1   # Tolerance patterns in stream biofilms link complex

2   # chemical pollution to ecological impacts

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9   KEYWORDS: periphyton, wastewater treatment plants, microbial community, micropollutants,

10   pollution-induced community tolerance.

11   ABSTRACT. Preventing and remedying fresh waters from chemical pollution is a fundamental

12   societal and scientific challenge. With other non-chemical stressors potentially co-occurring, assessing

13   the ecological consequences of reducing chemical loads in the environment is arduous. In this case

14   study, we comparatively assessed community structure, functions and tolerance of stream biofilms to

15   micropollutant mixtures, extracted from deployed passive samplers at wastewater treatment plant

16   effluents. These biofilms were growing up- and downstream of one upgraded and two non-upgraded

17   wastewater treatment plants, before being sampled for analyses. Our results showed a substantial

18   decrease in micropollutant concentrations by 85%, as the result of upgrading the wastewater treatment

19   plant at one of the sampling sites with activated carbon filtration. This decrease was positively

20   correlated with a loss of community tolerance to micropollutants and the recovery of community

21   structure downstream of the effluent. On the other hand, downstream biofilms at the non-upgraded

sites displayed higher tolerance to the extracts than upstream biofilms. The observed higher tolerance was positively linked to micropollutant levels both in stream water and in biofilm samples, and to shifts in community structure. Although more investigations of upgraded sites are needed, our findings point toward the suitability of using community tolerance for the retrospective assessment of the risks posed by micropollutants, to assess community recovery, and to relate effects to causes in complex environmental conditions.

INTRODUCTION

Establishing a causal relationship between complex contaminant exposures in the field and observed effects in impacted ecosystems is a major challenge for the environmental risk assessment of micropollutants.[1-3] Additional stressors such as high concentrations of nutrients and organic matter are often associated with the release of micropollutants into the receiving aquatic environments,[4-6] thereby limiting the power of *in-situ* field studies to diagnose ecotoxicological effects of micropollutants.[7] Such complex situations highlight the need for approaches that do not only allow to establish causalities when impacts of micropollutants are assessed in the field,[1] but also consider the chemical and biological complexity of natural ecosystems.[7] In response, a number of specific community-level and ecosystem-level metrics, such as SPEcies At Risk (SPEAR)[8] and pollution-induced community tolerance (PICT),[9-11] have been developed to provide insights into the relationship between in-situ exposure to chemicals and effects on communities.

PICT relies on the fact that differences in the tolerance of species within a community to micropollutant lead to shifts in the community structure under chronic exposures, which in turn depends on the initial community composition and the micropollutant mode of action. Consequently, a community that has been or is still affected by exposure to micropollutants will be less sensitive to those micropollutants than a reference community that has never been exposed. Community tolerance to micropollutants is quantified by assessing physiological endpoints in short-term bioassays, and subsequently comparing the responses of the reference community and the chronically pre-exposed ones.[11]

48 Field studies examining the tolerance of stream biofilms to micropollutant mixtures, and of

49 microbial communities in general, remain rare,[11-13] despite the recognized importance of biofilms in

50 aquatic ecosystem functioning.[14] Indeed, stream biofilms, a consortium of microorganisms that grow

51 on submerged substrata surfaces, contribute to crucial processes in aquatic ecosystems. They play a

52 key role in primary production, ecosystem respiration and element cycling, and thus, form the basis of

53 aquatic food-webs. The high microbial diversity and varying sensitivities to environmental change,

54 suggest that biofilms are a suitable model to assess contaminant effects on complex communities. In a

55 recent study, Tlili et al.[15] assessed biofilm tolerance to micropollutant mixtures in wastewater-

56 impacted streams. Results showed an increase of tolerance from upstream to downstream of the

57 wastewater discharges, which was statistically correlated to the intensity of contamination at the

58 respective sites. However, statistical correlations do not necessarily imply causation. The question

59 therefore remains as to how the potential influence of other environmental factors, in addition to

60 micropollutant mixtures, on microbial tolerance can be excluded experimentally.

61 In Switzerland, the modified water protection act entered into force in March 2014. As a result,

62 many wastewater treatment plants (WWTP) are currently upgraded with additional treatment steps,

63 such as powder-activated carbon filtration, to reduce the input of micropollutants into aquatic

64 ecosystems. [3, 16] While these upgrades decrease micropollutant loads into the receiving streams, it has

65 little impact on other wastewater constituents, such as organic matter and nutrient concentrations.[17-19]

66 Therefore, this initiative offers the unique opportunity to study the specific ecological and

67 ecotoxicological consequences of reducing micropollutant loads from WWTP effluents in the real

68 world. The overarching goal of this case study was therefore to examine tolerance of *in-situ* biofilms

69 from three wastewater-impacted streams to micropollutant mixtures, extracted from passive samplers

70 that were deployed at the wastewater discharge sites (Figure 1). These sampling sites were previously

71 investigated in a study in 2014 where the *in-situ* effects of micropollutant mixtures from the

72 wastewater discharges on biofilms were examined.[15] Here we could show an increased tolerance to

73 micropollutants in all downstream biofilms compared to upstream ones. In the meantime, the WWTP

74 at one site (Herisau) was upgraded in May 2015 with powder-activated carbon filtration, while the

75   WWTPs at the two other sites, Buttisholz and Hochdorf, were not modernized. The present case study

76   was therefore implemented to examine the efficiency of activated carbon in removing micropollutants

77   from the wastewater effluents and the subsequent effects on downstream biofilm communities. We

78   hypothesized that, as a consequence of the WWTP upgrade, the previously measured micropollutant-

79   tolerance of downstream biofilms[15] would be substantially reduced, while the tolerance difference

80   between upstream and downstream communities sampled at the non-upgraded sites would remain

81   largely stable.

