

# pH-dependent Biotransformation of Ionizable Organic Micropollutants in Activated Sludge

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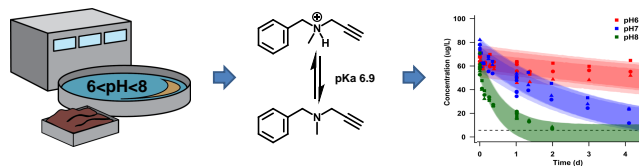
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## Abstract



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3 Removal of micropollutants (MPs) during activated sludge treatment can mainly be  
4 attributed to biotransformation and sorption to sludge flocs, whereby the latter process is  
5 known to be minor for polar organic micropollutants. In this work, we investigated the  
6 influence of pH on the biotransformation of MPs with cationic-neutral speciation in an  
7 activated sludge microbial community. We performed batch biotransformation, sorption  
8 control, and abiotic control experiments for 15 MPs with cationic-neutral speciation, one  
9 control MP with neutral-anionic speciation, and two neutral MPs at pHs 6, 7, and 8.  
10 Biotransformation rate constants corrected for sorption and abiotic processes were esti-  
11 mated from measured concentration time series with Bayesian inference. We found that  
12 biotransformation is pH-dependent and correlates qualitatively with the neutral fraction  
13 of the ionizable MPs. However, a simple speciation model based on the assumption that  
14 only the neutral species is efficiently taken up and biotransformed by the cells tends to  
15 overpredict the effect of speciation. Therefore, additional mechanisms such as uptake of  
16 the ionic species and other more complex attenuation mechanism are discussed. Finally,  
17 we observed that the sorption coefficients derived from our control experiments were small  
18 and showed no notable pH-dependence. From this we conclude that pH-dependent re-  
19 moval of polar, ionizable organic MPs in activated sludge systems is less likely an effect of  
20 pH-dependent sorption but rather of pH-dependent biotransformation. The latter has the  
21 potential to cause marked differences in the removal of polar, ionizable MPs at different  
22 operational pHs during activated sludge treatment.

## 23 Introduction

24 A variety of organic micropollutants (MPs) are conveyed by sanitary sewers or by surface runoff  
25 and storm water sewers to wastewater treatment plants (WWTPs) where they are partially re-  
26 moved during activated sludge treatment.<sup>1,2</sup> The extent of removal within a WWTP is known to  
27 vary among different MPs due to different chemical properties, as well as for single MPs between  
28 different WWTPs.<sup>1,3</sup> The latter case is attributed to differences in operational parameters of the  
29 WWTPs such as total suspended solids concentration (TSS), solids and hydraulic retention times,

30 dissolved oxygen, temperature, and pH.<sup>4</sup> However, so far no single operational parameter has been  
31 identified that can explain differences in removal efficiency for a range of MPs between different  
32 WWTPs.<sup>5</sup> Rather, there might be varying degrees of influence of the operational parameters on  
33 the removal efficiency of different MPs. Because a complex mixture of only partially removed  
34 MPs in WWTP effluents can negatively affect water quality,<sup>6</sup> it is of interest to understand how  
35 operational parameters influence MP removal.

36 The pH of activated sludge systems in WWTPs is one such operational parameter and can  
37 vary by nearly two pH units; across 10 Swiss WWTPs, the pH of activated sludge samples ranged  
38 from 6.2 to 8.1.<sup>5</sup> At the same time, many MPs entering the WWTPs contain ionizable functional  
39 groups with  $pK_a$  values within that pH range. For instance, around 40% of pharmaceuticals,  
40 which are a dominant substance class in wastewater influents,<sup>7</sup> contain at least one functional  
41 group with  $pK_a$  values in the range of 5-10 and cationic-neutral speciation,<sup>8</sup> and about 10%  
42 contain at least one functional group with neutral-anionic speciation in the same  $pK_a$  range.  
43 Thus, the degree of speciation of such ionizable MPs will vary across activated sludge systems  
44 with different operational pHs.

45 Previous studies have shown that the removal efficiency for MPs with ionizable functional  
46 groups is pH-dependent.<sup>9-11</sup> Removal during activated sludge treatment can mainly be attributed  
47 to biotransformation and sorption to activated sludge. Because chemical speciation can influence  
48 sorption as well as biotransformation, both processes could potentially lead to pH-dependent  
49 removal.

50 pH-dependent sorption of organic MPs to activated sludge was studied previously for MPs  
51 with neutral-anionic speciation.<sup>9,10</sup> For these MPs, a decrease in sorption affinity was observed  
52 at higher pH levels where the fraction of anionic species is increased. The surface of activated  
53 sludge flocs is predominantly negatively charged.<sup>12</sup> As a result, it is assumed that the sorption  
54 affinity is weakened at higher pH levels by the increased solubility of the charged species in water  
55 and the increased electrostatic repulsion between the negatively charged MPs and the activated  
56 sludge flocs. pH-dependent sorption of MPs with cationic-neutral speciation is considerably less

57 well understood. In this case, it is more difficult to formulate expectations on how sorption  
58 affinities change with pH, due to several contributing factors. First, the negative charge of the  
59 sludge flocs is expected to decrease with decreasing pH,<sup>12</sup> while the fraction of positively charged  
60 compounds increases with decreasing pH for MPs with cationic-neutral speciation. Hence, the  
61 extent of electrostatic interaction of the cations with the negatively charged flocs could already  
62 result in different pH-trends depending on the particular speciation behavior of the sludge and the  
63 MP in question. Second, positively charged compounds exhibit not only an increased electrostatic  
64 interaction with the predominantly negatively charged flocs, but are also more water-soluble than  
65 the corresponding neutral species, which are opposing trends. Third, other factors like the ionic  
66 strength and the ionic composition of the bulk medium are known to affect the sorption affinity  
67 of the cationic species as well.<sup>13,14</sup> Therefore, it is not surprising that some studies observed no  
68 systematic trend of the sorption behavior of MPs with cationic-neutral speciation with pH,<sup>15,16</sup>  
69 whereas other studies did.<sup>17</sup> Finally, although the sorption affinity of ionizable MPs may vary in  
70 the pH range of 6-8, the majority of MPs entering the WWTPs are rather polar<sup>18</sup> with sorption  
71 coefficients ( $K_d$ ) typically  $< 300$  L/kg.<sup>19</sup> It has therefore been suggested that sorption is not a  
72 significant process for the removal of polar organic MPs in WWTPs.<sup>20</sup> Consequently, we do not  
73 expect sorption to cause a significant pH-dependence in overall removal.

