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Stereoselective On-Surface Cyclodehydrofluorization of a Tetraphenylporphyrin and Homochiral Self-Assembly

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Dedication ((optional))

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Abstract: The thermally induced cyclodehydrofluorization of iron-tetrakis(pentafluorophenyl)porphyrin proceeds highly stereoselectively into a prochiral product on a gold surface in ultrahigh vacuum, whereas dehydrocyclization of the respective iron-tetrakis-phenylporphyrin does not show such selectivity. Stereoselectivity is predominantly observed for closely packed layers, which is an indication of intermolecular cooperativity and steric constrain induced by adjacent species. Density functional theory identifies intermolecular packing constrain as origin of such selectivity during reaction. Scanning tunneling microscopy reveals enantiopure two-dimensional self-assembly of the reaction product into a conglomerate of mirror domains. On-surface two-dimensional topochemistry, as reported here, may open new routes to stereoselective syntheses.

In 1919 Volkmar Kohlschütter reported for certain solid-state reactions that the product of a chemical reaction may rely on the relative arrangement of reactants fixed in space and termed it 'topochemistry'.¹ In particular the pioneering work of Gerhard Schmidt on solid-state photochemistry revealed then the profound stereoselectivity of reactions in the crystalline state.² In general, topochemistry is characterized by relative reactant alignment due to confinement and should therefore play an important role in two-dimensional (2D) systems, such as molecular monolayers on solid surfaces, where the adsorbates are surface-aligned prior to reaction.

It has been shown for helicenes in thermally induced decomposition or C-C coupling reactions that reactant alignment due to selective interaction with a surface induces stereoselectivity.³⁻⁵ Moreover, the lateral interaction between reactants and thus their relative alignment in the plane has an influence on the reaction pathway.⁶ An example related to

stereoselectivity is heterogeneously catalyzed enantioselective hydrogenation of α - and β -keto esters to chiral alcohols, in which the prochiral reactant becomes aligned enantiotopically by a chiral modifier on the surface.⁷ However, only few surface chemistry studies report an influence of 2D density (*i.e.*, coverage) on the outcome of the reaction. For example, a higher yield of metalation of porphyrins by partially lifting the macrocycle above the surface due to increased lateral interactions at higher coverage has been reported.⁸

Scheme 1. Summary of on-surface intramolecular quadruple ring formation after cyclodehydrofluorization of FeF₂₀TPP. Four different porphyrins with different symmetries are produced. The reaction proceeds highly stereoselective to prochiral product 5 with over 90% yield.

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Scanning tunneling microscopy (STM) shows that iron(II)tetrakis(pentafluorophenyl)porphyrin ($\text{FeF}_{20}\text{TPP}$, **1**, Scheme 1) undergoes a quadruplicate intramolecular cyclization on the Au(111) surface upon thermal treatment. Due to the possibility of dehydrofluorization at either side of the F_5C_6 group, formation of four isomers should be expected. However, basically one windmill-like product is observed with pronounced excess after cyclization (Scheme 1). The prochiral planar product subsequently self-assembles into densely packed enantiopure mirror domains upon cooling. At high surface coverages and sufficient heating rates the stereoselective yield can be as high as 90%. By means of density functional theory (DFT) it is shown that such stereoselectivity has its origin in the intermolecular steric constraint in the self-assembled structure of **1** on Au(111) prior to reaction.

Figure 1. STM images of molecular layer before and after annealing. (a) STM image of **1** self-assembled on Au(111). (b) STM image as in (a) but at larger magnification. The two molecules in the unit cell are rotated by 120° to each other. (c) STM image after heating the sample to 550 K, showing two adjacent mirror domains (δ , λ). (d,e) High-resolution STM images of single product molecules taken from the mirror domains. The superposition of product **5** agrees best. Windmill-like configuration of opposite handedness (indicated by circular arrows) are identified. (STM parameters: a, c: $U = -0.1$ V, $I = 0.01$ nA; b,d,e: $U = -0.2$ V, $I = 0.1$ nA, all STM images taken at 5 K).