82   MATERIAL AND METHODS

83   *Experimental design*

84   This study was carried out from the 15th of March to the 30th of April 2016, upstream and

85   downstream of three WWTPs located in north-eastern Switzerland, named Herisau, Buttisholz and

86   Hochdorf (Figure 1, Table S1). The sampling sites were identical to those investigated in a previous

87   survey, conducted from the 15th of March to the 30th of April 2014.[15] The WWTP at Herisau was

88   upgraded with powder-activated carbon filtration in June 2015, whereas no modifications occurred at

89   Buttisholz and Hochdorf. This situation offered the opportunity to comparatively assess the ecological

90   consequences of the WWTP upgrade. At the sampling sites, general physicochemical parameters and

91   57 micropollutants were measured in grab water samples from up- and downstream of the wastewater

92   effluents.

93   Biofilms were grown for six weeks, upstream and downstream of each WWTP effluent, on glass

94   slides (380 cm^2 per slide) fixed vertically in perforated plastic boxes (three slides per box, three boxes

95   per sampling location) and installed in the center of each stream. The biofilm growth locations were

96   selected in a way to minimize the influence of other environmental factors than those related to

97   wastewater effluents. Therefore, light intensity, flow velocity, as well as water turbidity were similar

98   between the upstream and downstream at each site (SI *Appendix,* Table S2). In parallel,

99   Chemcatcher® passive samplers – styrenedivinylbenzene-reverse phase sulfonate (SDB-RPS) discs –

100   (47 mm diameter, Sigma Aldrich, Switzerland) were deployed at each discharge site (i.e. effluent) of

4

101   the WWTP to accumulate polar organic micropollutants. Two passive samplers were installed for two

102   weeks, recollected and replaced by two new ones over the six weeks of the survey, which led to six

103   passive samplers in total per sampling site.

104   After the colonization period, biofilms and passive samplers were transported to the laboratory.

105   Immediately after arrival, biofilms colonizing the glass slides from the same box were scraped and

106   suspended together in 250 mL of Evian mineral water. Accumulated micropollutants in the retrieved

107   SDB discs were extracted,[20] and the extracts from the six passive samplers from the same site were

108   pooled. Subsequently, the solvents used for the extraction (i.e. acetone and methanol) were completely

109   evaporated under a gentle nitrogen stream and the extracts were redissolved in 7 ml of Evian mineral

110   water.

111   Extracts from the passive samplers were used for chemical analyses and biofilm tolerance

112   measurements by exposing upstream and downstream biofilms to a dilution series of the extract from

113   the corresponding sampling site. In addition, biofilms were also exposed to a dilution series of extracts

114   that have been obtained from the same sampling sites during the study performed in 2014.[15] This

115   allowed for an additional comparison of the toxicity to the biofilms of two extracts obtained in

116   different years from the same site. After 12 hours of exposure, inhibition of the algal and bacterial

117   production in biofilms was measured and results were plotted as concentrations-response curves. A set

118   of functional endpoints as well as the community composition of the biofilms were examined. In

119   addition, the 57 micropollutants were measured in the biofilms.

120   *Physicochemical and micropollutant analyses*

121   Water temperature, pH, conductivity (WTW Meters, Germany) and stream water velocity

122   (FlowTracker Handheld ADV, YSI, Inc., USA) were measured at each sampling location. In parallel,

123   2-L water samples were collected to measure dissolved organic carbon (DOC), dissolved nitrogen

124   (DN), orthophosphates, and silica, using standard methods as described for the Swiss National River

125   Monitoring and Survey Programme (NADUF;

126   www.bafu.admin.ch/wasser/13462/14737/15108/15109).

127   Fifty-seven micropollutants (Table S4 for the detailed list) were quantified in grab water samples

128   and biofilms from upstream and downstream of each site, and in the passive sampler extracts, using

129   liquid chromatography coupled to high-resolution tandem mass spectrometry. Prior to the analyzes,

130   the samples were enriched with an online solid phase extraction. Biofilms were extracted by the

131   QuEChERS-based method for gammarids, following Munz et al. with some modifications. [21] Briefly,

132   100 mg of freeze-dried sample were homogenized with 500 mg of 1 mm zirconia/silica beads

133   (Biospec Products, Inc., U.S.A.) in 500 µL of acetonitrile (ACN) and 500 µL of water followed by

134   extraction/purification with 300 mg of QuEChERS salts (4:1, $MgSO_4$:NaCl, Agilent Technologies). A

135   last clean-up was performed in order to remove the lipids by adding 500 µL of heptane to the final vial

136   containing approximately 800 µL of ACN. Final ACN extracts were analyzed by liquid

137   chromatography high resolution tandem mass spectrometry (Q-Exactive-plus, ThermoScientific)

138   through direct injection after evaporation and resuspension in methanol:water (50:50;v:v).[5] Due to

139   technical issues, micropollutant analysis was not performed for the grab water samples from

140   Buttisholz in 2016.

141   *Total biofilm and algal biomass*

142   Total biofilm biomass was measured by determining the ash-free dry weight (AFDW) in three

143   subsamples of each biofilm suspension (2 mL).[22] Algal biomass was expressed as chlorophyll-a

144   concentrations, measured in 5 mL of biofilm suspension by high-performance liquid

145   chromatography.[22]

146   *Functional measurements in the field grown biofilms*

147   Photosynthetic efficiency based on chlorophyll-a fluorescence was measured with an Imaging-PAM

148   (pulse amplitude-modulated) fluorimeter (Heinz Walz GmbH, Germany) in 4-mL biofilm suspension

149   at 665 nm following a single saturation light pulse to calculate the maximal PSII quantum yield

150   (ϕ_{PSII}).

