

## 1    **1    Title and Authors:**

2    The nitrogen cycle: a review of isotope effects and isotope modeling approaches

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## 21 **2 Abstract**

22 The nitrogen (N) cycle involves a set of N compounds transformed by plants and microbes. Some of  
23 these N compounds, such as nitrous oxide (N<sub>2</sub>O) or nitrate (NO<sub>3</sub><sup>-</sup>), are environmental pollutants  
24 jeopardizing biodiversity, human health or the global climate. The natural abundances of the common  
25 (<sup>14</sup>N) and rare (<sup>15</sup>N) stable N isotopes in a given compound, i.e. the isotopic composition, depend on  
26 individual production and consumption processes. As each process has an individual preference for the  
27 common or rare isotope (isotope effect) the measurement of the isotopic composition has been  
28 identified as a powerful tool for improved process understanding and source process identification. Both  
29 are key requirements for the development of strategies aiming at mitigating the release of  
30 environmental harmful N compounds. However, up to now, no comprehensive compilation of N cycle  
31 isotope effects is available.

32 A compilation of isotope effects is also in high demand for testing biogeochemical models as such  
33 models are increasingly used to study N cycling in ecosystems and across landscapes and regions.  
34 Biogeochemical models are usually calibrated and validated only with single, easily accessible quantities.  
35 The isotopic composition of N compounds has a high potential to be used as additional, integrative  
36 parameter for a more thorough assessment of simulation results. For instance, the isotopic composition  
37 of soil N is determined by fractionation of the most relevant processes and, thus, integrates several N  
38 cycle processes, some of which cannot be accessed easily by direct measurement. To implement  
39 isotopic fractionation in ecosystem models and to utilize those for model validation, the magnitude of  
40 the isotope effects associated with individual transformations in the N cycle need to be assessed.

This review summarizes the available methods to determine N isotope effects of various key ecosystem processes, thereby systematically comparing isotope effects for different experimental conditions and processes, exploring the accuracy of theoretical calculations of isotope effects and finally, addressing concepts to implement isotope effects into biogeochemical modeling.

Our review shows that published isotope effects for N processes in soil incubations seem to be stronger as compared to those in water saturated systems. In addition to the choice of isotope effects adequate for the respective ecosystem, the challenge for modeling approaches is the sequential calculation of isotopic compositions. Associated numerical inaccuracies can be minimized by controlling the share of reacted substrates, i.e. the time step. The criterion to determine the time step needs to consider the strongest isotope effect, required accuracy of the calculations and the share of substrate consumed from or provided to the compound pool.

The combination of biogeochemical models with  $\delta^{15}\text{N}$  methods and novel measures like site preference (SP) of  $\text{N}_2\text{O}$  is a promising approach for improving process understanding at various spatial and temporal scales. Nevertheless, the compilation of isotope effects in this review may not only be of benefit for modelers, but also for experimentalists, as measurement and modelling of isotope effects may eventually help to test and validate our current process understanding of the N cycle.

### **3 Introduction**

As essential compound of the DNA, proteins or enzymes, nitrogen (N) is a key component of life and a fundamental nutrient. Its most abundant form, atmospheric dinitrogen ( $\text{N}_2$ ), is unavailable for most organisms, making nitrogen a limiting nutrient (Galloway et al., 2003; Vitousek and Howarth, 1991). Therefore, the conversion of  $\text{N}_2$  into reactive nitrogen ( $\text{N}_\text{r}$ ) directly or indirectly supports biomass growth. In the environmental context, reactive nitrogen compounds include oxidized nitrogen species,

such as nitric oxide (NO), nitrogen dioxide (NO<sub>2</sub>), nitrous oxide (N<sub>2</sub>O), nitrate (NO<sub>3</sub><sup>-</sup>), nitrite (NO<sub>2</sub><sup>-</sup>) as well as the reduced nitrogen species, ammonia (NH<sub>3</sub>) and ammonium (NH<sub>4</sub><sup>+</sup>) salts, as well as organic bound N e.g. in its simplest form of urea, amine derivatives or as organic N in proteins or other substances. The main natural processes creating biologically available N<sub>r</sub> are lightning (globally approx. 5 Tg N yr<sup>-1</sup>) and biological N<sub>2</sub>-fixation (BNF) in terrestrial and aquatic ecosystems (globally approx. 198 Tg N yr<sup>-1</sup>) (Fowler et al., 2013). However, in most ecosystems the demand of N<sub>r</sub> by far exceeds natural N<sub>2</sub>-fixation by both processes, so that ecosystems are in general N limited (Aber et al., 1989). This shortage was revoked following the invention of the Haber-Bosch process, which allowed to convert N<sub>2</sub> into NH<sub>3</sub>/NH<sub>4</sub><sup>+</sup> at industrial scale (Erisman et al., 2008) and was used at the beginning for the production of explosives with the focus changing in the following to the production of synthetic fertilizers. The increasing use of synthetic N fertilizers in the mid of the last century boosted global agricultural production and allowed to sustain an ever growing world population, with approx. 50% of the global world population currently being fed due to the availability of the Haber-Bosch technology (Erisman et al., 2008). However, the use and overuse of N fertilizers is also associated with detrimental effects on the terrestrial and aquatic environment, climate and human health as N<sub>r</sub> is driving eutrophication (increasing mineral and organic N in ecosystems), acidification (as a consequence of oxidizing NH<sub>4</sub><sup>+</sup> to NO<sub>3</sub><sup>-</sup>), radiative forcing (mainly by the greenhouse gas N<sub>2</sub>O), stratospheric ozone depletion (stratospheric degradation of N<sub>2</sub>O to NO with the latter acting as O<sub>3</sub> depleting substance), tropospheric ozone production (due to soil emissions of NO and its chemical reactions in the troposphere) and PM<sub>2.5</sub> formation (as e.g. NH<sub>4</sub>NO<sub>3</sub> is a major particle forming substance) (for a detailed overview see Galloway et al. (2003) or Fowler et al. (2013) and references therein).

Due to the delicate coexistence of beneficial and detrimental effects, a profound understanding of N cycle processes is required to develop efficient mitigation strategies sustaining agricultural productivity (Butterbach-Bahl et al., 2013). The fundamental process in N cycling is *nitrogen fixation*: The conversion

of atmospheric  $N_2$  to  $NH_3$  by nitrogen-fixing microorganisms which oxidize (“burn”) carbohydrates to gain energy and thereby reduce  $N_2$  to  $NH_3$  (biological nitrogen fixation). Once fixed, N is transformed to microbial or plant biomass (*immobilization and uptake, respectively*), eventually ending up in the soil organic matter pool (SOM) after senescence, littering and decomposition. Microbes are involved in a process called *mineralization*, which represents the breakdown of complex organic matter molecules into monomeric organic nitrogen compounds (*depolymerization*) and inorganic  $NH_4^+$  (Schimel and Bennett, 2004).  $NH_4^+$  can be utilized by microbes to gain energy in a two-step process called *nitrification*. In the first step,  $NH_4^+$  is oxidized to  $NO_2^-$  via the intermediate hydroxylamine ( $NH_2OH$ ); in the second step  $NO_2^-$  is oxidized to  $NO_3^-$ . Both reactions can be carried out by chemo-lithotrophic ammonium or nitrite oxidizers. However, also heterotrophic bacteria, fungi and archaea might be capable to nitrify, though without gaining energy from the process, thereby using monomeric organic nitrogen compounds for growth.  $NO_3^-$  can be lost to ground water through leaching and at high pH  $NH_4^+$  can *volatilize* and be lost to the atmosphere in gaseous form as  $NH_3$ . In the anoxic process of *denitrification*,  $NO_3^-$  is used as alternative electron acceptor instead of  $O_2$ , and be stepwise reduced via  $NO_2^-$ , NO and  $N_2O$  to  $N_2$ . Two other notable processes are *dissimilatory nitrate reduction to ammonium* (DNRA), during which  $NO_3^-$  is reduced to  $NO_2^-$  and then transformed to  $NH_4^+$  and  $N_2O$ , as well as *anaerobic ammonia oxidation* (ANAMMOX) producing  $N_2$  from both  $NH_4^+$  and  $NO_2^-$  (Harris et al., 2015). Additionally to these biotic processes in soils, also *abiotic  $N_2O$  production* can happen in soils with the nitrification byproducts  $NH_2OH$  and  $NO_2^-$  as substrate (Bremner, 1997) (see Figure 1).

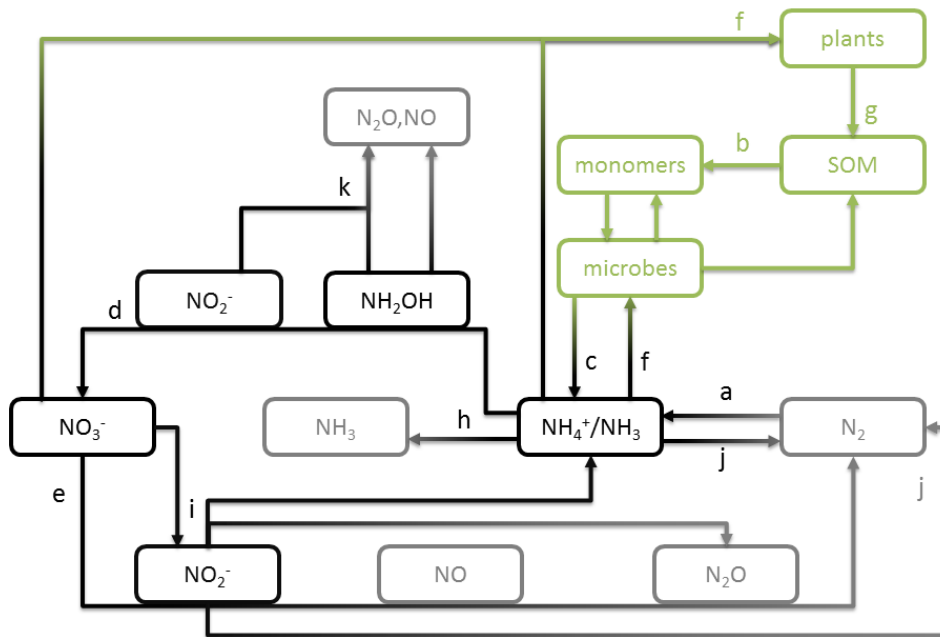


Figure 1: Processes involved in terrestrial ecosystem N cycling: a) biological  $N_2$  fixation, b) depolymerization, c) mineralization, d) nitrification, e) denitrification, f) immobilization and plant uptake, g) senescence, h) volatilization, i) dissimilatory nitrate reduction to ammonium, j) anaerobic ammonia oxidation, k) abiotic  $N_2O$  production. Soil N compounds are shown in black, gaseous compounds in grey and organic N in green.

N transformation processes in aquatic and terrestrial ecosystems have been studied extensively from laboratory to global scales by means of various different measuring approaches (e.g., microbial pure culture experiments, analysis of  $N_r$  concentrations and fluxes) including isotope techniques (Bai et al., 2012; Groffman et al., 2006; Houlton et al., 2015). Experimental techniques are supported by modelling approaches, which assess environmental impacts of N cycling on regional and global scale using process-oriented biogeochemical models like O-CN (Zaehle and Friend, 2010; Zaehle et al., 2010), LandscapedNDC (Haas et al., 2013), DAYCENT (Del Grosso et al., 2000), PASIM (Riedo et al., 1998) or the Coup Model (Jansson and Moon, 2001), which consider relevant processes involved in N and C transformations. Further, these models are used to develop efficient mitigation strategies for reducing soil emissions of  $N_2O$  (e.g. Molina-Herrera et al. (2016)) and can consider impacts of climate change on ecosystem N cycling and soil  $N_2O$  and NO emissions (Kesik et al., 2005). However, validation of these

models is usually restricted to single quantities, such as biomass production, crop yields, soil nitrogen concentrations or the emissions of environmental important nitrogen trace gases such as  $\text{N}_2\text{O}$  (a direct GHG) or  $\text{NO}$  (an indirect GHG due to its key contributions to tropospheric  $\text{O}_3$  formation). Hence, a more integrated model validation considering contributing N processes (e.g. ammonification, nitrification, and denitrification) is pertinent.

As biological and physico-chemical N transformation processes are associated with different degrees of isotopic fractionation, the isotopic composition of soil and plant nitrogen compounds can provide information on the predominant processes and can, thus, be considered as an integrating measure (Robinson, 2001). For instance this potential has been demonstrated at six tropical forest sites in Hawaii (USA), where decreasing soil  $^{15}\text{N}$  enrichment was observed along a rainfall gradient of 2200 mm to 5050 mm (Houlton et al., 2006). Isotopic analysis of N compounds indicated that the observed pattern could not be explained by the isotopic composition of N inputs or preferential leaching of  $^{14}\text{N}$  to streams, but by the dominance of gaseous N losses via denitrification which was highest at sites receiving highest precipitation amounts.