74 Rather, several possible effects of pH-induced chemical speciation on MP biotransformation  
75 seem plausible. If the enzymes responsible for biotransformation are extracellular, chemical spe-  
76 ciation could influence the interaction affinity between MPs and enzymes because enzymes often  
77 have high affinities for only one species of a given substrate. For example, ammonia monooxy-  
78 genase can utilize  $\text{NH}_3$  as a substrate but not  $\text{NH}_4^+$ , which is thought to explain the known  
79 pH-dependence of nitrification.<sup>21,22</sup> In the case of MP removal through oxidative transformation,  
80 the majority of enzymes are expected to be intracellular because of their dependence on enzy-  
81 matic co-factors and their coupling to the electron transfer chain. Thus, in the more likely case of  
82 intracellular biotransformation, chemical speciation might directly affect uptake efficiency since  
83 charged species are less likely to permeate cell membranes.<sup>23</sup> This mechanism is also commonly

84 used to explain observations of pH-dependent toxicity of ionizable compounds, for which it was  
85 shown that toxicity typically increases under pH conditions where the majority of the compound  
86 is present as neutral species.<sup>24</sup>

87 All three studies<sup>9-11</sup> that examine pH-dependent removal of ionizable MPs in WWTPs so far  
88 investigated MPs with neutral-anionic speciation. In those studies, a clear pH-dependence was  
89 observed, with increasing removal efficiencies at lower pHs where the neutral fraction of the MPs  
90 is higher. Although in the three studies additional experiments were conducted to account for  
91 sorption, it remained difficult to clearly disentangle the effects of pH-dependent biotransformation  
92 and sorption. All three studies concluded that the observed higher sorption affinities of the neutral  
93 species led to increased removal efficiencies. But only Tadkaew et al. (2010) explicitly considered  
94 sorption to sludge and subsequent withdrawal of excess sludge as the mechanism behind the  
95 observed pH-dependent removal. In contrast, Urase and Kikuta (2005) as well as Kimura et al.  
96 (2010) interpreted sorption as a necessary first step in the biotransformation process. Thus, they  
97 seemed to suggest that close proximity to the cells and subsequently, enhanced uptake into the  
98 cells explains the observed pH-dependence.

99 The difficulty in investigating the underlying mechanism of pH-dependent removal of MPs  
100 with neutral-anionic speciation is that the pH-dependence of sorption and the pH-dependence  
101 of permeation through the cell membrane are aligned; namely, both processes show increasing  
102 efficiencies at lower pH levels. In this study, we focused on MPs with cationic-neutral specia-  
103 tion instead for two reasons. First, to our knowledge, the effect of varying pH on the removal  
104 of these MPs has not been explored so far. Second, the expected different effects of pH on  
105 sorption and biotransformation of compounds with cationic-neutral speciation might help to dis-  
106 tinguish the contribution of these two processes on pH-dependent removal of ionizable MPs in  
107 general. The goal of our research was to gain a more mechanistic understanding of the effect  
108 of varying pH on the biotransformation of ionizable, polar MPs. Specifically, we hypothesize  
109 that the biotransformation of MPs with cationic-neutral speciation correlates with their degree  
110 of speciation due to increased uptake efficiency of the neutral species, resulting in an increased

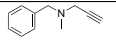
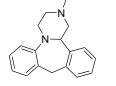
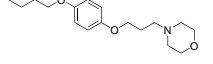
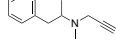
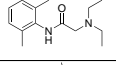
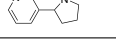
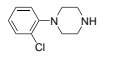
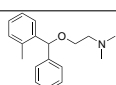
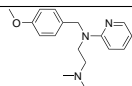
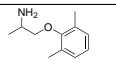
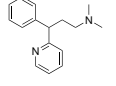
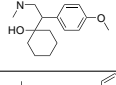
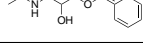
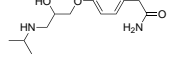
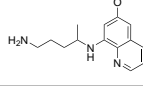
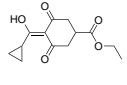
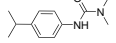
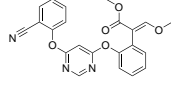
111 biotransformation efficiency at higher pH levels. To test this hypothesis, we first performed bio-  
112 transformation experiments, as well as sorption and abiotic control experiments, for 15 MPs with  
113 cationic-neutral speciation, two neutral MPs, and one MP with neutral-anionic speciation in a  
114 single activated sludge microbial community adjusted to three different pH levels. The latter  
115 three compounds were included to confirm that chemical speciation rather than a direct effect of  
116 pH on sludge viability and structure was the major reason for any observed pH-dependence. We  
117 then quantified the pH-dependence of the biotransformation rate constants corrected for abiotic  
118 and sorption processes for all 18 MPs, and finally discuss the results in light of the potential  
119 underlying mechanisms.

## 120 **Materials and Methods**

### 121 **Micropollutant Selection**

122 We selected 18 environmentally relevant MPs including 15 that undergo cationic-neutral speci-  
123 ation, one that undergoes neutral-anionic speciation, and two MPs that remain predominately  
124 neutral in the pH range investigated. Chemical structures as well as  $pK_a$  values are presented  
125 in Table 1. All MPs with cationic-neutral speciation contain an amine functional group. The  
126  $pK_a$  of seven of these MPs was in the usual range for aliphatic amines between 9.1 and 10.0  
127 (atenolol, mexiletine, pheniramine, primaquine, pyrilamine, propranolol, and venlafaxine). The  
128 other eight amines were selected to exhibit a lower  $pK_a$  in the range between 6.9 and 8.4 (1-  
129 (3-chlorophenyl)piperazine, deprenyl, lidocaine, mianserin, nicotine, orphenadrine, pargyline, and  
130 pramoxine). The MP with neutral-anionic speciation is trinexapac-ethyl. The two neutral MPs,  
131 azoxystrobin and isoproturon, were selected to control for direct effects of pH changes on the  
132 viability, structure, and sorption capacity of the activated sludge. MPs were purchased from Dr.  
133 Ehrenstorfer GmbH (Augsburg, Germany), Sigma-Aldrich Chemie GmbH (Buchs, Switzerland),  
134 Lipomed AG (Arlesheim, Switzerland), and Toronto Research Chemicals (Toronto, Canada).

