ELSEVIER

Contents lists available at ScienceDirect

Applied Surface Science

journal homepage: www.elsevier.com/locate/apsusc



Full Length Article

Chemical state analysis of reactively sputtered zinc vanadium nitride: The Auger parameter as a tool in materials design

Siarhei Zhuk, Sebastian Siol

Empa - Swiss Federal Laboratories for Materials Science and Technology, 8600 Dübendorf, Switzerland

ARTICLE INFO

Keywords: Chemical state analysis Auger parameter Nitrides XPS HAXPES

ABSTRACT

Photoelectron spectroscopy is an important tool for the development of new materials. However, especially for nitride semiconductors, the formation of surface oxides, surface band bending as well as the lack of a suitable charge reference often prevent a robust analysis. Here, we perform a comprehensive chemical state analysis of the Zn-V-N phase space using the Auger parameter concept, which is less sensitive to such uncertainties. Phasepure Zn₂VN₃, VN, and Zn₃N₂ samples are analyzed in a dual-source lab-based HAXPES system, after transfer in inert-gas atmosphere. XPS and HAXPES measurements are performed using Al k α and Cr k α , respectively. In addition, high-throughput chemical state analysis is performed on combinatorial Zn_{1-x}V_xN thin film libraries using conventional XPS. The evolution of the Zn Auger parameter in Zn_{1-x}V_xN is consistent with previous mapping of the structural and functional properties. Strikingly, the study reveals a narrower stability range of wurtzite Zn_{1-x}V_xN than our previous high-throughput XRD screening, highlighting the sensitivity of the measurement approach. The procedures applied here are transferable to many other material systems and could be particularly useful for the high-throughput development of materials with low crystallinity where insights from XRD screenings are limited.

1. Introduction

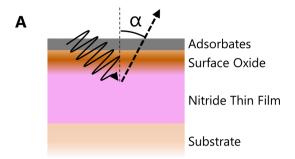
The development of novel functional semiconducting materials is critical for continuing progress in cutting-edge electronic technologies. Fueled by advances in computational prediction, as well as highthroughput experimental screening, novel materials are being discovered at an ever-increasing rate [1-4]. Combinatorial synthesis and highthroughput characterization methods play an important part in the accelerated exploration of complex phase spaces [5-10]. Nitrides in particular show a high innovation potential with several new stable and metastable phases reported every year [3,4,11–14]. X-ray photoelectron spectroscopy (XPS) is an important method for the discovery and design of new semiconducting phases revealing information about their electronic structure, surface composition and ideally the chemical state of the constituting elements [15–17]. Chemical state analysis can provide important and complementary insights into the phase formation of novel materials [18-20]. Despite the potential of chemical state analysis for Materials Design, most high-throughput XPS studies to date focus on measurements of the surface composition [21-23].

There are several challenges associated with the chemical state

analysis via XPS. Especially when analyzing nitride thin films (see Fig. 1). The most common issues are: (I) surface contamination or surface oxidation of ex-situ treated samples, (II) the lack of a suitable charge reference, and (III) surface band bending (see Fig. 1) [24,25]. The latter is particularly important for semiconductors. Here differential charging as well as X-ray induced surface and/or junction photo voltage can alter the Fermi level position at the surface, and consequently the observed binding energies significantly (see Fig. 1B) [26–29]. Regarding the potential presence of surface oxides, it is worth mentioning that in-situ sputter cleaning of the surface using Ar⁺ ions in the XPS chamber is typically not suitable for nitrides due to an immediate modification of the surface chemistry [30].

Each of these challenges can be addressed, one way or another. On one hand, the effect of surface contamination can be reduced by increasing the probing depth, including usage of hard X-ray photoelectron spectroscopy (HAXPES), analysis at shallow emission angles (with respect to the surface normal), or analysis of shallow core levels with lower binding energies [17,29,31,32]. On the other hand, studies of the modified Auger parameter (AP) can provide a way to differentiate surficial oxide and the underlying phases as Auger lines are very sensitive to

^{*} Corresponding author at: Empa – Swiss Federal Laboratories for Materials Science and Technology, 8600 Dübendorf, Switzerland. *E-mail address*: sebastian.siol@empa.ch (S. Siol).



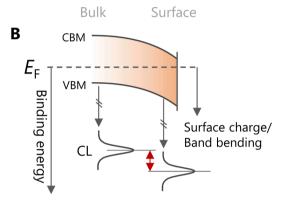


Fig. 1. (a) Schematic structure of nitride thin film sample with surficial oxide and adsorbates used for XPS analysis. The information depth $(3\lambda \times \cos(\alpha))$ can be increased by measuring at shallow emission angles (with respect to the surface normal). (b) Effect of surface band bending on the observed binding energy of core level lines.

changes in the local chemical environment [19,33,34]. The AP is defined as $\alpha'=CL_{BE}+AE_{KE},$ where CL_{BE} is the binding energy of the core level photoelectron and AE_{KE} is the kinetic energy of Auger electron [19]. The AP is insensitive to charging effects, which can alleviate the problem of surface band bending, when the core level and Auger electrons originate from a similar probing depth [19,29]. In addition, the AP is not affected by improper charge correction, which helps to avoid uncertainties in the position of the Fermi level and allows comparing XPS results from different instruments and under different measurement conditions [29]. This ability to minimize the measurement uncertainty makes the AP a great tool for the study of insulating or semiconducting materials.

Recently, we reported on the discovery and synthesis of Zn₂VN₃, a wide bandgap p-type semiconductor in disordered wurtzite structure [35]. We found that Zn₂VN₃ is an interesting material for optoelectronic applications, exhibiting room temperature photoluminescence at 2.5 eV, p-type conductivity and relatively high Hall mobility of 80 cm²/V s despite exhibiting cation disorder. As part of this work, we performed a comprehensive screening of the Zn-V-N phase space using combinatorial reactive co-sputtering combined with automated analysis. In the present manuscript, we will use a combination of the measurement approaches outlined above to perform a robust chemical state analysis on these zinc vanadium nitride thin films. First, we perform a detailed chemical state analysis using a combination of XPS and HAXPES techniques on reactively sputtered single-phase Zn₂VN₃, Zn₃N₂ and VN thin films via inert gas transfers from the synthesis to the measurement equipment. Subsequently, we use the insights from this analysis to analyze ex-situ treated combinatorial Zn-V-N libraries to investigate the evolution of the chemical state (i.e. the Auger parameter) as a function of the cation concentration and synthesis parameters. We find that the results from the chemical state analysis correlate strongly with results from X-ray diffraction mapping as well as the evolution of the functional properties in this material system, highlighting the merit of combinatorial chemical

state analysis using the Auger parameter concept for Materials Discovery and Design. Finally, the approaches and concepts introduced in this paper are applicable to many other material systems where surface oxidation and surface band bending play a role.