For this reason, implementation of isotopic composition of N compounds in biogeochemical models and global carbon-nitrogen models may advance our understanding of the N cycle and provide a further, integrative constraint for the validation of these models (see e.g. Houlton et al. (2015)). A first successful example is the integration of isotope calculation in the process-oriented model DAYCENT (Bai and Houlton, 2009). Emerging N isotope techniques will further extend the range of reaction pathways and nitrogen compounds which can be analyzed and implemented into models. E.g. Intra molecular  $\text{N}_2\text{O}$  isotopic composition and the quantity site preference, SP, i.e. the difference in  $^{15}\text{N}$  substitution in the central and terminal position of the linear  $\text{N}_2\text{O}$  molecule (Brenninkmeijer and Röckmann, 1999; Toyoda and Yoshida, 1999), has been identified as powerful indicator to identify underlying source processes

(source partitioning) of soil N<sub>2</sub>O emissions. Two examples of the use of emerging N isotope techniques are the first semi-continuous field measurements of intra molecular N<sub>2</sub>O isotopic composition (Mohn et al., 2012; Wolf et al., 2015) and the selective analysis of  $\delta^{15}\text{N-NH}_4^+$ ,  $-\text{NO}_2^-$  and  $-\text{NO}_3^-$  by automated sample preparation of the inorganic nitrogen species coupled to a quadrupole mass spectrometer (Stange et al., 2007). However, the successful integration of N isotopic composition into models requires first a comprehensive database of isotope effects and secondly its uncertainty quantification for main N cycle processes, which is not yet available.

To foster the fusion of modeling and isotope techniques this work:

- i. gives an overview of the methods used to derive N isotope effects,
- ii. reviews available information on isotope effects for different microbial, plant and physico-chemical N processes and compares the methods used to derive them,
- iii. considers theoretical calculation of isotopic N fractionation factors for processes underrepresented in the literature,
- iv. summarizes isotope calculation concepts for time-discrete mathematical modelling.

## 4 Isotope calculations

Multiple stable isotopes exist for most chemical elements and N specifically has two stable isotopes with the atomic masses 14 (<sup>14</sup>N) and 15 (<sup>15</sup>N). The isotopic composition of a sample is described using the  $\delta$ -notation given in equation ( 1 ) (Coplen, 2011):

$\delta^{15}\text{N} = R_{\text{sample}}/R_{\text{standard}} - 1,$	$(1)$
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R denotes the isotope ratio <sup>15</sup>N/<sup>14</sup>N. The  $\delta^{15}\text{N}$  value of a sample is therefore the deviation of the sample's <sup>15</sup>N/<sup>14</sup>N isotope ratio from the respective isotope ratio of the reference material (AIR-N<sub>2</sub> for N) and is usually expressed in ‰.



## 4.1 Fractionation and mixing

Although isotopes of an element, e.g.  $^{15}\text{N}$  or  $^{14}\text{N}$ , display similar physico-chemical properties, their relative abundance (isotope ratio) varies between different N compounds. This is the consequence of isotope fractionation which arises from stronger chemical bonds formed by heavier isotopes or from mass-dependent processes such as diffusion (Farquhar et al., 1989). In other words, the substitution of an atom in a reactant molecule by one of its isotopes alters either the equilibrium constant  $K$  or the reaction rate  $k$ . This alteration is referred to as the thermodynamic (equilibrium) isotope effect or kinetic (non-equilibrium) isotope effect, respectively (Coplen, 2011). Examples are  $\text{CO}_2$ -fixation in plants for the unidirectional kinetic isotope effect and the two-way exchange of air  $\text{CO}_2$  with ocean bicarbonate for the equilibrium isotope effect (Fry, 2006), with denitrification and ammonia volatilization representing respective N cycle processes. The isotopic fractionation factor  $\alpha_{p/s}$  is defined as the ratio of isotope ratios of the instantaneously formed product  $R_{pi}$  and substrate,  $R_s$ , and can be interpreted as the ratio of rate constants  $k$  or equilibrium constants  $K$  for  $^{15}\text{N}$  and  $^{14}\text{N}$ ; eq. ( 2 ).

$\alpha_{p/s} = \frac{{}^{15}k}{{}^{14}k} = \frac{{}^{15}K}{{}^{14}K}$	( 2 )
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In this definition,  $\alpha_{p/s}$  is smaller than 1 for the normal isotope effect, where the lighter isotope reacts faster than the heavier isotope in a unidirectional kinetic reaction. In the literature the isotopic fractionation factor is often reported as inverse convention  $\alpha_{s/p}$ , where the normal isotope effect is larger than 1. The two notations can be converted into each other by inversion of  $\alpha$  ( $\alpha_{p/s} = 1/\alpha_{s/p}$ ). Differences in isotopic fractionation factors are often very small and therefore, the isotope effect  $\epsilon = (\alpha - 1)$ , is given in per mille for more convenient comparability of values. All isotope effects summarized in this study were recalculated to comply with the sign convention of  $\alpha_{p/s}$ .

While the above formulations assume single step reactions, biological processes or elemental cycles may involve reaction sequences. Denitrification, a fundamental process in the N cycle (section 3) for instance,

is a microbial process that comprises the diffusion of  $\text{NO}_3^-$  into the microbial organism followed by the reduction of  $\text{NO}_3^-$  to  $\text{N}_2$  via the intermediates  $\text{NO}_2^-$ ,  $\text{NO}$  and  $\text{N}_2\text{O}$ . Each of the single reactions will have its own intrinsic isotopic fractionation factor, which may not always be accessible to measurements. Thus, isotope effects observed for complex reactions with several intermediates are apparent or net isotope effects ( $\eta$ ) (Ostrom and Ostrom, 2011). The probably most prominent example for a model describing  $\eta$  is available for photosynthesis; eq. ( 3 ).

$$\eta = \varepsilon_a + (\varepsilon_b - \varepsilon_a)K_1/(K_2 + K_3)$$

( 3 )

It involves the intrinsic isotope effects for diffusion ( $\varepsilon_a$ ), enzymatic reaction ( $\varepsilon_b$ ), and the relative rates of diffusion into the cell ( $K_1$ ), out of the cell ( $K_2$ ) and of the enzymatic reaction ( $K_3$ ). This formulation is capable of reflecting apparent isotope effects that are observed if diffusion into the cell limits substrate availability for the enzymatic processes and leads to a complete conversion of the substrate in the cell (i.e.,  $K_2+K_3 \gg K_1$  for which  $\eta \approx \varepsilon_a$ ), while  $\eta$  reaches the stronger isotope effect of the enzymatic reaction,  $\varepsilon_b$ , if diffusion into the cell is not limiting (Farquhar et al., 1982). Since all measured isotope effects are apparent we refer to them as net isotope effect or  $\eta$ .

The change in isotopic composition of a pool of a given N compound is not only determined by fractionation, but may also depend on the mixture of different processes yielding or drawing on the respective compound. Hence, mixing of a given N compound originating from different source processes (i.e. isotope mass balance) and/or transport processes need to be considered in addition to fractionation processes. To calculate the evolution of the isotopic composition of both reaction product and substrate, different approaches are frequently used in the reviewed literature. These involve different assumptions on the system boundaries and differ in the amount of parameters used. The most prominent approaches are the closed and the open system approaches summarized in the next two sections as they represent the basis for the experimental determination of isotope effects.

## 4.2 Closed system approach

The closed system approach considers an isolated system that does not exchange substances with its surroundings, e.g. gaseous losses or leaching losses of N compounds do not occur and no additional N<sub>r</sub> is added. The methods to calculate fractionation processes in closed systems were developed by Mariotti et al. (1981). The derivation is based on the definition of the isotopic fractionation factor

$\alpha_{p/s} = \frac{R_{pi}}{R_s}$	( 4 )
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as well as the approximation that the remaining fraction of substrate can be described as  $f = N_s/N_{s,0} \approx {}^{14}N_s/{}^{14}N_{s,0}$  and yields the Rayleigh equations (Mariotti et al., 1981):

$R_s = R_{s,0} f^{(\alpha_{p/s}-1)}$	( 5 )
$R_{pi} = \alpha_{p/s} * R_{s,0} f^{(\alpha_{p/s}-1)}$	( 6 )
$R_p = R_{s,0} \frac{1-f^{\alpha_{p/s}} + R_{s,0}(f^{\alpha_{p/s}-1}-f)}{1-f+R_{s,0}(f^{\alpha_{p/s}-1}-f)} \approx R_{s,0} \frac{1-f^{\alpha_{p/s}}}{1-f}$	( 7 )

Equations ( 5 ) to ( 7 ) describe the evolution of the isotope ratio of the remaining substrate, instantaneous product and accumulated product ( $R_s$ ,  $R_{pi}$  and  $R_p$ , respectively). It is a function of the initial substrate isotope ratio  $R_{s,0}$ , the fraction of remaining substrate  $f$  and of the isotopic fractionation factor  $\alpha_{p/s}$  of the process. Using the assumption that  $\delta$ -values are small, several relations between the  $\delta$ -values in product and substrate can be derived. These relate the  $\delta$ -values of the substrate  $\delta_s$  ( 8 ), the accumulated product  $\delta_p$  ( 9 ) and the instantaneous product  $\delta_{pi}$  ( 10 ) to the isotope effect, knowing the fraction of remaining substrate and the isotopic composition of the initial substrate (Mariotti et al., 1981):

$\delta_s - \delta_{s,0} \approx \varepsilon \ln(f)$	( 8 ) referred to as method S
$\delta_p - \delta_{s,0} \approx -\varepsilon \frac{f \ln(f)}{(1-f)}$	( 9 ) referred to as method P

$\delta_{pi} - \delta_s \approx \varepsilon$	( 10 ) referred to as method $\Delta$
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Equations ( 8 ) to ( 10 ) are frequently used to derive isotope effects. For the sake of clarity, we refer to methods S, P and  $\Delta$  in situations in which the isotope effect was calculated by solving equations ( 8 ) to ( 10 ), respectively for  $\varepsilon$  (see section 4.5). An alternative formalism treating the closed system and specifically aiming at the determination of isotope effects was introduced by Tong and Yankwich (1957). The derivation is based on pseudo first-order reaction systems for both  $^{14}\text{N}$  and  $^{15}\text{N}$ . Four equations were derived with each one describing the isotopic fractionation factor as a function of three of the quantities  $R_{s,0}$ ,  $R_s$ ,  $R_p$  and  $f$ . The equation involving  $R_s$ ,  $R_p$  and  $f$  was used in two studies presented in this review and is given in eq. ( 11 ).

$\frac{1}{\alpha_{p/s}} = \frac{\ln\left(\frac{1}{f} - \frac{(1-f)(R_p - R_s)}{f(R_p + 1)}\right)}{\ln\left(\frac{1}{f} - \frac{(1-f)(R_p - R_s)}{fR_s(R_p + 1)}\right)}$	( 11 )
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### 4.3 Open system approach

In an open system like a flow-through reactor or chemostat, substrate continuously enters and exits the system, thereby drawing on an infinite substrate pool with constant isotopic composition. In this model, a small amount of the infinite substrate pool enters the reaction system and passes over into a product pool or a residual substrate pool, both of which exit the system and isotope ratios are calculated as given in equations ( 12 ) and ( 13 ) (Fry, 2006):

$R_s = R_{s,0} * \left( \frac{1-f}{\alpha_{p/s}} + f \right)$	( 12 )
$R_p = R_{s,0} * (1 - f + f * \alpha_{p/s})$	( 13 )

Equations ( 12 ) and ( 13 ) can be simplified to (Fry, 2006)

$\delta_s \approx \delta_{s,0} - (1 - f) * \varepsilon$	( 14 )
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$\delta_p \approx \delta_{s,0} + f * \varepsilon.$	( 15 )
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240 Note that in equations ( 12 ) to ( 15 ) the definitions of  $f$ ,  $\alpha$  and  $\varepsilon$  are inverse to the cited literature and  
 241 therefore the subscripts s and p are exchanged.

#### 242 4.4 Models used for isotope effects

243 For some specific applications, for instance the determination of the isotope effect during  $N_2$  fixation  
 244 and microbial or plant  $NH_4^+$  uptake, the isotopic fractionation factor is calculated similar to eq. ( 4 ) by

$\alpha_{s/p} = \frac{R_{medium}}{R_{cell}}.$	( 16 )
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245  $R_{medium}$  and  $R_{cell}$  denote the isotope ratio of the medium ( $N_2$  or  $NH_4^+$ ) and the fixed nitrogen in the plant,  
 246 respectively (Delwiche and Steyn, 1970; Hoering and Ford, 1960). The adaption of eq. ( 10 ) referred to  
 247 as method  $\Delta$  for the uptake of a medium into cells

$\varepsilon \approx \delta_{cell} - \delta_{medium}$	( 17 )
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248 was used in Macko et al. (1987), Mariotti et al. (1982b) and Yoneyama et al. (1991). For modeling of  
 249 isotope effects in the open sea a vertical diffusion-advection model was applied, which calculates the  
 250 vertical movement of the different N compounds and couples it with equation ( 4 ) (Cline and Kaplan,  
 251 1975).

#### 252 4.5 Methods to derive isotope effects

253 All approaches to calculate isotope effects presuppose a one-step process, which implies that no  
 254 preceding or subsequent reaction step changes the isotopic composition in the substrate or product,  
 255 respectively. As a consequence, the investigated process either needs to be isolated by suppressing  
 256 preceding or subsequent reaction steps or the utilized method needs to be robust towards the  
 257 assumption of a one-step process.

Method S exclusively involves the determination of the substrate's isotopic compositions  $R_{s,0}$ ,  $R_s$  along with  $f$  during a reaction. For this reason, this method inherently determines the isotope effect for the step from the substrate to the next product. Further reaction steps do not bias the obtained isotope effect unless the substrate is subject to a preceding reaction step. Consequently, this approach is not capable of determining the isotope effect of a reaction sequence.