Table 1: Compound ID, Compound Name, Structure,  $pK_a$  Values with Reference

	ID	Name	Structure	$pK_a$
cationic*	PAR	Pargyline		6.9 <sup>25</sup>
	MIA	Mianserin		6.9 <sup>26</sup>
	PRA	Pramoxine		7.1 <sup>26</sup>
	DEP	Deprenyl		7.5 <sup>27</sup>
	LID	Lidocaine		8.0 <sup>27</sup>
	NIC	Nicotine		8.2 <sup>27</sup>
	CHLO	1-(3-chlorophenyl) piperazine		8.4 <sup>28</sup>
	ORP	Orphenadrine		8.4 <sup>29</sup>
	PYR	Pyrilamine		9.1 <sup>27</sup>
	MEX	Mexiletine		9.1 <sup>30</sup>
	PHE	Pheniramine		9.3 <sup>25</sup>
	VEN	Venlafaxine		9.4 <sup>29</sup>
	PRO	Propranolol		9.6 <sup>27</sup>
	ATE	Atenolol		9.6 <sup>27</sup>
PRI	Primaquine		10.0 <sup>30</sup>	
anionic*	TRI	Trinexapac-ethyl		4.8 <sup>31</sup>
neutral	ISO	Isoproturon		
	AZO	Azoxystrobin		

\* Charge state of ionic species.

## 135 **Biotransformation Test System**

136 The experimental set-up for the biotransformation batch experiments was adopted from Helbling  
137 et al. (2010a).<sup>32</sup> pH adjustment was done as described in Wick et al. (2009).<sup>33</sup> The combined  
138 experimental set-up used in this study is given in the following. Activated sludge (2.5 L) was  
139 sampled from the nitrification basin of a half municipal and half industrial WWTP (ARA Neugut,  
140 Dübendorf, Switzerland) and was diluted with tap water (1 L), resulting in a TSS of approximately  
141 2 g<sub>SS</sub>/L (g<sub>SS</sub>: gram suspended solids). This sludge was used for three different experiments,  
142 namely the biotransformation experiments (BEs), the sorption control experiments (SEs), and  
143 the abiotic control experiments (AEs). Each experiment was conducted at three pH levels in  
144 triplicate.

145 For the BEs, reactors (100 mL amber Schott bottles) were filled with 50 mL activated sludge  
146 and stirred at 130 rpm on a multiple stir plate. Air or air/CO<sub>2</sub> (Carbagas, Gümligen, Switzerland)  
147 mixtures were distributed via lines that were connected with a Luerlock to a syringe tip (diameter:  
148 0.6 mm, length: 8 mm, Carl Roth AG). The syringe tip was inserted into one of two holes in  
149 the cap of the batch reactors and adjusted to near the bottom of the reactors. Stirring and  
150 bubbling air through the medium ensured continuous mixing and aeration. CO<sub>2</sub>(g) was mixed at  
151 different ratios with pressurized air using rotameters (Aalborg, Orangeburg, USA). The mixing  
152 ratios were adjusted manually to establish approximate pH values of 6 and 7 in the respective  
153 reactors. Bubbling of air without additional CO<sub>2</sub> was used in the remaining reactors to establish  
154 a pH value of approximately 8. BEs were started earliest one hour after pH adjustment and  
155 within six hours of activated sludge sampling. Then, 100 µL of a MP mix solution (50 mg/L  
156 each in methanol:ethanol:DMSO 16:3:1) were spiked into each batch reactor, resulting in final  
157 concentrations of 100 µg/L for each MP. Kern et al. (2010)<sup>34</sup> showed that biotransformation  
158 kinetics derived from similar batch experiments, in which the MP concentration was also roughly  
159 three orders of magnitude higher than in actual sewage, were appropriate to predict measured  
160 mass flows in actual WWTPs. Furthermore, we assume that by treating all reactors in the same  
161 way the comparison of kinetic parameters amongst pH values was still valid, even if the addition of



162 the organic carbon of the solvent or other batch reactor adjustments might have caused a shift of  
163 the microbial community and/or the introduction of the MPs as a mixture instead of single MPs  
164 might have altered individual rate constants. Triplicate time zero samples were taken within five  
165 minutes after spiking. Subsequent samples were withdrawn at approximately 2h, 4h, 8h, 1d (in  
166 triplicate), 1.5d, 2d, 3d, and 4d after the start of the experiment. At each time point, samples  
167 (approx. 1.5 mL) were withdrawn from the reactor with a 10 mL glass syringe, transferred  
168 to a centrifuge tube (1.7 mL Safeseal Microcentrifuge Tubes, Sorenson Bioscience, Inc.), and  
169 centrifuged for 10 minutes at approximately 13000 g (14000 rpm, ALC, micro centrifuge  
170 4214). The supernatants (0.5 mL) were transferred into 2 mL amber vials and stored between  
171 1 hour and 10 days at 4°C in the dark until analysis. One unspiked reactor at each pH level  
172 was used for preparing matrix-matched, pH-specific external calibration rows by adding 50 µL  
173 standard solutions (mixture of MPs at various concentrations in methanol) to 950 µL samples.  
174 Additionally, compensation of evaporated water was done and operational parameters, including  
175 pH, temperature, TSS, and oxygen uptake rates, were measured. Details on the methods and  
176 the results are given in Chapter S1 in the SI.

177 The SEs and AEs were processed in the same way as the BEs except for the following: For the  
178 SEs, reactors (100 mL amber Schott bottles) filled with 50 mL activated sludge were autoclaved  
179 twice (24 hours apart) at 121°C and 103 kPa for 20 minutes. Triplicate samples of each SE  
180 reactor were taken once, approximately two hours after the start of the experiment. For the AEs,  
181 reactors (100 mL amber Schott bottles) were filled with 50 mL activated sludge filtrate (sterile  
182 filter: 0.2 µm, Sartorius Stedium, Göttingen, Germany) and autoclaved in the same way. Samples  
183 were taken at 0h (in triplicate), 4h, 1d, 2d, and 3d after start. Additional reactors were used to  
184 prepare matrix-matched, pH-specific external calibration rows for the SEs and AEs at each pH  
185 level.