All STM experiments were performed at 5 K with electrochemically etched tungsten tips and were calibrated against the well-known surface state of the Au(111) surface before and after tunneling spectroscopy measurements. The Au(111) surface was prepared by repeated cycles of sputtering with argon ions and annealing at 800 K. $\text{FeF}_{20}\text{TPP}$ molecules were prepared from $\text{FeF}_{20}\text{TPP-Cl}$ deposited onto the Au(111) surface held at room temperature and subsequent annealing to 400 K (see Supporting Information), which leads to desorption of chlorine, leaving the metal porphyrin intact on the surface (Figure S1).⁹

Adsorbed on Au(111), $\text{FeF}_{20}\text{TPP}$ exhibits a saddle-shape conformation which is a characteristic feature of metal porphyrins adsorbed on metal surfaces.¹⁰ The molecules self-assemble into ordered close-packed structures with a rectangular unit cell containing two molecules with different azimuthal orientation (Fig. 1a). The difference between the molecular azimuthal angles is $120 \pm 1^\circ$, reflecting the Au(111) surface symmetry (Fig. 1b). The unit cell parameters are $a = 1.47 \pm 0.02$ nm, $b = 2.89 \pm 0.01$ nm and $\alpha = 91 \pm 2^\circ$.

After thermal annealing to 600 K, the $\text{FeF}_{20}\text{TPP}$ molecules were converted into planar product, also self-assembling into a new 2D structure on the Au(111) surface (Fig. 1c). The unit cell (indicated by the white square box in Fig. 1c) of the newly formed structure is: $a=b=1.71 \pm 0.01$ nm and $\alpha = 90 \pm 1^\circ$. High-resolution STM images show that the planar product exhibits C_4 symmetry, which is only consistent with product **5**. Confined to a surface, such product becomes chiral.¹¹ Two coexisting types of mirror domains, marked as δ and λ in Fig. 1c, are observed in STM images. The enantiomorphous nature of the self-assembly is manifested in respective counterclockwise and clockwise alignments of the molecules in the domains (Fig. 1d,e).

All ordered domains exclusively contained product **5** while products **2**, **3**, **4** were only observed in small disordered patches at the domain boundaries (Fig. S2). The relative yield of product **5** is higher than 90%, indicating the high regioselectivity in **1** of the reaction. A similar selectivity in cyclodehydrogenation has been only observed for 2H-tetraphenylporphyrin (TPP) due to its twofold symmetry but not for the fourfold-symmetric metalated TPP.¹² At lower coverages, however, the stereoselectivity towards product **5** is less pronounced. Figures 2a-c show STM images of the final products, starting at coverages of 0.1 ML, 0.3 ML and 0.9 ML of **1**, respectively. The achieved yield of product **5** for these experiments scales clearly with the initial coverages (Figure 2d). Such observation points to an intermolecular cooperative effect, meaning that close-packing favors the stereoselectivity.

Besides coverage, the heating rate is also crucial for the selectivity towards product **5**. At lower heating rates the selectivity drops significantly, even for high-coverage samples. The STM images of three samples after heating to 600 K are shown in Fig. 2e-g. In terms of uniformity the best sample containing **5** as building block is achieved at a heating rate of 15 K/min, highlighted also by the statistical area analysis shown in Figure 2h. Such observation suggests that reaction kinetics is likewise crucial for the selectivity. It is known that faster heating leads to higher desorption temperatures in temperature-programmed desorption experiments.¹³ But desorption of HF is here not the rate-limiting step, because it leaves immediately after formation. However, if the reaction temperature shifts to higher temperatures as for desorption with higher heating rate, the reaction rate will be substantially higher, and any competing temperature-induced rearrangement will have a smaller influence during time of reaction.

In stepwise annealing experiments (*i.e.* 540 K, 560 K, 580 K and 600 K) self-assembly of intermediates which underwent partially cyclization was also studied. All expected intermediate species were identified in STM at incomplete reaction stage, when a semi-ordered self-assembly still occurred (Figure S3 and S4).

