Squaraine Dye for Visibly Transparent All-Organic Optical Upconversion Device with Sensitivity at 1000 nm

Karen Strassel,1,2* Adrian Kaiser,1* Sandra Jenatsch,3 Anna C. Véron,1 Surendra B. Anantharaman,1,5 Erwin Hack,4 Matthias Diethelm,1,5 Frank Nüesch,1,5 Rian Aderne,6 Cristiano Legnani,7 Sergii Yakunin,8 Marco Cremona,6 and Roland Hany1**

1Empa, Swiss Federal Laboratories for Materials Science and Technology, Laboratory for Functional Polymers, CH-8600 Dübendorf, Switzerland.
2Institute of Chemical Sciences and Engineering, Ecole Polytechnique Fédérale de Lausanne, EPFL, Station 6, CH-1015 Lausanne, Switzerland.
3Fluxim AG, Katharina-Sulzer-Platz 2, 8400 Winterthur, Switzerland.
4Empa, Swiss Federal Laboratories for Materials Science and Technology, Transport at Nanoscale Interfaces, CH-8600 Dübendorf, Switzerland.
5Institute of Materials Science and Engineering, Ecole Polytechnique Fédérale de Lausanne, EPFL, Station 12, CH-1015 Lausanne, Switzerland.
6LOEM, Optoelectronic Molecular Laboratory, Physics Department, Pontifical Catholic University of Rio de Janeiro, PUC-Rio, Rio de Janeiro, RJ, 224543-970, Brazil.
7LEO, Organic Electronics Laboratory, Physics Department, Federal University of Juiz de Fora, 36036-900 Juiz de Fora, MG, Brazil.
ABSTRACT

Efficient light detection in the near-infrared (NIR) wavelength region is central to emerging applications such as medical imaging and machine vision. An organic upconverter (OUC) consists of a NIR sensitive organic photodetector (OPD) and an organic visible light-emitting diode (OLED), connected in series. The device converts NIR light directly to visible light, allowing pixels imaging of a NIR scene in the visible. Here, we present an OUC composed of a NIR selective squaraine-dye based OPD and a fluorescent OLED. The OPD has a peak sensitivity at 980 nm and an internal photon-to-current conversion efficiency of ~100%. The OUC conversion efficiency (0.27%) of NIR to visible light is close to the expected maximum. The materials of the OUC multilayer stack absorb very little light in the visible wavelength range. In combination with an optimized semitransparent metal top electrode, this enabled the fabrication of transparent OUCs with an average visible transmittance of 65% and a peak transmittance of 80% at 620 nm. Visibly transparent OUCs are interesting for window-integrated electronic circuits or imaging systems that allow for the simultaneous detection of directly transmitted visible and NIR upconverted light.
1. INTRODUCTION

Optical sensing and imaging in the near-infrared (NIR) find many applications for communication, biological imaging, machine vision systems, non-invasive subsurface vision, passive night vision, semiconductor inspection or optical sensors for contactless industrial and consumer electronic displays. Current NIR imaging is realized by using a two-dimensional In-GaAs photodetector (PD) array interconnected with a silicon readout integrated circuit. However, the integration between two separate chips is difficult, poses challenges to reduce the pixel size and leads to high manufacturing costs.

One alternative approach is to directly convert NIR radiation to visible light which can be detected by a conventional silicon charge coupled device. The basic idea of any infrared-to-visible upconverter is the monolithic integration of a NIR absorber with a component emitting visible light. Major advantages are that no intermediate electronics for data processing and no external display for data visualization are required, thus drastically simplifying the portrayal of a NIR scene. In several examples, inorganic compound semiconductor or colloidal quantum dot infrared PDs were integrated with an organic light-emitting diode (OLED) and NIR light in the 1.3-1.5 μm range was converted to green light.