2. Experimental methods

An AJA 1500F sputtering system was used to synthesize single-phase Zn₂VN₃, Zn₃N₂ and VN thin films as well as combinatorial sample libraries of $\text{Zn}_{1-x}V_xN$. Phase-pure Zn_3N_2 and VN samples were deposited onto pre-cleaned 1.1 mm thick borosilicate glass substrates while Zn_2VN_3 thin films were grown epitaxially on an α -Al₂O₃ (0001) substrates. Radio-frequency (RF) co-sputtering from Zn and V targets (2" diameter and > 99.9% purity) was carried out in reactive atmosphere of argon (12 sccm) and nitrogen (18 sccm). A deposition pressure of 0.5 Pa and 1 Pa was used for synthesis of single-phase and combinatorial samples, respectively. Nitrogen gas was supplied directly to the chimneys of the guns to facilitate dissociation and enhance the chemical reactivity of the process gas. For the fabrication of combinatorial Zn₁, xVxN samples, the deposition was performed on borosilicate glass substrates (50.8 mm × 50.8 mm) without substrate rotation to produce sample libraries with varying alloying concentration as reported elsewhere [35]. An orthogonal temperature gradient was applied using a custom sample holder design, resulting in substrate temperatures in the range from 114 °C to 220 °C. A Bruker D8 X-ray diffraction (XRD) system was used for automated θ -2 θ mapping of structural properties of the synthesized thin films. The measurement was carried out in Bragg-Brentano geometry using a Cu kα radiation source with Ni filter. The composition of the sample libraries was characterized using a Bruker M4 Tornado X-ray fluorescence (XRF) system with Rh X-ray radiation. For the surface analysis on single-phase Zn₂VN₃, Zn₃N₂ and VN, the samples were transferred in N2 atmosphere from the sputtering chamber to a glovebox connected to the XPS/HAXPES system. Prior to the analysis, the samples were stored in this glove box (Ar with < 5 ppm H_2O/O_2) for several days and then transferred in UHV into the HAXPES system. A PHI Quantes photoelectron spectroscopy system equipped with both hard Cr $k\alpha$ and Al $k\alpha$ X-ray radiation sources was used for the analysis of the single-phase samples. The measurement was performed at a photoelectron emission angle of 5° (with respect to the surface normal) (see Table S1) [36]. A PHI Quantera system equipped with an Al kα monochromatic X-ray radiation source was employed for automated measurements of combinatorial sample libraries. The measurements were performed at a pressure below 2·10⁻⁶ Pa. The characterization was carried out at an electron emission angle of 45°, while a power of 50 W and voltage of 15 KeV were used for electron beam generation. The linearity of the energy scale was calibrated using the Au 4f_{7/2} (83.96 eV) and Cu 2p_{3/2} (932.62 eV) lines for the XPS instrument and Au 4f_{7/2} excited with both Cr $k\alpha$ and Al $k\alpha$ for the HAXPES instrument. Charge neutralization was achieved using a low-energy electron flood gun. C 1s at 284.8 eV was used as a charge reference, resulting in a typical measurement error of ± 0.2 eV, which does not affect the values of the modified Auger parameter. Peak fitting was performed after Shirley background subtraction using Voigt profiles with GL ratios of ~30 and ~70 for the XPS and HAXPES measurements, respectively. The Auger emission lines were assigned based on the work of Coghlan and Clausing [37]. The inelastic mean free path λ (IMFP) of photoelectrons in Zn₂VN₃ was calculated using the Quases software package, based on the Tanuma, Powell, Penn formula (TPP2M) [38]. At an emission angle α (with respect to the surface normal) the probing depth can be calculated via $3 \times \lambda \cos(\alpha)$.

3. Results and discussion

3.1. Chemical state analysis of zinc vanadium nitride on single-phase samples

In the first part of this study, we perform detailed surface analysis on selected reference samples, including single-phase Zn_2VN_3 , Zn_3N_2 as well as VN. Fig. 2 shows XRD patterns of Zn_2VN_3 , Zn_3N_2 , and VN thin films along with their crystal structures. The obtained data are consistent with XRD reference patterns from literature [35,39]. Although the XRD patterns of Zn_2VN_3 and Zn_3N_2 are similar, Zn_2VN_3 demonstrates a characteristic peak at 47.6° , which is attributed to the (102) plane. Later in the study, the intensity of this peak will be used as a proxy for the Zn_2VN_3 content in the combinatorial $Zn_{1-x}V_xN$ thin film libraries. In our earlier study, we reported that our single-phase Zn_2VN_3 films exhibit a stoichiometric composition with a total amount of oxygen and carbon contamination significantly less than 1 at.% [35]. However, samples exposed to ambient conditions were found to exhibit a surface oxide layer. For this part of the study, the samples were transferred in inert-gas atmosphere into the XPS/HAXPES system to minimized surface oxidation.

When performing chemical state analysis, especially when soft and hard X-rays are being used, the different probing depths associated with different core level- and Auger emission lines need to be considered [29]. Fig. 3 and Table S1 summarize information depths of photoemission and Auger lines for elements constituting $\rm Zn_2VN_3$ as a function of their kinetic energy of photoelectrons and Auger electrons. In addition, the figure illustrates the increased probing depth when low emission angles (with respect to the surface normal) are being used. A detailed chemical state analysis of $\rm Zn_2VN_3$ includes $\rm Zn\ L_3M_{45}M_{45}$, V $\rm L_3M_{23}M_{45}$ and N $\rm KL_{23}L_{23}$ Auger electron emission spectra as well as the corresponding core levels. The information depth of the Auger emission is independent of the excitation source and consequently cannot be increased using hard X-rays. In order to maximize the probing depth a low photoelectron emission angle of $\rm 5^\circ$ (with respect to the surface normal) was used for the XPS/HAXPES analysis on the single-phase

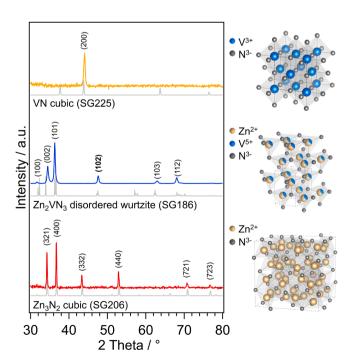


Fig. 2. XRD patterns of Zn_3N_2 , Zn_2VN_3 and VN thin films synthesized via reactive co-sputtering on borosilicate glass substrates. The (102)-reflection of Zn_2VN_3 is unique among the three phases. The unit cell structures are given for the respective phases.