## 186 Analytical Method

187 For chemical analysis, reversed-phase liquid chromatography coupled to a high-resolution quadrupole  
188 orbitrap mass spectrometer (Qexactive, Thermo Scientific) was used. We adopted an analytical  
189 method from Kern et al. (2009)<sup>35</sup> and adjusted it. Details are reported in Chapter S2 in the  
190 SI. Briefly, sample separation was achieved by running a gradient of nanopure water (Barnstead  
191 Nanopure, Thermo Scientific) and methanol (HPLC-grade, Fisher Scientific), both augmented  
192 with 0.1% formic acid (98-100%, Merck), over a C18 Atlantis-T3 column (particle size 3  $\mu\text{m}$ ,  
193 3.0x150 mm, Waters). Detection was done by full scan acquisition (resolution of 70000 and scan  
194 range of 50-750  $m/z$ ) followed by three data-dependent MS/MS scans (resolution of 17500) in  
195 electrospray ionization positive-negative switch mode. A matrix blank and a matrix-matched,  
196 pH-specific external calibration row over a range from 5 to 100  $\mu\text{g/L}$  with six calibration points  
197 were measured prior to the sample series of the corresponding experiments. The lowest calibra-  
198 tion point of 5  $\mu\text{g/L}$  was treated as the limit of quantification (LOQ). The triplicate time zero  
199 samples of each reactor were used to calculate the method precision with respect to sampling and  
200 analysis. The relative recoveries were determined from the time zero samples of the AE reactors.

## 201 Estimation of Kinetic Parameters

202 In order to compare biotransformation rate constants for a given MP between different pH levels,  
203 the observed transformation rate constants were corrected for sorption and abiotic processes with  
204 help of the control experiments. To do so, a model describing the contribution of all three  
205 processes to the observed decrease of the aqueous concentration of individual MPs was adopted  
206 from Helbling et al. (2010b).<sup>36</sup>

$$C_{\text{aq}}(t) = C_{\text{aq}}(0) \exp[-f_{\text{aq}}(k_{\text{bio}}TSS + k_a)t] \quad (1)$$

207 where  $C_{\text{aq}}(0)$  is the initial aqueous concentration,  $k_{\text{bio}}$  the suspended solids concentration-  
208 normalized biotransformation rate constant of the dissolved compound fraction  $f_{\text{aq}}$ , and  $k_a$  the

209 abiotic transformation rate constant. For the sake of a simplicity, equation 1 is expressed with  
 210 the dissolved compound fraction  $f_{\text{aq}}$  instead of the sorption coefficient  $K_d$  of the original equa-  
 211 tion. The two parameters can be related to each other by considering the total suspended solids  
 212 concentration  $TSS$

$$K_d = \frac{1 - f_{\text{aq}}}{f_{\text{aq}} TSS} \quad (2)$$

213 with the fraction  $f_{\text{aq}}$  defined as

$$f_{\text{aq}} = \frac{C_{\text{aq}}}{C_t} \quad (3)$$

214 where  $C_t$  is the total concentration of the compound.

215 Because various sources of uncertainty had to be taken into account while estimating the  
 216 kinetic parameters, a Bayesian model allowing for a combination of information in the data with  
 217 prior knowledge about the parameters was constructed. Equation 1 was applied to model the  
 218 concentrations measured in the BEs and AEs according to equations 4 and 5.

$$C_{\text{aq}}^{p,e,r}(t) = C_{\text{aq}}^{p,e,r}(0) \exp[-\alpha^{p,e}t] + \epsilon^{p,e,r,t} \quad (4)$$

219 with

$$\alpha^{p,e} = \begin{cases} k_a^p & \text{if } e = \text{AE} \\ f_{\text{aq}}^p (k_{\text{bio}}^p TSS^p + k_a^p) & \text{if } e = \text{BE} \end{cases} \quad (5)$$

220 where the index  $e \in \{\text{BE}, \text{AE}\}$  distinguishes biotransformation and abiotic control experiments,  
 221  $p \in 6, 7, 8$  distinguishes the three pH-levels, and  $r \in 1, 2, 3$  the three replicates. For every pH-level,  
 222 values for  $k_{\text{bio}}^p$ ,  $k_a^p$ ,  $f_{\text{aq}}^p$ , and  $TSS^p$  were inferred across all replicates, while the initial concentration  
 223  $C_{\text{aq}}^{p,e,r}(0)$  was inferred separately for every single experiment to account for varying spike levels.  
 224 For further details see Chapter S4 in the SI.

225 The model was implemented in JAGS<sup>37</sup> version 3.4.0. JAGS provides Markov chain Monte  
 226 Carlo samples from the posterior distribution of the parameters. Five chains of 35000 samples

227 were generated of which the first 5000 were removed as “burn-in”, and thereafter every 10th  
228 sample saved for analysis. Each chain was visually inspected to check for convergence. Median  
229 as well as 5% and 95% percentile values were calculated for  $k_{\text{bio}}$ ,  $K_d$ , and  $k_a$  from sample values  
230 for each MP and each pH level. The resulting 90% intervals represent parametric, conceptual, and  
231 measurement errors. In order to estimate the quality of the fit, root-mean-square error (RMSE)  
232 values were calculated for each MP at each pH level.

## 233 **Results and Discussion**

### 234 **Operating Conditions in pH-Controlled Batch Experiments**

235 The average pH values for the three pH levels 6, 7, and 8 measured in triplicate BE reactors over  
236 time were  $6.3 \pm 0.3$ ,  $7.1 \pm 0.2$ , and  $8.1 \pm 0.2$ , respectively. For further analysis, these effective pH  
237 values were used, labeled as pH6, pH7, and pH8 (see Chapter S1 in the SI for more details). The  
238 oxygen uptake rates measured on the first day of the experiments at pH6, pH7, and pH8, were  
239  $-20.8 \pm 0.6$  mg/(L h),  $-44.8 \pm 1.0$  mg/(L h), and  $-40.8 \pm 1.1$  mg/(L h), respectively. The value at  
240 pH6 was approximately half of the values measured at pH7 and pH8. This indicates that the low  
241 pH may have directly affected the activity of at least some members of the microbial community.  
242 A strong reduction in activity below 6.7 is, for instance, well-described for nitrifying bacteria.<sup>38</sup>  
243 Therefore, in the following analysis, while the experimental data were analyzed for all pH levels,  
244 it needs to be kept in mind that  $k_{\text{bio}}$  values at pH6 might be biased towards low values. This  
245 point will be revisited when examining the pH-dependence of the  $k_{\text{bio}}$  values of the neutral control  
246 MPs.

