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# 1 Decreased UV absorbance as an indicator of micropollutant removal

# 2 efficiency in wastewater treated with ozone

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## **ABSTRACT**

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- 8 Ozone transforms various organic compounds which absorb light within the UV and visible
- 9 spectra. UV absorbance can therefore be used to detect the transformation of chemicals during
- ozonation. In wastewater, decolourisation can be observed after ozonation. This study
- investigates the correlation of the UV absorbance difference between the ozonation inlet and
- outlet and the removal efficiency of micropollutants in wastewater. The absorbance at 254nm
- and 366nm was measured at the ozonation inlet and outlet, as was the concentration of 24
- 14 representative micropollutants and the DOC. The results clearly showed that the relative
- decrease of absorbance ( $\Delta$ Abs) is positively correlated with the relative removal efficiency of
- micropollutants. We therefore suggest that UV absorbance can be used as a feedback control
- parameter to achieve optimal ozone dosage in wastewater treatment plants and to gain a fast
- insight into the process efficiency and stability of the ozonation.
- 19 **Keywords:** Optimized ozone dosage, control strategy for ozone dosage, micropollutants,
- wastewater treatment plant, feedback control

#### INTRODUCTION

- 22 The use of various pharmaceuticals, cleaning agents, pesticides and cosmetics has increased in
- 23 recent years. These substances, henceforth called micropollutants, reach surface water bodies in
- 24 large amounts, as not all of them are completely biologically degradable in today's municipal
- wastewater treatment plants (WWTPs). Micropollutants can have negative effects on the
- ecosystem, such as the hormone-active substances which disturb the reproduction of fish
- 27 (Routledge et al., 1998; Kidd et al., 2007). In 2014, the Swiss parliament agreed to upgrade
- 28 selected WWTPs with an additional step to remove micropollutants, and the Swiss water

29 protection law was changed accordingly. The new law will become effective in 2016. 30 Following this change, about a hundred Swiss WWTPs will have to add a further treatment step 31 such as ozonation or powdered activated carbon (PAC) to remove micropollutants. The exact 32 formulation of the legislation and the requirements for elimination efficiency are still being 33 intensively discussed. A possible strategy which is still under discussion lists 12 indicator 34 substances (five out of these 12 are defined for each plant depending on local wastewater 35 characteristics and the selected process) which have to be eliminated by 80% over the whole 36 wastewater treatment process (mechanical, biological and post-treatment). The preliminary 37 indicator substances selected are Carbamazepine, Diclofenac, Sulfamethoxazole, Benzotriazole 38 and Mecoprop. 39 Many studies have been conducted to identify the elimination potential of ozonation and PAC 40 (Hollender, 2009; Boehler, 2012; Flyborg et al., 2010; Löwenberg, 2014; Kovalova, 2013); 41 however, there are still some open questions for their full-scale operation in WWTPs. One big 42 issue is the optimal control strategy for ozone dosage requiring minimum maintenance which 43 gives parallel in-time insights into the process behaviour and micropollutant elimination. 44 The analytical analysis of micropollutants, such as the above mentioned indicator substances, is 45 time-consuming and cost-intensive. Regular control of ozone dosage based on such results is 46 consequently not realistic. Cantonal authorities will need to use the analytical analysis of 47 several samples over the year to check the compliance of treatment plants with the future water 48 protection law. However, as discussed above, continuous monitoring of the removal efficiency 49 and control of the ozone dosage requires online measurement. Ozone reacts with light-50 absorbing unsaturated and various organic substances (within the UV and visible spectra), 51 which leads to a decrease of absorbance ( $\Delta Abs$ ). Establishing a correlation between  $\Delta Abs$  and 52 the micropollutant removal efficiency would therefore allow online identification of the 53 relevant process behaviour in an ozone reactor. However, different micropollutants react 54 differently with ozone and thus show a different correlation to  $\Delta Abs$ . We therefore examined 55 the removal efficiency of 24 substances with various ozone reactivities. 56 As UV absorbance is a relatively simple and stable measurement, it seems to be a promising 57 parameter for identifying the efficiency and behaviour of the ozonation process in wastewater 58 treatment. Nanoboina and Korshin (2010) and Gerrity et al. (2012) give a good insight into the 59 correlation between the decrease of UV absorbance and the ozone dosage or the elimination of 60 micropollutants respectively. Nanoboina and Korshin (2010) used wastewater from Seattle and 61 carried out lab experiments, and Gerrity et al. (2012) did likewise with wastewater samples