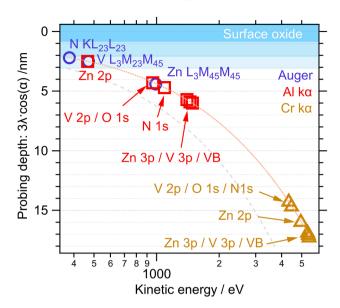


Fig. 3. Probing depth $(3\times\lambda\cos(\alpha))$ of the relevant photoemission and Auger lines in Zn_2VN_3 calculated for Al k α and Cr k α X-ray excitation sources (photoelectron emission angle of $\alpha=45^\circ$). The gray dashed line represents calculated information depth for measurements conducted at an emission angle of $\alpha=5^\circ$ (with respect to the surface normal).

samples. In addition, it is important to match the Auger emission with a core level emission of similar probing depth to avoid errors due to differential charging. In practice, this means using core-levels measured with soft X-rays, rather than hard X-rays. However, HAXPES measurements, as in our case, can provide insights into whether observed oxide phases are localized at the surface or not and enable access to additional Auger lines.

Fig. 4 shows a full set of spectra for epitaxially stabilized Zn₂VN₃ as well as Zn₃N₂ and VN thin films acquired using a combination of XPS and HAXPES. Spectra measured using Cr $k\alpha$ radiation source are scaled due to the difference in photoelectron ionization cross-section relative to that of Al ka. Despite using an inert gas transfer some surface oxidation is visible in the core levels measured with Al ka. As shown in Fig. 3, the Zn 2p core level is very surface sensitive. Therefore, it is critical to perform peak fitting for the measured spectra. Based on the intensity of the surface oxide component in the Zn 2p as well as the O 1s intensity, it can be seen that Zn₂VN₃ is less prone to oxidation relative to Zn₃N₂. For Cr ka the intensity of the surface oxide component is much lower, indicating that the oxide is indeed localized at the samples' surface. This observation is also consistent with the data obtained for Zn L₃M₄₅M₄₅. The information depth for $Zn L_3M_{45}M_{45}$ is higher than for Zn 2p (see Fig. 3). In addition, unlike in the Zn 2p core level emission, the Zn-O component is clearly distinct from the Zn-N component in the Zn $L_3M_{45}M_{45}$ spectra. This makes the Zn $L_3M_{45}M_{45}$ Auger line ideally suited for Auger parameter studies. Similar to Zn 2p, the spectra for V 2p measured with the Cr ka source show almost no contribution from surface oxides. Noteworthy is the asymmetric peak shape in the case of VN which has been attributed to the presence of shake-up satellite peaks. These satellite peaks are reported to originate from poorly screened core hole states [40,41]. Unfortunately the V L₃M₂₃M₄₅ Auger spectrum overlaps with that of Zn 2p when measured with Al k α [42]. Therefore, the Auger line was excited using Cr $k\alpha$ radiation, instead. This shifts the kinetic energy of the Zn 2p core level emission and consequently isolates the V L₃M₂₃M₄₅ Auger line. In all other cases, exciting the Auger emission with Al $k\alpha$ is preferred as (i) information depth of Auger lines does not depend on X-ray excitation source and (ii) intensity of the measured signal is higher for Al $k\alpha$ due to the higher photoionization cross sections of the Al $k\alpha$ radiation. In contrast to the Zn $L_3M_{45}M_{45}$ emission, the V L₃M₂₃M₄₅ Auger electron emission of Zn₂VN₃ is broader. In addition, it

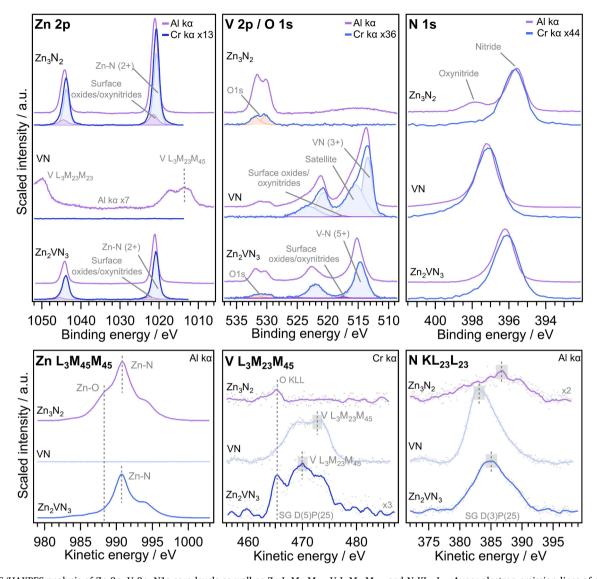


Fig. 4. XPS/HAXPES analysis of Zn 2p, V 2p, N1s core levels as well as Zn $L_3M_{45}M_{45}$, V $L_3M_{23}M_{45}$, and N $KL_{23}L_{23}$ Auger electron emission lines of single-phase Zn₂VN₃, Zn₃N₂ and VN thin films transferred in inert gas conditions. V $L_3M_{23}M_{45}$ and N $KL_{23}L_{23}$ were smoothed (SG D(5)P(25) and SG D(3)P(25), respectively) due to their low signal to noise ratios. For Zn₂VN₃ the V Auger emission overlaps with the Zn 2p core level region when measured with Al kα, hence the V $L_3M_{23}M_{45}$ was excited using Cr kα radiation.

shows some overlap with an O KLL peak originating from surface oxides [43]. This is confirmed by measurements on $\rm Zn_3N_2$, which does not contain any V. Similarly to V $\rm L_3M_{23}M_{45}$, the N $\rm KL_{23}L_{23}$ line is also very surface sensitive with a probing depth of only about 3 nm and is therefore prone to uncertainty due to surface oxide formation. Here, the lowest signal intensity was recorded for $\rm Zn_3N_2$, which tends to oxidize more relative to the other compounds. This is most apparent when comparing XPS and HAXPES analysis. Figure S1 demonstrates a significantly higher oxide component in Zn 2p core level spectra of $\rm Zn_3N_2$ thin film measured using XPS relative to that of VN and $\rm Zn_2VN_3$ thin films (see Figure S2-S3). In fact, $\rm Zn_3N_2$ samples stored in ambient conditions did not exhibit any N $\rm KL_{23}L_{23}$ signal at all (not shown here). While the measurements of the N KL₂₃L₂₃ were successful for the samples handled in inert gas, these results also demonstrate that ex-situ analysis of the N chemical state in Zn-V-N is not feasible.

