

1 Running head: Oxygen isotope variations in Scots pine

2 **How does varying water supply affect oxygen isotope variations in nee-**  
3 **dles and tree rings of Scots pine?**

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25 **Abstract**

26

27 In many regions, drought is suspected to be a cause of Scots pine decline and mortality,  
28 but the underlying physiological mechanisms remain unclear. Because of their relation-  
29 ship to ecohydrological processes,  $\delta^{18}\text{O}$  values in tree rings are potentially useful for de-  
30 ciphering long-term physiological responses and tree adaptation to increasing drought.  
31 We therefore analysed both needle- and stem-level isotope fractionations in mature trees  
32 exposed to varying water supply. In a first experiment, we investigated seasonal  $\delta^{18}\text{O}$   
33 variations in soil and needle water of Scots pine in a dry inner-Alpine valley in Switzer-  
34 land, comparing drought-stressed trees with trees that were irrigated for more than 10  
35 years. In a second experiment, we analysed 20<sup>th</sup> century  $\delta^{18}\text{O}$  variations in tree rings of  
36 the same forest, including a group of trees that had recently died. We observed less  $^{18}\text{O}$   
37 enrichment in needle water of drought-stressed compared to irrigated trees. We applied  
38 different isotope fractionation models to explain these results, including the Péclet- and  
39 the two-pool correction which considers the ratio of unenriched xylem water in the nee-  
40 dles to total needle water. Based on anatomical measurements, we found this ratio to be  
41 unchanged in drought-stressed needles, although they were shorter. The observed lower  
42  $^{18}\text{O}$  enrichment in needles of stressed trees was therefore likely caused by increased ef-  
43 fective path length for water movement within the leaf lamina. In the tree-ring study, we  
44 observed lower  $\delta^{18}\text{O}$  values in tree rings of dead trees compared to survivors during sev-  
45 eral decades prior to their death. These lower values in declining trees are consistent with  
46 the lower needle water  $^{18}\text{O}$  enrichment observed for drought-stressed compared to irri-  
47 gated trees, suggesting this needle-level signal to be reflected in the tree-rings, although  
48 changes in rooting depth could also play a role. Our study demonstrates that long-term  
49 effects of drought are reflected in the tree-ring  $\delta^{18}\text{O}$  values, which helps providing a better  
50 understanding of past tree physiological changes of Scots pine.

51

## 52 **Introduction**

53

54 Water is a key factor for plant growth and survival, and hence, understanding the varia-  
55 bility in plant water uptake and transpiration is of paramount importance. This becomes  
56 even more pertinent in regions where plants experience acute or chronic drought that may  
57 lead to tree mortality (Allen et al. 2015; Allen et al. 2010; Anderegg et al. 2013; Choat et  
58 al. 2012). Understanding plant and particularly tree response to drought is a pressing issue  
59 in view of climate change, having triggered many studies (Breshears et al. 2013;  
60 McDowell et al. 2008). However, most experimental studies were performed with seed-  
61 lings and saplings of various tree species (Cocozza et al. 2016; Duan et al. 2015; Galle et  
62 al. 2010; Pearson et al. 2013), and only rarely with mature trees (Aguadé et al. 2015;  
63 Galiano et al. 2010; Gaylord et al. 2015; Poyatos et al. 2013; Salmon et al. 2015). There-  
64 fore, drought manipulation studies in natural environments are crucial to further develop  
65 our understanding of the physiological responses of mature trees to drought, including  
66 associated isotope fractionations (Grossiord et al. 2018; Herzog et al. 2014; Rowland et  
67 al. 2015).

68 Stable oxygen isotope ratios (expressed as  $\delta^{18}\text{O}$  values) in plant organic matter have al-  
69 ready been used to investigate ecophysiological and ecohydrological responses during  
70 drought (Moreno-Gutiérrez et al. 2012; Sargeant and Singer 2016). It is known that  $\delta^{18}\text{O}$   
71 values of meteoric water are variable due to large-scale hydrological processes and tem-  
72 perature effects (Craig and Gordon 1965; Dansgaard 1964). These variations are reflected  
73 in soil water, with modification through mixing and evaporation. The soil water is taken  
74 up by plant roots without fractionation (Ehleringer and Dawson 1992), but in the leaves  
75 the isotope ratio is further changed owing to equilibrium and kinetic fractionation pro-  
76 cesses during transpiration (Dongmann et al. 1974; Farquhar et al. 2007) and carbohy-  
77 drate production (Lehmann et al. 2017; Roden and Ehleringer 1999). Therefore,  $\delta^{18}\text{O}$   
78 variations in plant organic matter such as tree rings are characterized by a complex, mixed  
79 isotope signal arising from the source water, the evaporative leaf water  $^{18}\text{O}$  enrichment,  
80 and biochemical source-to-sink isotope fractionations (Gessler et al. 2014).