151   Algal production was measured as ^{14}C-carbonate assimilation during photosynthesis.[23] Briefly, a 2-

152   mL aliquot from each biofilm suspension were incubated during 2 hours with 25 µL of $NaH^{14}CO_3$

153   (2.09 GBq mmol^{-1}, Hartmann Analytic GmbH, Germany), under light at 16°C. Abiotic controls with

154   formaldehyde (3.7 % final concentration) were run during each assay. To stop the reaction,

155   formaldehyde (final concentration of 3.7%) was added followed by 100 µL of glacial acetic acid to

156   remove the inorganic carbon. Biofilm suspensions were then incubated at 60°C until complete dryness

157   before adding 1 mL of DMSO, and incubated for one hour at 60°C. Ten mL of scintillation cocktail

158   (Ultima Gold LLT, GmbH, Germany) were added to the samples, before measuring the radioactivity

159   in a Tri-Carb 2810 TR liquid scintillation counter (PerkinElmer GmbH, Germany) with quench

160   correction.

161   Bacterial production was measured as the incorporation of ^{14}C-leucine into proteins as described in

162   Buesing and Gessner [24] with few modifications. 2.9 mL of each biofilm suspension were incubated in

163   the dark during 30 min at 16°C with 4.5 µM ^{14}C-leucine (12.32 GBq mmol^{-1}; Hartmann Analytic

164   GmbH, Germany) and 2.5 mM of non-radioactive leucine. Abiotic controls with trichloroacetic acid

165   (TCA) (5 % final concentration) were run in parallel during each assay. Incubations were stopped with

166   TCA to a final concentration of 5%. Afterwards, samples were sonicated during 1 min (Branson

167   Digital Sonifier 250, Germany), and centrifuged at 4000 g for 30 min. Three successive washes with

168   5% TCA, 40 mM cold leucine, 80% ethanol, and sterile ultrapure water were then applied to the

169   pellets, before being suspended in 1.5 mL of 0.3% SDS, 75 mM EDTA, and 1.5 M NaOH and heated

170   for 1 h at 90 °C to dissolve proteins. The samples were then cooled to room temperature before being

171   centrifuged for 10 min at 14.000 g. 500 µL of the supernatant were transferred to a scintillation vial

172   with 5 mL Hionic Fluor scintillation cocktail (PerkinElmer GmbH, Germany). The radioactivity was

173   measured in a Tri-Carb 2810 TR liquid scintillation counter (PerkinElmer GmbH, Germany) with

174   quench correction.

175   Microbial respiration of heterotrophs in the biofilms was measured by using the MicroResp^{TM}

176   technique,[25] a spectrophotometric method based on CO_2 production in a sealed microplate system.

177   Briefly, 500 µL from each biofilm suspension and 30 µL of D-glucose (6.2 mg of C per well, pH = 7)

178   were distributed in a deep-well microplate. Then, a second microplate, containing a pH indicator

179   embedded in agar was placed on top of the first one. Both plates were then joined with a silicone seal

180   with interconnecting holes between the corresponding wells and clamped together. Absorbance of the

181   CO_2-detection gel was measured at 572 nm (Tecan Infinite 200 PRO microplate reader, Tecan Trading

182   AG, Switzerland), immediately before sealing the microplates and after 15 h of incubation in the dark

183   at 16°C. The CO_2 produced was calculated based on a calibration curve of absorbance values versus

184   CO_2 measured by gas chromatography (MTI 200 thermal conductivity detector).

185   Three extracellular enzymes, β-glucosidase (BG; EC 3.2.1.21), alkaline phosphatase (AP; EC

186   3.1.3.1-2), and leucine-aminopeptidase (LAP; EC 3.4.11.1), were measured in one mL of each biofilm

187   suspension as indicators for polysaccharide degradation, and phosphorus and nitrogen acquisition by

188   microorganisms, respectively.[26] Substrate analogues linked to methylumbelliferyl (MUF) or

189   aminomethyl-coumarin (AMC) were used to measure BG (4-MUF-β-D-glucopyranoside), AP (4-

190   MUF-phosphate) and LAP (L-leucine-AMC). All assays were performed for one hour at 16 °C under

191   gentle shaking in the dark at a saturating substrate concentration of one mM. Abiotic controls

192   receiving formaldehyde (3.7 % final concentration) were run separately for each assay. One mL of

193   glycine buffer (pH 10.4) was added to the samples to stop the incubations before measuring

194   fluorescence (Tecan Infinite 200 PRO microplate reader, Tecan Trading AG, Switzerland). The

195   excitation and emission wavelengths were 365 and 455 nm (MUF-substrates), and 364 and 445 nm

196   (AMC-substrate).

197   *Biofilm community composition*

198   Molecular genetic composition of the bacterial and algal communities in the biofilms was assessed

199   by denaturing gradient gel electrophoresis (DGGE). Genomic DNA was extracted from the pellets of

200   each biofilm suspension (2 mL) with the PowerBiofilm DNA Isolation Kit (MO BIO Laboratories,

201   CA), following the manufacturer's instructions. The algal 18S rRNA fragment was amplified in 60 ng

202   of extracted DNA with the Euk1Af and Euk516r-GC primers, and the bacterial 16S rRNA gene

203   fragment s was amplified in 30 ng of extracted DNA with the primers 341f and 907rM. Algal and

204   bacterial DGGE profiles were analysed by using the software ImageJ in which nucleic acid bands,

205 corresponding to operational taxonomic units (OTUs), were identified and their presence or absence at

206 a given position was scored as 1 or 0, respectively.