Another noteworthy observation is a slight shift to lower binding energy for most of the samples when measured using HAXPES. Shifts to higher binding energies for more surface sensitive measurements (i.e. Al $k\alpha$ vs. Cr $k\alpha$) are a common observation for films exhibiting differential charging. The obtained XPS experimental data on chemical state

analysis of Zn_3N_2 , Zn_2VN_3 and VN thin films is summarized in Table 1. As differential charging was observed, the AP is calculated only using core level binding energies from Al k α measurements combined with the respective Auger electron kinetic energies. The full data set obtained using XPS and HAXPES analysis is presented in Table S1. The Zn_3N_2 AP was previously reported by Futsuhara *et al.* for Zn_3N_2 samples exposed to ambient conditions. Here the $Zn_2P/Zn_{L_3M_45}M_{45}$ AP is reported slightly higher as 2012.3 eV, which is consistent with our results from ex-situ treated samples [44]. For VN no previous reports of the AP were found.

The results from the study are summarized in the form of Wagner plots (see Fig. 5). Wagner plots can be used to illustrate experimental information on photoemission and Auger lines of different elements along with calculated AP [34,45]. Fig. 5a shows Wagner plot for Zn using the Zn 2p core level and Zn $L_3M_{45}M_{45}$ Auger line. Although Zn 2p core level spectra of ZnO and $Z_{13}N_2$ phases are very close in binding energy, on the Wagner plot metallic Zn, $Z_{13}N_2$ and $Z_{13}N_2$ are easily distinguished. In the current study, presenting the data in the form of Wagner plots is particularly useful for Zn 2p as it helps to clearly differentiate the nitride and oxide phases. Note that the spread in binding energy is highlighted in green while that of the AP is shown in

Table 1

XPS data on core level binding energies CL_{BE} as well as Auger electron kinetic energies AE_{KE} for phase-pure Zn_3N_2 , Zn_2VN_3 and VN thin films transferred in inert gas conditions. The Auger parameter is calculated as $AP = CL_{BE} + AE_{KE}$. Al $k\alpha$ X-ray radiation was used for all measurements, with the exception of the V $L_3M_{23}M_{45}$ Auger emission in Zn_2VN_3 , which was excited using Cr $k\alpha$. The typical accuracy in determining the CL_{BE} and AE_{KE} better than ± 0.1 eV. For V $L_3M_{23}M_{45}$ and N $KL_{23}L_{23}$ the error is larger with an uncertainty in the range of ± 1.0 eV. C 1s charge correction is used resulting in a typical additional uncertainty of up to ± 0.2 eV. This uncertainty does not affect the AP.

	Zn 2p _{3/2} CL _{BE} /eV	Zn (2p _{3/} ₂ –2p _{1/} ₂) /eV	Zn 3p _{3/2} CL _{BE} /eV	Zn (3p _{3/} ₂ –3p _{1/} ₂) /eV	V 2p _{3/2} CL _{BE} /eV	V (2p _{3/} ₂ –2p _{1/} ₂) /eV	N 1s CL _{BE} /eV	Zn L ₃ M ₄₅ M ₄₅ AE _{KE} /eV	V L ₃ M ₂₃ M ₄₅ AE _{KE} /eV	N $KL_{23}L_{23}$ AE_{KE} /eV	Zn AP (Zn 2p) /eV	Zn AP (Zn 3p) /eV	V AP /eV	N AP /eV
Zn_2VN_3	1021.05	23.05	88.09	3.00	514.97	7.35	396.21	990.72	469.98	385.06	2011.77	1078.81	984.95	781.27
Zn_3N_2	1020.82	23.05	87.89	3.00	-	-	395.63	990.81	_	386.73	2011.63	1078.70	-	782.36
VN	-	-	-	-	513.69	7.35	397.23	_	473.13	383.11	-	-	986.82	780.34

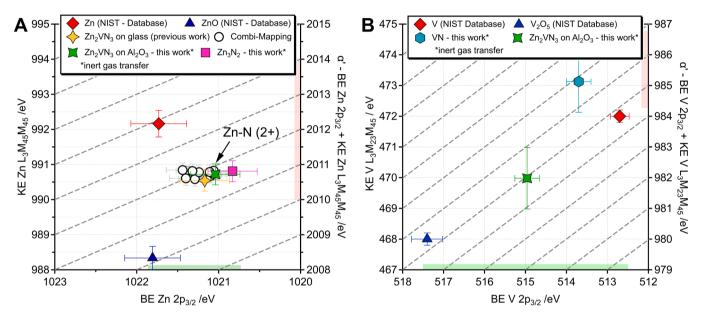


Fig. 5. Wagner plots for (a) AP of Zn 2p core level and Zn $L_3M_{45}M_{45}$ Auger electron emission, (b) AP of V 2p core level and V $L_3M_{23}M_{45}$ Auger electron emission showing data of selected elementary, binary and ternary compounds. Zn, ZnO, V, V_2O_5 data are taken from NIST XPS database [46]. The AP for Zn shows a large spread for different chemical environments and allows for clear distinction of different materials. For V changes in core level binding energy are more pronounced than the corresponding spread in AP. Error bars include the uncertainty due to errors in charge correction.

red. As it is evident from the Wagner plot Zn₃N₂ and Zn₂VN₃ phases exhibit similar AP values. This is expected as both compounds exhibit a 4-fold coordination where Zn is present in 2⁺ oxidation state and each Zn atom in the unit cell is surrounded by four nitrogen atoms in corner sharing tetrahedrals (Crystal structures of Zn₃N₂ and Zn₂VN₃ are given in Fig. 2). Fig. 5b shows the Wagner plot for V. In contrast to Zn 2p the V 2p core level demonstrates a large spread in binding energies for V, V₂O₅, VN and Zn₂VN₃ phases. However, the calculated spacing in AP for these compounds is relatively small. Consequently, a study of the V 2p core level binding energy might be preferred over the AP. This is because the changes in binding energy are large enough to exceed typical inaccuracies in the measurements outlined above. From the V 2p binding energy alone, it is possible to distinguish the different nitride and oxide phases. It is noteworthy, that the binding energy of V 5⁺ in Zn₂VN₃ the nitride is dissimilar from that of V₂O₅. The Wagner plot for N 1s core level and N KL23L23 Auger electron emission is presented in Figure S4. Here, the high surface sensitivity of N KL23L23 Auger line results in noisy spectra exhibiting similar values for Zn₂VN₃, Zn₃N₂ and VN phases.