### 247 **Concentration Time Series and Kinetic Parameter Estimation**

248 The concentration time series from the AEs, SEs, and BEs at the three different pH levels, as  
249 shown in Figure 1 for propranolol and for all test compounds in Figure S3-S8 in the SI, show  
250 good precision and agreement between replicates (for details on the method precisions and relative

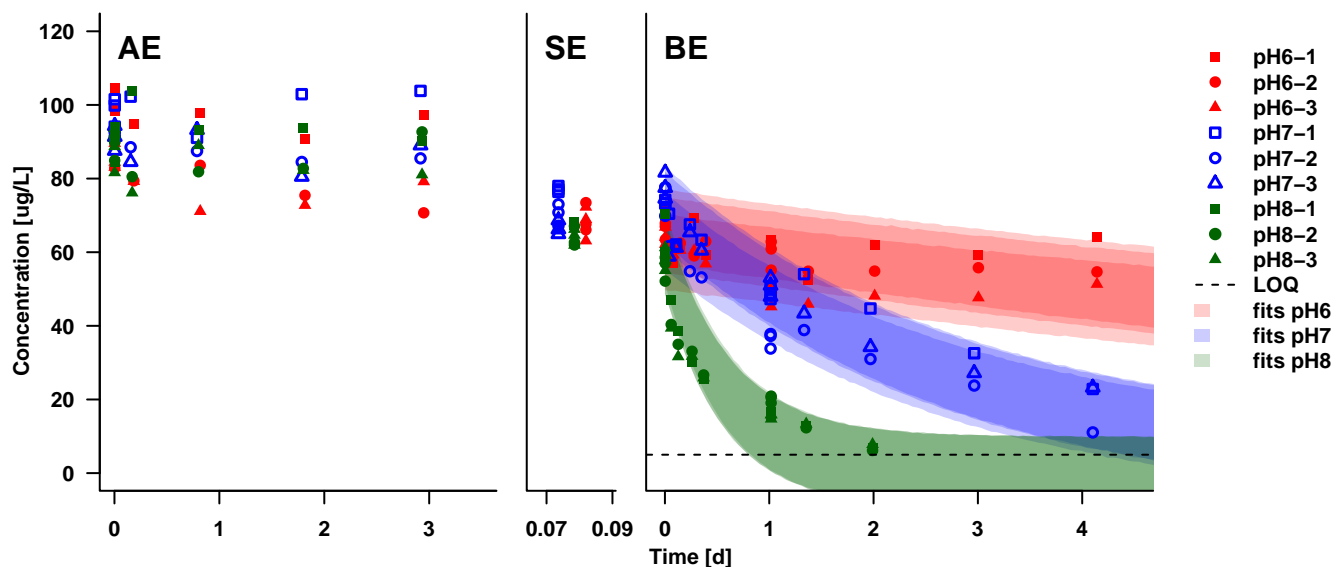


Figure 1: Concentration time series of the abiotic control experiments (AEs), the sorption control experiments (SEs), and the biotransformation experiments (BEs) for propranolol. Each experiment was conducted at pH6 (red symbols), pH7 (blue symbols), and pH8 (green symbols) in triplicate (squares, circles, or triangles). The dashed line at 5 µg/L indicates the limit of quantification (LOQ) below which all measurements were censored. The shaded areas show the 90% credibility intervals of the model fit to the biotransformation data. The intervals represent parametric, conceptual, and measurement errors and are shown for each replicate.

251 recoveries see Chapter S2.1 and S2.2, respectively, in the SI). The AE data for propranolol show  
252 hardly any disappearance over time, indicating little abiotic transformation. The same was true  
253 for all other MPs, except for pargyline and deprenyl (Figures S3 and S4 in the SI). As is the case  
254 for propranolol in Figure 1, the concentrations in the SE were in good agreement with the initial  
255 concentrations in the BE for most test compounds. This indicates that autoclaving the sludge  
256 did not notably change its sorption capacity for the test chemicals. Furthermore, no noticeable  
257 differences in the SE concentrations at different pH levels is visible, indicating pH-independence  
258 of sorption. As for propranolol, the BE concentration time series of most MPs are clearly different  
259 at the three pH levels indicating a pH-dependence of biotransformation efficiency. This was more  
260 quantitatively evaluated by kinetic parameter estimation.

261 Modeling the concentration data by Bayesian inference was successful for all MPs. The  
262 quality of the fits can be assessed based on the 90% intervals indicated as shaded areas in the BE  
263 graphs (see Figure 1 and Figures S3-S8 in the SI), and by the average deviation of the predicted  
264 concentration values from the measured ones, which is discussed in chapter S4.1 in the SI .  
265 The kinetic parameter estimation yielded median, 5%, and 95% percentile values for  $k_{\text{bio}}$  and  
266  $K_{\text{d}}$ , which are illustrated for all MPs in Figure 2 and are listed in Tables S8 and S9 in the SI,  
267 respectively.  $k_{\text{a}}$  values are given in Table S10 in the SI.

268 As can be seen from Figure 2a,  $k_{\text{bio}}$  values increased with increasing pH for cationic-neutral  
269 MPs, except for mianserin and nicotine, and decreased with increasing pH for the neutral-anionic  
270 compound trinexapac-ethyl. For a more precise assessment, we defined the effect of pH as  
271 significant if the probability for an increase in  $k_{\text{bio}}$  between adjacent values for cationic-neutral  
272 MPs or a decrease in  $k_{\text{bio}}$  between adjacent values for anionic-neutral MPs was greater than 97%.  
273 For neutral MPs both possibilities were tested to assess significance. In Figure 2a, significant  
274 differences in  $k_{\text{bio}}$  between adjacent pH levels are marked with asterisks. In total, the effect of pH  
275 was significant for 23 out of 32  $k_{\text{bio}}$  possible cases. By comparing the pH trend of the  $k_{\text{bio}}$  values  
276 with the trend of the neutral fraction ( $f_{\text{n}}$ ), a qualitative correlation of the rate constants with the  
277 neutral fraction is clearly apparent. This finding is corroborated by the results for the two neutral