81 Although  $\delta^{18}\text{O}$  values in tree rings have often been used to reconstruct past environmental  
82 conditions (Edwards et al. 2008; Labuhn et al. 2016; Libby et al. 1976; Masson-Delmotte  
83 et al. 2005; Rinne et al. 2013; Treydte et al. 2006), these studies mainly relied on statistical  
84 relationships with climate variables and thus may not always adequately account for all

85 processes (McCarroll and Loader 2004; Treydte et al. 2014). It would be important to  
86 apply mechanistic, process-based models for better understanding the factors controlling  
87 the isotope fractionation in foliage and tree rings related to various environmental condi-  
88 tions, including drought. The mechanistic Craig-Gordon model has often been applied to  
89 this end, mostly at the leaf-level (Craig and Gordon 1965; Farquhar and Lloyd 1993). It  
90 has been critically discussed that this model often overestimates leaf water  $^{18}\text{O}$  enrich-  
91 ment and thus needs a correction for improved accuracy (Barbour 2007; Ogée et al. 2007).  
92 To date, there is no general correction approach suitable across different species. In many  
93 studies, the so-called Péclet correction was applied, requiring sometimes rather unrealis-  
94 tic adjustments of its parameters (Cernusak et al. 2016; Ferrio et al. 2012; Song et al.  
95 2013). Therefore, it has been suggested that the so-called two-pool correction could be  
96 more adequate, particularly for conifers (Bögelein et al. 2017; Roden et al. 2015; Song et  
97 al. 2015) or a combination of the two-pool model and Péclet correction (Holloway-  
98 Phillips et al. 2016). Additionally, current mechanistic models have been challenged by  
99 the limited understanding of post-photosynthetic isotope fractionation and the biochemi-  
100 cal processes responsible for the incorporation of the cellulose oxygen isotopic signature  
101 into tree rings (Cheesman and Cernusak 2017; Gessler et al. 2009; Gessler et al. 2014;  
102 Ogée et al. 2009; Sternberg 2009; Waterhouse et al. 2002). During drought, various  
103 changes act in combination, such as temperature, source water composition, vapour pres-  
104 sure deficit, stomatal conductance and needle morphological properties. Therefore, it re-  
105 mains difficult to disentangle these factors and use  $\delta^{18}\text{O}$  in tree rings as a proxy for re-  
106 constructing long-term environmental changes or for better understanding causes of tree  
107 mortality.

108 In this study, we investigated a drought-stressed Scots pine population from one of the  
109 driest parts of the European Alps (Valais, Switzerland) that has been subjected to a long-  
110 term irrigation experiment since 2003. In a first experiment, we measured  $\delta^{18}\text{O}$  values in  
111 water extracted from different soil depths and needles of drought-stressed and irrigated  
112 trees sampled multiple times between 2013 and 2015. In a second experiment at the same  
113 site, we analyzed the  $\delta^{18}\text{O}$  values in tree-ring cellulose from Scots pine trees that had  
114 recently died and compared them with the signal from living trees over the period 1900-  
115 2014. Our first aim was to identify the factors controlling leaf-level isotope fractionation  
116 of Scots pine under drought and irrigation, considering evaporative leaf water enrichment,  
117 gas-exchange and needle morphological properties. Particularly, the basic Craig-Gordon

118 model, Pécelet and the two-pool correction were explored. Our second aim was to disen-  
119 tangle the effects of climate and tree physiology on long-term variations in  $\delta^{18}\text{O}$  by com-  
120 paring measured tree-ring  $\delta^{18}\text{O}$  values with predicted ones using a fractionation model  
121 corrected with a similar approach as for needles. This should help to determine the driving  
122 factors of tree-ring oxygen isotope variability in drought-stressed Scots pine trees.

123

124

## 125 **Materials and methods**

### 126 *Study site*

127 The study was carried out in the Pfywald forest (46° 18' N, 7° 36' E, 615 m a.s.l.) in  
128 Valais, Switzerland. The site is a natural Scots pine (*Pinus sylvestris* L.) forest located in  
129 one of the driest inner-Alpine valleys of the European Alps, where severe Scots pine de-  
130 cline and mortality have already been observed (Bigler et al. 2006; Rigling et al. 2013).  
131 The forest is characterized as uneven-aged Erico-Pinetum sylvestris with shallow soil and  
132 low water retention (Dobbertin et al. 2010). Because of the blockage of moist incoming  
133 oceanic air masses by the high surrounding mountain ranges, the climate in Central Valais  
134 is continental. For the 1981-2010 period, mean annual precipitation was 605 mm, with  
135 169 mm only during summer, while the mean annual temperature was 10.1 °C (19.1 °C  
136 for summer). In 2003, an irrigation experiment was initiated at this site (Dobbertin et al.  
137 2010; Eilmann et al. 2010; Herzog et al. 2014), consisting of four drought-stressed and  
138 four irrigated plots of 1000 m<sup>2</sup> each. The irrigation water was taken from a river channel  
139 near the experimental area, and irrigation took place every growing season between April  
140 and October. The irrigated trees received ca. twice the amount of total annual precipita-  
141 tion compared to the drought-stressed trees, i.e. approximately 1300 mm.

142

### 143 *Sampling, sample preparation and measurements*

144 To measure seasonal  $\delta^{18}\text{O}$  variations in needle water, one tree per plot (i.e. four drought-  
145 stressed trees and four irrigated trees) was selected for repeated samplings between Au-  
146 gust 2013 and August 2015. Sampling was conducted every month from June to August  
147 and ca. every second month for the other periods. Several twigs were cut at a height of  
148 10-12 m from the sun-exposed part of the crown using a pole pruner. Current-year needles  
149 were immediately separated from twigs, stored in air-tight glass vials with screw caps and  
150 placed in the dark in a cooling box with ice packs to minimize evaporative water loss.