3.2. Chemical state analysis of $Zn_{1-x}V_xN$ using XPS mapping on combinatorial libraries

Following the analysis of the single-phase samples using XPS/ HAXPES, we performed chemical state analysis on combinatorial libraries using automated XPS mapping with the goal to track changes in phase formation and chemical binding environment for various alloying concentrations and deposition temperatures in $Zn_{1-x}V_xN$ thin films. Since all combinatorial sample libraries were exposed to air a different measurement strategy was used. For Zn, the AP was measured, whereas for V the V 2p core level emission was analyzed. Both V $L_3M_{23}M_{45}$ and N $KL_{23}L_{23}$ Auger electron emission lines are very surface sensitive (see Fig. 3) and are easily affected by surface oxides and surface band bending. However, the V 2p core level binding energy shows pronounced shifts, which exceed the typical errors associated with surface band bending or errors in charge correction (Fig. 5B).

Fig. 6a shows a plot of AP for Zn 2p and Zn $L_3M_{45}M_{45}$ Auger electron emission as a function of alloying concentration for combinatorial samples grown at different temperatures (~220 °C and below 130 °C). It can be seen that APs of phase-pure Zn_3N_2 and Zn_2VN_3 demonstrate similar values. This is explained by the nature of chemical binding in these compounds, which involves a 4-fold coordination of the Zn atom as discussed earlier. The AP undergoes a pronounced shift in $Zn_{1-x}V_xN$ thin films in the range from x=0 to x=0.2 where the content of V in the films is increased. In our recent work we determined the solubility limits for wurtzite $Zn_{1-x}V_xN$ by XRD to be between 0.2 < x < 0.4 for depositions carried out at 220 °C (blue region in Fig. 6). Furthermore, the observed change in AP correlates well with the formation of wurtzite $Zn_{1-x}V_xN$. The correlation is highlighted by the color of the data points, which corresponds to the intensity of an XRD peak, which is only observed for the wurtzite phase (see Fig. 3). Furthermore, at x>0.5

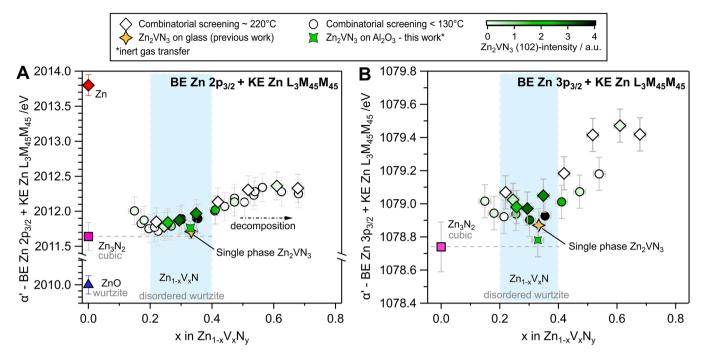


Fig. 6. Zn AP for (a) Zn 2p and (b) Zn 3p core levels and Zn L₃M₄₅M₄₅ Auger electron emission plotted as a function of alloying concentration in Zn_{1-x}V_xN.

decomposition of wurtzite Zn_{1-x}V_xN occurs [35], which is reflected in the increase of Zn AP value. Thus, the Zn AP for the Zn 2p core level correlates with solubility limits in Zn_{1-x}V_xN while no discernible effect of synthesis temperature on the evolution of Zn AP is observed. However, the relatively low kinetic energy of the Zn 2p photoelectrons makes this line very surface sensitive $(3\lambda\cos(\alpha)\approx 2.5\,$ nm). Therefore, surface oxidation may affect the obtained results, as the accuracy of Zn 2p components fitting is limited. For this reason, it is important to analyze a combination of the shallower Zn 3p core level (3 λ cos(α) \approx 5.7 nm) with the Zn $L_3M_{45}M_{45}$ Auger line $(3\lambda cos(\alpha) \approx 4.4$ nm). This combination provides higher and similar probing depth for both lines and consequently is much less sensitive to surface oxidation and differential charging (see Fig. 3). Strikingly, this is reflected in a higher sensitivity to changes in the chemical state. Fig. 6b shows the correlation between Zn₂VN₃ phase purity and the Zn 3p AP. A local minimum of the Zn 3p AP is observed for phase-pure Zn₂VN₃ thin films grown on glass and sapphire substrates which is in good agreement with reference values for single-phase Zn_3N_2 . Additionally, the effect of deposition temperature on evolution of Zn AP with varying alloying concentration can be clearly seen now in contrast to the case of Zn 2p study. It has been found that solubility in Zn_{1-x}V_xN thin films is relatively low at high synthesis temperature. For x > 0.5 an increase of the Zn AP is associated with the decomposition of the wurtzite phase. The deviation in Zn AP from the reference value is in line with the formation of VN precipitates as reported in our earlier study. Overall, the Zn 3p AP appears to be more sensitive to decomposition than our recent XRD mapping experiments.