- from all over the world: however, they added micropollutants to the wastewater they studied.
- To identify the influence of the wastewater matrix and the removal efficiencies of realistic
- 64 micropollutant mixtures, we used different Swiss wastewater samples without spiking
- 65 micropollutants in batch experiments. To identify the potential for full-scale plants, we
- additionally carried out tests using a semi-technical pilot plant with online UV measurements.

## **METHODS**

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## **Laboratory Batch Experiments**

- A total of three 24-h composite samples of effluent wastewater from three different WWTPs
- were used for the laboratory batch experiments. The characteristics of the three analysed
- 71 wastewater sample are shown in *Table 1* below.

Table 1: Characteristics of three different wastewater samples used for the batch experiments (\*SC: Secondary
Clarifier, \*\*SF: Sand filter)

		DOC [ mg/L ]	Nitrite [ mg NO <sub>2</sub> -N/L ]	pH [ - ]	Sampling point
Α	WWTP Eawag	7.3	< 0.6	8.3	After SC*
В	WWTP Werdhölzli	9.2	< 0.6	8.1	After SC* and SF**
С	WWTP Uster	4.3	< 0.6	8.0	After SC*

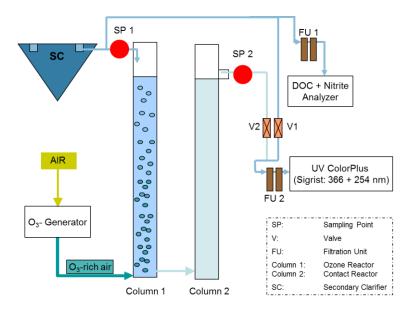
- 74 To optimize the UV-VIS spectra measurement, the samples were filtered using filters with a
- pore size of 0.45µm (Whatman GF/G). The three samples were treated with different ozone
- dosages at approximately 0.3, 0.6, 0.9 and 1.2 g O<sub>3</sub>/g DOC. After the ozone had been applied,
- the samples were stirred for 30 minutes. The UV-VIS spectra before and after treatment were
- 78 measured with a Carry 100 scan spectrometer (Varian).

## **Semi-Technical Pilot Plant Experiments**

- 80 An ozonation pilot plant was installed directly after the secondary clarifier (SC) of the WWTP
- 81 Eawag (A). Figure 1 shows a schematic of the installed pilot plant. Ozone was produced on-site
- with an ozone generator (Sander; Ozonisator S1000). Pressurized air was enriched with ozone
- and then injected into a first reactor column in counter-current flow with the treated wastewater
- as the influent. A second column was attached to achieve a sufficient hydraulic residence time
- 85 for the ozone to react completely before the treated wastewater left the system. The transferred
- ozone dose was calculated from the difference between the applied dose and the ozone in the
- off-gas (ozone transfer efficiency 66–78%). The absorbance was measured in the influent at

the first column (SP1) and the effluent of the second column (SP2) at wavelengths of 254 nm and 366 nm (Sigrist Photometer ColorPlus).

A  $0.5\mu m$  filter (Unifil AG; GF9-3/4-PP-0,5) was mounted in front of the UV measurement device. After a certain ozone dosage had been set by adjusting the capacity of the ozone generator (0.1, 0.3, 0.6, 0.9 g O<sub>3</sub>/g DOC), the pilot plant ran for 3.5h to achieve a steady state. The hydraulic retention time in the two columns was 30 minutes at a water flow of 30 L/h. Grab samples were taken at SP1 and SP2 after 3.5h and 4h of the experiment runtime respectively. To test the maintenance and measurement stability of the online UV measurement, different filters (pore size 0.5, 1, 5, 10, 20 and 50  $\mu m$ ) were used. The main focus was on the evaluation of filter blockages and on the cleaning interval of the measurement cell.