151 At the same sampling dates, soil samples from each plot (4 per treatment) were collected  
152 at two depths (0-10 and 10-20 cm) and stored in air-tight glass vials to monitor seasonal  
153  $\delta^{18}\text{O}$  variations of the soil water. Deeper sampling depths were generally not accessible  
154 due to the rocky soil. However, there was one soil profile down to 80 cm available, where  
155 soil samples were excavated on April 9th, 2014. Water samples from the channel (irriga-  
156 tion source) were collected at each sampling date as well. Increment cores from the four  
157 drought-stressed and four irrigated trees were sampled for stem water extraction in Au-  
158 gust and October 2014 (one 0.5 cm core per tree). Because xylem water was not system-  
159 atically collected during the experiment in 2013-2015, twig phloem, twig xylem and stem  
160 xylem samples during August 2017 were taken to confirm the difference in source water  
161 between drought-stressed and irrigated trees.

162 Water from soil, needles and tree increment cores was obtained using a cryogenic vacuum  
163 extraction system (Saurer et al. 2016; West et al. 2006). In brief, glass vials with samples  
164 were placed in a water bath at 80 °C. The evaporated water from samples was collected  
165 into U-shaped glass tubes that were cooled down with liquid nitrogen. Both glass vials  
166 with samples and tubes were connected to a vacuum system at ca.  $4 \times 10^{-2}$  mbar. Subse-  
167 quently, the extracted water samples were transferred into 2 ml sealed glass vials. The  
168  $\delta^{18}\text{O}$  values of these water samples were measured by injecting 0.6  $\mu\text{l}$  of the sample into  
169 a Thermal Conversion Elemental Analyzer (TC/EA; Thermo Finnigan, Bremen, Ger-  
170 many), where the water was pyrolysed in a glassy carbon reactor at 1450 °C to hydrogen  
171 ( $\text{H}_2$ ) and carbon monoxide (CO). These gases were carried in a helium stream to an iso-  
172 tope ratio mass spectrometer (IRMS) Delta plus XP (Thermo Finnigan, Bremen, Ger-  
173 many) for  $\delta^{18}\text{O}$  analysis. The results were reported in the standard  $\delta$ -notation as per mil  
174 (‰) relative to the Vienna Standard Mean Ocean Water (VSMOW), with a precision of  
175  $< 0.2$  ‰.

176 Twelve stem disks of standing dead trees (subsequently called “now-dead/dead trees”)  
177 and increment cores from 32 trees (“now-living/living trees”) were sampled within the  
178 non-irrigated (drought-stressed/ambient) area. Tree-ring widths (TRW) were measured at  
179 the tree-ring laboratory of the Swiss Federal Institute for Forest, Snow and Landscape  
180 Research WSL in Birmensdorf, Switzerland. The chronologies of the dead and living  
181 trees cover the period of 1900-2005 and 1900-2014, respectively. For details on sampling,  
182 sample preparation and TRW measurements see Timofeeva *et al.* (2017). For  $\delta^{18}\text{O}$  meas-

183 urements, the tree-rings were separated according to calendar year and cellulose was ex-  
184 tracted following Leavitt and Danzer (1993) and Boettger et al. (2007), with modifica-  
185 tions according to Roden et al. (2009), and homogenized with an ultra-sonic device  
186 (Laumer et al. 2009). *Aliquots* were packed into silver capsules for isotope analyses,  
187 which were conducted using a pyrolysis method at 1420 °C in an elemental analyzer  
188 (PYRO-cube, Elementar, Hanau, Germany) connected to an Isotope Ratio Mass Spec-  
189 trometer (IRMS, Delta Plus XP) via a ConFlo III interface (Thermo Fischer Scientific,  
190 Bremen, Germany) (Weigt et al. 2015). In general, the measurement precision was better  
191 than 0.2 ‰.

192

### 193 *Needle gas exchange and anatomical measurements*

194 Leaf gas exchange, including net photosynthesis ( $A_N$ ) and transpiration (E) of five sun-  
195 exposed twigs per tree (4 irrigated trees, 4 drought-stressed trees) were measured in June  
196 2013 and May and June 2014. Measurements were performed using a portable photosyn-  
197 thesis system equipped with a 6400-22L leaf chamber (LI-COR 6400Xt; LI-COR, Lin-  
198 coln, NE, USA). Conditions in the cuvette were kept constant during the measurements  
199 at 400 ppm [CO<sub>2</sub>] and a photon flux density of 1,000  $\mu\text{mol m}^{-2} \text{s}^{-1}$ , while the temperature  
200 was adjusted close to ambient conditions. Initial leaf-gas exchange values were corrected  
201 *a posteriori* for the exact projected leaf area according to Fleck *et al.* (2016).