207 *Short-term bioassays for PICT measurements*

208   Tolerance of upstream and downstream biofilms to micropollutants, released with the WWTP

209 effluents, was assessed in short-term bioassays in which biofilms were exposed to serial dilutions of

210 the passive sampler extracts from the corresponding effluent. For an additional line of comparison

211 with the survey conducted in 2014,[15] the biofilms sampled in 2016 were also exposed to serial

212 dilutions of passive sampler extracts collected in 2014. A semi-logarithmic dilution series (six

213 dilutions with a dilution factor of 3.2) of the extracts was freshly prepared for each experiment in

214 Evian mineral water. 4.5-mL aliquots of each biofilm suspension of comparable biomass (OD_{700nm} =

215 0.4) were exposed in glass vials to 0.45 mL of the six dilutions of the corresponding passive sampler

216 extract. In parallel, one control with 0.45 mL added mineral water and one abiotic control with 4%

217 formaldehyde were run. No solvent control was included because of the complete evaporation of the

218 solvents used for the micropollutant extraction from the passive samplers. After an incubation for 12

219 hours at 16 °C under light, sub-aliquots were taken from each vial to measure algal and bacterial

220 production as described above.

221 *Data analyses*

222   Significant differences in the physicochemical data and total micropollutant concentrations among

223 sampling sites and years were assessed by Repeated-Measure one-way ANOVA, followed by Tukey's

224 post'hoc tests when an effect was significant in the ANOVA. Additionally, effect size was measured

225 based on the calculation of Cohen's d:

226
$$d = [m1 - m2]/\sqrt{\frac{[SD1^2 + SD2^2]}{2}}$$

227 Where m and SD are the mean and standard deviation of each group, respectively.

228   Student t-tests were performed to compare total and algal biomass, algal and bacterial production,

229 SIR, and the enzymatic activities data between the upstream and downstream locations of each

9

230   sampling site. The significance level was set at 5% for all statistical tests. Normality of the residuals

231   and homogeneity of variances were checked by Shapiro-Wilk and F tests, respectively. In order to

232   assess the tolerance levels of the biofilms, passive sample extract concentrations causing a 50% effect,

233   relative to the controls (EC_{50}), in the PICT assays were determined by nonlinear regression of

234   sigmoidal dose–response curves using the Hill slope equation (GraphPad Prism version 7.00 for

235   Windows, San Diego, CA, USA):

236    $$\text{Activity} = \min + [100\text{-}\min]/[1+10^{(X\text{-}\text{LogEC50})}]$$

237   Where min is the minimally inhibited activity, and X is the passive sampler concentration in the

238   assays. Values of the estimated min and LogEC_{50} are provided in SI Appendix, Table S7. Significant

239   difference among EC_{50}s was based on calculated 95% confidence intervals via bootstrap Monte Carlo

240   simulations.

241   DGGE data were used to compare algal and bacterial molecular community composition among

242   sampling sites. Each DGGE band was considered as an operational taxonomic unit (OTU). DGGE

243   gels were aligned and matrices based on the presence and absence of OTUs in each lane of the gel

244   were established, and a principar component analyses was performed (CANOCO software for

245   Windows, version 4.5).

246   RESULTS AND DISCUSSION

247   *Physicochemical and micropollutant analyses*

248   Based on the measured physicochemical parameters, wastewater discharges clearly influenced the

249   receiving waters across sites and sampling years (i.e. 2014 and 2016: one year before and after the

250   upgrade of Herisau, respectively) (Figure 2, Table S3). Indeed, all downstream locations were

251   characterized by significantly higher conductivity, dissolved organic carbon, nitrate, chloride, and

252   sulfate concentrations than the corresponding upstream locations (ANOVA; $P<0.05$). Furthermore,

253   phosphate concentrations significantly increased from upstream to downstream of Herisau and

254   Buttisholz (Tukey; $P<0.05$), while pH significantly decreased downstream of the discharge site at

255   Herisau (Tukey; $P<0.05$). Cohen's effect size values for these parameters ranged from 0.8 to 3.5,

256   suggesting also a high to very high significance.

257   Quantification of the micropollutants in the grab water samples and biofilms sampled in 2016

258   indicated that their composition and relative concentrations differed among the sampling sites (SI

259   *Appendix,* Tables S4 and S5). Such differences are most likely related to variable land use at the

260   catchment level and different treatment technologies.[5, 27] Nonetheless, total concentrations of

261   micropollutants in 2014 at Herisau, Hochdorf, and Buttisholz were significantly higher downstream

262   than upstream of the wastewater discharge sites in the water samples (Tukey; $P=0.003$, 0.01 and 0.02,

263   respectively) and in the biofilms (Tukey; $P=0.002$, 0.005 and 0.03, respectively) (Figure 3). These

264   significant differences were also corroborated by the Cohen's d values that ranged from 0.4 to 1,

265   indicating a medium to large significance based on size effects. Similar significant differences were

266   also observed in both water and biofilm samples from Hochdorf (Tukey; $P=0.01$) and in the water

267   samples from Buttisholz (Tukey; $P=0.01$ and 0.04, respectively) in the year 2016. In contrast to the

268   other sites and to the year 2014, total concentrations of the micropollutants in upstream and

269   downstream water and biofilm samples from Herisau in 2016 were not significantly different (Tukey;

270    $P=0.1$ and 0.9, respectively), with small Cohen's d values of 0.2 and 0.4, respectively. These findings,

271   recorded one year after the upgrade of the WWTP in 2015 with powder-activated carbon filtration

272   treatment, clearly demonstrate the improved removal by 85 % of micropollutants from the effluent.