For the determination of the isotope effect of reaction sequences, three different methods are commonly used. The method P requires the repeated determination of  $R_{s,0}$ ,  $R_p$  and  $f$ . Inconsistencies such as the accumulation of an intermediate can be identified by a nonlinear relation between  $\delta_p$  and  $-f \cdot \ln(f)/(1-f)$ . Thus, method P is well suited for experimental setups involving confined reaction vessels in closed systems. Though method  $\Delta$  is a result of closed system derivations, this method has also been applied to approximate isotope effects for flow-through or open experimental setups like a steady state reactor or chemostat (Barford et al., 1999). However, in situations in which method  $\Delta$  was applied,  $R_{pi}$  was frequently approximated by  $R_p$ , which presupposes that  $f$  is approx. 1 and thus both values are similar (Mariotti et al., 1981). This assumption, however, is only valid for a short period of time and is thus questionable as no objective criterion can be derived to identify the validity of this assumption. The third approach, eq. ( 11 ), requires the simultaneous determination of both the substrate and the accumulated product in microbes or plants. This approach is probably the most demanding as both  $R_s$  and  $R_p$  need to be dynamically determined if the consistency of obtained isotope effects is to be checked by variation of  $f$ .

Common to all three approaches is the determination of isotope effects for reaction sequences with a given end product. Thus, the investigated process needs to be confined to the end product. One method used to isolate a process is the use of an inhibitor to stabilize the end product, e.g. in denitrification experiments for the multi-reaction step from  $\text{NO}_3^-$  to  $\text{N}_2$ , acetylene ( $\text{C}_2\text{H}_2$ ) is often used to inhibit the

reduction of  $\text{N}_2\text{O}$  to  $\text{N}_2$  (Lewicka-Szczebak et al., 2014; Toyoda et al., 2005). Alternatively, short incubation periods (Snider et al., 2009) or soils with small  $\text{N}_2\text{O}$  reduction potential were used (Mathieu et al., 2007). Another option for process isolation is the characterization and correction of subsequent reaction steps. This has been also been done for the denitrification reaction chain  $\text{NO}_3^-$ ,  $\text{N}_2\text{O}$  and  $\text{N}_2$  for which the individual isotope effects of  $\text{N}_2\text{O}$  production and reduction were extracted with a mathematical model based on measurements of  $\text{N}_2\text{O}$  and  $\text{N}_2$  fluxes (Lewicka-Szczebak et al., 2015).

## 5 Isotope effects in the N cycle

The reviewed isotope effects for N cycle processes were determined based on soil incubation studies, measurements in groundwater samples and pure culture studies and employing different methods (see section 4) for different ecosystem N cycling processes. For each process or process chain a representative isotope effect was derived, which can be used for describing N isotope fractionation processes for the major terrestrial N cycling processes. The complete dataset of reviewed isotope effects used is available in supplement Table S1.

Statistical comparisons of isotope effects are based on the non-parametric Wilcoxon test as large differences in sample size or deviation from the normal distribution were found. Test statistics were calculated using R (3.1.2) (R Core Team, 2014), specifically the functions `wilcox.test` for two groups and `pairwise.wilcox.test` with the Bonferroni adjustment for comparison of multiple groups and the `t.test` and `pairwise.t.test` for non-normal and normal distributed groups respectively. The normal distribution of groups was tested with the Shapiro-Wilk-test and normality was validated unless stated otherwise.

## 5.1 Fractionation processes for N<sub>2</sub> fixation, mineral N uptake and ammonification

### 5.1.1 Biological N<sub>2</sub> fixation (BNF)

BNF is carried out by specialized organisms which use the enzyme nitrogenase to catalyze the conversion of atmospheric N<sub>2</sub> to NH<sub>3</sub>. These organisms comprise aquatic cyanobacteria (e.g. *Anabaena*, which can entertain symbiotic relationships with plants), free-living N<sub>2</sub> fixing soil bacteria (e.g. *Azobacter*), N<sub>2</sub> fixing bacteria associated with plants and N<sub>2</sub> fixing bacteria (e.g. *Rhizobium*) that form a symbiosis with plants (*Fabaceae*).

Isotope effects for BNF (Table S2) were mainly determined by means of pure culture studies involving *Azobacter* species (Delwiche and Steyn, 1970; Hoering and Ford, 1960; Yamazaki et al., 1987) and single other free living bacterial species (Macko et al., 1987; Minagawa and Wada, 1986). With the exception of *Azobacter indicum* ( $3.7 \pm 3.5$  ‰; Hoering and Ford (1960)), all other reported isotope effects were weak but normal e.g. for *Anabaena* cyanobacteria  $-0.6$  ‰ (Minagawa and Wada, 1986) and  $-2.35$  ‰ (Macko et al., 1987).

The isotope effect determined for N<sub>2</sub> fixation by symbiotic plants are  $-0.7 \pm 0.3$  ‰ and  $1.1 \pm 0.3$  ‰ in leaves and wood of *Acacia*,  $1.8 \pm 0.3$  ‰ for red clover and  $1.9 \pm 0.3$  ‰ for *Azolla pinnata* (a water fern; Figure 2), indicating a slightly inverse isotope effect (Minagawa and Wada, 1986).

On average, the isotope effects for free cultures showed a weak normal isotope effect ( $-1.15 \pm 1.92$  ‰), which is significantly different ( $p < 0.05$ ) from the weak inverse isotope effect of experiments involving symbiotic plants ( $1.03 \pm 1.20$  ‰). However, as both free living bacteria and symbiotic plants are available in most ecosystems, utilization of the grand mean of the available literature values of  $-0.57 \pm 1.98$  ‰ (median  $-0.7$  ‰) for BNF from all studies from Table S2 appears pertinent.



### 5.1.2 Microbial, fungal and plant $\text{NO}_3^-$ uptake

Isotope effects for  $\text{NO}_3^-$  uptake by free living microorganisms ( $-6.20 \pm 4.43 \text{ ‰}$ ) and plants (*pennisetum* and *pinus*) ( $-7.27 \pm 4.07 \text{ ‰}$ ) vary between  $-18.3$  and  $0 \text{ ‰}$  (Table S3 and Figure 2), but were not significantly different ( $p > 0.71$ ).

Two studies found very different isotope effects for  $\text{NO}_3^-$  uptake by plants (Högberg et al., 1999; Mariotti et al., 1982b) but had in common that the isotope effect strongly decreased over time, while the plants were growing. This is explained by an increasing activity of the plants  $\text{NO}_3^-$  reductase activity during plant growth, so that  $\text{NO}_3^-$  in the plant is consumed more completely and the isotope effect decreases (Mariotti et al., 1982b).

Several studies also investigated the isotope effect associated with  $\text{NO}_3^-$  uptake in marine bacteria (Macko et al., 1987; Montoya and McCarthy, 1995; Waser et al., 1998; Yoneyama et al., 1991) and found that it was strongly dependent on the organisms studied; e. g. diatoms have much stronger isotope effects compared to flagellates (Montoya and McCarthy, 1995).

Because no significant difference between the isotope effects of  $\text{NO}_3^-$  uptake by free living microorganisms and plants were found, it appears robust to use the grand mean of  $-6.4 \pm 4.2 \text{ ‰}$  (median  $-5 \text{ ‰}$ ) which comprises all studies from Table S3.

### 5.1.3 Microbial, fungal and plant $\text{NH}_4^+$ uptake

The isotope effect of  $\text{NH}_4^+$  uptake was determined in several studies for both plants ( $-7.5 \pm 0.94 \text{ ‰}$ ) and microorganisms ( $-10.2 \pm 7.94 \text{ ‰}$ ), but no significant difference ( $p > 0.41$ ) could be found, likely due to the strong variability in the group of microorganisms. Therefore we suggest using the grand mean  $-9.4 \pm 6.6 \text{ ‰}$  (median  $-8.0 \text{ ‰}$ ) for  $\text{NH}_4^+$  uptake which includes all studies from Table S4.

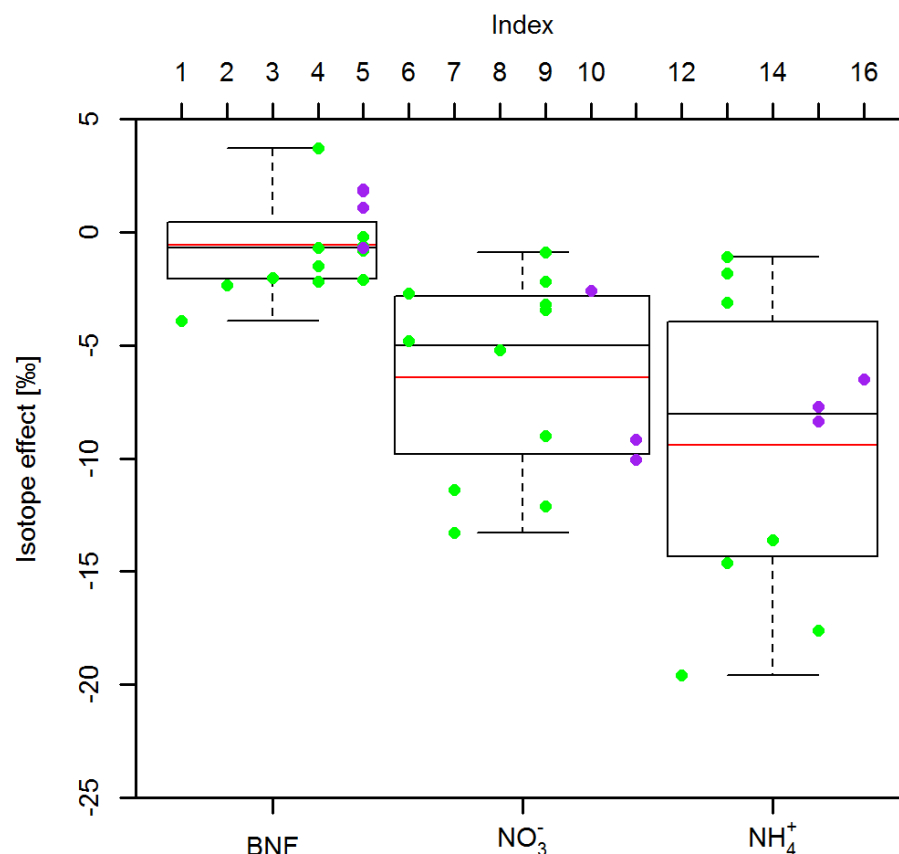


Figure 2: Boxplot of the isotope effects for BNF,  $\text{NO}_3^-$  and  $\text{NH}_4^+$  uptake with data reported in Table S2 to Table S4. Purple dots indicate isotope effects for plants and green dots indicate pure culture studies involving free living cultures. The index numbers on the top refer to the studies in Table S2 to Table S4.

#### 5.1.4 Ammonification

To our knowledge, only one study (Möbius, 2013) investigated the isotope effect for the process of ammonification, i.e. the mineralization of soil organic nitrogen. Values were determined for deep sea sediments (sapropels) with high organic matter content and amounted to -1.74 ‰ (-2.33 to -1.43 ‰).

## 5.2 Nitrification

Nitrification is the oxidation of  $\text{NH}_4^+$  to  $\text{NO}_3^-$ . We only cover the specific type of chemo-litho-autotrophic nitrification, where ammonia is oxidized in two steps, because it is the only type represented in the reviewed literature, which investigated the conversions of (i)  $\text{NH}_4^+$  to  $\text{NO}_2^-$ , (ii)  $\text{NH}_4^+$  to  $\text{N}_2\text{O}$ , (iii)  $\text{NH}_2\text{OH}$  to  $\text{N}_2\text{O}$  and (iv)  $\text{NO}_2^-$  to  $\text{NO}_3^-$ . In the first step, primary nitrifiers (ammonia oxidizers) oxidize  $\text{NH}_4^+$  to  $\text{NO}_2^-$  via

the intermediate  $\text{NH}_2\text{OH}$  (primary nitrification). In the following step, secondary nitrifiers (nitrite oxidizers) oxidize  $\text{NO}_2^-$  to  $\text{NO}_3^-$  (secondary nitrification). During the oxidation of  $\text{NH}_2\text{OH}$  to  $\text{NO}_2^-$ ,  $\text{N}_2\text{O}$  is formed through chemical decomposition of  $\text{NH}_2\text{OH}$  or  $\text{NO}_2^-$  (Wrage et al., 2001).

Isotope effects for  $\text{NH}_4^+$  oxidation to  $\text{NO}_2^-$  (Table S5) were determined by means of pure culture experiments involving the chemoautotrophic bacteria of the genera *Nitrosomonas* and *Nitrosospira*. The bacterial strain *Nitrosomonas europaea* was subject to each of the reviewed studies (N=4) revealing isotope effects ranging between -38.2 and -24 ‰ with a mean of  $-29.9 \pm 5.1$  ‰. Including reported isotope effects for the other *Nitrosomonas* strains, namely *N. eutropha*, *N. marina* and *N. sp.C-113a*, as well as *Nitrosospira tenius* leads to a slightly larger variability with values ranging between -38.2 to -14.2 ‰ with a mean of  $-27.0 \pm 7.0$  ‰ (Casciotti et al., 2003; Delwiche and Steyn, 1970; Mariotti et al., 1981; Yoshida, 1988). The weak isotope effects found for two marine organisms of the genus *Nitrosomonas* are the main reason for the increase in variability (Casciotti et al., 2003). While the observed variability for the species *Nitrosomonas europaea* may be explained by the degree of the expression of the intrinsic enzymatic or diffusional isotope effects or violations of the presumption of a one-step reaction (for instance accumulation of  $\text{NH}_2\text{OH}$  or  $\text{N}_2\text{O}$ ), also the phylogeny of ammonia monooxygenase (AMO) sequences seem to contribute to the weak isotope effects determined for *N. marina* and *N. sp.C-113a* (Casciotti et al., 2003). In view of the observed dependency of isotope effects at species level, we suggest to use a value of  $-29.6 \pm 4.9$  ‰ (median -27.2 ‰) for the oxidation of  $\text{NH}_4^+$  to  $\text{NO}_2^-$  in soils, i.e. the average of the values given in Table S5, excluding the values of the marine microorganisms.