202 To measure the needle length of current-year needles, 10 trees at the drought-stressed and  
203 12 trees at the irrigated plots were selected. Measurements were repeated every year dur-  
204 ing summer from 2013 to 2015. For the anatomical assessments of needles, one branch  
205 from the sun crown of 5 trees per experimental plot was pole-pruned in February 2015.  
206 Needle samples from the last three needle generations were fixed in 2.5 % glutaraldehyde  
207 buffered at pH 7.0 with a 0.067 M Soerensen phosphate buffer and stored at 4°C until  
208 processing (here, we only present data for the 2013 and 2014 needle generations). The  
209 size of tissues and histological composition were assessed using 70  $\mu\text{m}$  hand-microtomed  
210 cross-sections from the hydrated middle-part of needles. The cuttings were visually ana-  
211 lysed with bright field microscopy using the 10x objective of a Leica microscope Leitz  
212 DMRB and imaged using the Lumenera INFINITY 2.1R camera and Lumenera Infinity  
213 Analyze (release 6.4) software (Lumenera Corp., Ottawa, Canada). The total area of nee-  
214 dle cross-sections and that of each tissue (epidermis; hypodermis; resin ducts; mesophyll;

215 endodermis; transfusion tissues; phloem; xylem) was determined by means of image anal-  
 216 ysis and the measurement tools in the Adobe Photoshop software (Cs5, version 12.0.0.0,  
 217 Adobe Systems Inc., San Jose, CA, USA), using stitched micrographs. The histological  
 218 composition was calculated by expressing each tissue in percentage area of the whole  
 219 needle section.

220

### 221 *Oxygen isotope modelling*

222 As a first approximation, the needle water isotope ratio ( $\delta^{18}\text{O}_N$ ) in the steady-state can be  
 223 calculated by the Craig & Gordon (1965) equation modified by Dongmann *et al.* (1974):

224

$$225 \quad \delta^{18}\text{O}_N = \delta^{18}\text{O}_{\text{SW}} + \varepsilon^+ + \varepsilon_k + (\delta^{18}\text{O}_V - \delta^{18}\text{O}_{\text{SW}} - \varepsilon_k) \cdot e_a/e_i, \quad (1)$$

226

227 where  $\delta^{18}\text{O}_{\text{SW}}$  is the isotope value of the source water (xylem),  $\varepsilon^+$  is the equilibrium frac-  
 228 tionation related to the phase change from liquid to vapour,  $\varepsilon_k$  is the kinetic fractionation  
 229 related to the diffusion in air,  $\delta^{18}\text{O}_V$  is the atmospheric water vapour isotope value, and  
 230  $e_a/e_i$  is the ratio of leaf external (ambient) to internal water vapour pressures.

231

232 To exclusively characterize the isotope fractionation within the leaf without influences of  
 233 the source water isotope variation, the leaf water enrichment term  $\Delta^{18}\text{O}_N$  is often used,  
 234 which is obtained after subtracting  $\delta^{18}\text{O}_{\text{SW}}$  from  $\delta^{18}\text{O}_N$ :

235

$$236 \quad \Delta^{18}\text{O}_N = \varepsilon^+ + \varepsilon_k + (\delta^{18}\text{O}_V - \delta^{18}\text{O}_{\text{SW}} - \varepsilon_k) \cdot e_a/e_i \quad (2)$$

237

238 We refer to this equation as the CG-model (“Craig-Gordon-model”). The equilibrium  
 239 fractionation ( $\varepsilon^+$ ) depends on temperature and can be calculated according to Bottinga &  
 240 Craig, (1968):

241

$$242 \quad \varepsilon^+ (\text{‰}) = 2.664 - 3.206 \cdot 10^3 / T + 1.534 \cdot 10^6 / T^2 \quad (3)$$

243

244 The kinetic fractionation ( $\varepsilon_k$ ) has an approximate value of 28 ‰ (Cernusak *et al.* 2016).  
 245 The  $e_a/e_i$  ratio can be simplified using relative air humidity (RH) for the calculations,  
 246 assuming that RH inside the leaf is 100 % and that leaf and air temperatures are equal,  
 247 although this may not always be the case, particularly for sun-exposed needles or leaves.



248 Parts of equations 1 and 2, i.e.  $\delta^{18}\text{O}_V - \delta^{18}\text{O}_{\text{SW}}$ , can be replaced by  $-\epsilon^+$ , assuming isotopic  
 249 equilibrium between soil (source) water and water vapour (Bögelein et al. 2017; Foerstel  
 250 and Huetzen 1983).

251 Equations 1 and 2 were found to predict the variability of observed leaf water  $^{18}\text{O}$  enrich-  
 252 ment reasonably well (Cernusak et al. 2016; Farquhar and Lloyd 1993; Roden and  
 253 Ehleringer 1999), but they often overestimate actual values. Therefore, a model correction  
 254 based on the Péclet effect was proposed (Barbour 2007; Cernusak et al. 2016; Farquhar  
 255 and Lloyd 1993). It can be characterized by the Péclet number  $\wp$  and considers an iso-  
 256 topic gradient between xylem and evaporative sites, which is mainly driven by changes  
 257 in transpiration (E) and the so-called effective path length (L):

258

$$259 \quad \wp = L \cdot E / (C \cdot D) \quad (4)$$

260

261 where L (m) describes the tortuous path that water travels from the leaf veins to the sites  
 262 of evaporation, E is the transpiration rate ( $\text{mmol m}^{-2} \text{s}^{-1}$ ), C is the molar density of water  
 263 ( $55.56 \times 10^3 \text{ mol m}^{-3}$ ), and D is the diffusivity of  $\text{H}_2^{18}\text{O}$  in water, which depends on tem-  
 264 perature (Cernusak et al. 2016; Cuntz et al. 2007). However, the effective path length (L)  
 265 is not a directly measurable parameter and difficult to quantify. Mostly, as in our study,  
 266 it is determined by an iterative procedure to minimize the square-root of the sum of all  
 267 differences between measured and modelled values (Barbour et al. 2004).