All-organic upconverters (OUC) were demonstrated that consist of a NIR organic photodiode (OPD) combined with an OLED. In several examples, such OUCs used phthalocyanines as NIR sensitizers in combination with phosphorescent OLEDs. An upconverter was incorporated in a digital camera, and an imaging system capable of NIR as well as visible imaging was realized. Similarly, a system composed of an OUC and a commercial digital camera was used to produce visible images of blood vessels. In all these cases, NIR light below 850 nm was upconverted to visible light. Reported efficiencies for converting impinging NIR photons to out coupled visible photons of 2.7% or ~4% are limited in these OUCs.
by the external quantum efficiency (EQE) of the NIR charge generation layer, which has a
maximum value of 100% for a photodiode.\textsuperscript{23} However, an advantage is that the dark current
in the absence of NIR light can be very low, resulting in high signal-to-noise contrast ($>10^4$)
and NIR light on-off ratios.\textsuperscript{20}

OUCs promise the multiple advantages of organic electronics in general and offer the
potential to convert a NIR scene to a visible image using large area devices that can be real-
ized on flexible substrates at low cost. For a widespread application range of OUCs, howev-
er, a prerequisite seems to be the extension of NIR response to longer wavelengths ($>850$
\textsuperscript{nm}) than what has been demonstrated so far. Indeed, one can argue that the merits of the
OUC device concept become apparent only when NIR light with wavelengths beyond the sil-
icon band edge can be upconverted to visible light. This is because light between $\sim700$ nm
and 1100 nm, although not visible for the human eye, can still be detected by a conventional
silicon photodetector.

NIR OPDs with spectral response above 1100 nm have been reported, but using or-
ganic materials that absorb also in the visible wavelength region.\textsuperscript{24-26} For OUC applications,
broadband OPDs are a disadvantage and selective NIR absorption is important for two rea-
sons. First, visible light absorption results in a non-selective NIR response of the device. Sec-
ond, in combination with transparent electrodes\textsuperscript{27,28} selective NIR absorption allows for the
fabrication of visibly transparent OUCs. The development of transparent OUCs is important
because it allows simultaneous multi spectral imaging in the visible and NIR at the opposite
side of the impinging light.

Here, we demonstrate visibly transparent OUCs that effectively upconvert NIR to vis-
ible photons at considerably longer wavelengths than what has been reported so far. In a
first step, we synthesized a selective NIR squaraine dye with absorption beyond 1100 nm.
Squaraines are 1,3-substituted derivatives of a central electron withdrawing squaric acid core with electron rich aromatic and heterocyclic groups. Squaraines have a good photochemical, thermal, oxygen and moisture stability, and absorb light strongly with a narrow absorption band in the visible and NIR region. Squaraines have been successfully used in a number of technologically relevant applications such as photovoltaic devices, NIR OLEDs, photodetectors and transparent phototransistors, nonlinear optics or bioimaging.

We then fabricated a squaraine:fullerene OPD with an internal photon-to-current conversion efficiency (IQE) at 980 nm of close to 100%. By combining this OPD with a fluorescent Alq3-based OLED, OUCs were obtained that convert NIR photons at 980 nm to visible green photons with an efficiency of 0.27%, close to the theoretical maximum for this OPD-OLED materials combination. By replacing the mirror-like top metal with an optimized transparent electrode, we finally demonstrate OUCs with an average visible transmittance of 65% and a peak transmittance of 80% at 620 nm.
2. RESULTS AND DISCUSSION

Squaraine synthesis

Figure 1. (a) Scheme of the synthetic route to the squaraine dye SQ-880. (b) Absorption spectra of SQ-880 in chloroform solution (red) and as a thin film on glass (blue).

The synthesis of the squaraine dye was carried out according to modified literature procedures.\(^\text{40-42}\) First, the benz[cd]indolium heterocycle 2 was synthesized in two steps (Figure 1a). Subsequently, the squaraine dye SQ-880 was obtained in 69% yield from the condensation of compound 2 with squaric acid. Detailed chemical procedures and compound analyses are described in the Supporting Information (SI-1).

SQ-880 is a low band gap dye (\(\lambda_{\text{max}} = 882\) nm in CHCl\(_3\)) with a high molar extinction coefficient (\(\varepsilon(\text{CHCl}_3, \lambda(882\ \text{nm})) = 1.96 \times 10^5\ \text{cm}^{-1}\ \text{M}^{-1}\)). The peak at 788 nm is assigned to a vibrational transition (Figure 1b). From the onset absorption \(\lambda_{\text{onset}} = 926\) nm, the optical band gap in CHCl\(_3\) solution \(E_{\text{opt}} = 1.34\) eV was determined. From cyclic voltammetry experiments,
the electrochemical band gap $E_{el}$ was 1.06 eV. Assuming that the half-wave oxidation and reduction potentials correspond to the HOMO and LUMO levels, the redox levels vs. vacuum were calculated: SQ-880 LUMO = -4.20 eV, HOMO = -5.26 eV (Figure S1-1).