Compared to the isotope effect for the oxidation of  $\text{NH}_4^+$  to  $\text{NO}_2^-$ , the isotope effect of  $\text{NH}_4^+$  to  $\text{N}_2\text{O}$  (Table S6) is strong, with a range of -64 to -46.9 ‰ (Mandernack et al., 2009; Sutka et al., 2006; Yoshida, 1988). Results of incubation experiments with tropical soils showed even stronger isotope effects from -112 to -102 ‰ (Pérez et al., 2006). In the latter study, the  $\delta^{15}\text{N-N}_2\text{O}$  values from nitrification and

denitrification were calculated based on an isotopic mass balance approach involving a control treatment and one treatment in which nitrification and the  $\text{N}_2\text{O}$  reductase in denitrification were inhibited by high concentrations (approx. 10 Vol %) of  $\text{C}_2\text{H}_2$ . In the control treatment,  $\text{NO}$  emissions were six to nine times that of the  $\text{C}_2\text{H}_2$  treatment, so that the strong isotope effect for  $\text{N}_2\text{O}$  production during nitrification may have been caused by  $\text{NO}$  accumulation (Pérez et al., 2006). As a consequence, it appears more robust to use a value of  $-56.6 \pm 7.3$  ‰ (median  $-57$  ‰) for  $\text{N}_2\text{O}$  production during nitrification, including values reported by Mandernack et al. (2009), Sutka et al. (2006) and Yoshida (1988).

The isotope effect of  $\text{NH}_2\text{OH}$  oxidation to  $\text{N}_2\text{O}$  was determined for several pure cultures and abiotic  $\text{N}_2\text{O}$  production using method  $\Delta$ . The measured isotope effects ranged from  $-26.3$  to  $5.7$  ‰ with a mean of  $-5.8 \pm 10.2$  ‰ (Heil et al., 2014; Sutka et al., 2006, 2004, 2003). The large range in isotope effects between the species *Methylococcus capsulatus*, a  $\text{CH}_4$  oxidizing bacteria with a  $\text{CH}_4$  mono-oxygenase also capable to oxidize  $\text{NH}_4^+$ , and *Nitrosomonas europaea* in Sutka et al. (2004, 2003) is likely due to differences in methanotrophic and autotrophic nitrification (Sutka et al., 2003). In studies on abiotic  $\text{N}_2\text{O}$  formation, different buffer media and pH values may account for the observed variability (Heil et al., 2014). In the latter study, also 1:1 mixtures of  $\text{NO}_2^-$  and  $\text{NH}_2\text{OH}$  were used as a substrate and isotope effects in the range of  $-17.8$  to  $0.8$  ‰ were reported, which are only slightly weaker than isotope effects of  $\text{NH}_2\text{OH}$  oxidation only. The isotope effects found for  $\text{N}_2\text{O}$  production during  $\text{NH}_2\text{OH}$  oxidation are weaker than for  $\text{N}_2\text{O}$  production during  $\text{NH}_4^+$  oxidation, indicating a strong isotope effect for the oxidation step from  $\text{NH}_4^+$  to  $\text{NH}_2\text{OH}$ . Using a value of  $-5.1 \pm 12.0$  ‰ (median  $0.6$  ‰ and non-normal distribution ( $p=0.03$ )) for  $\text{NH}_2\text{OH}$  oxidation seems robust, considering only studies from Table S7 with  $\text{NH}_2\text{OH}$  as sole substrate (Heil et al., 2014; Sutka et al., 2006, 2004, 2003).

The isotope effect of the oxidation of  $\text{NO}_2^-$  to  $\text{NO}_3^-$  has so far only been described by Casciotti (2009) for a pure culture with the chemo-litho-autotrophic nitrite oxidizer *Nitrococcus mobilis*. Three experiments have been performed, in which the concentration of cells, pH and medium were varied. The incubations lasted between two and six days until the oxidation reaction was approximately completed. For all experiments inverse isotope effects were reported with a mean of  $13.0 \pm 1.5$  ‰ (index 12 in Figure 3). The inverse isotope effect is in agreement with calculations based on transition state theory (see Section 6) and may be caused by the formation of a strong additional N-O bond (Casciotti, 2009).

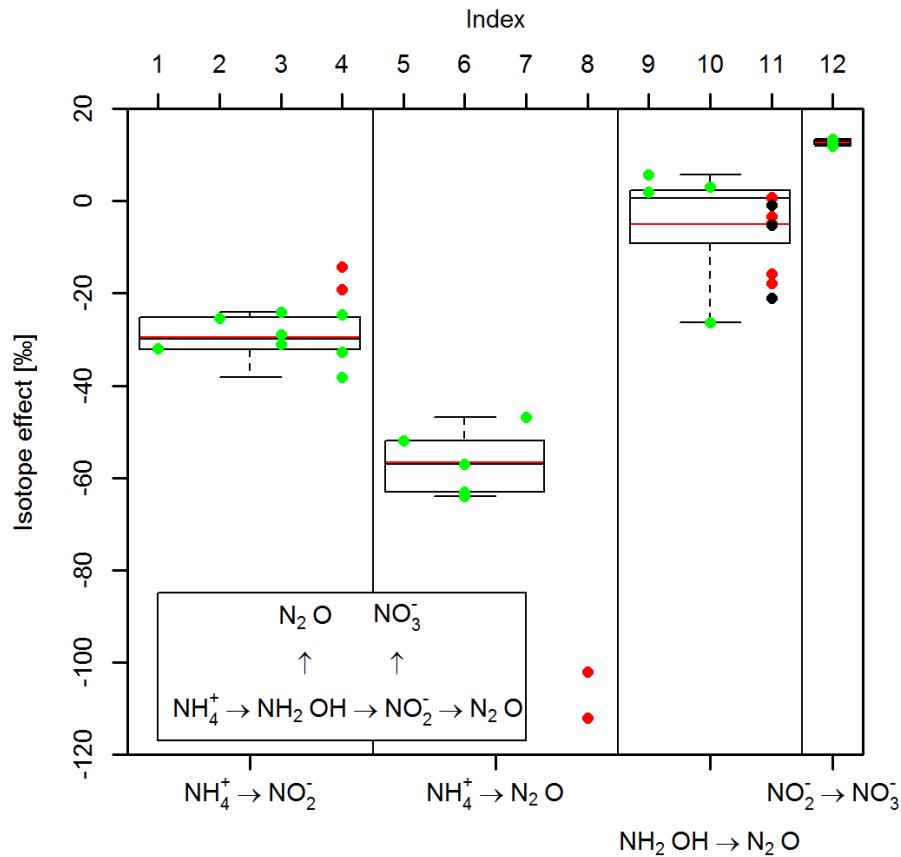


Figure 3: Boxplots of the isotope effects for the oxidation of  $\text{NH}_4^+$  to  $\text{NO}_2^-$  reported in Table S5, the oxidation of  $\text{NH}_4^+$  to  $\text{N}_2\text{O}$  reported in Table S6, the  $\text{NH}_2\text{OH}$  reaction to  $\text{N}_2\text{O}$  reported in Table S7 and the oxidation of  $\text{NO}_2^-$  to  $\text{NO}_3^-$ . The index numbers on the top refer to the studies in the respective tables. Green dots indicated pure culture studies, black dots abiotic reactions and the red dots are values not considered while doing boxplot calculations. The red dotted values are neglected for using aquatic organisms in study 4, having substantial  $\text{NO}$  accumulation in study 8 and using  $\text{NO}_2^-$  as additional substrate in study 12. The box on the left bottom shows all reaction path ways in nitrification reactions.

## 5.3 Denitrification

### 5.3.1 $\text{NO}_3^-$ reduction

The first step in the denitrification process is the reduction of  $\text{NO}_3^-$  to  $\text{NO}_2^-$ . For this reaction, a variety of isotope effect studies are available comprising experiments with pure cultures as well as soil and ground water samples. The strongest isotope effects were found for pure fungal cultures ( $F_{PC}$ ) with values ranging between -45.6 and -30.9 ‰ (mean:  $-37.8 \pm 6.6$  ‰), followed by soil incubations (SI) with values ranging between -52.8 and -10 ‰ (mean:  $-31.4 \pm 11.8$  ‰). Isotope effects were weaker for bacterial pure culture studies ( $B_{PC}$ ) with values ranging between -36.7 and -10 ‰ (mean:  $-25.2 \pm 8.4$  ‰) and weakest for water samples (WS) ranging between -38 and -2.6 ‰ (mean:  $-11.4 \pm 9.5$  ‰) (Table S8 and Figure 4).

While the variability of isotope effects within one experimental setup (e.g. fungal pure culture experiments) might be explained by different microbial strains ( $F_{PC}$ ,  $B_{PC}$ ) or a multitude of microbial organisms (SI, WS), also the isotope effects for a particular organism, e.g. *Paracoccus denitrificans* varied substantially with values ranging between -28.6 ‰ (Barford et al., 1999) and -10 ‰ (Toyoda et al., 2005). Some part of the variability between different strains may result from different enzyme structures (Casciotti et al., 2003; Rohe et al., 2014; Schmidt et al., 2004), but the large variation of values determined for a single organism indicates that the specific experimental conditions (e.g. diffusion limitation for substrate availability, accumulation of intermediates and use of  $\text{C}_2\text{H}_2$  in experiments to block  $\text{N}_2\text{O}$  reduction) play a significant role for the determined isotope effect (Toyoda et al., 2005).

In the context of experimental conditions, the dependence of the isotope effect on temperature was investigated by Mariotti et al. (1982a, 1981), who observed a substantially weaker isotope effect for soil incubation studies at 30°C (-24.6 ‰) than at 10°C (-31.2 ‰). Weaker isotope effects are caused by increasing reaction rates at higher temperatures (Mariotti et al., 1982a) as diffusion of substrate into the cell is the rate limiting step, and the isotope effect shifts towards the weaker isotope effect associated

with diffusion. At low reaction rates, substrate delivery via diffusion is relatively higher so that the stronger enzymatic isotope effect is expressed. However, in soil incubation experiments (Snider et al., 2009) using an upland and a wetland site under different moisture and temperature conditions revealed contradicting results. Though the denitrification rates varied by more than an order of magnitude between treatments, the measured isotope effects varied by less than 9‰ and no significant difference in isotope effects was found.

Besides the effects of temperature, moisture or substrate availability on isotope effects, the effect of the added substrate's ( $\text{NO}_3^-$ ) isotopic composition was investigated by utilizing  $\text{NO}_3^-$  containing  $^{15}\text{N}$  at levels from natural abundance up to 1 atom%, i.e. approx. 1750 ‰ (Mathieu et al., 2007). Already substrate enrichment to 0.4 atom%, i.e. approx. 92 ‰, attenuated the isotope effect by 5 ‰ (Mathieu et al., 2007). Decreasing isotope effects were also observed in incubation experiments with increasing substrate consumption (Menyailo and Hungate, 2006; Toyoda et al., 2005). While this was explained by a shift of non-steady state to steady-state conditions in the considered reaction chains, the decreasing isotope effect could partly be due to complete substrate consumption within a microbial cell. This leads to decreased isotope effects as the isotope effect shifts to the weaker isotope effect of diffusion (Mariotti et al., 1982a).

#### ***Influence of the experimental design***

Multiple Wilcoxon tests showed that the average isotope effect for  $\text{NO}_3^-$  reduction observed in WS was significantly weaker than the average effect of  $F_{\text{PC}}$ ,  $B_{\text{PC}}$  and SI, which were not significantly different ( $p > 0.18$ ) from each other (Figure 4).

The above classification integrates over all available calculation methods, and therefore comprises isotope effects for  $\text{NO}_3^-$  reduction to  $\text{NO}_2^-$  as well as  $\text{NO}_3^-$  reduction to  $\text{N}_2\text{O}$ . Also the direct comparison of subsets of WS ( $S_{\text{WS}}$ ;  $\eta = -8.5 \pm 6.3$  ‰) and SI ( $S_{\text{SI}}$ ;  $\eta = -31.3 \pm 6.1$  ‰), for which  $\text{NO}_3^-$  reduction to  $\text{NO}_2^-$  was

determined with the same approach (i.e. equations ( 5 ) and method S) revealed significantly weaker isotope effects associated with WS ( $p < 5 \cdot 10^{-6}$ ).

Other subsets could not be compared statistically as the isotope effects for class WS ( $n=10$ ) were calculated according to method S, except for three studies, in which also different methods were used. This consistent significant difference of class WS compared to SI,  $B_{PC}$  and  $F_{PC}$  is remarkable because WS comprises subsurface, river and seawater samples. For subsurface water samples, some studies report weak isotope effects between -5.2 and -2.6 ‰ (Fryar et al., 2000; Fustec et al., 1991; Mariotti et al., 1988), whereas others show stronger isotope effects ranging between -19.8 and -11.0 ‰ (Böttcher et al., 1990; Smith et al., 1991; Well et al., 2012). A range of -6.0 to -5.6 ‰ was measured for mixed surface and subsurface water (Koba et al., 1997) and -8.9 ‰ for river water (Hinkle et al., 2001). Two exceptionally strong isotope effects were reported for sea water (Cline and Kaplan, 1975), where a vertical diffusion and advection model for open systems was used, and for  $\text{NO}_3^-$  reduction in water of an old aquifer (Vogel et al., 1981), where a closed system equation with the isotopic composition of excess nitrogen as input was used.

