, of which an example are cyanobacterial blooms, do not coincide with a high  
442 biodiversity of the planktonic community <sup>12,13</sup>.

443 All the above factors influence the importance of phytoplankton in relation to other  
444 environmental fate processes of micropollutants. Importantly, the present work is concerned  
445 with the contribution of phytoplankton alone. In natural systems, phytoplankton communities  
446 occur in a complex environment, where biodegradation by heterotrophic bacteria is often the  
447 dominant process. Total biotransformation may be driven by bacterial abundance and  
448 diversity, and phytoplankton might only make a smaller contribution. In addition, abiotic  
449 processes such as photodegradation contribute to the fate of various chemicals. Therefore,  
450 experiments in more complex systems, such as mesocosm or field studies, are required to  
451 understand the relevance of phytoplankton and its diversity in micropollutant fate.

## 452 **Data availability**

453 The data sets generated and analyzed for the current study are available from the  
454 corresponding authors upon reasonable request.

## 455 **Acknowledgements**

456 We thank M. K. Thomas (Eawag) for helpful suggestions in experimental design and data  
457 analysis. We thank C. B. Mansfeldt for helpful comments on the manuscript. For support in  
458 flow cytometry, we thank J. Sigrist and F. Hammes. This work was supported by the Swiss  
459 National Science Foundation, grant number 315230\_141190 and 205320\_165935.

## 460 Supporting Information Available

461 Detailed materials and methods, tables of cultures and chemical compounds used,  
462 supplementary evaluations supporting the main findings. This information is available free of  
463 charge via the Internet at <http://pubs.acs.org>.

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