DFT calculations were performed on single intermediates and products on a 3-layer Au(111) substrate slab (see Supporting Information S5 for details). Although reaction barriers should be evaluated, the universality principle in the Brønsted–Evans–Polanyi relation for surface reactions allows correlation of the adsorption energy to the activation energy.¹⁴ In particular because dehydrofluorization and C–C bond formation of each step are very similar here, the calculated ground state energies of possible intermediates on Au(111) can be used to evaluate the reaction pathway (Supporting Information S6). The total energies of product **2**, **3**, **4** are 0.26 eV, 0.24 eV and 0.56 eV higher than that of product **5**, which means product **5** is more favorable. This

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seems already an indication that product **5** is the most favorable. However, a statistical analysis of the four products observed in STM images of disordered areas shows that product **2** is the most favorable, which disagrees with the expected ratio based on the

DFT calculations. But it does not show significant deviations from the expected frequencies based solely on probability (see Supporting Information S2).

Figure 2. Effects of coverage and heating rates on the regioselectivity of ring-fused reactions. (a-c) STM images of the reaction-induced self-assembly after different initial coverages of **1**. ($U=-0.6$ V, $I=0.05$ nA) at heating rate of 15 K/min. (d) Histogram of area covered with **5** versus initial coverage of **1**. (e-g) STM images of the reaction-induced self-assembly after heating at different rates ($U=-0.6$ V, $I=0.05$ nA, 0.9 ML of **1**). (d) Histogram of areal coverage of **5** versus heating rate during annealing.

Figure 3. Structural and energetic evolution from reactant **1** to product **2** and **5** on Au(111). There are three intermediates, I, II and III, in each pathway. A and B represent the intermediates along the reaction pathway for product **5** and **2**, respectively. Configurations showing in the figure are reactant, intermediates and final products which have the lowest (I-A, II-A, III-A and **5**) and second lowest energies (I-B, II-B, III-B and **2**) in each step. $\Delta_{\text{I}}^{\text{IS}}$, $\Delta_{\text{II}}^{\text{IS}}$, $\Delta_{\text{III}}^{\text{IS}}$, and $\Delta_{\text{IV}}^{\text{IS}}$ are energy differences between the two structures when there is an isolated intermediate, while $\Delta_{\text{I}}^{\text{SC}}$, $\Delta_{\text{II}}^{\text{SC}}$, $\Delta_{\text{III}}^{\text{SC}}$, and $\Delta_{\text{IV}}^{\text{SC}}$ are the energy differences considering steric constraints originated by the adjacent molecules. The eight relaxed final configurations, including surface and surrounding reactant molecules are presented in Figure S7.

In order to simulate the coverage dependence of the observed stereoselectivity and to support the qualitative scenario of steric constrain due to close-packing, the following model has been used for further DFT calculations. As for isolated molecules, ground state adsorption energies are believed to

correlate with activation barriers and were calculated for all intermediates considering steric constraints during the reaction pathway. Therefore, the two product species **2** and **5** as well as their intermediates are placed and relaxed in a fixed matrix of six reactants (Supporting Information S7). The central

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species and the differences in energies are summarized in Figure 3. There is no notable difference in the first step, but all following steps favor the path to the observed final product. The difference between the statistically favored species **2** and the observed final product **5** amounts to 0.44 eV. Especially in combination, the energetics of the reaction steps will strongly favor product **5**. Hence, the calculations support the experimental observations.

In summary, the thermally induced intramolecular cyclization reactions of FeF₂₀TPP on Au(111) at monolayer saturation coverage proceeds with high stereoselectivity into a prochiral product, that self-assembles into a 2D conglomerate of enantiopure domains. DFT calculations performed on model structures of intermediates and products imbedded in a matrix of reactants confirm that the origin of stereoselectivity originates from intermolecular steric constraint imposed during reaction. It is anticipated that topochemistry based on surface-confined systems, as demonstrated here for the intramolecular cyclodehydrofluorization, can be exploited for stereochemical control.

Acknowledgements

The authors thank Prof. Sokrates T. Pantelides for helpful discussions and the National Science Foundation of China and National "973" projects of China. KHE gratefully acknowledges support provided by the European Union for a Mobility Professorship, the Sino Swiss Science and Technology Cooperation (SSSTC) for travel subsidies and the Swiss National Science Foundation for continuous support.

Keywords: Chirality • on-surface chemistry • porphyrin • scanning tunnelling microscopy • density functional theory

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Surface topochemistry by alignment and dense packing. Thermally induced cyclodehydrofluorization of a tetraphenylporphyrin proceeds highly stereoselectively into the prochiral windmill product which aggregates into a two-dimensional conglomerate.