**Figure 1:** Flow scheme of the ozonation pilot plant at WWTP Eawag (A).

#### Sample preparation and chemical analysis of micropollutants

The samples were stored at -20°C in the dark. Filtered (0.7 $\mu$ m, Whatman GF/F) samples were spiked with internal standards before measurement. The analysis was conducted with an online SPE-LC/MS/MS method described by Kovalova et al., 2012. Isolute ENV+ and Oasis HLB sorbent were used for online enrichment. The samples from the online SPE were eluted with methanol. The methanolic extract was mixed with formic acid 0.1% (v/v) to form the chromatographic gradient. Separation was carried out by an HPLC column (Atlantis T3, 150 × 3 mm, 3  $\mu$ m, Waters). A triple quadrupole mass spectrometer (TSQ Quantum Ultra, Thermo Fisher Scientific) was used for the measurements. The quantification limits and relative

recoveries were determined by spiking samples (see Table S1 and S2 in the SI). The uncertainty range of most of the substances was  $\pm -20\%$ .

## **RESULTS AND DISCUSSION**

#### **UV ABSORBANCE**

In *Figure 2* the UV-VIS spectra of the treated wastewater from the three different WWTPs are shown. The absolute absorbance of wastewater differed between the WWTPs. Among the unfiltered samples, that from WWTP Werdhölzli showed the lowest absorbance. This sample was taken after the secondary clarifier including a sand filtration, whereas at the other two WWTPs the samples were taken directly after the secondary clarifier. The wastewater matrix from different WWTPs may also differ depending on their composition. A clear decrease of absorbance due to filtration could be observed. The smallest decrease occurred at WWTP Werdhölzli.

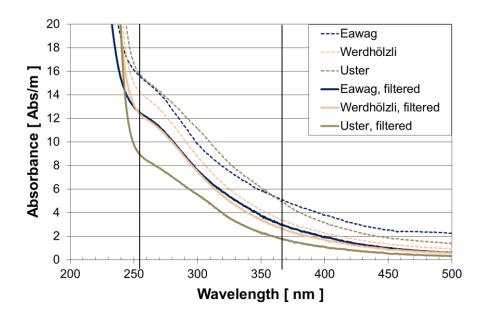


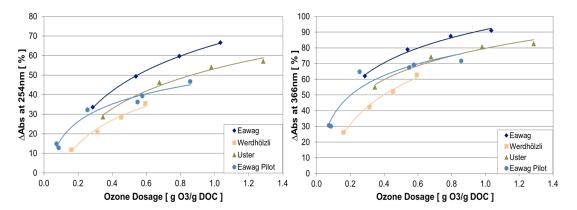
Figure 2: UV-VIS spectra of wastewater effluents (unfiltered and filtered) from three different WWTPs.

The online UV absorbance measurements in the pilot plant were relatively easy to implement. The device used during the pilot tests (UV-ColorPlus from Sigrist AG) was simple to handle and pre-filtration of the wastewater achieved optimal signal and maintenance performance. The main challenges for maintenance arose from the filtration, the cleaning of the measurement cell, and the calibration. The photometric measurement is sensitive to disturbances such as those from small particles remaining in the wastewater after SC. The water must consequently be

filtered before entering the measurement device. The larger the filter pore size, the more frequently the cell must be cleaned but the filter can be used for longer before being replaced. From the tests with the pilot plant, a filter with 50  $\mu$ m pores shows very good filtering behaviour and did not block within two months. However, the cell had to be cleaned every week, and cleaning is expected to be even more frequent for the measurement device in the ozonation outlet, as faster biofilm growth was observed. An automatic filter with backwash possibilities could offer a solution here, and a second pre-filter step (20  $\mu$ m) could be included.