268

269 Leaf  $^{18}\text{O}$  enrichment after the Péclet correction is then given as

270

$$271 \quad \Delta^{18}\text{O}_N^* = \Delta^{18}\text{O}_N \cdot (1 - e^{-\wp}) / \wp \quad (5)$$

272

273 Furthermore, a two-pool model was suggested as a correction for the CG-model  
 274 (Cernusak et al. 2016; Song et al. 2015; Yakir et al. 1990). It assumes that total needle  
 275 water is a mixture of two discrete pools of water, i.e. unenriched water influenced mainly  
 276 by xylem water (the central part of the needle consisting of endodermis, xylem, phloem,  
 277 and transfusion tissues) and  $^{18}\text{O}$  enriched water at the evaporative sites (mainly the mes-  
 278 ophyll tissue), as follows:

279

$$280 \quad \Delta^{18}\text{O}_N^* = \Delta^{18}\text{O}_N \cdot (1 - \phi) \quad (6)$$

281

282 where  $\phi$  is the ratio of unenriched to total needle water (Cernusak et al. 2016; Song et al.  
 283 2015) and can be experimentally determined from needle-anatomical measurements  
 284 (Roden et al. 2015). This ratio  $\phi$  can have a value between 0 (infinitely small xylem con-  
 285 tribution) and 1 (xylem water fully dominates the  $^{18}\text{O}/^{16}\text{O}$  ratio of needle water).

286 The two correction approaches can be combined, i.e. the Péclet correction is applied on  
 287 the  $^{18}\text{O}$  enriched part of the leaf water only (Holloway-Phillips et al. 2016):

288

$$289 \quad \Delta^{18}\text{O}_N^* = (1 - \phi)\Delta^{18}\text{O}_N \cdot (1 - e^{-\phi})/\phi \quad (7)$$

290

291 A modified version of equation 1 can then be used to predict  $^{18}\text{O}$  enrichment in tree rings:

292

$$293 \quad \delta^{18}\text{O}_{\text{TR}} = \delta^{18}\text{O}_{\text{SW}} + (1-x) \cdot \Delta^{18}\text{O}_N^* + \varepsilon_{\text{wc}} \quad (8)$$

294

295 where  $\delta^{18}\text{O}_{\text{TR}}$  is the predicted tree-ring isotope ratio,  $\varepsilon_{\text{wc}}$  is the biochemical exchange be-  
 296 tween the oxygen atoms of xylem water and those of the cellulose carbonyl groups, equal-  
 297 ing 27 ‰ (DeNiro and Epstein 1981; Sternberg et al. 1986), and  $x$  is the proportion of  
 298 oxygen atoms of carbonyl groups (e.g. of trioses) undergoing exchange with xylem (stem)  
 299 water during cellulose formation ( $x=0.42$ ). The value of  $x$  could vary as a function of the  
 300 turnover of non-structural carbohydrates (Song et al. 2014) and environmental conditions  
 301 (Cheesman and Cernusak 2017). This can also be expressed as a dampening  $f$  of the leaf  
 302 water signal as reflected in the tree-ring  $f = 1 - x = 0.58$  (Roden et al. 2000; Saurer et al.  
 303 1997; Treydte et al. 2014). As a surrogate for source water ( $\delta^{18}\text{O}_{\text{sw}}$ ) variations over the  
 304 investigated period, we used the averages of summer months of  $\delta^{18}\text{O}$  in precipitation. The  
 305 validity of this assumption was tested by comparison of measured soil  $\delta^{18}\text{O}$ -values with  
 306 monthly  $\delta^{18}\text{O}$  of precipitation (in Results). The needle water enrichment term  $\Delta^{18}\text{O}_N^*$  in  
 307 Eq. 8 can be expressed with the simple leaf CG-model (Eq. 2), resulting in what we sub-  
 308 sequently call the “tree-ring CG-model”, or using the more advanced expression from Eq.  
 309 7, resulting in the “full tree-ring model”.

310

### 311 *Climate data*

312 For modeling of needle water  $^{18}\text{O}$  enrichment, we used air temperature and relative hu-  
 313 midity data from Pfywald with 10 min resolution for the period of 2013-2015. These

314 data were obtained from Sensirion SHT-21 sensors with a multiple-plate radiation shield  
 315 ( $\pm 0.3$  °C and  $\pm 2\%$  RH accuracy). Monthly climate data for 1900-2014 were obtained from  
 316 the Sion meteorological station (MeteoSwiss archives). The Standardized Precipitation  
 317 Evapotranspiration Index SPEI, a multiscalar drought index, was used for correlation  
 318 analysis with tree-ring parameters (Timofeeva et al. 2017). Monthly  $\delta^{18}\text{O}$  data of precip-  
 319 itation were obtained from the Global Network of Isotopes in Precipitation (GNIP) and  
 320 from the Federal Office of Water and Geology, Bern, Switzerland (FOWG). The GNIP  
 321 data from the Grimsel station (46.57 N, 8.33 E, 1950 m a.s.l.) for the period 1972-2014  
 322 were used for tree-ring  $\delta^{18}\text{O}$  modeling. They were corrected for the elevational offset,  
 323 using data from the Sion station for the period 1994-2014. To this end, we first calculated  
 324 averages for each month for this period at both stations and used their differences for the  
 325 correction. The raw data from the Sion station were not used for tree-ring modelling due  
 326 to the short observation period.