The weak isotope effect in aquatic systems compared to soils may be explained by systematic differences in both environments, e.g. different microbial communities, pH values or temperature. However, dead-end soil pores, in which  $\text{NO}_3^-$  may be completely consumed may explain the weak isotope effects (Mariotti et al., 1988). Complete consumption of substrate in dead-end pore water does not affect the isotopic composition of the substrate in the running ground water, as all substrate is converted to product, but leads to a smaller residual substrate fraction  $f$  in the water. This underestimation of  $f$  leads to an underestimation of the isotope effect in ground water when fitted to the Rayleigh equation. In addition, the utilization of method S requires an estimate for the  $\text{NO}_3^-$  isotopic composition at the initial time point ( $\delta_{s,0}$ ). Since no initial time point and  $\text{NO}_3^-$  composition can be



defined for ground water, excess  $N_2$  in the groundwater is used as a proxy for the amount of already reacted  $NO_3^-$  (1-f) (Vogel et al., 1981), or alternatively chloride ( $Cl^-$ ) is used as a normalizing, inert tracer, which may contribute to the overall uncertainty of calculation of isotope effects (Koba et al., 1997). However, this method does not necessarily lead to a systematic bias. Alternatively, the weak average isotope effect found for ground water studies may be explained by the relation between reaction rate and isotope effect. Therefore, in aquifers high reaction rates lead to weak isotope effects (Mariotti et al., 1988) and slow rates to the rare strong isotope effects (Vogel et al., 1981). The weaker isotope effect in aquatic systems can also be attributed to a weaker isotope effect for diffusion in water-saturated compared to unsaturated soil (Well et al., 2012).

#### ***Influence of calculation method***

In the reviewed literature, the isotope effect of  $NO_3^-$  reduction is investigated using predominantly methods S, P and  $\Delta$  and the associated values are shown as classes  $S_{SI}$ , P and  $\Delta$  in Figure 3 with averages of  $-31.3 \pm 6.1$  ‰,  $-40.3 \pm 5.2$  ‰ and  $-28.5 \pm 12.4$  ‰, respectively. Values determined by utilization of method S represent the isotope effect for  $NO_3^-$  reduction to  $NO_2^-$ , whereas utilization of methods P and  $\Delta$  represent the isotope effect for the reduction to  $N_2O$ . The moderate standard deviations of classes  $S_{SI}$  and P as well as their significantly different averages ( $p < 0.007$ ) indicate that the steps following  $NO_3^-$  reduction to  $NO_2^-$ , i.e.  $NO_2^-$  reduction to NO and subsequently  $N_2O$ , also fractionate. However, no significant difference of  $S_{SI}$  and class  $\Delta$  could be found, most likely due to the highly variable results between  $-52.8$  ‰ (Lewicka-Szczebak et al., 2014) and  $-10$  ‰ (Pérez et al., 2006). This indicates that utilization of method  $\Delta$  may be more vulnerable to uncertainties than the other methods. One source of uncertainty might be the accumulation of intermediate products such as NO, which does not affect  $NO_3^-$  reduction, but controls the rate of  $N_2O$  production (Pérez et al., 2006). Another source of uncertainty is NO or  $N_2O$  production by nitrification and the reduction of  $N_2O$  to  $N_2$ . Incomplete suppression of  $N_2O$

reduction to  $N_2$  leads to an underestimation of the isotope effect due to  $^{15}N$  enrichment in the residual  $N_2O$ . These arguments also apply to method P and may therefore not be the main reason for the large variability. Alternatively, the use of the initial isotopic composition of the substrate ( $NO_3^-$ ) instead of the isotopic composition at the time of  $N_2O$  sampling can introduce an error of up to 3 ‰ even for  $f$  values of 0.9-0.95 (Toyoda et al., 2005). Thus, the significant difference between the classes P and  $\Delta$  ( $p < 0.006$ ) might primarily be a result of the large uncertainty in the class  $\Delta$  and the utilization of method  $\Delta$  requires a minimization of the time difference between  $N_2O$  and  $NO_3^-$  sampling.

#### ***Influence of $N_2O$ reductase inhibition by acetylene on the isotope effect***

As outlined above, it is essential to avoid further reduction of  $N_2O$  to  $N_2$  if methods P or  $\Delta$  are applied. The suppression of the terminal denitrification step is in most cases achieved by the  $C_2H_2$  inhibition method (Groffman et al., 2006). To investigate the effect of  $C_2H_2$  inhibition on the derived isotope effect, two subsets were formed from class  $\Delta$ , one representing experiments applying  $C_2H_2$  ( $+C_2H_2$ ) and one without applying  $C_2H_2$  inhibition ( $-C_2H_2$ ). The averages for  $-C_2H_2$  and  $+C_2H_2$  are significantly different ( $p < 0.03$ ) and amount to  $-22.6 \pm 4.8$  ‰ and  $-34.3 \pm 14.9$  ‰, respectively. The fact that the average isotope effect for  $-C_2H_2$  is weaker than the value of class  $S_{SI}$  ( $-31.3 \pm 6.1$  ‰), which represents the first denitrification step only, confirms that  $N_2O$  reduction biases the derived isotope effect by shifting it towards weaker values (Menyailo and Hungate, 2006).

However, the average isotope effects determined for  $+C_2H_2$  is substantially weaker than that of class P and is characterized by much larger variability ( $sd_{+C_2H_2} = 14.9$  ‰ compared to  $sd_P = 5.2$  ‰). The substantially weaker values observed for  $+C_2H_2$  and the high variability might both be caused by incomplete inhibition of  $N_2O$  reductase during  $C_2H_2$  incubation, as  $N_2O$  reduction can account for an increase in  $\delta^{15}N-N_2O$  of up to 20 ‰ (Menyailo and Hungate, 2006). Thus, weaker isotope effects may be

biased by incomplete inhibition and the strongest isotope effects may represent the intrinsic enzymatic isotope effect found in a situation of complete inhibition.

Taking into account the above review and discussion of isotope effects, the values  $-8.5 \pm 6.3$  ‰ (class  $S_{WS}$ ; median  $-5.6$  ‰ and non-normal distribution ( $p < 0.02$ )) and  $-31.3 \pm 6.1$  ‰ (class  $S_{SI}$ ; median  $-31.2$  ‰) appear reasonable for the reduction of  $\text{NO}_3^-$  to  $\text{NO}_2^-$  in aquatic and terrestrial environments, respectively (Figure 4). For the reduction of  $\text{NO}_3^-$  to  $\text{N}_2\text{O}$ , a large variety of values have been reported, in which different experimental conditions and calculation methods have been applied. A crucial point in measuring an unbiased isotope effect for  $\text{NO}_3^-$  to  $\text{N}_2\text{O}$  reduction is the complete inhibition of the  $\text{N}_2\text{O}$  reduction to  $\text{N}_2$ . Therefore, we suggest using the value  $-42.9 \pm 6.3$  ‰ (median  $-44.7$  ‰) including class P as well as the values  $-45$  ‰ and lower from class  $\Delta$  since for both the efficiency of inhibition was corroborated and negligible amounts of  $\text{N}_2\text{O}$  were reduced to  $\text{N}_2$ .

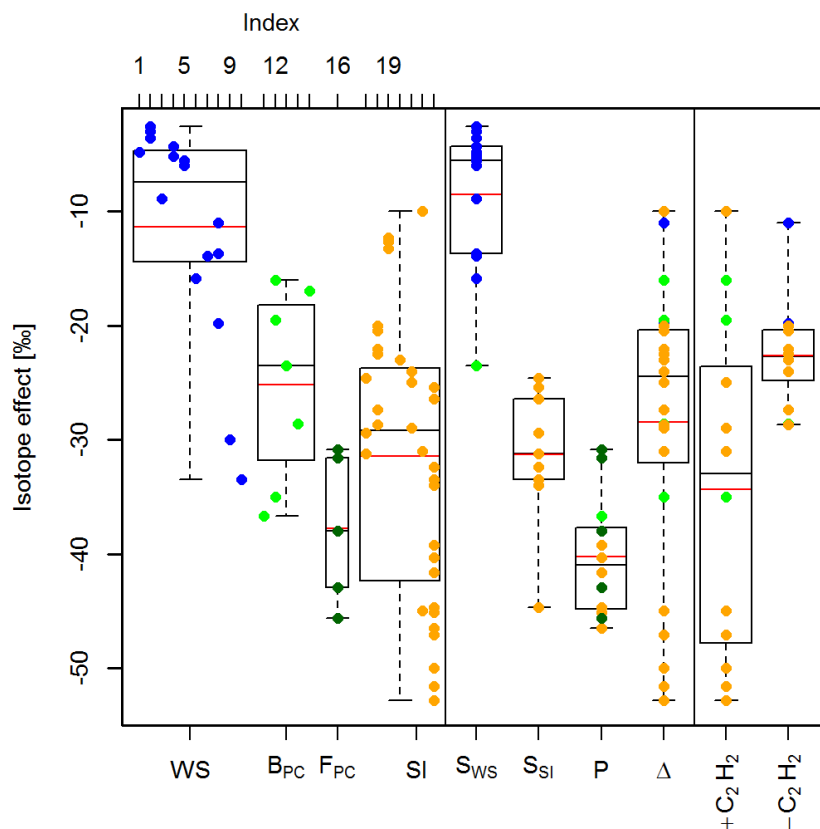


Figure 4: Boxplots of reported isotope effects for  $\text{NO}_3^-$  reduction. WS: studies on  $\text{NO}_3^-$  reduction in water samples (blue color), B<sub>PC</sub>: bacterial pure culture studies (light green color), F<sub>PC</sub>: fungal pure culture studies (dark green color), SI: soil incubation studies (orange color). S<sub>WS</sub>: isotope effects for aquatic environments using method S, S<sub>SI</sub>: soil incubations, using eqs. ( 5 ) and method S. P represents the isotope effects for  $\text{NO}_3^-$  reduction to  $\text{N}_2\text{O}$  employing method P. The  $\Delta$  box gives the values for all studies in which method  $\Delta$  was used to determine the isotope effect. The data from  $\Delta$  was additionally split into studies, using  $\text{C}_2\text{H}_2$  (+ $\text{C}_2\text{H}_2$ ) and omitting  $\text{C}_2\text{H}_2$  inhibition (- $\text{C}_2\text{H}_2$ ). The index numbers on the top identify the published studies in Table S8.

### 5.3.2 $\text{NO}_2^-$ reduction

The majority of isotope effects reported in the reviewed literature are based on pure culture studies involving bacterial denitrifiers (D), which show overall weak isotope effects of -15.8 to -6.9 ‰ with a mean of  $-11.8 \pm 4.52$  ‰, and fungal denitrifiers (FD) with isotope effects ranging from -29.3 to -6.0 ‰ with a mean value of  $-15.1 \pm 7.0$  ‰. In contrast,  $\text{NO}_2^-$  reduction during nitrifier denitrification (class ND) resulted in a narrow range (-35.1 to -33.7 ‰) of strong isotope effects with a mean of  $-34.45 \pm 0.66$  ‰ and the isotope effects for soil incubations (SI) were between -33.2 and -11.2 ‰ with a mean value of  $-21.7 \pm 6.7$  ‰ (Table S9, Figure 5).

The average isotope effect of class ND was significantly different from all other classes ( $p < 0.02$ ) while the classes D, FD and SI were not significantly different from each other ( $p > 0.16$ ). Though there were only two studies on nitrifier denitrification, which were carried out with the same microorganism, the significant difference and strong isotope effect may indicate a stronger isotope effect of nitrifier-denitrification compared to denitrification. The large variability within class FD however may reflect the broad range of different species involved (see Table S9) and points to pronounced differences of isotope effects among the species. The large variability of isotope effects in soil incubation experiments (class SI) may reflect not only variable experimental conditions and the range between the isotope effects of diffusion and enzymatic reaction, but also a mixture of involved organisms such as nitrifiers, denitrifiers and fungi.

The magnitude of the isotope effect of  $\text{NO}_2^-$  reduction to  $\text{NO}$ , was determined by method S ( $-19.8 \pm 7.6$  ‰) in two studies (Bryan et al., 1983; Mariotti et al., 1982a). Mariotti et al. (1982a) also investigated the effect of the reaction rate on the isotope effect for  $\text{NO}_2^-$  reduction by manipulating temperature and

carbon supply by addition of glucose. The isotope effects diminished with increasing reaction rate because of diffusion limitation. Bryan et al. (1983) investigated the rate dependence by changing  $\text{NO}_2^-$  and succinate concentration, but found stronger and weaker isotope effects with increasing rate, respectively. The discrepancy between both studies was explained by the relative rates of diffusion and enzymatic reactions involved, indicating that the rate alone cannot be taken as a measure of the magnitude of the isotope effect without consideration of the specific mechanism.

