

Influence of crystalline titanium oxide layer smoothness on the performance of inverted organic bilayer solar cells

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Due to the small exciton diffusion length in organic materials, the donor-acceptor heterointerface in simple bilayer solar cells must be placed in close proximity to the bottom electrode. This makes great demands on the planarity of the base layer, since a non-uniform topography can cause adverse shorting through overlying layers. We fabricated indium tin oxide (ITO)/titanium oxide (TiO_x)/fullerene (C_{60})/cyanine dye/molybdenum oxide (MoO₃)/silver (Ag) solar cells with TiO_x layers deposited via sputtering, coated from a nanoparticle suspension or prepared via a sol-gel process. A power conversion efficiency of 3.7% was measured when using a smooth sol-gel derived TiO_x film. © 2013 AIP Publishing LLC. [http://dx.doi.org/10.1063/1.4804599]

Thin, dense films of TiO_x have recently emerged as promising functional layers in hybrid inorganic-organic¹ and purely organic solar cells (OSCs). TiO_x layers have been used as optically transparent spacers to optimize the absorption within the active organic film and were a key component for the fabrication of solution-processed tandem OSCs.^{2,3} TiO_x layers were used in the regular (substrate/hole selective contact/active organic layer/TiO_x/metal) and the inverted (substrate/TiO_x/active organic layer/hole selective contact/metal) cell architecture.^{4–7} For both device geometries, TiO_x is the electron-selective contact and thus forms the cathode. TiO_x works as an effective barrier that prevents penetration of oxygen and water. In addition, TiO_x acts as an active scavenging layer for removing oxygen and water⁸ enhancing the lifetime of OSCs considerably.^{5,7}

OSCs with highest power conversion efficiencies (η) use organic films that are applied from a blend of an electron-accepting soluble fullerene derivative with a donor polymer ($\eta = 9.2\%$ (Ref. 9)) or small molecule ($\eta = 7\%$ (Ref. 10)) that form a bulk heterojunction (BHJ). Alternatively, the two organic components can be deposited one by one to create a planar bilayer heterojunction. The efficiency of bilayer OSCs is limited by the small geometrical junction area but is still in use for rapid screening purposes of molecules or when control over the nanoscale phase separation between the components in a blend film is challenging. In addition, a smooth and well-defined planar organic/organic interface is prerequisite to study fundamental opto-electronic processes at organic heterointerfaces.

The active components in an OSC must intimately be in contact on a length scale of well below ~ 50 nm. This critical

distance corresponds to the exciton diffusion length ($L_{\rm ex}$) of organic semiconductors, and light absorption in regions further away from the heterointerface does not result in free charge carrier generation. The constraint of a small $L_{\rm ex}$ results in an important difference between the required substrate smoothness a BHJ or a bilayer OSC is built on. In bilayer OSCs, the heterointerface is placed at a distance of only $\sim L_{\rm ex}$ away from the bottom electrode, and a non-uniform topography of the substrate can cause shorting through other layers, resulting in low shunt resistance, high dark currents, and poor device performance. The substrate planarity is less critical for BHJ OSCs with typical active film thicknesses of 100–200 nm.

Cyanine dyes (Fig. 1(a)) are actually organic salts that are strongly incompatible with common apolar organic semiconducting materials. This makes the adjustment of a suitable BHJ morphology challenging. However, the very high light extinction coefficients of cyanines compensate for the exciton diffusion bottleneck, and thin (\sim 30 nm) dye films were used in OSCs produced in the regular and inverted bilayer configuration. ^{14–16}

Here, we investigated different TiO_x films as electron-selective base layers for the fabrication of inverted cyanine OSCs. TiO_x layers were deposited onto glass/indium tin oxide (ITO) substrates (Thin Film Devices, resistivity $20\,\Omega/\text{sq}$, 150 nm thickness, root mean square, rms, roughness after cleaning 0.51 nm). For films (samples A-C) deposited via reactive magnetron sputtering (ATC Orion, AJA International), the sputtering gas consisted of argon-oxygen mixtures (O_2 partial pressures between 26% and 89%) at a total pressure of 4×10^{-3} mbar, and a titanium metal disk (99.999%) was used as the target. Alternatively, films (sample D) were spin coated from a TiO_x nanoparticle ($\varnothing\sim9\,\text{nm}$) suspension (Solaronix, Ti-Nanoxide HT-L/SC). As a further method, crystalline TiO_x films (sample E) were prepared via a sol-gel