These findings align well with our analysis of the V chemical state. Fig. 7a shows the evolution of the V 2p binding energy as a function of alloying concentration in $Zn_{1-x}V_xN$. In order to avoid systematic measurement errors we intentionally chose the spectral regions' intensity maximum rather than using a binding energy derived from a multicomponent peak fitting. The study confirms that the oxidation state of V is 5^+ , as expected for Zn_2VN_3 . It can be seen that for compositions x<0.5 in $Zn_{1-x}V_xN$ the binding energy of V 2p is very similar to that of single phase Zn_2VN_3 . Strikingly, the binding energy does not appear to change when increasing the alloying concentration. This indicates that V does not get incorporated in 4^+ oxidation state which would be necessary to form the metastable $ZnVN_2$. Incorporating V in the crystal lattice with an oxidation state of +4 would violate the octet rule, which is also reflected

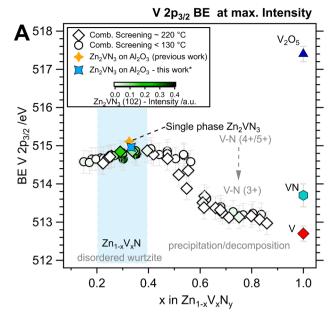
in the high formation enthalpy of $ZnVN_2$. This is in line with our previous observations, that $ZnVN_2$ was not synthesized on the combinatorial libraries [35]. As the composition exceeds x=0.5, precipitation of VN can be observed in XRD [35], which is also reflected in a shift to lower binding energies, as expected. The VN content was further fitted in $Zn_{1-x}V_xN$ using the envelope of the single-phase VN spectrum (see **Figure S5**). Fig. 7b nicely illustrates the formation of VN precipicates once the solubility limit is exceeded. The lower non-equilibrium solubility in $Zn_{1-x}V_xN$ at higher synthesis temperatures is also clearly visible and consistent with observations made in the Zn AP study (see Fig. 6).

4. Summary & conclusions

We demonstrate a comprehensive chemical state analysis on reactively sputtered thin films in the Zn-V-N material system. A detailed analysis is performed on the novel wide band gap semiconductor Zn₂VN₃ as well as VN and Zn₃N₂ using XPS/HAXPES and inert gas transfers. In addition, we perform high-throughput Auger parameter analysis on combinatorial libraries of Zn_{1-x}V_xN to investigate the evolution of the chemical state as function of the synthesis parameters. The results are in line with our previous characterization results of the material and provide additional important insights. Specifically for phasepure Zn₂VN₃, Zn and V are present in 2⁺ and 5⁺ oxidation states, respectively. In addition, the evolution of the Zn AP as well as multicomponent peak fitting of the V 2p core level indicate slightly narrower solubility limits for wurtzite Zn_{1-x}V_xN than previously determined by XRD mapping. These results highlight that chemical state analysis based on the Auger parameter concept is an important complementary tool for the development of semiconductor materials. If good reference data sets are available and if the probing depth of photoelectrons is considered, Auger parameter studies can even be used for analysis of exsitu combinatorial libraries and can provide a powerful, complementary tool for materials discovery and design. The measurement concepts highlighted in this study are applicable to many other materials systems. For instance, the combination of the Zn 3p core level and Zn $L_3M_{45}M_{45}$ Auger electron emission is found to be particularly useful for highthroughput chemical state analysis of air exposed zinc nitrides.

Data availability

The datasets generated and analyzed during the current study are



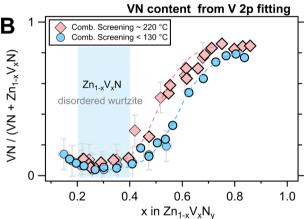


Fig. 7. (a) Binding energy of V 2p photoelectrons and (b) $VN/(VN + Zn_{1-x}V_xN)$ ratio as a function of alloying concentration in $Zn_{1-x}V_xN$.

available from the corresponding author on reasonable request.

CRediT authorship contribution statement

Siarhei Zhuk: Investigation, Formal analysis, Writing – original draft. **Sebastian Siol:** Conceptualization, Methodology, Formal analysis, Writing – review & editing.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Acknowledgements

S.Z. gratefully acknowledges financial support from the EMPA internal research call 2020. The authors acknowledge Swiss National Science Foundation (R'Equip program, Proposal No. 206021_182987) for providing funds for the acquisition of the PHI Quantes XPS/HAXPES system. In addition, the authors would like to thank Claudia Cancellieri for support with the sample transfer and during the HAXPES experiments.

Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.apsusc.2022.154172.