For SQ-880 films, the absorption is redshifted with a maximum at 970 nm (Figure 1b). The dye absorbs in a broad wavelength range of ~700-1100 nm but the absorption in the visible is small. Common estimates for the visible range are determined for photopic responses > 0.1% or > 5% peak sensitivity, resulting in visible spectral ranges of 390-720 nm or 450-670 nm.43

**Upconverter architecture and operation mechanism**

The OUC consisted of a NIR sensitive OPD and a visible emitting OLED, stacked in series (Figure 2a). We used either a reflective (Ca (10 nm)/Al (100 nm)) or semitransparent (Ca (2 nm)/Au (8 nm)/Alq$_3$ (0, 20 or 55 nm) or Ca (2 nm)/Ag (12 nm)/Alq$_3$) top electrodes. A schematic of the device operation is pictured in Figure 2b. Both in the absence (off state) and presence (on state) of NIR light, a voltage bias is applied. In the off state electrons are blocked at the TPD/Alq$_3$ interface and holes are blocked at the ITO/TiO$_2$ interface. Therefore, in the dark no current is flowing and no light emission is detected. For a high voltage bias, however, a rising dark current can already result in undesirable light emission. In the on state, NIR light is absorbed by SQ-880 in the OPD and free charge carriers are generated at the SQ-880/PCBM donor-acceptor heterojunction. Electrons are transported via TiO$_2$ to the anode and holes are driven via a thin hole-transporting MoO$_3$ layer into the OLED where they recombine with electrons under the emission of visible light. For the case of facile electron injection at the cathode, the overall device performance is limited by the number of
holes produced in the OPD and the efficient conversion of NIR photons into free charge carriers is important. Therefore, we optimized in a first step the OPD performance alone.

**Figure 2.** (a) Multilayer OUC stack with a reflective or a semitransparent top electrode. (b) Visual device operation in the absence (top) and presence of NIR light (bottom).
Photodetector properties

The OPD device structure is shown in Figure 3a. We used a SQ-880:PCBM blend as active layer and TiO\(_2\) (35 nm) and MoO\(_3\) (15 nm) as electron- and hole-selective contacts. First, it was observed that the photovoltaic performance of 1:1 w/w active films was considerably lower compared to blends with an excess of PCBM. Performances for 1:(3 - 6) w/w SQ-880:PCBM blends were similar and for the best cell with a thickness of 23 nm we measured values for the open-circuit voltage of \(V_{oc} = 0.28\) V, the short-circuit current \(J_{sc} = 1.71\) mA cm\(^{-2}\) and the fill factor \(FF = 38\%\). In the following, we fixed the SQ-880:PCBM weight ratio to 1:3 because fullerenes possess a significant blue-green absorption and higher PCBM amounts would compromise the final device transparency. For film thicknesses below 40 nm, however, on average 4 out of 8 cells per device were shorted, probably due to incomplete film formation. Above 40 nm, \(J_{sc}\) and FF decreased continuously and \(V_{oc}\) increased slightly with increasing thickness. For a 1:3 w/w active layer of 72 nm thickness the device reproducibility was 75\% and \(V_{oc} = 0.38\) V, \(J_{sc} = 1.28\) mA cm\(^{-2}\), FF = 26\% were measured.