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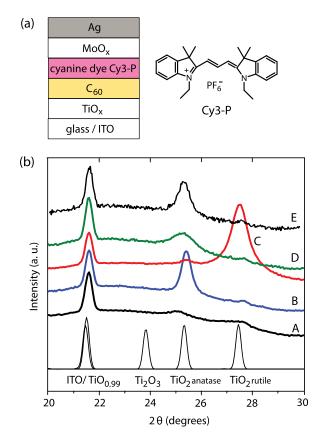


FIG. 1. (a) Schematic representation of the inverted bilayer solar cell. (b) X-ray diffraction patterns of sputtered TiO_x films (samples A and B, thickness ${\sim}40\,\mathrm{nm},\,C {\sim}\,110\,\mathrm{nm}),\,a$ nanoparticulate TiO_x film (sample D ${\sim}\,45\,\mathrm{nm})$ and a sol-gel derived film (sample E ${\sim}\,50\,\mathrm{nm})$ prepared by spin coating on glass/ITO substrates.

process using titanium iso-propoxide as precursor. ¹⁹ Amorphous TiO_x films were spin coated onto the substrates using a 0.45 μ m filter. Films were then heated within 3 h to 460 °C in air, were kept for 2 h at that temperature, and were then cooled down to room temperature.

Crystallinity of the deposited films was investigated by X-ray diffraction (XRD, Kristalloflex D5000, Bruker). Therefore, the incident angle of the X-ray beam was fixed at an angle of 1° (θ), and the sensor was scanned between 20 and 30 2θ using a step increment of 0.05 2θ . Nearly amorphous films (sample A, Fig. 1(b)) were deposited using rf sputtering (13.4 MHz, 200 W) at a O₂ partial pressure of 89% without heating the substrate. Phase-pure anatase films (sample B, Fig. 1(b)) were obtained via rf sputtering by increasing the substrate temperature to 200 °C and using an oxygen content of 57% in the sputtering gas. We note that films deposited on glass via rf sputtering were mostly amorphous, suggesting that ITO functions as a seed layer that promotes crystallization. Phase-pure rutile films on glass or ITO (sample C, Fig. 1(b)) could be produced by dc sputtering (O₂ content 26%, substrate 200 °C). Phase-pure anatase was present in the nanoparticle dispersion (sample D, Fig. 1(b)) and produced in the sol-gel derived film after sintering (sample E, Fig. 1(b)).

The film surface topography was characterized with AFM (Mobile S, NanoSurf). Sputtered phase-pure anatase films (Fig. 2(a)) were smooth (rms roughness = $0.7 \, \text{nm}$, peak-to-valley height, $R_t = 9 \, \text{nm}$), and a very similar planar

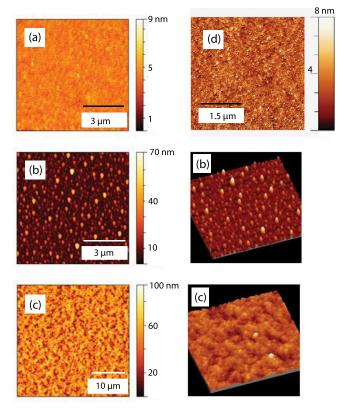
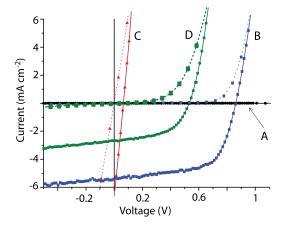


FIG. 2. AFM images of (a) a rf sputtered anatase TiO_x film (sample B), (b) a dc sputtered rutile TiO_x film (sample C), (c) a spin-coated nanoparticulate TiO_x film (sample D), and (d) a sol-gel derived TiO_x film (sample E).

topography was observed for amorphous films (rms roughness = 0.3 nm, $R_t = 7$ nm). Phase-pure rutile films (Fig. 2(b)) displayed a columnar texture with spikes protruding from the surface (rms roughness = 7.3 nm, $R_t = 71$ nm). Also, the topography of the nanoparticulate TiO_x film (Fig. 2(c)) was clearly non-planar (rms roughness = 11.5 nm, $R_t = 94$ nm). However, the steepness of the individual spikes observed for the rutile surface was absent and the surface texture resembled a rolling hills morphology. Very planar surfaces were finally observed for the sol-gel derived films (rms roughness 0.9 nm, $R_t = 8$ nm, Fig. 2(d)).