273   Indeed, total concentrations of pharmaceuticals, pesticides and household chemicals decreased

274   substantially downstream of Herisau between 2014 and 2016 (from 1600 ± 380 to 290 ± 90 ng L^{-1},

275   from 410 ± 50 to 210 ± 100 ng L^{-1}, and from 1600 ± 160 to 50 ± 10 ng L^{-1}, respectively).

276   Concentrations of the micropollutants in extracts from the passive samplers that were installed at the

277   discharge sites indicated that pharmaceuticals and household chemicals were dominant within the

278   analyzed mixtures, except at Herisau, where pesticides were dominant (SI *Appendix,* Table S6),

279   similarly to the water samples. In comparison to the extracts from 2014, total micropollutant

280   concentrations in the extracts from 2016 were significantly lower for Herisau, but not different for

281   Hochdorf and higher for Buttisholz (*t*-test, $P=0.002$, 0.4 and 0.0008, respectively) (Figure 4). Direct

282   comparison between measured concentrations in the passive-sampler extracts and in the water samples

283   is, however, not possible. This is mainly due to the compound specific enrichment in the samplers, and

284   to the fact that the samplers were deployed directly at the WWTP effluents while the grab water

285   samples were taken upstream and downstream of the effluents.[28] Nevertheless, the similar detection

286   frequency of the compounds in both passive and grab samples indicates that passive samplers are a

287   suitable tool to highly enrich environmental micropollutant mixtures, which justifies their use in

288   toxicity testing as it has been done in other studies.[28, 29]

289   *Biomass and functional descriptors of field-grown biofilms as indicators for general water quality*

290   Most of the traditional biofilm descriptors measured in 2016 did not respond consistently across and

291   within sampling sites (Table 1). Moreover, differences between upstream and downstream sites were

292   mainly observed at Herisau, and only to a lesser degree at Buttisholz and Hochdorf, where only one

293   descriptor was significantly impacted by the wastewater discharge. Indeed, in comparison to upstream

294   biofilms, downstream biofilms at Herisau were characterized by significantly lower chlorophyll-*a*

295   content and alkaline phosphatase activity and a higher induced respiration (t-test; $P<0.01$, 0.01, and

296   0.05, respectively). Bacterial production significantly decreased also from upstream to downstream of

297   Buttisholz and algal production significantly increased from upstream to downstream of Hochdorf (t-

298   test; $P<0.01$ and 0.001, respectively), whereas no significant changes occurred for the other

299   descriptors (t-test; $P>0.05$). As micropollutant concentrations consistently increased downstream of

300   the WWTP effluents at the two non-upgraded sites, toxic effects on target organisms such as algae and

301   bacteria in the biofilms are expected. However, environmental factors such as increased temperature

302   and nutrient concentrations can be beneficial for microorganisms,[6, 26, 30] which could mitigate the

303   negative effects of the present micropollutants. [31] This is in line with our results, which show that

304   changes in the traditional descriptors of biofilms are a pertinent indicator of the general changes in the

305   stream water quality , but do not allow to specifically distinguish the ecological impacts of

306   micropollutants from those of other environmental factors.

307   *Shifts in algal and bacterial community composition*

308   Analyses of community composition of the biofilms sampled in 2016 showed that for bacterial and

309   algal communities, both axes PC1 and PC2 of the principal component analysis explained more than

310   54 % and 61% of the total variability, respectively (Figure 5). For both bacteria and algae, PC1 is

311   related to the sampling location, separating Herisau from Buttisholz and Hochdorf. These results

312   reflect the fact that natural variation of stream community composition is context-dependent, and can

313   be influenced by various local, regional and biogeographic factors.[32] Indeed, Herisau belongs to a

314   different catchment than Hochdorf and Buttisholz, and each catchment is characterized by a different

315   land use,[27] explaining their separation along PC1.

316   Most importantly, for algae as well as for bacteria, PC2 is clearly correlated with the impact of the

317   wastewater discharges on microbial community structure. Indeed, composition of algal and bacterial

318   communities in biofilms was clearly different between upstream and downstream of Buttisholz and

319   Hochdorf, respectively; a pattern that was also observed by previous studies at the same sites[15] and

320   elsewhere.[6, 33] Nevertheless, despite the clear impacts of the wastewater discharges on microbial

321   communities at the non-upgraded sites, restructuring of the algal and bacterial communities cannot

322   only be attributed to the micropollutants in the effluents. Indeed, other wastewater constituents, such

323   as nutrients, organic matters or microorganisms can play a role in shaping the microbial diversity at

324   the receiving streams.[32] In contrast to Buttisholz and Hochdorf, the dissimilarity between the upstream

325   and downstream community composition at Herisau was less pronounced for both bacteria and algae.