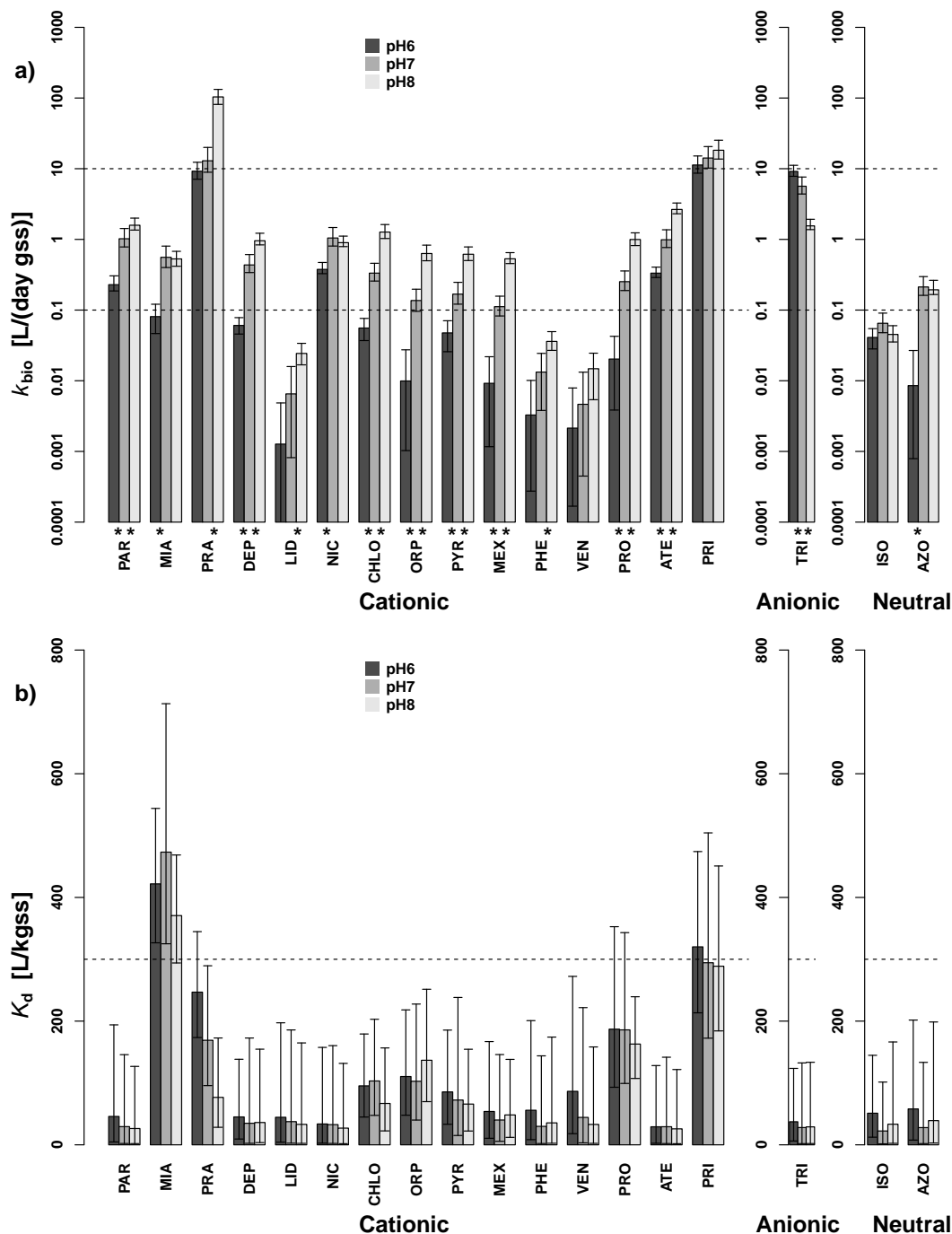


Figure 2: Comparison of (a) biotransformation rate constants ( $k_{bio}$ ) and (b) sorption coefficients ( $K_d$ ) at pH6, pH7, and pH8. Cationic-neutral micropollutants (MPs) are ordered in order of increasing  $pK_a$ , followed by the neutral-anionic and the two neutral MPs. The error bars represent the 90% credibility intervals. The asterisks in (a) indicate a significant change of  $k_{bio}$  values between adjacent pH-levels (see main text for definition). The dashed lines in (a) represent a classification scheme proposed in<sup>39</sup>, wherein  $k_{bio} > 10$  L/(g<sub>SS</sub> days) convert to significant removal (>90%),  $k_{bio} < 0.1$  L/(g<sub>SS</sub> days) convert to no removal (<20%), and  $k_{bio}$  values in-between convert to moderate removal of MPs in conventional wastewater treatment plants (WWTPs). The dashed line in (b) represents a limit proposed in<sup>20</sup>, wherein for  $K_d$  below 300 L/kg<sub>SS</sub> no removal through sorption to sludge and subsequent sludge withdrawal is expected in conventional WWTPs.

278 MPs, for which the effect of pH on the  $k_{\text{bio}}$  values was not significant for three out of four pH  
279 changes. The only significant change was observed for azoxystrobin at pH6. This is in line with  
280 the observed reduced oxygen uptake rate at pH6, indicating a potential bias towards low  $k_{\text{bio}}$  due  
281 to a reduced microbial activity at pH6, which might affect certain biotransformation pathways  
282 such as the one of azoxystrobin. Due to this uncertainty at pH6, the following quantitative  
283 interpretation of the observed pH-dependence was restricted to pH7 and pH8, which is also the  
284 more relevant range for pH values commonly present in activated sludge systems at WWTPs.