# **DECREASE OF UV ABSORBANCE VS. OZONE DOSAGE**

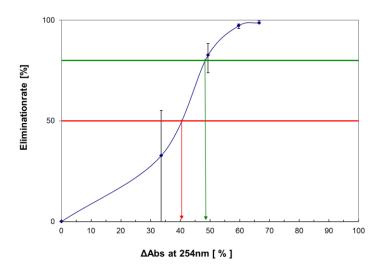
The results for the absorbance differences between the influent and effluent of the ozonation ( $\Delta Abs$ ) at 254 nm and 366 nm for different ozone dosages are shown in Figure 3. The higher the ozone dosage, the greater the  $\Delta Abs$ . The recommended ozone dosage for a full-scale WWTP is in the range from 0.6 to  $1gO_3/g$  DOC. In this range, measurements at 254 nm are more sensitive than at 366 nm, as the  $\Delta Abs$  slope is steeper. Similar correlations between  $\Delta Abs$  and ozone dosage were observed for all the wastewater samples tested.



**Figure 3:** Correlation of  $\triangle Abs$  with ozone dosage for different wastewaters.

#### DECREASE OF UV ABSORBANCE VS. REMOVAL OF MICROPOLLUTANTS

The elimination rates of micropollutants at different ozone dosages are presented in the SI in Section 3. *Figure 4* shows the relationship between the elimination of Benzotriazole and  $\Delta Abs$  at different ozone dosages. Most tested substances showed similar behaviour, with a steep increase of the elimination rate within a certain range of absorbance.  $\Delta Abs$  was determined graphically at 50 and 80% elimination for Benzotriazole and the other compounds from these curves as shown in *Figure 4*. The corresponding values are given in *Table 2* (the values for 366 nm are given in SI Table S5).



**Figure 4:** Correlation between the elimination rate of Benzotriazole and  $\Delta Abs$  at 254 nm observed during lab experiments with wastewater from WWTP Eawag at different ozone dosages (0.3, 0.55, 0.8 and 1.05 g O3/g DOC).

**Table 2:** Relative decrease of absorbance at 254 nm for 50 and 80% elimination of selected micropollutants in different wastewaters (A: Eawag, B: Werdhölzli, C: Uster). Literature values from \*Gerrity et al., (2012) and \*\*Nanoboina and Korshin (2010). (x) no measurement for this substance available; (-) the planned degree of elimination was not reached with the applied ozone dosages and therefore no value for  $\Delta Abs$  is available.

	ΔAbs at 254 nm for 50% MP elimination					
	Lab-exp			Pilot- exp	Literature	
	Α	В	С	Α	Gerrity <sup>*</sup>	Nanoboina**
	ΔAbs [ % ]					
Atenolol	24	-	42	25	20	25
Atenolol acid	26	-	47	24	Х	х
Benzotriazole	41	-	-	41	Х	х
Bezafibrate	37	-	-	Х	Х	х
Carbamazepine	17	17	16	20	12	13
Clarithomycin	18	15	16	8	Х	х
Diclofenac	17	13	15	13	10	12
Fluconazole	Х	Х	Х	44	Х	х

for 80% MP elimination							
L	.ab-ex	р	Pilot- exp	Literature			
Α	В	С	Eawag	Gerrity <sup>*</sup>			
	ΔAbs [ % ]						
39	-	52	34	38			
40	-	55	35	Х			
49	ı	1	45	Х			
60	ı	1	Х	Х			
27	33	27	28	20			
30	33	28	13	Х			
27	30	25	23	20			
Х	Х	Х	-	Х			

ΔAbs at 254 nm

Gabapentin	49	-	-	40	х	х	60	-	-
Hydrochlorothiazide	16	-	33	Х	Х	х	27	-	45
Levetiracetam	57	-	-	44	х	х	67	-	-
Mefenamic acid	17	8	15	8	х	х	28	24	25
Methylbenzotriazole	30	-	44	28	х	х	56	-	-
Metoprolol	22	-	42	24	х	х	37	-	52
Metronidazole	Х	Х	Х	40	х	х	Х	Х	Х
Oxazepam	Х	Х	Х	32	х	х	Х	Х	Х
Primidone	44	-	54	40	38	х	-	-	-
Propranolol	17	13	16	14	х	13	28	20	27
Ritalinic acid	Х	Х	Х	31	х	х	Х	Х	Х
Sucralose	Х	Х	Х	47	х	х	Х	Х	Х
Sulfamethoxazole	24	20	23	25	15	12	40	-	47
Trimethoprim	16	14	17	18	10	12	27	25	29
Valsartan	45	-	45	32	Х	х	58	-	-
Venlafaxin	17	32	33	20	Х	х	27	-	46