326   This was the case despite the continuous influence of the wastewater discharge on the

327   physicochemical characteristics of the receiving water, such as nutrient concentrations and

328   temperature. Furthermore, when biofilm composition was examined one year before the WWTP

329   upgrade at Herisau, a clear separation between upstream and downstream sites was observed.[15] Taken

330   together, these results provide a first indication that micropollutant mixtures could be a major factor

331   that shape the composition of microbial communities downstream of wastewater discharges, unless

332   modern technologies such as activated carbon filtration are employed to decrease micropollutant

333   concentrations. Further investigations to identify algae and bacteria specifically occurring in upstream

334 and downstream biofilms could point to taxa that are particularly sensitive or tolerant to

335 micropollutants in wastewaters.

336 *Microbial tolerance as a specific indicator for micropollutant effects*

337   To further unravel the combined effects of general wastewater degradation from micropollutant

338 contamination, the PICT concept was applied. Short-term exposure of biofilms collected in 2016

339 upstream and downstream of the Herisau WWTP to passive sampler extracts obtained in 2014 or 2016

340 resulted in non-significantly different EC_{50} values (Table 2). This indicates that in 2016 the chronic

341 exposure to the micropollutants released in the WWTP effluent at this site has not caused a tolerance

342 development in the biofilms. In contrast, in the study conducted in 2014 at Herisau[15], i.e., one year

343 before the upgrade, downstream biofilms were still more tolerant to the passive sampler extract than

344 upstream biofilm. Such differences in tolerance measurements are in agreement with the

345 micropollutant concentrations measured in the grab water samples and biofilms at Herisau, which

346 increased significantly from upstream to downstream before, but not after the upgrade. These results

347 underline the potential relationship between tolerance measurements and the intensity of

348 contamination by micropollutants in the environment. They also reflect the high resilience of biofilm

349 communities in term of their sensitivity to micropollutants. It should be noted that other critical

350 physicochemical factors such as nutrient and organic matter concentrations continued to be higher

351 downstream than upstream of the effluent at Herisau in 2016 (Figure 2) and can therefore be ruled out

352 as a factor causing the disappearing tolerance between 2014 to 2016. Moreover, because the

353 differences in the physicochemical characteristics of the stream water between upstream and

354 downstream remained similar over the years, the increased tolerance at Herisau measured before the

355 WWTP upgrade [15] was most likely driven by the micropollutants emitted from the WWTP.

356 Nonetheless, in order to rule out completely the role of these factors in tolerance development, further

357 investigation focusing on their quality and not only on bulk concentrations should be performed.

358   In sharp contrast to the tolerance measurements at Herisau, our results show that biofilm tolerance at

359 the non-upgraded sites (i.e. Buttisholz and Hochdorf) still display the same pattern as found in the

360   2014 survey.[15] Indeed, in both studies bacterial and algal communities that make up the downstream

361   biofilms displayed significantly higher tolerance to both extracts than upstream biofilms, as reflected

362   by the higher EC_{50} values (Table 2). This might be supported by the chemical analyses that identified

363   higher micropollutant concentrations downstream than upstream of the wastewater discharges. These

364   findings reflect that induced community tolerance might occur as long as the selection pressure by

365   micropollutants is maintained, leading to toxicant induced succession by the elimination of pollutant-

366   sensitive species and favoring the development of tolerant ones within the exposed communities

367   termed "toxicant induced succession.[10]

368   The results obtained in our study provide a first basis to illustrate the potential relationship between

369   the levels of contamination by micropollutant mixtures in streams, shifts in microbial community

370   structure and the resulting development of tolerance to these micropollutants. This was confirmed by

371   the loss biofilms tolerance after the reduction of micropollutant concentrations at the upgraded site,

372   and also by the reproducible results obtained at the non-upgraded sites with an interval of two years.

373   Although further surveys with more upgraded WWTPs are needed, our findings converge on the

374   sensitivity and efficiency of the PICT approach combined with the use of extracts from passive

375   samplers to establish causality between *in situ* exposure to complex micropollutant mixtures and

376   community-level effects. Importantly, they provide first line of evidence on the relevance of using this

377   approach to monitor the success of remediation measures following the removal of micropollutants

378   from wastewater effluents via the implementation of activated carbon treatments.

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389   **Table 1.** Biomass and functional descriptors of upstream (Up) and downstream (Down) biofilms sampled in 2016 from the sites Herisau, Buttisholz,

390   and Hochdorf. Data are means ± standard deviation (n = 3). Significant differences between Up and Down biofilms at each sampling site are indicated

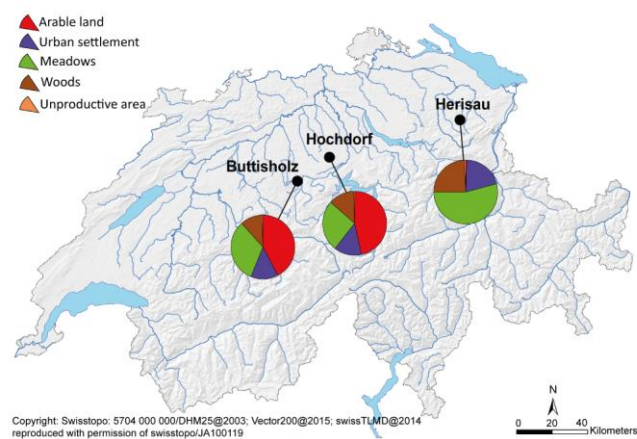391   by an asterisk (Student's *t*-test; $p < 0.05$).