### 285 **Interpretation of pH-Dependence of Biotransformation Rate Constants**

286 The simplest explanation for the qualitative correlation of  $k_{\text{bio}}$  with the neutral fraction of the  
287 ionizable MPs is that charged compounds are inhibited from permeating through the cell mem-  
288 branes and therefore the uptake into the cell is dominated by the uncharged species. This leads  
289 to a change in uptake efficiency as a function of pH and hence an apparent pH-dependence of  
290 the observed  $k_{\text{bio}}$  values. Thus, we analyzed the data under the following simple assumptions:  
291 i) pH- and  $pK_a$ -dependent speciation in the bulk aqueous phase is established instantaneously  
292 after addition of the test compounds; ii) only the neutral species permeates the cell membranes;  
293 iii) permeation equilibration is fast compared to biotransformation in the cell, which is the rate-  
294 determining step; and iv) the enzymatic transformation within the cell is independent of the  
295 external pH. Hence, the resulting  $k_{\text{bio}}$  values measured in the bulk aqueous phase are a function  
296 of the neutral fraction in the bulk phase,  $f_n$ , and the internal biotransformation rate constant,  $k_{\text{int}}$   
297 as described in equation 6 for MPs with cationic-neutral speciation (an analogous calculation was  
298 done for the neutral-anionic MP).

$$k_{\text{bio}}(pH) = k_{\text{int}} f_n = \frac{k_{\text{int}}}{(1 + 10^{pK_a - pH})} \quad (6)$$

299 To compare this simple speciation model against our measured data, we examined the ra-  
300 tios of  $k_{\text{bio}}$  values measured at pH7 and pH8 since they are independent of the actual internal



301 biotransformation rate constant (equation 7).

$$ratio = \frac{k_{bio}(pH8)}{k_{bio}(pH7)} = \frac{(1 + 10^{pK_a - pH7})}{(1 + 10^{pK_a - pH8})} \quad (7)$$

Figure 3 shows the comparison of predicted and experimentally determined ratios. The error bars

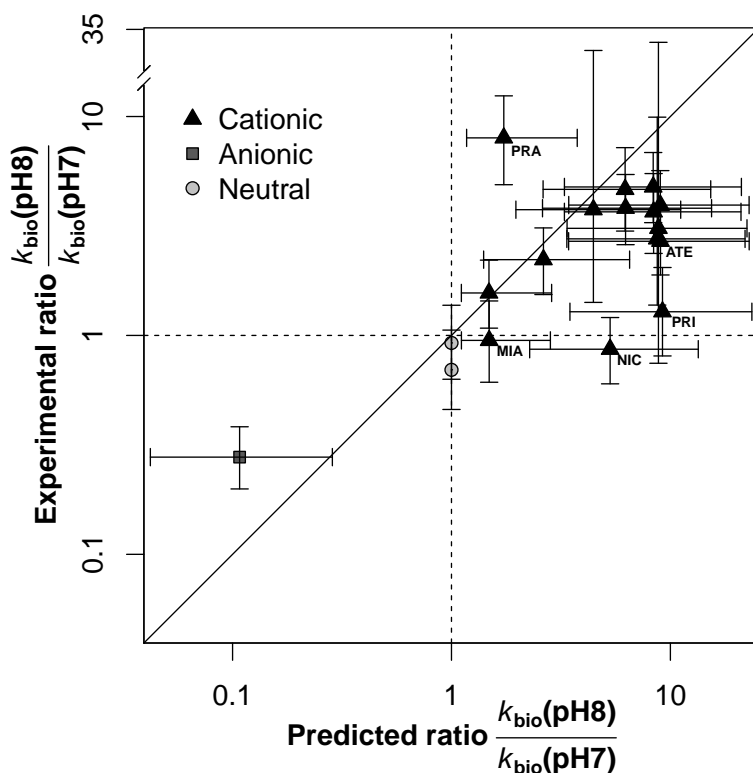


Figure 3: Ratios between the biotransformation rate constants ( $k_{bio}$ ) at pH8 and at pH7 as determined experimentally and predicted for all micropollutants (MPs). The predictions were based on the assumption that only the neutral fraction in the bulk aqueous phase permeates through cell membranes and is therefore biotransformed. The dashed lines separate MPs with  $k_{bio}$  values that are decreasing (ratios < 1) and increasing (ratios > 1) from pH7 to pH8. The 1:1-line indicates a perfect match between the predicted and experimental ratios. The error bars represent the 90% credibility intervals of the ratios. The credibility intervals of the five labeled MPs (PRA, MIA, NIC, PRI, ATE) do not overlap with the 1:1-line.

302

303 represent the 90% credibility intervals, which were calculated for the predicted ratios by Monte

304 Carlo Simulation, i.e., by evaluating equation 7 15,000 times with randomly sampled values for

305  $pK_a$  and pH. For  $pK_a$ , a normal distribution around its literature value with a standard deviation

306 of 0.3 was assumed, and the pH distribution was modeled as a normal distribution with mean

307 and standard deviation as determined experimentally. Because the effective pH values between  
308 pH7 and pH8 differ by exactly one unit, the predicted ratios (shown on the x-axis of Figure 3)  
309 can only vary from 1 to 10 for the cationic-neutral MPs, from 0.1 to 1 for the neutral-anionic  
310 MP, and are expected to be 1 for the neutral MPs.

311 As can be seen from Figure 3, the 90% credibility intervals of 13 out of 18 compounds overlap  
312 with the 1:1-line, indicating considerable agreement between the experimental and predicted ratios  
313 of  $k_{\text{bio}}$  between pH7 and pH8. The five MPs that do not overlap with the 1:1-line are mianserin,  
314 pramoxine, nicotine, atenolol, and primaquine. Pramoxine is the only cationic-neutral MP where  
315 the ratio of  $k_{\text{bio}}$  between pH7 and pH8 is considerably underpredicted by the simple speciation  
316 model. Pramoxine possesses a comparably small  $\text{p}K_{\text{a}}$  value of 7.1 and is therefore already partially  
317 neutral at pH7. Thus, a rather small increase in  $k_{\text{bio}}$  from pH7 to pH8 was expected. However,  
318 the measured increase was one of the largest and no obvious explanation was found for this  
319 observation. For all other MPs with cationic-neutral speciation, the experimentally determined  
320 ratio tend towards lower values than the simple speciation model predicts. This is most evident  
321 for the remaining four outliers. The opposite trend is observed for the

322 neutral-anionic MP trinexepac-ethyl, which shows a higher experimental ratio compared to  
323 the predicted ratios. This means that the simple model is overpredicting the effect of speciation.  
324 In other words, the predicted increase in the MPs' degree of speciation in the bulk aqueous  
325 phase was more than the observed pH-dependence of the rate constants. Furthermore, when  
326 analyzing the relative difference between the predicted and observed ratio of  $k_{\text{bio}}$  at pH7 and  
327 pH8 as a function of  $\text{p}K_{\text{a}}$  (see Figure S9 in the SI), we observe increasing relative differences  
328 with increasing  $\text{p}K_{\text{a}}$ . This suggests that, most likely, the contribution of the ionic species to the  
329 observed  $k_{\text{bio}}$  values is underestimated by the simple speciation model. A similar attenuation of  
330 the observed effect compared to the degree of speciation has also been observed in other studies  
331 investigating the uptake of ionizable compounds into cells.<sup>40–42</sup>