References

- [1] K. Alberi, M.B. Nardelli, A. Zakutayev, L. Mitas, S. Curtarolo, A. Jain, M. Fornari, N. Marzari, I. Takeuchi, M.L. Green, M. Kanatzidis, M.F. Toney, S. Butenko, B. Meredig, S. Lany, U. Kattner, A. Davydov, E.S. Toberer, V. Stevanovic, A. Walsh, N.-G. Park, A. Aspuru-Guzik, D.P. Tabor, J. Nelson, J. Murphy, A. Setlur, J. Gregoire, H. Li, R. Xiao, A. Ludwig, L.W. Martin, A.M. Rappe, S.-H. Wei, J. Perkins, The 2019 materials by design roadmap, J. Phys. D Appl. Phys. 52 (2019) 013001, https://doi.org/10.1088/1361-6463/aad926.
- [2] S. Curtarolo, G.L.W. Hart, M.B. Nardelli, N. Mingo, S. Sanvito, O. Levy, The high-throughput highway to computational materials design, Nat. Mater. 12 (3) (2013) 191–201, https://doi.org/10.1038/nmat3568.
- [3] Y. Hinuma, T. Hatakeyama, Y. Kumagai, L.A. Burton, H. Sato, Y. Muraba, S. Iimura, H. Hiramatsu, I. Tanaka, H. Hosono, F. Oba, Discovery of earth-abundant nitride semiconductors by computational screening and high-pressure synthesis, Nat. Commun. 7 (2016) 11962, https://doi.org/10.1038/ncomms11962.
- [4] W. Sun, C.J. Bartel, E. Arca, S.R. Bauers, B. Matthews, B. Orvañanos, B.-R. Chen, M. F. Toney, L.T. Schelhas, W. Tumas, J. Tate, A. Zakutayev, S. Lany, A.M. Holder, G. Ceder, A map of the inorganic ternary metal nitrides, Nat. Mater. 18 (7) (2019) 732–739, https://doi.org/10.1038/s41563-019-0396-2.
- [5] M.L. Green, C.L. Choi, J.R. Hattrick-Simpers, A.M. Joshi, I. Takeuchi, S.C. Barron, E. Campo, T. Chiang, S. Empedocles, J.M. Gregoire, A.G. Kusne, J. Martin, A. Mehta, K. Persson, Z. Trautt, J. Van Duren, A. Zakutayev, Fulfilling the promise of the materials genome initiative with high-throughput experimental methodologies, Appl. Phys. Rev. 4 (2017) 011105, https://doi.org/10.1063/1.4077487
- [6] A. Ludwig, R. Zarnetta, S. Hamann, A. Savan, S. Thienhaus, Development of multifunctional thin films using high-throughput experimentation methods, Int. J. Mat. Res. 99 (2008) 10, https://doi.org/10.3139/146.101746.
- [7] S. Siol, A. Holder, J. Steffes, L.T. Schelhas, K.H. Stone, L. Garten, J.D. Perkins, P. A. Parilla, W. Tumas, M.F. Toney, B.D. Huey, S. Lany, A. Zakutayev, Negative-pressure polymorphs made by heterostructural alloying, Sci. Adv. 4 (4) (2018), eaaq1442, https://doi.org/10.1126/sciadv.aaq1442.
- [8] A. Bikowski, A. Holder, H. Peng, S. Siol, A. Norman, S. Lany, A. Zakutayev, Synthesis and Characterization of (Sn, Zn)O Alloys, Chem. Mater. 28 (21) (2016) 7765–7772. https://doi.org/10.1021/acs.chemmater.6b02968.
- [9] R. Woods-Robinson, Y. Han, J.S. Mangum, C.L. Melamed, B.P. Gorman, A. Mehta, K.A. Persson, A. Zakutayev, Combinatorial Tuning of Structural and Optoelectronic Properties in CuxZn1–xS, Matter. 1 (2019) 862–880, https://doi.org/10.1016/j. matt.2019.06.019.
- [10] K.R. Talley, S.L. Millican, J. Mangum, S. Siol, C.B. Musgrave, B. Gorman, A. M. Holder, A. Zakutayev, G.L. Brennecka, Implications of heterostructural alloying for enhanced piezoelectric performance of (Al, Sc)N, Phys. Rev. Mater. 2 (2018) 063802, https://doi.org/10.1103/PhysRevMaterials.2.063802.
- [11] A. Zakutayev, S.R. Bauers, S. Lany, Experimental Synthesis of Theoretically Predicted Multivalent Ternary Nitride Materials, Chem. Mater. 34 (4) (2022) 1418–1438. https://doi.org/10.1021/acs.chemmater.1c03014.
- [12] A. Bikowski, S. Siol, J. Gu, A. Holder, J.S. Mangum, B. Gorman, W. Tumas, S. Lany, A. Zakutayev, Design of Metastable Tin Titanium Nitride Semiconductor Alloys, Chem. Mater. 29 (15) (2017) 6511–6517, https://doi.org/10.1021/acs. chem.mater.7b02122
- [13] K.N. Heinselman, S. Lany, J.D. Perkins, K.R. Talley, A. Zakutayev, Thin film synthesis of semiconductors in the Mg-Sb-N materials system, Chem. Mater. 31 (21) (2019) 8717–8724, https://doi.org/10.1021/acs.chemmater.9b02380.
- [14] E. Arca, J.D. Perkins, S. Lany, A. Mis, B.R. Chen, P. Dippo, J.L. Partridge, W. Sun, A. Holder, A.C. Tamboli, M.F. Toney, L.T. Schelhas, G. Ceder, W. Tumas, G. Teeter, A. Zakutayev, Zn2SbN3: Growth and characterization of a metastable photoactive semiconductor, Mater. Horizons. 6 (2019) 1669–1674, https://doi.org/10.1039/c9mb00369i
- [15] K. Siegbahn, Electron Spectroscopy for Atoms, Molecules, and Condensed Matter, Science 217 (4555) (1982) 111–121, https://doi.org/10.1126/ science.217.4555.111.
- [16] I. Lindau, P. Pianetta, S. Doniach, W.E. Spicer, X-ray photoemission spectroscopy, Nature 250 (5463) (1974) 214–215, https://doi.org/10.1038/250214a0.
- [17] C. Kalha, N.K. Fernando, P. Bhatt, F.O.L. Johansson, A. Lindblad, H. Rensmo, L. Z. Medina, R. Lindblad, S. Siol, L.P.H. Jeurgens, C. Cancellieri, K. Rossnagel, K. Medjanik, G. Schönhense, M. Simon, A.X. Gray, S. Nemšák, P. Lömker, C. Schlueter, A. Regoutz, Hard x-ray photoelectron spectroscopy: a snapshot of the state-of-the-art in 2020, J. Phys. Condens. Matter. 33 (2021) 233001, https://doi.org/10.1088/1361-648X/abeacd.
- [18] C.D. Wagner, A. Joshi, The auger parameter, its utility and advantages: a review, J. Electron Spectros. Relat. Phenomena. 47 (1988) 283–313, https://doi.org/ 10.1016/0368-2048(88)85018-7.
- [19] G. Moretti, Auger parameter and Wagner plot in the characterization of chemical states by X-ray photoelectron spectroscopy: a review, J. Electron Spectros. Relat. Phenomena. 95 (2-3) (1998) 95–144, https://doi.org/10.1016/S0368-2048(98) 00249-7.