Multilayer OSCs (Fig. 1(a)) were fabricated under N_2 atmosphere using glass/ITO/TiO_x substrates A-E. C_{60} (40–80 nm) and MoO₃ (5–30 nm) layers with different thicknesses were deposited by thermal evaporation ($<5 \times 10^{-6}$ mbar). Ag (60 nm) was evaporated through a shadow mask to define solar cells with active areas of 3.1 mm² or 7.1 mm². Cy3-P (FEW Chemicals) films (16–20 nm, $\lambda_{\rm max,film} = 577$ nm (Ref. 14)) were spin coated onto C_{60} from 2,2,3,3-tetrafluoropropanol (TFP) solutions. The UV-vis absorption spectrum of a C_{60} film remained unchanged when repeatedly spin coated with pure solvent, confirming that the fullerene is completely insoluble in TFP.

Current-voltage (J-V) characteristics (Fig. 3) were measured under N_2 using 100 mW cm⁻² simulated AM1.5G solar irradiation on a calibrated solar simulator (Spectra-Nova). The performance characteristics were in accordance with the condition that a smooth and low-resistive TiO_x base layer is required for the fabrication of efficient bilayer heterojunction solar cells. For the spiked rutile substrate (sample C), a high dark current with negligible rectification between forward



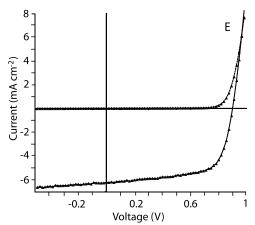


FIG. 3. J-V characteristics of glass/ITO/TiO_x/C₆₀/Cy3-P(16–20 nm)/MoO₃ (30 nm)/Ag(60 nm) OSCs using different TiO_x layers (A = sputtered, amorph; B = sputtered, anatase; C = sputtered, rutile; D = spin coated nanoparticle suspension, anatase; E = sol-gel process, anatase). Dottet lines indicate dark currents, solid lines are currents under light illumination (100 mW cm $^{-2}$). The C₆₀ layer thickness was 40 nm for samples A-C and E, and 80 nm for sample D.

and reverse bias was observed. This is the characteristic for short circuit conditions resulting in a low fill factor (FF) and open circuit voltage ($V_{\rm oc}$) for the device under illumination. Negligible performance was also observed for the smooth but amorphous ${\rm TiO_x}$ layer (sample A). In this case, the cathode was completely blocking ($V_{\rm oc}=0.48~\rm V,~FF=21.2\%,$ short circuit current, $J_{\rm sc}=1\times10^{-3}~\rm mA~cm^{-2})$ consistent with the low conductivity of amorphous ${\rm TiO_x}$ resulting in a device-limiting series resistance for film thicknesses above $\sim\!10~\rm nm.^5$

OSCs performances increased for anatase $\rm TiO_x$ layers B, D, and E with decreasing rms roughnesses and R_t values (Fig. 3). To compensate for the pronounced waviness of the spin coated nanoparticulate $\rm TiO_x$ base layer, the C₆₀ layer thickness was increased to 80 nm for sample D. This resulted in decent device performance with a low $\rm J_{sc}$ (2.7 mA cm⁻²), however (Table I). Incident photon-to-current conversion efficiency (IPCE) curves shown in Refs. 14 and 15 for Cy3-P/C₆₀ bilayer solar cells demonstrate that a considerable fraction of current is generated in the wavelength region where C₆₀ absorbs light. The reduced current for sample D can, therefore, probably be attributed to ineffective photoexcitation of C₆₀ far away from the heterointerface where oxidative charge transfer and current generation occur ($\rm L_{ex}$ C₆₀ ~ 40 nm (Ref. 13)).