The reported isotope effects for  $\text{NO}_2^-$  reduction to  $\text{N}_2\text{O}$  have been calculated by both method P ( $-12.4 \pm 5.7 \text{ ‰}$ ) and  $\Delta$  ( $-24.2 \pm 10.3 \text{ ‰}$ ), which are significantly different ( $p < 0.02$ ). Differences are due to the involvement of class ND in the isotope effects determined by class  $\Delta$  (Sutka et al., 2004, 2003; Yoshida, 1988). For denitrification experiments only, the isotope effects calculated by methods P and  $\Delta$  ( $-14.9 \pm 6.7 \text{ ‰}$ ) are not significantly different ( $p > 0.21$ ). However, because neither the presence of nitrifier denitrification nor the presence of fungal denitrification can be excluded for terrestrial environments, all values for  $\text{NO}_2^-$  reduction to  $\text{N}_2\text{O}$  from classes P and  $\Delta$  are likely to be observed. The weaker isotope effect of class P and class  $\Delta$  without ND compared to class S points to an inverse isotope effect for the NO to  $\text{N}_2\text{O}$  reduction, which is in agreement with section 5.3.3.

For denitrification of  $\text{NO}_2^-$  to NO the value  $-19.8 \pm 7.6 \text{ ‰}$  (median  $-20.5 \text{ ‰}$ ) found for class S seems to reflect the variety of environmental conditions and composition of microorganisms likely to be encountered in terrestrial environments. Though experimental confirmation of the strong isotope effects for ND is pending, separate values for denitrification and nitrifier-denitrification may be pertinent. As no significant difference between D and FD could be found, a value of  $-14.9 \pm 6.7 \text{ ‰}$  (median  $-14.0 \text{ ‰}$ ) for denitrification, comprising class P and the denitrification studies in class  $\Delta$  appears reasonable. Nitrifier denitrification may be treated with a value of  $-34.5 \pm 0.7 \text{ ‰}$  (median  $-34.5 \text{ ‰}$ ).

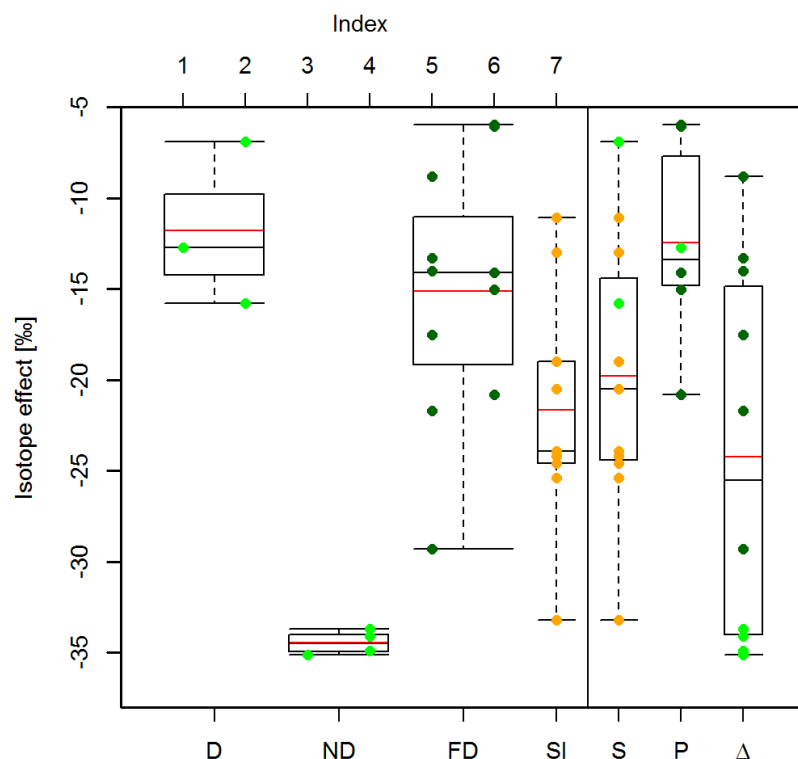


Figure 5: Boxplots of reported isotope effects for  $\text{NO}_2^-$  reduction. D: Bacterial pure culture studies for denitrification (light green), ND: Bacterial pure culture studies for nitrifier-denitrification (light green), FD: fungal denitrification studies (dark green), SI: soil incubation studies (orange), S: soil incubations, for which method S was used. P: isotope effects for  $\text{NO}_2^-$  reduction to  $\text{N}_2\text{O}$  using method P. The  $\Delta$  box gives the values for all studies, where both  $\text{NO}_2^-$  substrate and  $\text{N}_2\text{O}$  product were measured and method  $\Delta$  was used to determine the isotope effect. The index numbers on the top refer to the studies in Table S9.

### 5.3.3 NO reduction to $\text{N}_2\text{O}$

The isotope effect for NO reduction to  $\text{N}_2\text{O}$  has so far only been measured for fungal denitrification (Yang et al., 2014). The isotope effect was calculated by method P and was measured for both  $\text{N}_2\text{O}$  isotopomers. The authors identified an inverse isotope effect with  $14.0 \pm 1.6$  ‰ (Yang et al., 2014), which is in agreement with the findings of transition state theory discussed in section 6.

### 5.3.4 $\text{N}_2\text{O}$ reduction to $\text{N}_2$

The reviewed literature comprises both pure culture and soil incubation experiments, with isotope effects ranging between -12.9 ‰ (Barford et al., 1999) to -1.8 ‰ (Ostrom et al., 2007) and an average of  $-4.1 \pm 6.8$  ‰ considering all studies (Table S10). An inverse isotope effect, i.e.  $^{15}\text{N}$  enrichment in the

product N<sub>2</sub>, was observed in flow-through incubations with open vessels and values were in the range of 7.6 to 16.2 ‰ (Lewicka-Szczebak et al., 2014). This inverse isotope effect might be explained by two scenarios; <sup>15</sup>N depletion in N<sub>2</sub>O during diffusion prior to reduction and/or experimental artifacts. Ostrom et al. (2007) attributed normal and inverse isotope effects during N<sub>2</sub>O reduction to two coexisting N<sub>2</sub>O reduction pathways: (1) N<sub>2</sub>O is reduced immediately following N<sub>2</sub>O production within the same microsite or organism or (2) N<sub>2</sub>O produced previously and released to the soil matrix is taken up and reduced (Clough et al., 2005, 1999). When pathway (1) dominates, microsites or organisms may release isotopically depleted N<sub>2</sub>O. This applies if a fraction of the produced N<sub>2</sub>O diffuses out of the cell or microsite and thereby becomes depleted. With all remaining N<sub>2</sub>O being reduced further to N<sub>2</sub> the N<sub>2</sub>O released to the headspace of an incubation vessel would be <sup>15</sup>N depleted compared to the initial N<sub>2</sub>O isotopic composition and application of method S results in an inverse isotope effect even though the enzymatic isotope effect is a normal isotope effect. Alternatively, the determination of an inverse isotope effect may be associated with artifacts caused by the experimental method. Utilization of method S presumes knowledge of the N<sub>2</sub>O isotopic composition ( $\delta_{s,0}$ ) that was reduced to N<sub>2</sub>. As this experiment was conducted as a dynamic flow-through experiment,  $\delta_{s,0}$  was determined from a parallel C<sub>2</sub>H<sub>2</sub> inhibition experiment. Considering the large variability of isotope effects determined for N<sub>2</sub>O production (see section 5.3.1), a weaker expressed isotope effect during N<sub>2</sub>O production may have led to enriched N<sub>2</sub>O in the parallel C<sub>2</sub>H<sub>2</sub> treatment relative to the treatment without C<sub>2</sub>H<sub>2</sub> inhibition. In addition, incomplete inhibition may have biased  $\delta_{s,0}$  to more enriched values which would also result in an inverse isotope effect. Because an inverse isotope effect has been observed so far only in a one dynamic incubation experiment, and following dynamic experiments also yielded normal isotope effects which ranged from -9.1 to -2.5 ‰ and were consistent with previous findings (Lewicka-Szczebak et al., 2015), it appears robust to use an average isotope effect of  $-6.6 \pm 2.7$  ‰ (median -6.8 ‰) which neglects the inverse isotope effects.

## 5.4 Isotope site preference in N<sub>2</sub>O

Various studies (Brenninkmeijer and Röckmann, 1999; Toyoda and Yoshida, 1999) identified site preference (SP), i.e. the difference between the  $\delta^{15}\text{N}$ -values for the central and terminal positions in N<sub>2</sub>O, as a powerful tool for source partitioning of N<sub>2</sub>O emissions because i) it is expected to be independent of the substrate isotopic composition (Toyoda et al., 2002) and ii) clear differences in SP values (Table S11 and Figure 6) could be determined for different processes involved in N<sub>2</sub>O production (Toyoda et al., 2015). However, three issues complicate the application of this approach for source partitioning: i) the increase of SP values during N<sub>2</sub>O reduction, i.e. the isotope effect of N<sub>2</sub>O reduction, ii) the overlap of SP-values for fungal denitrification and nitrification (see Table S11) and iii) the variability of SP for different cultures and during the course of the reaction (Decock and Six, 2013; Yang et al., 2014). In addition, part of the inter-laboratory variability in SP values might be caused by a restricted compatibility of laboratories due to different analytical techniques and missing N<sub>2</sub>O standards as demonstrated by Mohn et al. (2014).

The constraint (i) can be resolved in models, as the isotope effect for SP in a situation in which N<sub>2</sub>O is reduced to N<sub>2</sub> has been reported to be robust within the range of -8 to -2 ‰ (Jinuntuya-Nortman et al., 2008; Lewicka-Szczebak et al., 2014; Well and Flessa, 2009). The overlap of SP values for fungal denitrification and nitrification (constraint ii) is yet unresolved, but the contribution of fungal denitrification to total N<sub>2</sub>O emission has not yet been entirely elucidated (Maeda et al., 2015). Nevertheless, Laughlin et al. (2009) and Marusenko et al. (2013) claim the N<sub>2</sub>O emission can be dominated by fungal denitrification in grassland and desert soils. In contrast to the narrow ranges for other processes, a large range of SP values from 15.8 to 39.9 ‰ (Maeda et al., 2015; Sutka et al., 2008) was observed for fungal denitrification. Low SP was found under acidic conditions, which favors abiotic N<sub>2</sub>O production (Wrage et al., 2001). As abiotic N<sub>2</sub>O formation is associated with SP of 28.4 to 35.6 ‰, increased contribution of such N<sub>2</sub>O cannot explain a decrease in SP, so that the observed taxa-effect, i.e.



653 differences between bacterial and fungal  $\text{N}_2\text{O}$  production, may account for the observed variability  
 654 (Maeda et al., 2015). Though experimental results (Heil et al., 2014; Toyoda et al., 2005) indicate that SP  
 655 is stable during the course of a reaction, this may need further corroboration in view of an increase of SP  
 656 from 15 to 29 ‰ (Yang et al., 2014) during the reduction of  $\text{NO}$  (constraint iii). Given the pending  
 657 corroboration of changing SP during a reaction, the latter study was not considered in the compilation of  
 658 Table S11. Though considerable variability of SP was found for fungal denitrification, the variability of SP  
 659 values is smaller compared to natural abundance isotope effects of bulk  $^{15}\text{N}$  across N transformations  
 660 (see standard deviations in Table S11 for SP and chapters 5.1 to 5.3 for isotope effects). Thus, SP is more  
 661 suitable for source partitioning of  $\text{N}_2\text{O}$  production, but has to go hand in hand with advances in direct  
 662 denitrification measurements /  $\text{N}_2$  measurements and an assessment of the significance of the  
 663 contribution of fungal denitrification in soils.

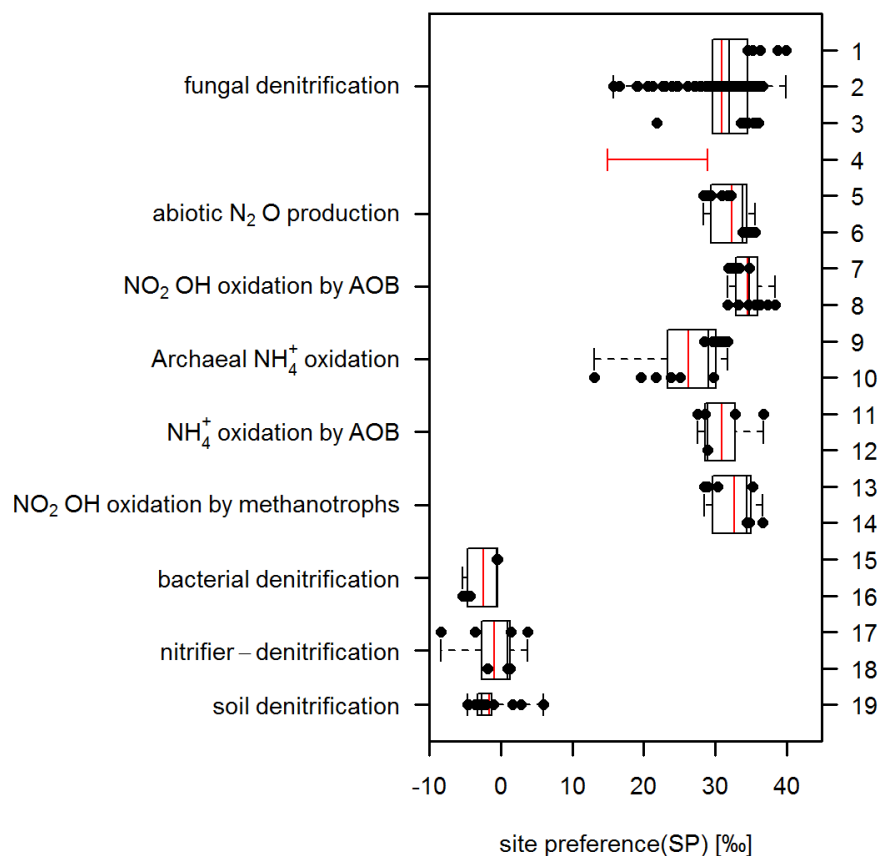


Figure 6: Boxplots of the SP values for the different N<sub>2</sub>O producing processes. The red lines in the boxplots indicate the mean of all values in the boxplot. For study 4, the range of reported values is given by a red bar instead of single values because the measured SP values were not constant during the course of reaction. The index numbers on the right refer to the studies in Table S12.