60	-	-	45	Х
27	-	45	х	Х
67	-	-	-	Х
28	24	25	13	Х
56	-	-	42	Х
37	-	52	33	Х
Х	Х	Х	45	Х
Х	Х	Х	45	Х
-	-	•	45	42
28	20	27	27	Х
Х	Х	Х	46	Х
Х	Х	Х	-	Х
40	-	47	44	21
27	25	29	28	20
58	-	-	45	Х
27	-	46	28	Х

The results showed that the absorbance characteristics vary greatly for different wastewaters and compounds. Quite a few compounds (e.g. Atenolol, Metoprolol and Venlafaxin) need  $\Delta Abs$  to be up to twice as high for a removal efficiency of 50% in the wastewater of WWTP C. The correlation between  $\Delta Abs$  and the removal of selected substances at different ozone dosages must therefore be identified for each wastewater sample separately in order to define the specific range of  $\Delta Abs$  in which the ozonation process should be conducted.

#### **CONTROL STRATEGIES**

Benzotriazole is one of the current indicator substances showing the lowest elimination rates during ozonation. Depending on the elimination occurring during mechanical and biological treatment, which can be up to 40% for Benzotriazole, the elimination by ozonation should be defined to achieve a quality goal of 80%. In WWTP A, where 40% elimination without ozonation is achieved, a required additional elimination of 50% during ozonation would lead to a required absorbance decrease of 41% at a wavelength of 254 nm and of 70% at 366 nm.

#### **MONITORING**

This study clearly showed the benefits of this simple measurement. The decrease of absorbance can be used to evaluate the efficiency of the ozonation process. As soon as the correlation between  $\Delta A$ bs and the removal of micropollutants in a specific wastewater is known, the elimination process can be monitored and predictions of the achieved water quality can be made. Besides these benefits, the online inlet and outlet measurements of the absorbance should

180	allow an innovative feedback control $^1$ of the ozonation. A stable $\Delta Abs$ value throughout a day
181	is a good indicator of a well-implemented control strategy for optimal ozone dosage.
182	CONCLUSIONS
183	All the results from this and earlier studies show a clear correlation between $\Delta Abs$ and the
184	micropollutant removal efficiency. As the measurement of absorbance is very simple and
185	stable, the resulting online monitoring system allows a fast insight into the process behaviour.
186	It was shown that for the wastewater of a specific WWTP the correlation between $\Delta Abs$ and
187	micropollutant removal must be identified in order to ensure the successful control of ozonation
188	and the corresponding micropollutant removal efficiency.
189	Different types of feed-forward control <sup>2</sup> strategies for the ozone dosage were tested
190	successfully in earlier pilot studies (Abegglen and Siegrist, 2012) on the basis of influent
191	parameters such as the discharge, DOC and nitrite (advantages and disadvantages are shown in
192	SI Table S6). This study gives the background for a feedback control strategy for ozone dosage
193	based on $\Delta Abs$ . However, further research on a full-scale WWTP is still required in order to
194	clarify several questions. In particular, storm events and seasonal variations and their effect on
195	$\Delta Abs$ have to be identified. To identify the specific parameters for such a feedback control, the
196	corresponding indicator substances and the quality goal of micropollutant removal efficiency
197	must be defined and the parameters have to be established separately for each WWTP.
198	ACKNOWLEDGMENTS
199 200	We thank R. Fankhauser and A. Raffainer for the collaboration. I. Wittmer is acknowledged for her helpful input on the manuscript.
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 $^{1}$  Feedback control means adapting a process parameter (such as the ozone dosage) on the basis of an effluent parameter which changes during the process (e.g.  $\Delta Abs$ ).

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<sup>&</sup>lt;sup>2</sup> Feed-forward control means adapting a process parameter (such as the ozone dosage) on the basis of an influent parameter (such as the discharge or DOC).

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