327

328 *Data analysis*

329 We determined the response of  $\delta^{18}\text{O}$  variations to climate by calculating Pearson's corre-  
 330 lation coefficients between the monthly mean series of each climate variable (air temper-  
 331 ature, precipitation, relative humidity, drought index and VPD) and  $\delta^{18}\text{O}$  for individual  
 332 months and seasons such as spring (March-May) and summer (June-August). We tested  
 333 the significance of the correlation coefficients by applying two-tailed Student's t-tests.  
 334 Temporal autocorrelation was taken into account by calculating the 'effective' sample  
 335 size, which is based on sample size and the first-order autocorrelation for each time series  
 336 and climate data:

337

$$338 \quad N' = N \cdot \frac{(1 - r_1 \cdot r_2)}{(1 + r_1 \cdot r_2)} \quad (10)$$

339

340 where  $N$  is sample size;  $N'$  is effective sample size; and  $r_1$  and  $r_2$  are the first-order sample  
 341 autocorrelation of the first and second time series, respectively (Dawdy 1964). Two-tailed  
 342 Student's t-tests were applied to test for significant differences between groups. Two-  
 343 factorial ANOVA was carried out to test for treatment and needle generation differences  
 344 in needle anatomical data, including a Tukey's HSD Post-Hoc test.

345

346

## 347 **Results**

### 348 *Seasonal variations in $\delta^{18}\text{O}$ of needle and soil water*

349 The  $\delta^{18}\text{O}$  values of needle water strongly fluctuated between sampling dates, showing  
350 seasonal differences with values up to ca. 10 ‰ during spring and summer, and negative  
351 values during winter (Fig 1a). Soil water  $\delta^{18}\text{O}$  also featured a seasonal pattern at both  
352 measured soil depths, but with values always below zero (Fig. 1b,c). The  $\delta^{18}\text{O}$  values of  
353 the channel water (source of irrigation) did not show significant seasonal changes and  
354 were depleted compared to soil water during the growing season (Fig. 1b,c;  $p > 0.05$ ). This  
355 depletion resulted in soil water  $\delta^{18}\text{O}$  values of the irrigated plots to be clearly lower com-  
356 pared to the drought-stressed plots for both soil depths (significant for August 2013 and  
357 April 2014,  $p < 0.05$ ). Isotope values at soil depths from 0 to 10 cm were generally en-  
358 riched in  $^{18}\text{O}$  compared to those at soil depths from 10 to 20 cm during spring and summer  
359 (except for summer 2014). This strong enrichment of water near the soil surface was con-  
360 sistent with the results of the deep soil profile taken on 09.04.2014 (Fig. S1). This profile  
361 showed that below a depth of 20 cm there was less variation in the isotopic composition.  
362 Furthermore, stem water collected in August and October 2014 showed  $\delta^{18}\text{O}$  values close  
363 to soil water values from 20 cm depth rather than from top soil. Twig phloem, twig xylem  
364 and stem xylem samples taken after our experiment, while irrigation was still ongoing  
365 (August 2017), confirm the  $^{18}\text{O}$  depletion of water taken up by irrigated trees compared  
366 to drought-stressed trees (Fig. S2). Considering all this information, the soil depth 10-20  
367 cm was considered representative for the depth from where the source water was mainly  
368 taken up.

369 Needle water  $\delta^{18}\text{O}$  values did not show any significant differences between drought-  
370 stressed and irrigated trees ( $p > 0.05$ ). However, it is important to consider that soil water  
371  $\delta^{18}\text{O}$  values of the irrigated plots were clearly lower compared to the drought-stressed  
372 plots due to lower  $\delta^{18}\text{O}$  values in the channel water used for irrigation. Accordingly, cal-  
373 culated needle water enrichment above source water ( $\Delta^{18}\text{O}$ ) based on the difference be-  
374 tween  $\delta^{18}\text{O}$  of needle water and water of soil depths 10-20 cm was higher for irrigated  
375 trees compared to the drought-stressed trees at almost all sampling points (Fig. 2). This  
376 divergence was most pronounced during spring and summer, although significant differ-  
377 ences between drought-stressed and irrigated trees were only observed for August 2013  
378 and April 2014 ( $p < 0.05$ ), but not for the summer months of 2014 and 2015.

379

### 380 *Gas exchange and needle properties*

381 Transpiration rate per leaf area was on average higher for the irrigated compared to the  
382 drought-stressed trees, except for the sampling in June 2013 (Table 1). However, differ-  
383 ences between treatments were only marginally significant ( $p < 0.1$ ), whereas they were  
384 significant for the combined data of all tree in the treatment for both samplings in 2014  
385 ( $p < 0.01$ ) and for the combined sampling dates over the period of 2013-2014 ( $p < 0.05$ ).  
386 These data were used to establish a linear relationship between E and VPD, which ex-  
387 plained more than 60% of the variability in E and yielded a slope of  $0.11 \text{ mmol m}^{-2} \text{ sec}^{-1}$   
388  $\text{hPa}^{-1}$  for drought-stressed and  $0.19 \text{ mmol m}^{-2} \text{ sec}^{-1} \text{ hPa}^{-1}$  for irrigated trees. This relation-  
389 ship was subsequently used to estimate E on dates without gas-exchange measurements  
390 needed for leaf water modeling.