## 6 Comparison with theoretical isotope effects from transition state theory

Due to the small amount of empirical information, it is pertinent to corroborate the measured isotope effects by a comparison with theoretical isotope effects, particularly for NO reduction to N<sub>2</sub>O and NO<sub>2</sub><sup>-</sup> oxidation to NO<sub>3</sub><sup>-</sup>. The theoretical calculations for isotopic fractionation factors derived for equilibrium reactions are based on the idea that during a reaction the substrate molecule forms an activated complex (transition state), which decomposes to the product molecule (Bigeleisen, 1949). In thermodynamic equilibrium, the ratio of equilibrium constants for the reactions involving heavy and light isotopes, respectively, can be interpreted as the ratio of reaction rates, i.e. isotopic fractionation factor. Equilibrium constants can be derived based on the quantum mechanical partition functions which can be calculated based on spectroscopic information. Several approaches were suggested to calculate the isotopic fractionation factor, but these require additional knowledge e.g. of the effective mass of the transition state (Slater, 1948). Therefore, the isotopic fractionation factor for both NO<sub>2</sub><sup>-</sup> oxidation and NO reduction was calculated according to the simplified eq. ( 18 ) for the exchange reactions given in Table 1 (Bigeleisen and Goeppert Mayer, 1947).

$$\alpha = \prod \frac{Q_{products}}{Q_{substrates}} \quad (18)$$

The ratios of the partition functions, *Q*, for products and substrates were taken from (Begun and Fletcher, 1960) and are presented in Table 2.

Table 1: Theoretical and measured isotope effects for the exchange reactions of NO<sub>2</sub><sup>-</sup> oxidation and NO reduction

Reaction	Theoretical isotope effect [‰]	Measured isotope effect [‰]	Reference
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$^{15}\text{NO}_2^- + ^{14}\text{NO}_3^- \leftrightarrow ^{15}\text{NO}_3^- + ^{14}\text{NO}_2^-$	65.1	$13 \pm 1.5$	(Casciotti, 2009)
$^{15}\text{NO} + ^{14}\text{N}_2\text{O} \leftrightarrow ^{14/15}\text{N}_2\text{O} + ^{14}\text{NO}$	37.7	$14 \pm 1.6$	(Yang et al., 2014)

Table 2: Partition function ratios used for the calculation of isotopic fractionation factors

Molecules	Partition function ratio $^{15}\text{Q}/^{14}\text{Q}$	Temperature in Kelvin	Reference
$^{15}\text{NO}_3^- / ^{14}\text{NO}_3^-$	1.1506	298.16	(Begun and Fletcher, 1960)
$^{15}\text{NO}_2^- / ^{14}\text{NO}_2^-$	1.0803	298.16	(Begun and Fletcher, 1960)
$^{15}\text{NO} / ^{14}\text{NO}$	1.0656	298.16	(Begun and Fletcher, 1960)
$^{14}\text{N}^{15}\text{NO} / ^{14}\text{N}^{14}\text{NO}$	1.1360	298.16	(Begun and Fletcher, 1960)
$^{15}\text{N}^{14}\text{NO} / ^{14}\text{N}^{14}\text{NO}$	1.0772	298.16	(Begun and Fletcher, 1960)

In agreement with the reviewed literature, the theoretical isotope effects also indicate an inverse isotope effect for both reactions (Table 1). For  $\text{NO}_2^-$  oxidation to  $\text{NO}_3^-$ , a similar theoretic isotope effect (58 ‰ compared to 65 ‰ in this review) was calculated in the original study (Casciotti, 2009), but both theoretical values overestimate the real isotope effect. For the NO to  $\text{N}_2\text{O}$  reduction the theoretical calculations exhibit a strongly inverse isotope effect with substantial  $^{15}\text{N}$  enrichment in  $\alpha\text{-N}_2\text{O}$  and slightly inverse isotope effect for  $\beta\text{-N}_2\text{O}$ . These calculations agree to experimental results for  $^{15}\text{N}^\beta\text{-N}_2\text{O}$ , where a strong inverse isotope effect was observed but disagree for  $^{15}\text{N}^\alpha\text{-N}_2\text{O}$  where experiments show a normal isotope effect ( $^{15}\text{N}$  depletion) (Yang et al., 2014). Though the magnitude of the isotope effects was overestimated by the theoretical calculations, which may be due to the simplifications in the derivation of eq. ( 18 ) and the consideration of an exchange reaction, the calculated inverse isotope effects support the determined inverse isotope effects for the  $\text{NO}_2^-$  oxidation and NO reduction.

## 7 Simulation approaches

The occurrence of N isotope fractionation during N turnover processes has been used in a number of applications; for example, to reveal reaction pathways in laboratory scale experiments (Lewicka-Szczebak et al., 2015, 2014), to constrain a process-based biogeochemical model (Bai and Houlton, 2009; Houlton et al., 2006), or to simulate the global distribution of nitrogen isotopes in the ocean (Somes et al., 2010) and in soil (Houlton et al., 2015). The transient simulation of the isotopic composition of an N

compound in an interacting system, such as the soil or the ocean, requires knowledge on isotopic fractionation factors, pool sizes of the different N compounds and the fluxes between the latter. Pool sizes and fluxes can be estimated by ecosystem process models. There are two general approaches to combine process modeling with isotopic calculations. The isotopic composition can i) be calculated on-line by the model itself or ii) off-line based on the modeled pools and fluxes. For the simulation of N isotopes in the ocean, approach i) was followed by Somes et al. (2010), whereas approach ii) was implemented for N simulations of tropical rain forests (Bai and Houlton, 2009), and in the non-equilibrium stable isotope simulator (NESIS), which was explicitly developed for the off-line coupling of ecosystem models with N isotope calculations (Rastetter et al., 2005). The second approach is more simple to implement as it avoids a complete recoding of the process model, however special care needs to be taken that the fluxes provided are gross fluxes (Rastetter et al., 2005). In addition, the parent process model may provide output at a lower time resolution than the internal calculations required for the isotope module, which may lead to a situation in which a pool size can be significantly changed. In such cases, the residual substrate fraction  $f$  is small which leads to large enrichments in the residual substrate and may lead to numeric instabilities. Splitting the parent model's output time step into a number of iterations based on the fluxes between pools and pool size increases the accuracy and stability of this approach (Rastetter et al., 2005).

These online and offline approaches may also be branched by the way of the pool descriptions. The pools can either be duplicated into a pool for heavy and light isotope (Rastetter et al., 2005) or isotope ratios or  $\delta$ -values can be traced directly (Bai and Houlton, 2009; Somes et al., 2010). In the situation in which pools are split into heavy and light isotopes, a rate constant concept is applied to calculate fractionation, whereas open or closed system equations are applied if the model is formulated in terms of isotope ratios or  $\delta$ -values. The advantage of the rate constant approach is that once the mass flow between pools are known, even multiple production or consumption steps can be calculated

simultaneously for each pool. The isotopic composition can subsequently be determined as the ratio of the pools containing the different isotopically substituted species (for instance,  $^{14}\text{N-NO}_3^-$  and  $^{15}\text{N-NO}_3^-$ ). Obviously, this concept doubles the amount of pools to be tracked. In contrast, the approach tracking the isotopic composition of a pool requires the sequential calculation for each process drawing from (fractionation) or adding to (mixing) a pool. For this reason, the latter approach is suited to be combined with a model that calculates mass fluxes between pools sequentially.

In a situation in which mixing and fractionation need to be calculated sequentially (i.e. due to the model architecture), the parameters determining the evolution of isotopic composition for both closed and open systems are the isotopic fractionation factor  $\alpha_{p/s}$  and the residual fraction of substrate  $f$ . In process-oriented biogeochemical models, the amounts of reacted substrates per time step are often calculated based on reaction rate constants. Hence, the fraction  $f$  depends on the time discretization. The formalism to calculate isotopic fractionation in a transient model therefore needs to be independent of the time step chosen. Because calculations of the isotopic fractionation factor are exact when formulated in terms of isotope ratios, the effect of a refined time step on the final isotope ratio for both fractionation systems is investigated. The final isotopic composition  $R_{s,f}$  originating from an initial substrate  $R_{s,0}$  and a residual substrate  $f=N_{s,i}/N_{s,0}$  needs to be equal to the final isotopic composition if an additional intermediate substrate  $R_i$  is introduced with  $f_1=N_{s,i}/N_{s,0}$  and  $f_2=N_{s,f}/N_{s,i}$  denoting the residual fractions for the intermediate steps and subscripts i and f indicate the intermediate and final state respectively. In the closed system the equation for one step is:

$R_{s,f} = R_{s,0} f^{(\alpha_{p/s}-1)}$	(19)
--	------

while with an additional intermediate step  $R_i = R_{s,0} * f_1^{(\alpha_{p/s}-1)}$  and  $R_{s,f} = R_i * f_2^{(\alpha_{p/s}-1)}$  it reads:

$R_{s,f} = R_{s,0} f_1^{(\alpha_{p/s}-1)} * f_2^{(\alpha_{p/s}-1)}$	( 20 )
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which is equivalent to ( 19 ) as  $f = f_1 * f_2$ . An analogous investigation using open system equations shows that open system equations are not independent of the time step chosen. For this reason, closed system equations should be used in combination with isotope ratios.

However, the above derivation exclusively applies if consumption is the only process occurring. Because multiple processes may add to and draw from a pool and natural systems are never ideally closed, the utilization of small time steps was suggested to minimize the spatial input and output (i.e.  $f$  values close to 1) during a time step to avoid biases in isotopic calculations (Robinson, 2001). It is noteworthy that the differences between open and closed system calculations decrease with increasing residual substrate fraction  $f$ , so that adequate results can be achieved also with open system calculations if the time step is controlled appropriately (Wu et al., 2016).

For identifying the maximum time step for isotopic calculations, the deviation of calculated  $\delta$ -values in a virtual pool from a reference simulation needs to be known. The virtual pool calculations need to cover the broad range of possible combinations of the share of N compound mixed into the virtual pool and the share of N compound consumed from the pool. As systems with large differences between the isotopic composition of the pool and added substrate and a strong isotope effect are most vulnerable to time discretization, the highest expected differences and isotope effects were used in the example calculations. The calculations presented here are based on an enriched pool with a  $\delta$ -value of 50 ‰ and a process providing 1 to 99% of the pool size of a product with a  $\delta$ -value of 0 ‰ to the pool. Subsequently, 1 to 99% of the initial pool is consumed with an isotope effect of -30 ‰. The reference simulation splits each combination of fraction mixed and consumed into 1000 sub steps.

Figure 7 shows the deviations of calculated  $\delta$ -values for 1, 10, 50 and 100 sub steps relative to the reference simulation. The largest differences were observed for small residual substrate fractions  $f$  (i.e.

large enrichment of the substrate pool). For 50 sub steps, the largest deviation is less than 0.5 ‰. Assuming that 0.5 ‰ is a good approximation for the average analytical precision of isotopic N compounds, and because the example is on the upper boundary of realistic isotope effects of -30 ‰ and initial  $\delta$ -values of 50 ‰, time steps limiting  $f$  to approx. 0.01 results in unbiased simulations compared to the analytical precision. In cases, where fractionation and mixing have higher rates than the initial pool size and change the isotopic composition due to very strong fractionation and mixing with much enriched sources, a larger number of iterations may be required.