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	Herisau		Buttisholz		Hochdorf	
	Up	Down	Up	Down	Up	Down
AFDW (g cm^{-2})	1.7 ± 0.3	2.2 ± 0.4	0.7 ± 0.1	0.6 ± 0.1	0.9 ± 0.2	0.7 ± 0.1
Chlorophyll-*a* (mg g^{-1} AFDW)	3.5 ± 0.5	1.6 ± 0.2*	1.7 ± 0.7	1.6 ± 0.7	0.6 ± 0.1	0.7 ± 0.2
PSII Quantum Yield (ϕ)	0.3 ± 0.1	0.1 ± 0.1	0.3 ± 0.1	0.3 ± 0.1	0.3 ± 0.1	0.4 ± 0.1
Algal Production (µg C g^{-1} AFDW day^{-1})	1.2 ± 0.4	1.6 ± 0.8	22.6 ± 5.4	39.6 ± 29.3	40.7 ± 15.0	101.7 ± 16.7*
Bacterial Production (µg C g^{-1} AFDW day^{-1})	0.2 ± 0.1	0.1 ± 0.1	10.3 ± 0.6	5.8 ± 0.1*	4.8 ± 1.3	6.08 ± 0.8
Substrate-induced Respiration (µg CO$_2$ g^{-1} AFDW day^{-1})	16.3 ± 2.9	37.1 ± 5.8*	113.7 ± 23.4	121.1 ± 10.6	71.8 ± 16.8	81.4 ± 15.2
β-glucosidase (µmol MUF g^{-1} AFDW h^{-1})	1.3 ± 0.3	1.5 ± 0.2	2.6 ± 0.7	2.2 ± 0.1	2.00 ± 0.6	1.9 ± 0.3
Alkaline Phosphatase (µmol MUF g^{-1} AFDW h^{-1})	6.8 ± 1.0	2.8 ± 0.9*	3.9 ± 0.2	2.9 ± 0.2	4.7 ± 1.5	4.8 ± 1.6
Leucine Amino-peptidase (µmol AMC g^{-1} AFDW h^{-1})	5.1 ± 0.9	6.5 ± 1.3	14.8 ± 1.9	17.0 ± 0.4	11.4 ± 2.3	12.7 ± 0.7

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394 **Table 2.** EC_{50} values for algal and bacterial production of upstream (Up) and downstream (Down)

395 biofilms sampled in 2016 and exposed to a dilution series of the passive sampler extracts collected in

396 2014 and 2016. Significantly increased EC_{50} values at the downstream sampling sites indicate

397 increased tolerance levels of the biofilms (non-overlapping confidence intervals of the EC_{50} estimates,

398 printed in bold). Concentrations are expressed in unitless relative dilution factor (RDF) of the passive

399 sampler extracts. Values in parentheses are 95% confidence intervals (n = 21).

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		Algal production		**Bacterial production**	
		Extract 2014	Extract 2016	Extract 2014	Extract 2016
Herisau	Up	6 (4 - 9)	21 (11 - 41)	0.5 (0.3 – 0.8)	1.3 (0.7 – 2)
	Down	9 (5 - 15)	26 (13- 50)	0.3 (0.1 – 0.9)	1.4 (0.9 – 2)
Buttisholz	Up	**11** (5 - 20)	**16** (9 - 29)	**1.6** (1 - 2.4)	**1.4** (0.8 - 2)
	Down	**54** (29 - 100)	**97** (67 - 141)	**10** (6 - 17)	**53** (19 - 147)
Hochdorf	Up	**4** (2 - 6)	**18** (14 - 24)	**0.9** (0.6 – 1.2)	**2** (1.4 - 3)
	Down	**21** (7 - 62)	**42** (24 - 74)	**5** (3 - 8)	**7** (4 - 13)

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416 Figure 1. Location of the three sampled wastewater treatment plants in Switzerland with the land use
417 pattern for each catchment shown in the pie charts (BFS, 2014). The aggregated land use categories
418 and site names as well as their geographic coordinates are listed in Table S1 of the supporting
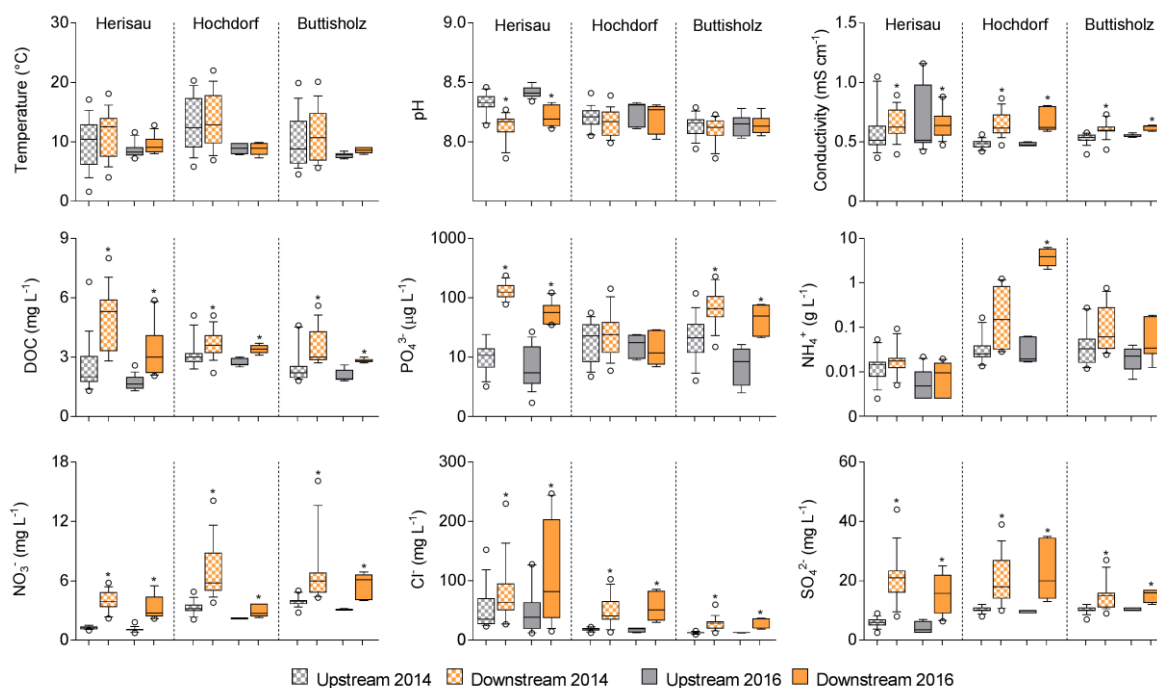419 information. Permission, swisstopo/JA 100119.