391 Needles of the drought-stressed trees were shorter (on average 34.67 mm) compared to  
392 those of the irrigated trees (on average 44.31 mm), with a high variability for both treat-  
393 ments (Table 2). Differences in needle length between drought-stressed and irrigated trees  
394 were significant both for the averaged and for all measured values for 2013 ( $p < 0.01$ ),  
395 2014 ( $p < 0.05$ ) and over the period of 2013-2014 ( $p < 0.001$ ).

396 The cross-sectional area of the different needle tissues all showed a significant difference  
397 between the two needle generations (ANOVA,  $p < 0.01$ ; Table 3). Treatment (i.e. drought-  
398 stressed vs. irrigated) was significant for resin, transfusion tissues, phloem and xylem,  
399 while no interaction between needle generation and treatment was found. Differences in  
400 total needle cross-section between the drought-stressed and irrigated trees were not sig-  
401 nificant. We estimated the proportion of tissue not subjected to evaporative  $^{18}\text{O}$  enrich-  
402 ment  $\phi$  as the ratio of the sum of endodermis, xylem, phloem and transfusion tissues to  
403 total needle cross-section. This proportion amounted to  $0.270 \pm 0.014$  for drought-stressed  
404 and  $0.282 \pm 0.013$  for irrigated trees averaged over both needle generations. These values  
405 were used in the subsequent model calculations.

406

### 407 *Modeling needle water $^{18}\text{O}$ enrichment*

408 We found a highly significant correlation between measured and Craig-Gordon (CG)-  
409 modelled needle water  $^{18}\text{O}$  enrichment values using the basic equation (Eq. 2, Fig. 3).  
410 However, modeled values were only accurate for winter conditions, when  $^{18}\text{O}$  enrichment  
411 was low, but they were strongly overestimated for both treatments (by up to 10%) during  
412 summer months (Fig. 3, Table 4). Accordingly, slopes of the linear regression strongly

413 deviated from 1 (Fig. 3a, *drought-stressed trees*: slope = 0.44,  $R^2 = 0.66$ ,  $p < 0.01$ ; *irri-*  
 414 *gated trees*: slope = 0.53,  $R^2 = 0.62$ ,  $p < 0.01$ ). Furthermore, the basic CG model could  
 415 not explain the observed difference in  $^{18}\text{O}$  enrichment between treatments. Results ob-  
 416 tained from the model incorporating the Péclet- and two-pool corrections (Eq. 7) reflected  
 417 the variability of the data much better, as the linear regression slopes were closer to 1  
 418 (Fig. 3b, *drought-stressed trees*: slope = 0.88,  $R^2 = 0.67$ ,  $p < 0.01$ ; *irrigated trees*: slope  
 419 = 0.83,  $R^2 = 0.64$ ,  $p < 0.01$ ). Furthermore, average growing season values calculated with  
 420 this model were very close to measured  $^{18}\text{O}$  enrichment for both treatments and the dif-  
 421 ference between treatments of about 3.5 ‰ was well reproduced (Table 4). These calcu-  
 422 lations were based on  $\phi$  derived from anatomical measurements and L optimized for min-  
 423 imum deviation from the data (Barbour et al. 2004). This resulted in high L of 0.32 m and  
 424 0.05 m for drought-stressed and irrigated groups, respectively. Even higher values of L  
 425 of 0.7 m and 0.25 m would have resulted if neglecting the two-pool model (i.e.  $\phi = 0$ ).  
 426 Due to the high L, the Péclet effect ( $\phi$ ) was overall stronger for drought-stressed trees,  
 427 despite the lower transpiration (Table 4), resulting in the lower  $^{18}\text{O}$  enrichment observed  
 428 compared to irrigated trees.

429

#### 430 *Tree-ring $\delta^{18}\text{O}$ values and their relationship to climate*

431 The mean tree-ring  $\delta^{18}\text{O}$  chronologies calculated from the five living and five now-dead  
 432 trees, respectively, were highly correlated for the common period (Fig. 4a, 1900-2004,  $r$   
 433 = 0.76,  $p < 0.001$ ), but also the mean inter-series correlations of the individual tree series  
 434 for both groups were highly significant (Figs. S3 & S4). The tree-ring  $\delta^{18}\text{O}$  chronologies  
 435 of the living and the now-dead trees did not show any significant differences until the  
 436 1970s. After the 1970s, however, the records started to significantly deviate and remained  
 437 lower for the now-dead trees until their death compared to the living trees (Fig. 4b, Stu-  
 438 dent's t-test for differences between groups, 1900-1959:  $0.130 \pm 0.118$  (mean difference  
 439  $\pm SD$ ), *n.s.*; 1960-2005:  $-0.786 \pm 0.171$ ,  $p < 0.001$ ). Correlation analysis between monthly  
 440 climate variables and tree-ring  $\delta^{18}\text{O}$  chronologies indicated the spring (Mar-May) and  
 441 summer (Jun-Aug) seasons as most important for isotope fixation in the tree rings, and  
 442 we thus focused on the correlations for these periods. Tree-ring  $\delta^{18}\text{O}$  values of the living  
 443 trees were positively and significantly correlated with spring temperatures and VPD (and  
 444 negatively with RH), as well as with summer temperatures, precipitation amount and  
 445 SPEI (Fig. 5). For the now-dead trees, correlations were similar and in some cases also

446 significant (spring VPD, summer precipitation amount and SPEI). Generally, trees that  
 447 died later showed a weaker response in spring, but a stronger response in summer com-  
 448 pared to living trees (Fig. 5).