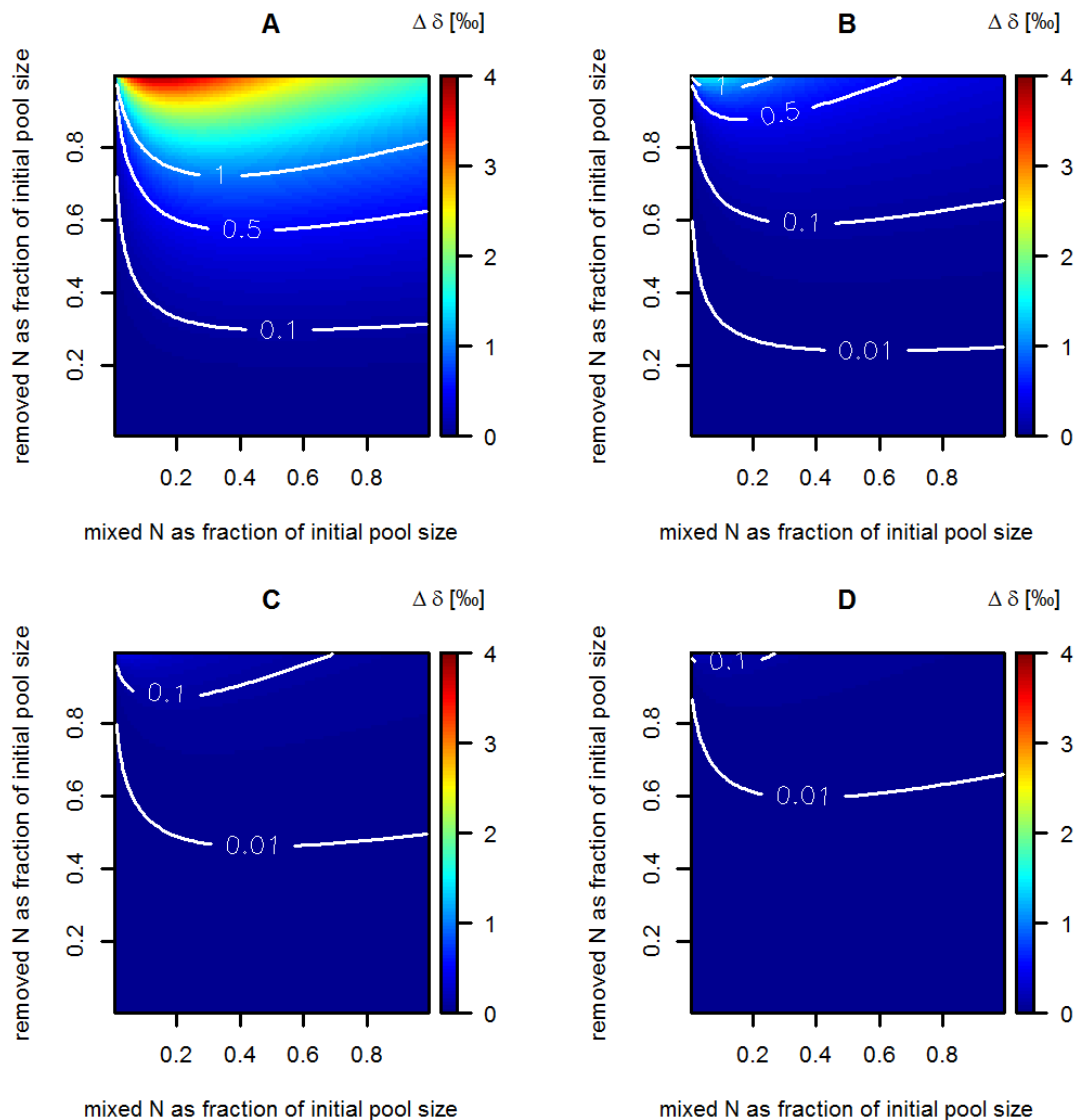


Figure 7: Deviation of simulations carried out with 1 (A), 10 (B), 50 (C) and 100 (D) sub steps from the reference simulation with 1000 sub steps. During each simulation, a compound with  $\delta=0\text{‰}$  is added to a virtual pool ( $\delta=50\text{‰}$ ) which is consumed by a virtual process ( $\epsilon=-30\text{‰}$ ). The added and consumed share ranges between 1 and 99% of the initial pool size and is split into equal parts onto every sub step. The color scheme and contour lines give the difference of  $\delta$ -values between the respective simulation and the reference simulation.

## 8 Conclusion

The reviewed literature reveals substantial variability of isotope effects which resulted from different experimental conditions (organisms, temperature and substrate) and calculation methods. Method  $\Delta$  (the difference in isotope value between the substrate and instantaneous product) showed the widest ranges of isotope effects, which may reflect the difficulty to access the  $^{15}\text{N}$  isotopic composition of the instantaneous product. Method S (based on the isotope values of the substrate) and method P (based on the isotope values of the accumulated product) seem to yield more consistent results of isotope effects for one step reactions and reaction chains, respectively. The isotope effects determined for samples from aquatic systems, like aquifers, were weaker than in soil incubation studies. One single reason causing this difference could not be ruled out, but different microbial communities, pH values or temperature conditions and reaction rates in both systems may generally explain this difference. In addition, complete consumption in isolated dead end pores may be of special importance in aquifers.

Another general challenge for the determination of isotope effects is the isolation of a specific reaction process, so that further reaction branches do not bias the determined isotope effects. To achieve process isolation, the inhibitor  $\text{C}_2\text{H}_2$  was used to suppress the reduction of  $\text{N}_2\text{O}$  to  $\text{N}_2$  in the last step of denitrification. However, there is a large variability in isotope effects measured in experiments using  $\text{C}_2\text{H}_2$ , which indicates that the inhibition may not have been complete in all cases. For this reason, the complete inhibition of  $\text{N}_2\text{O}$  reduction should always be tested to make studies with  $\text{C}_2\text{H}_2$  application more comparable.



803 To further constrain the isotope effects for single processes underrepresented in the literature, isotope  
804 effects were also calculated for selected processes using transition state theory. However, these  
805 calculations could confirm the sign, but not the magnitude of the isotope effect.

806 The suggested isotope effects and uncertainties for the N transformation processes considered in this  
807 review (see Figure 8) will form the base for isotope calculations in conjunction with biogeochemical  
808 modelling and foster the interlocking of isotope measurements and ecosystem modeling.

809 Modeling of the dynamic of changes of isotopic compositions for different N compounds in soils and  
810 ecosystems has been achieved by some isotope models, which are either directly implemented into or  
811 coupled to ecosystem models. Some of these models track the change of the size of  $^{15}\text{N}$  and  $^{14}\text{N}$  pools,  
812 whereas others calculate a  $\delta$ -value for each nitrogen pool. Among the technical requirements which  
813 need to be considered when implementing isotope routines in process-based biogeochemical models,  
814 an adequate time step control for the simulated processes is crucial. Analysis of the closed and open  
815 system equations for fractionation calculations alone showed that only the closed system equations are  
816 able to obtain unbiased results when the time step is refined. However, this is only true for exclusive  
817 fractionation, whereas for multiple consecutive mixing and fractionation processes such as  $\text{NO}_3^-$   
818 production, consumption and leaching, each of which either adding to or drawing from a pool, a relation  
819 between targeted precision and used time step has to be determined. Based on virtual pool simulations  
820 considering a) likely end member values for the strongest isotope effects, b) differences in isotopic  
821 composition between pool and added compound and c) taking into account consecutive mixing and  
822 fractionation processes, it is possible to determine the influence of the time step on the isotope signal.  
823 The simulations showed that the size of the residual fraction  $f$  is the crucial factor for producing  
824 unbiased results and  $f$  has to stay above a threshold depending on target precision, which was in our  
825 case  $f > 1\%$  to reach 0.5 ‰ precision in pool  $\delta$ -value with 50 iterations.. Under these conditions, the

826 application of isotope methods in process-based models will allow a more comprehensive validation and  
827 further development of descriptions of nitrogen turnover processes and their interaction and  
828 comparison of simulated and measured delta <sup>15</sup>N signals of e.g. N trace gas emission and N pools.  
829 Specifically in the context of N<sub>2</sub>O source partitioning, we expect that the dissemination of  
830 measurements of N<sub>2</sub>O site preference will amplify our capabilities for model validation. We consider this  
831 approach a valuable contribution towards the development of tailored strategies attenuating the  
832 release of harmful reactive N species.

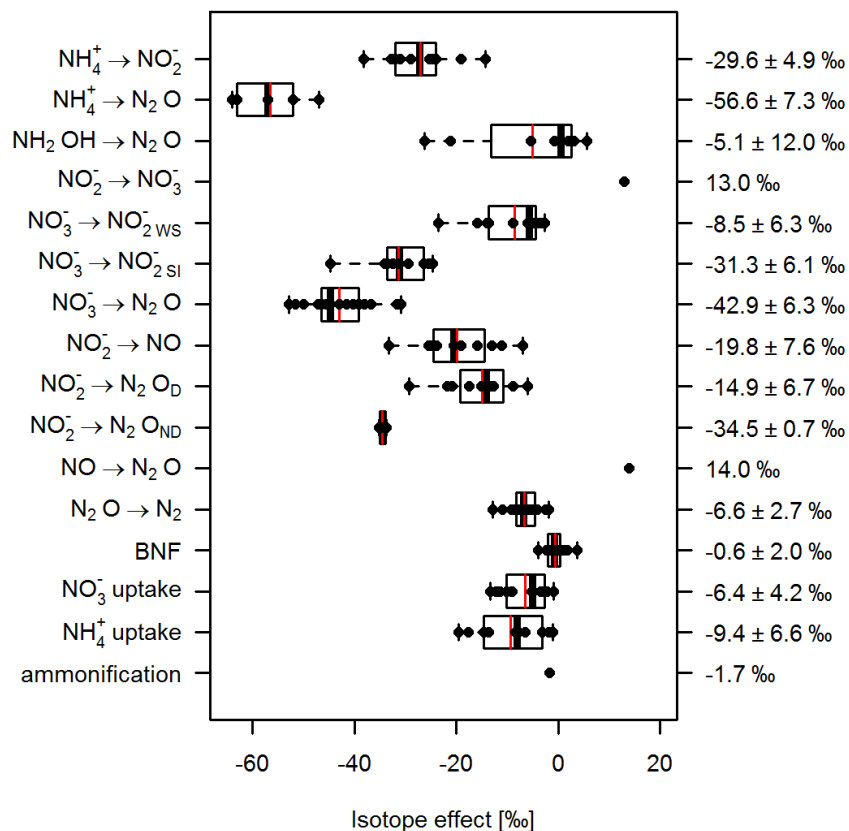
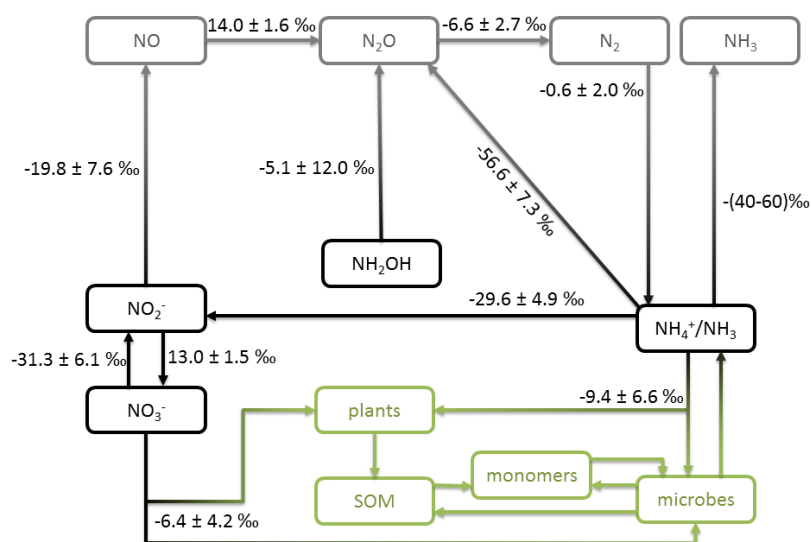


Figure 8: Isotope effects of reviewed processes involved in terrestrial ecosystem N cycling in the upper panel. The range of isotope effects for  $\text{NH}_3$  volatilization was taken from Robinson (2001). Boxplots for the isotope effects of reviewed processes in the lower panel. Isotope effects were used from  $\text{NH}_4^+$  to  $\text{NO}_2^-$ : (Casciotti et al., 2003; Delwiche and Steyn, 1970; Mariotti et al., 1981; Yoshida, 1988),  $\text{NH}_4^+$  to  $\text{N}_2\text{O}$ : (Mandernack et al., 2009; Sutka et al., 2006; Yoshida, 1988),  $\text{NH}_2\text{OH}$  to  $\text{N}_2\text{O}$ : (Heil et al., 2014; Sutka et al., 2006, 2004, 2003),  $\text{NO}_2^-$  to  $\text{NO}_3^-$ : (Casciotti, 2009),  $\text{NO}_3^-$  to  $\text{NO}_2^-$  (water samples): (Böttcher et al., 1990; Fryar et al., 2000; Fustec et al., 1991; Granger et al., 2006; Hinkle et al., 2001; Koba et al., 1997; Mariotti et al., 1988; Smith et al., 1991;

Well et al., 2012),  $\text{NO}_3^-$  to  $\text{NO}_2^-$  *(soil incubations)*: (Lewicka-Szczebak et al., 2015; Mariotti et al., 1982a),  $\text{NO}_3^-$  to  $\text{N}_2\text{O}$ : (Lewicka-Szczebak et al., 2014; Pérez et al., 2006; Rohe et al., 2014; Sutka et al., 2006),  $\text{NO}_2^-$  to  $\text{NO}$ : (Bryan et al., 1983; Mariotti et al., 1982a),  $\text{NO}_2^-$  to  $\text{N}_2\text{O}_D$  *(denitrification)*: (Rohe et al., 2014; Sutka et al., 2008, 2006),  $\text{NO}_2^-$  to  $\text{N}_2\text{O}_{ND}$  *(nitrifier denitrification)*: (Sutka et al., 2004, 2003; Yoshida, 1988),  $\text{NO}$  to  $\text{N}_2\text{O}$ : (Yang et al., 2014),  $\text{N}_2\text{O}$  to  $\text{N}_2$ : (Barford et al., 1999; Jinuntuya-Nortman et al., 2008; Lewicka-Szczebak et al., 2015, 2014; Menyailo and Hungate, 2006; Ostrom et al., 2007), *BNF (biological nitrogen fixation)*: (Delwiche and Steyn, 1970; Hoering and Ford, 1960; Macko et al., 1987; Minagawa and Wada, 1986; Yamazaki et al., 1987),  $\text{NO}_3^-$  uptake: (Högberg et al., 1999; Macko et al., 1987; Mariotti et al., 1982b; Montoya and McCarthy, 1995; Waser et al., 1998; Yoneyama et al., 1991),  $\text{NH}_4^+$  uptake: (Delwiche and Steyn, 1970; Högberg et al., 1999; Macko et al., 1987; Waser et al., 1998; Yoneyama et al., 1991) and ammonification: (Möbius, 2013). The dots give used values for individual experiments and the red bars indicate the mean values.

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