In addition, also V_{oc} (0.52 V) for sample D was lower than for B ($V_{oc} = 0.84 \, V$) and E ($V_{oc} = 0.88 \, V$). In recent work, Voc has been shown to be correlated to the redox energy levels of the active donor and acceptor materials.⁴ Specific reasons have been identified that limit V_{oc}, such as reverse saturation current, interfacial charge transfer states, or bimolecular charge recombination.²⁰ For sample D, we consider that also shunt conduction contributes to the lowering of V_{oc} . The parallel resistance allows for leakage currents from sources such as pinholes. Considering the roughness of the spin-coated nanoparticulate TiO_x layer, pinholes in the active Cy3-P and C₆₀ layers can indeed be expected, leading to direct contact of the organic materials with both electrodes at the same time. These direct paths between anode and cathode act as a shunt resistance in parallel with the active part, resulting in a lowered $V_{\rm oc}$ and eroding the device efficiency partly. Parallel resistance values (R_n) in the dark were determined from the inverse of the slope $(\Delta J/\Delta V)$ at short-circuit (V=0). The slope of J(V=0)was obtained by a least squares fit of a linear curve to the experimental data in the linear regime, [-0.2 V, +0.2 V] in our case. R_p was 2.6 k Ω cm² for sample D. For samples B and E, dark current densities were significantly suppressed (R_p=9 $k\Omega$ cm² for B, 26.5 $k\Omega$ cm² for E), consistent with reduced leakage current. Note that the spin-coated TiO_x dispersion was used as a base layer in BHJ OSCs using a thickness of 200 nm for the organic film. 18

Best solar cell performances were measured when using the sol-gel derived TiO_x base layer (sample E, Table I). The highest efficiency was $\eta = 3.7\%$, with an average performance

TABLE I. Device performance/parameters from bilayer C₆₀/Cy3-P solar cells.

Device	$V_{oc}(V)$	J_{sc} (mA cm ⁻²)	FF (%)	η (%)	Ref.
B, sputtered, ^a best	0.84	5.4	63	2.9	This work
B, average 4 cells	0.83 ± 0.1	4.5 ± 0.9	62.2 ± 1.1	2.3 ± 0.5	This work
D, spin coated nanoparticle suspension	0.52	2.7	53.6	0.8	This work
E, sol-gel process, best	0.88	6.2	67.8	3.7	This work
E, average 25 cells	0.86 ± 0.02	6.6 ± 0.8	58.2 ± 4.1	3.3 ± 0.4	This work
ITO/ZnO/PCBM/Cy3-P/MoO ₃ /Ag ^b	1	1.5	43	0.6	16
ITO/MoO ₃ /Cy3-P/C ₆₀ :BCP/Ba:Ag ^b	0.92	5.1	62	2.9	15
ITO/PANI:DBS/Cy3-P/C ₆₀ /Alq ₃ /Al ^b	0.72	6.9	61	3.0	14

^aDifferent fabrication processes for the phase-pure anatase TiO_x layers were used.

^bZnO = zinc oxide, PCBM = [6,6]-phenyl-C₆₁-butyric acid methyl ester, BCP = bathocuproine, Ba = barium, PANI:DBS = polyaniline doped with dodecyl-benzenesulfonic acid, Alq₃ = tris-(8-hydroxyquinoline) aluminium.

from 25 cells of $\eta = (3.3 \pm 0.4)\%$. These findings present a considerable improvement compared to reported efficiencies for bilayer fullerene/Cy3-P OSCs in the normal and inverted device geometry so far (Table I). We also note that initial measurements of the stability of inverted cyanine solar cells showed promising results. Cells were stable when stored in the glove box for several months, and no sign of degradation was observed under illumination (50 mW cm⁻²) in nitrogen (for 24 h) or ambient atmosphere (for 6 h).

In conclusion, our results emphasize experimentally the importance of the planarity of the crystalline TiO_x base layer onto which efficient inverted cyanine dye/C₆₀ bilayer OSCs could be fabricated. The promising results $(\eta = 3.7\%)$ using simple bilayer devices with small interfacial donor-acceptor area demonstrate the potential of cyanine dyes for OSC application. As an important advantage, cyanines are relatively cheap and are commercially available in large quantities. For cyanines with broader absorption spectra or by using mixtures of cyanines that absorb sunlight over the whole visible and into the near-infrared region,²¹ it is anticipated that efficiencies can be increased further. In practice, best OSCs were fabricated for TiO_x films produced by a sol-gel process. TiOx sputtering of thin films for OSCs applications could be an interesting alternative. Sputtering is a large-area compatible coating technology. In addition, the sputter process temperature of 200 °C to deposit phase-pure anatase TiO_x layers would be compatible with polymer-based substrates that are interesting for the development of flexible OSCs. This is in contrast to the sol-gel route that required a high-temperature (>450 °C) annealing step to induce crystallization.

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