EQE spectra for this device are shown in Figure 3b. At short-circuit the EQE in the NIR range was 3.2\%, but EQEs increased very strongly with reverse bias and reached a maximum of 84\% at 980 nm and -10 V. The active film absorbs ~80\% of the impinging photons at this wavelength (Figure S2-3), and the IQE is consequently almost 100\%. In the actual OUC, the OPD is run under reserve bias (Figure 2b) and the SQ-880:PCBM material system therefore can provide near-unity conversion of NIR photons into holes that can be injected into the OLED. The efficient reductive charge transfer from excited SQ-880 to PCBM is also supported by results from photoluminescence (PL) experiments, where the dye PL (\(\lambda_{max}(em)\) at 1190 nm) in the SQ-880:PCBM blend film was strongly quenched (Figure S2-4).
The NIR-sensitive OPD was also tested in a bilayer SQ-880/C₆₀ configuration. However, the EQE at 980 nm increased only marginally when applying a reverse bias and reached 7.3% at -2 V, much less than what we observed for the bulk heterojunction OPD. Results of bilayer OPDs and OUCs are detailed in the SI-3.

![Diagram of the NIR OPD](image)

**Figure 3.** (a) Architecture of the NIR OPD. (b) EQEs as function of voltage bias.

**Upconversion device properties**

The fabrication of OUC devices (Figure 2b) is described in the SI-4. The NIR OPD was combined with a prototype OLED consisting of a single Alq₃ emitting layer sandwiched between a TPD (N,N’-bis(3-methylphenyl)-N,N’-diphenylbenzidine) hole-transporting layer and a Ca/Al electrode. Current-voltage-luminance (J-V-L) characteristics were measured under nitrogen atmosphere. A 980 nm wavelength laser (49 mW cm⁻²) was used as illumination source and
the visible light was measured with a luminance meter. A photo of the measurement setup is shown in Figure S1-2.

**Figure 4.** Characteristics of non-transparent bulk heterojunction OUCs. (a) Current and (b) luminance trends with and without NIR light.

J-V-L characteristics for an OUC with a reflective Al top electrode are shown in Figure 4. Without NIR light a pronounced dark current increase and light emission were measured only above 8 V. In the presence of NIR light, the device turned on (1 cd m\(^{-2}\)) at 2.5 V already and at 12 V the current and luminance increased to 18.4 mA cm\(^{-2}\) and 313 cd m\(^{-2}\), respectively. Also indicated are the on/off ratios for the current (864 at 4V) and luminance (629 at 5V). Average performance values are summarized in the SI-4.
The upconversion potential can be quantified by the ratio between the numbers of visible emitted to impinging NIR photons, the photon-to-photon-conversion efficiency P2PCE. The calculation of P2PCE is detailed in SI-5. To account only for the NIR photon-induced visible light output, the luminance originating from the dark current was subtracted before evaluating P2PCE. For the results shown in Figure 4 the P2PCE was 0.27% at 12 V. This is in line with expectations because with the individual EQEs of the OLED (~0.5-1%44,45) and the OPD part (~55% at an electric field of 12 V / active layer thickness), the maximum overall OUC device efficiency for our materials combination cannot exceed the range of ~0.25-0.5%.

We also note that the calculated P2PCE represent a lower limit because with our measurement setup we can only measure the back-reflected light through the glass/ITO side and a small fraction of the emitted light is re-absorbed when passing through the OPD layers (SI-5).

**Transparent upconversion device**

For practical applications it is desirable that the visible image can be recorded at the opposite site of the NIR light source. Therefore, we replaced the reflective Al (100 nm) top electrode with thin semi-transparent Ag (12 nm) or Au (8 nm) metal layers. At the same time, we added Alq3 (20 nm or 55 nm) as an additional external dielectric coating to increase the device transmittance. The transmittance of metal films increases with decreasing thickness. However, a balance must be achieved between optical transparency and electrical conductivity. For Ag, sheet resistance values of ~10 Ω square⁻¹ (10 nm Ag)46 or ~40 Ω square⁻¹ (12 nm Ag)47 were reported. The conductivity for thin Au films seems to be even slightly higher and sheet resistances <10 Ω square⁻¹ were measured for 10 nm thick Au films.48 The performance of these OUCs is summarized in the SI-4. Both for Ag/Alq3 (20 nm) and Au/Alq3 (20 nm) the luminance at 12 V was over 300 cd m⁻², comparable with devices containing a thick Al
electrode. Dark currents were however slightly higher, resulting in reduced current and luminance on/off ratios. We note that also for transparent OUCs the visible light backreflected through the glass/ITO side was measured.