332 Thus, the simple speciation model seems to neglect some relevant mechanisms. We ac-  
333 knowledge that some of the assumptions in our speciation model are rather simplistic and the

334 mechanisms are known to be more complex. Specifically, with respect to assumption ii), charged  
335 compounds are also known to permeate cell membranes, but to a lesser extent than the neutral  
336 species.<sup>23</sup> As to assumption iii), it is discussed that prior to diffusion through the membrane  
337 molecules have to diffuse through an unstirred water layer; this step may be part of the rate-  
338 determining step and may be similarly fast for neutral and charged species.<sup>40</sup> Regarding assump-  
339 tion iv), the transformation within the cell might not be fully independent of the external pH.<sup>43</sup>  
340 While all of these mechanisms can attenuate the effect of a MP's degree of speciation on its  
341 biotransformation, our data are currently not sufficient to determine which of these processes  
342 occur and how much they contribute.

### 343 **Interpretation of Sorption Coefficients**

344 Although the SEs were designed as controls to correct the  $k_{bio}$  values for sorption, we also used  
345 them to investigate the potential contribution of sorption to pH-dependent removal of ionizable,  
346 polar MPs at the scale of conventional WWTPs. Therefore, we examined the estimated  $K_d$   
347 values as shown in Figure 2b and listed in Table S9 in the SI. As can be seen in Figure 2b,  
348 the 90% credibility intervals between the different pH levels overlap for all MPs and the median  
349 values typically differ by less than a factor of 2. Two conclusions can be drawn based on this  
350 observation. First, the lack of pH-dependent sorption affinities for the seven MPs that have a  
351  $pK_a > 9$  and are therefore nearly completely (>90%) positively charged at all pH levels indicates  
352 that the possible increased protonation of the sludge organic matter at lower pH does not have a  
353 relevant influence on the sorption behavior of cationic amines. Second, the lack of pH-dependent  
354 sorption affinities for the five MPs that have a  $pK_a \leq 8$  and hence experience substantial changes  
355 in speciation over the three pH levels indicates that the sorption affinity of the charged species  
356 does not differ considerably from that of their corresponding neutral species.

357 A similar observation was also made by Droge and Goss, who examined the effect of pH on  
358 the sorption affinities of compounds with cationic-neutral speciation to natural organic matter  
359 at different electrolyte compositions.<sup>13</sup> They observed close to pH-independent sorption affinities

360 if the ionic strength (150 mM) and especially the concentration of divalent inorganic cations  
361 (50 mM  $\text{CaCl}_2$ ) was high. Ionic strength was lower in our experiments at about 15 mM with a  
362 concentration of divalent inorganic cations of about 3.5 mM. However, since the type of solid  
363 organic matter and the test compounds were also different in our experiment, it remains difficult  
364 to argue whether the ionic composition can rationalize the observed lack of pH-dependence.

365 Generally, we observed low sorption coefficients for our test compounds. The  $K_d$  values for 16  
366 out of 18 investigated MPs were below 300 L/kg, which is considered the lower limit for sorption to  
367 be a relevant removal process at the scale of conventional WWTPs.<sup>20</sup> However, these values need  
368 to be treated with caution since our experiments were carried out with MP concentrations that  
369 were roughly three orders of magnitude higher than those typically found in WWTPs. Therefore,  
370 our  $K_d$  values are expected to be lower than those observed under more realistic conditions. In  
371 conclusion, while our more hydrophobic test compounds could experience some removal due to  
372 sorption to sludge and subsequent withdrawal of excess sludge at the WWTP, our data suggest  
373 that such a removal by sorption would not show a notable pH-dependence.

## 374 **Environmental Relevance**

375 Regarding the removal of ionizable organic MPs in the activated sludge system of a full-scale  
376 WWTP, our results highlight some important aspects. First, measured sorption coefficients  
377 were typically small indicating that any pH-dependent removal of polar, ionizable organic MPs  
378 during activated sludge treatment is more likely an effect of pH-dependent biotransformation  
379 than of pH-dependent sorption. This stands in contrast to previous suggestions that variations  
380 in sorption to sludge explain pH-dependent removal of MPs with neutral-anionic speciation.<sup>9–11</sup>  
381 Second, biotransformation rate constants did qualitatively correlate with the neutral fraction of  
382 the analyzed MPs, but the pH-dependence was not as strong as predicted with a simple speciation  
383 model, which assumes that only the neutral species permeate through cell membranes and are  
384 therefore biotransformed. Thus, our understanding of the process is not yet sufficient to suggest  
385 re-calculating rates observed at one pH value to other pH values. Nevertheless, it can be expected

386 that the qualitative trend of pH-dependent biotransformation of ionizable MPs is reflected in  
387 their removal efficiencies. Thus, a one-unit pH increase could promote MPs with cationic-neutral  
388 speciation from showing no removal to showing moderate removal (see the classification limits  
389 proposed by Joss et al. (2006) in Figure 2<sup>39</sup>). Since close to 50% of pharmaceuticals contain  
390 ionizable functional groups in the relevant  $pK_a$  range, the observed variability in the removal of  
391 pharmaceuticals and other ionizable MPs during activated sludge treatment could be partially  
392 caused by pH-dependent biotransformation. Therefore, pH-dependent biotransformation should  
393 be considered along with other possible factors such as other operating parameters of WWTPs  
394 or sludge community composition when interpreting variability in the removal of ionizable organic  
395 MPs across different WWTPs.

## 396 **Acknowledgment**

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## 400 **Supporting Information**

401 Details on the biotransformation test systems; measurement methods and results for operational  
402 parameters, including pH, temperature, total suspended solids concentration, and oxygen up-  
403 take rate; details on the analytical method, including method precision and relative recovery;  
404 concentration time series of all investigated micropollutants; details on the estimation of kinetic  
405 parameters including root-mean-square errors of model fits, estimated biotransformation rate  
406 constants, estimated sorption coefficients, and estimated abiotic rate constants; and details on  
407 the interpretation of the pH-dependence of biotransformation. This material is available free of  
408 charge via the Internet at <http://pubs.acs.org>.

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