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Figure 2. Box plots of the measured physicochemical parameters in the grab water samples from upstream and downstream of the sampling sites, one year before (2014) and after (2016) the upgrade of Herisau in2015. Sampling was performed monthly from January to May 2014 and from March to June 2016. The horizontal lines within the boxes indicate medians, boundaries of the boxes indicate the 10th and 90th percentiles, and the whiskers indicate the minimum and maximum values, excluding outliers (white circles) (n = 17 and 16 in 2014 and 2016, respectively). Asterisks above the boxes denote a significant difference between up- and downstream locations per sampling site and year (P < 0.05; Tukey's test following ANOVA).
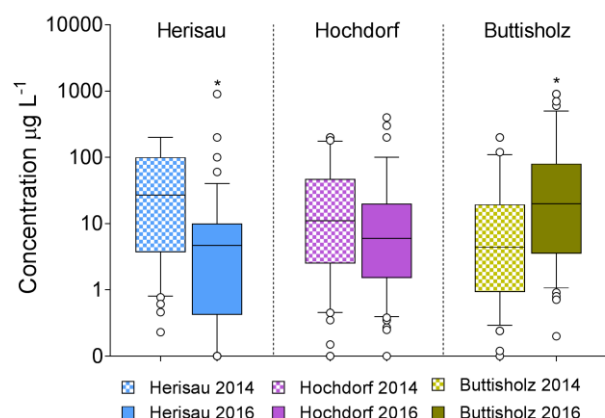
Figure 3. Box plots of the median concentrations of the 57 and 41 analyzed organic micropollutants in the grab water samples (ng L-1) (A) and in the biofilms (ng g-1 biofilm dry weight) (B), respectively, from upstream and downstream of the sampling sites, one year before and after the upgrade of Herisau in 2015 (2014 and 2016, respectively). Due to technical issues, quantification in the water samples from Buttisholz was not performed in 2016 (NM: not measured). The horizontal lines within the boxes indicate medians, boundaries of the boxes indicate the 10th and 90th percentiles, and the whiskers indicate the minimum and maximum values, excluding outliers (white circles). Asterisks above the boxes denote a significant difference between up- and downstream locations per sampling site and year (P < 0.05; Tukey's test following ANOVA).
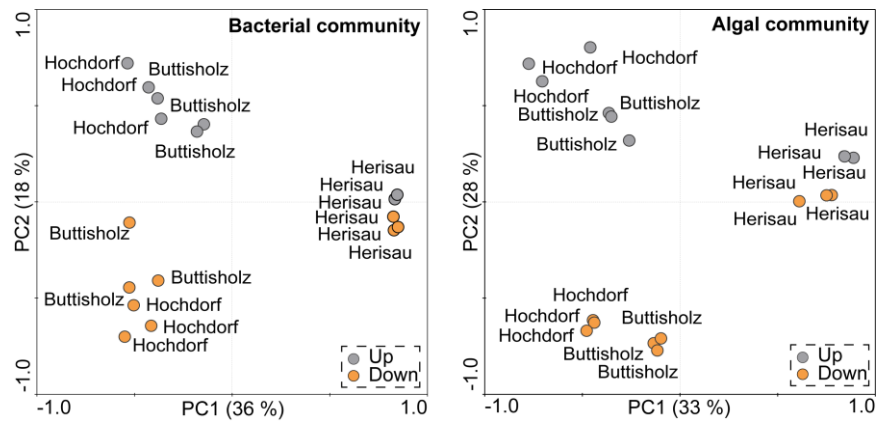
Figure 4. Box plots of the median concentrations of the 57 analyzed organic micropollutants in passive sampler extracts deployed in 2014 and 2016 at each wastewater effluent of the sampling sites. The horizontal lines within the boxes indicate medians, boundaries of the boxes indicate the 10th and 90th percentiles, and the whiskers indicate the minimum and maximum values, excluding outliers (white circles). Asterisks above the boxes denote a significant difference between up- and downstream locations per sampling site and year ($P < 0.05$; Tukey's test following ANOVA).

Figure 5. Principal component analysis ordination of the bacterial and algal community composition in the biofilms (n = 3) sampled in 2016 from upstream (Up) and downstream (Down) locations of the sampling sites Herisau, Hochdorf, and Buttisholz.

488 ASSOCIATED CONTENT

489 **Supporting Information**

490   Additional figures and tables as described in the text. This material is available free of charge via the

491 Internet at http://pubs.acs.org.

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495 **Author Contributions**

496 The manuscript was written through contributions of all authors. All authors have given approval to

497 the final version of the manuscript.

498 **Notes**

499 The authors declare no competing financial interest.

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