- [20] P.C. Snijders, L.P.H. Jeurgens, W.G. Sloof, Structural ordering of ultra-thin, amorphous aluminium-oxide films, Surf. Sci. 589 (1-3) (2005) 98–105, https://doi. org/10.1016/j.susc.2005.05.051.
- [21] D. Naujoks, M. Weiser, S. Salomon, H. Stein, S. Virtanen, A. Ludwig, Combinatorial Study on Phase Formation and Oxidation in the Thin Film Superalloy Subsystems Co-Al-Cr and Co-Al-Cr-W, ACS Comb. Sci. 20 (11) (2018) 611–620, https://doi. org/10.1021/acscombsci.8b00078.
- [22] D. König, C. Eberling, M. Kieschnick, S. Virtanen, A. Ludwig, High-Throughput Investigation of the Oxidation and Phase Constitution of Thin-Film Ni-Al-Cr Materials Libraries, Adv. Eng. Mater. 17 (9) (2015) 1365–1373, https://doi.org/ 10.1002/adem.201500023.
- [23] S. Siol, N. Ott, C. Beall, M. Stiefel, Y. Unutulmazsoy, M. Döbeli, S.D. Tilley, P. Schmutz, L.P.H. Jeurgens, C. Cancellieri, A combinatorial guide to phase formation and surface passivation of tungsten titanium oxide prepared by thermal oxidation, Acta Mater. 186 (2020) 95–104, https://doi.org/10.1016/j. actamat 2019 12 026
- [24] S. Suzer, Differential Charging in X-ray Photoelectron Spectroscopy: A Nuisance or a Useful Tool? Anal. Chem. 75 (2003) 7026–7029, https://doi.org/10.1021/ ac034823t
- [25] V. Heine, Theory of Surface States, Phys. Rev. 138 (1965) A1689–A1696, https://doi.org/10.1103/PhysRev.138.A1689.
- [26] G. Teeter, S.P. Harvey, C.L. Perkins, K. Ramanathan, I.L. Repins, Comparative operando XPS studies of quasi-Fermi level splitting and open-circuit voltage in CZTSe/CdS and CIGS/CdS junctions and device structures, J. Vac. Sci. Technol. A 37 (2019) 031202, https://doi.org/10.1116/1.5090345.
- [27] S. Siol, P. Schulz, M. Young, K.A. Borup, G. Teeter, A. Zakutayev, Combinatorial In Situ Photoelectron Spectroscopy Investigation of Sb2se3/ZnS Heterointerfaces, Adv. Mater. Interfaces 3 (2016) 1600755, https://doi.org/10.1002/ admi.201600755
- [28] H. Sezen, S. Suzer, XPS for chemical- and charge-sensitive analyses, Thin Solid Films 534 (2013) 1–11, https://doi.org/10.1016/j.tsf.2013.02.002.
- [29] S. Siol, J. Mann, J. Newman, T. Miyayama, K. Watanabe, P. Schmutz, C. Cancellieri, L.P.H. Jeurgens, Concepts for chemical state analysis at constant probing depth by lab-based XPS/HAXPES combining soft and hard X-ray sources, Surf. Interface Anal. 52 (12) (2020) 802–810, https://doi.org/10.1002/sia.6790.
- [30] S. Hofmann, G. Zhou, J. Kovac, S. Drev, S.Y. Lian, B. Lin, Y. Liu, J.Y. Wang, Preferential sputtering effects in depth profiling of multilayers with SIMS, XPS and AES, Appl. Surf. Sci. 483 (2019) 140–155, https://doi.org/10.1016/J. APSIISC 2019 03 211
- [31] J.E. Rault, C.-T. Kuo, H.P. Martins, G. Conti, S. Nemšák, High resolution depth profiling using near-total-reflection hard x-ray photoelectron spectroscopy, J. Vac. Sci. Technol. A 39 (2021) 060802, https://doi.org/10.1116/6.0001289.
- [32] D. Briggs, M.P. Seah, Practical surface analysis by Auger and X-ray photoelectron spectroscopy, John Wiley & Sons, 1984.

- [33] C.D. Wagner, Auger lines in x-ray photoelectron spectrometry, Anal. Chem. 44 (6) (1972) 967–973, https://doi.org/10.1021/ac60314a015.
- [34] C.D. Wagner, A new approach to identifying chemical states, comprising combined use of Auger and photoelectron lines, J. Electron Spectros. Relat. Phenomena. 10 (3) (1977) 305–315, https://doi.org/10.1016/0368-2048(77)85028-7.
- [35] S. Zhuk, A.A. Kistanov, S.C. Boehme, N. Ott, F. La Mattina, M. Stiefel, M. V. Kovalenko, S. Siol, Synthesis and Characterization of the Ternary Nitride Semiconductor Zn 2 VN 3: Theoretical Prediction, Combinatorial Screening, and Epitaxial Stabilization, Chem. Mater. 33 (2021) 9306–9316, https://doi.org/10.1021/acs.chemmater.1c03025.
- [36] D.R. Baer, A.G. Shard, Role of consistent terminology in XPS reproducibility, J. Vac. Sci. Technol. A Vacuum, Surfaces, Film. 38 (3) (2020) 031203, https://doi. org/10.1116/6.0000016.
- [37] W.A. Coghlan, R.E. Clausing, Auger catalog calculated transition energies listed by energy and element, At. Data Nucl. Data Tables. 5 (4) (1973) 317–469, https://doi. org/10.1016/S0092-640X(73)80005-1.
- [38] H. Shinotsuka, S. Tanuma, C.J. Powell, D.R. Penn, Calculations of electron inelastic mean free paths. X. Data for 41 elemental solids over the 50eV to 200keV range with the relativistic full Penn algorithm, Surf. Interface Anal. 47 (2015) 871–888, https://doi.org/10.1002/sia.5789.
- [39] A. Jain, S.P. Ong, G. Hautier, W. Chen, W.D. Richards, S. Dacek, S. Cholia, D. Gunter, D. Skinner, G. Ceder, K.A. Persson, Commentary: The Materials Project: A materials genome approach to accelerating materials innovation, APL Mater. 1 (2013), 011002, https://doi.org/10.1063/1.4812323.
- [40] G. Indlekofer, J.M. Mariot, W. Lengauer, E. Beaprez, P. Oelhafen, C.F. Hague, Electronic structure of stoichiometric and substoichiometric vanadium nitride from photoelectron spectroscopy, Solid State Commun. 72 (1989) 419–423.
- [41] A. Glaser, S. Surnev, F.P. Netzer, N. Fateh, G.A. Fontalvo, C. Mitterer, Oxidation of vanadium nitride and titanium nitride coatings, Surf. Sci. 601 (4) (2007) 1153–1159, https://doi.org/10.1016/j.susc.2006.12.010.
- [42] S. Chenakin, R. Prada Silvy, N. Kruse, XPS-SIMS surface characterization of aluminovanadate oxide catalyst precursors: effect of precipitation pH, Référence Metallofiz. i Novejaie Tehnol. 11 (2011) 1487–1512.
- [43] L.E. Davis, N.C. MacDonald, P.W. Palmberg, G.E. Riach, R.E. Weber, Handbook of Auger electron spectroscopy, Physical electronics Industries, Inc., 1976.
- [44] M. Futsuhara, K. Yoshioka, O. Takai, Structural, electrical and optical properties of zinc nitride thin films prepared by reactive rf magnetron sputtering, Thin Solid Films 322 (1-2) (1998) 274–281.
- [45] C.D. Wagner, Chemical shifts of Auger lines, and the Auger parameter, Faraday Discuss, Chem. Soc. 60 (1975) 291–300, https://doi.org/10.1039/dc9756000291.
- [46] A. V. Naumkin, A. Kraut-Vass, S.W. Gaarenstroom, C.J. Powell, NIST X-ray Photoelectron Spectroscopy Database, Version 4.1, (2012) http://srdata.nist. gov/xps/