Transmittance spectra of the individual materials of the OUC stack are shown in Figure 5a. In the visible, the transmittance of the active layer varies from 69% (at 450 nm) to 85% (at 670 nm) and the transmittance of the other materials is over 80%. Transmittance spectra of full OUC devices with Au electrodes are shown in Figure 5b. Optical modelling (SI-2) revealed that an optimized thickness (50-60 nm) of the external Alq3 layer results in the highest device transparency. Experimental average visible transmittance (AVT450-670) values were 55% (no Alq3), 63.6% (Alq3 = 20 nm) and 65.1% (Alq3 = 55 nm) with a peak value of 79.9% at 620 nm. The simulated transmittance spectra are also shown. The device photo in Figure 5b demonstrates this high level of transparency. When using an Ag/Alq3 (55 nm) electrode, the transmittance was lower at the maximum (76.4% at 604 nm) and decreased strongly for longer wavelengths (Figure S2-5). Also, the AVT450-670 value was slightly lower for Ag (59%) compared to Au.

The P2PCEs of transparent OUCs was 0.14% (at 12 V, 20 nm Alq3). This values are approximately a factor of two lower than for the non-transparent device. This is indeed expected because in the transparent device visible light is out coupled both in forward and backward direction, whereas the thick Al electrode mirror reflects forward emitted light towards the luminance meter that is situated at the glass/ITO side.
Figure 5. (a) Experimental transmittance spectra of individual materials on glass with indicated film thickness. For the UV-vis measurements, air was defined as baseline. Also shown is the OUC EL spectrum. (b) Transmittance spectra of semi-transparent OUCs with a Ca(2 nm)/Au(8 nm) top electrode and Alq₃ capping layers with different thicknesses. The dotted lines show the simulated transmittances. Also shown is a photo of an OUC with 55 nm Alq₃. The white rectangle indicates the 1.6 cm² active device area.

3. CONCLUSIONS

OUCs are interesting for next-generation low-cost, large (or small) area NIR optical sensing and imaging applications. The core of this work is a NIR selective squaraine dye based OPD with a peak sensitivity close to 1000 nm. Under reverse bias - the relevant operation condition when integrated into an OUC – the EQE is over 80% and the IQE is almost 100%. There-
fore, highly sensitive OUCs can be envisioned by combining the squaraine OPD with phosphorescent or thermally activated delayed fluorescent OLEDs. By using suitable OLED materials, we could also demonstrate visibly transparent OUCs with an AVT value of over 65%. Visibly transparent OUCs are a relatively untapped field of research, but such devices allow for future applications in multi-layer sensors or on-glass integrated circuits. Transparent OUCs are also interesting because when integrated into an imaging system, directly transmitted visible light and NIR upconverted light can be detected at the same time. Our squaraine dye finally serves as a model compound to demonstrate visibly transparent OUCs that effectively upconvert light at longer wavelengths than reported so far. From the film absorption spectrum, however, it is clear that this dye is not suitable for the upconversion of light beyond ~1100 nm. We note that related NIR polymethine dyes have been demonstrated with photoresponse out to 1600 nm, potentially offering a route to fabricate OUCs with sensitivity beyond the silicon band edge in future work.49,50

ASSOCIATED CONTENT

Squaraine synthesis, measurement details and instruments, optical experiments and modeling, bilayer OPD and OUC, fabrication of blend OUCs, calculation of the photon-to-photon conversion efficiency. The Supporting Information is available free of charge on the ACS Publications website.

AUTHOR INFORMATION

* K.S. and A.K. contributed equally.

** Corresponding author Phone: +41 58 765 4084; Fax: +41 58 765 1122;
E-mail: roland.hany@empa.ch
ORCID

Roland Hany: 0000-0003-0569-119X
Karen Strassel: 0000-0002-4783-7129
Matthias Diethelm: 0000-0002-8899-1755
Anna C. Véron: 0000-0003-3699-7239
Erwin Hack: 0000-0003-1547-9889
Cristiano Legnani: 0000-0002-5234-5487
Sergii Yakunin: 0000-0002-6409-0565
Marco Cremona: 0000-0003-1306-4639

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**GRAPHICAL ABSTRACT**