449

#### 450 *Modeling of $\delta^{18}\text{O}$ in tree rings*

451 Simulations of tree-ring  $\delta^{18}\text{O}$  were performed for the time period where  $\delta^{18}\text{O}$  data of pre-  
 452 cipitation are available (starting in 1972). We verified the representativeness of the pre-  
 453 cipitation  $\delta^{18}\text{O}$  data as a surrogate for soil water isotopic composition by correlation anal-  
 454 ysis between measured seasonal soil water  $\delta^{18}\text{O}$  of the study site (available 2013-2015)  
 455 and  $\delta^{18}\text{O}$  of precipitation of various months. We found a highly significant correlation  
 456 between soil water values of 10-20 cm depth and 2-month precipitation averages (using  
 457 the current and the preceding month;  $r^2 = 0.70$ ,  $\delta^{18}\text{O}_{\text{SW}} = 0.8514 * \delta^{18}\text{O}_{\text{precipitation}} + 1.679$ ).  
 458 This relationship was used to estimate the isotopic composition of soil water available for  
 459 the trees during the growing season over the extended period of 1972-2014. Other model  
 460 parameters were used as determined above for the drought-stressed trees, including the  
 461 relationship between E and VPD to estimate past variations in E.

462 The correlation between measured and modeled tree-ring  $\delta^{18}\text{O}$  values was significant  
 463 when using the basic tree-ring CG-model (Eq. 8) for dying and surviving trees (*living*  
 464 *trees: slope = 0.31,  $R^2 = 0.33$ ,  $p < 0.001$ ; dead trees: slope = 0.32,  $R^2 = 0.38$ ,  $p < 0.001$ ),  
 465 although the slopes deviated strongly from 1 and the estimated values were too high by  
 466 several per mil (Fig. 6). Applying the full model with the Péclet- and two-pool corrections  
 467 in Eq. 8, simulated values were in better agreement with measurements regarding both  
 468 the absolute level and the temporal variability (Fig. 6, *living trees: slope = 0.38,  $R^2 =$*   
 469 *0.35,  $p < 0.001$ ; dead trees: slope = 0.39,  $R^2 = 0.41$ ,  $p < 0.001$ ). Using a range of values*  
 470 *for L, based on the value obtained from the first experiment for drought-stressed trees*  
 471 *( $L = 0.32 \text{ m} \pm 0.16 \text{ m}$ ) shows the effect of this parameter on the tree-ring model results (Fig.*  
 472 *6). A higher L of 0.15 m for later dying trees compared to survivors would be consistent*  
 473 *with the observed average tree-ring  $\delta^{18}\text{O}$  difference (0.79‰) between the two groups.*  
 474 *Furthermore, we attempted to explain remaining differences between modelled values for*  
 475 *this range of L and data by varying x in Eq. 8. Accordingly, we found a range of  $x = 0.24$*   
 476 *to 0.37 for living trees, and a slightly higher range of  $x = 0.31$  to 0.43 for dead trees.**

477

478

## 479 Discussion

480

### 481 *Comparison of measured and modelled needle water $^{18}\text{O}$ enrichment*

482 In our first experiment on the seasonal variations in  $\delta^{18}\text{O}$  of different water pools, the  
483 estimated  $^{18}\text{O}$  enrichment using the CG model was too high for both drought-stressed and  
484 irrigated trees compared to observations of  $\Delta^{18}\text{O}$ , as often found in other studies  
485 (Cernusak et al. 2016), showing the need for a physiological correction. We also observed  
486 lower needle water  $^{18}\text{O}$  enrichment for the drought-stressed compared to the irrigated  
487 trees that could not be explained by the basic CG-model, but by the full model considering  
488 the Péclet and two-pool corrections. The Péclet number  $\wp$  is driven primarily by transpi-  
489 ration (E) and effective path length (L) and was shown to depend on water content and  
490 needle-anatomical traits (Liang et al. 2018). Under drought stress, transpiration often de-  
491 creases due to lower stomatal conductance, as also observed at our site, which would  
492 reduce  $\wp$  if L is unchanged (Eqs. 4, 5). Accordingly, needle water would be less diluted  
493 by re-filling with xylem water, and therefore higher needle  $\Delta^{18}\text{O}$  values could be expected  
494 under drought stress, whereas an unconstrained water supply and low VPD would result  
495 in lower needle  $\Delta^{18}\text{O}$  values. This was indeed observed in some studies (Roden and  
496 Ehleringer 1999; Yakir et al. 1990), but not in our case. However,  $\wp$  depends also on the  
497 effective length of the water path within the leaf or needle, which can be affected by  
498 leaf/needle morpho-physiological changes under drought as well (Ferrio et al. 2012;  
499 Kahmen et al. 2008). Our results are therefore consistent assuming higher L for drought-  
500 stressed needles.

501 For deciduous plants, L can be estimated reasonably well (Kahmen et al. 2009), but for  
502 conifers, estimating L is often challenging, mostly due to the varying structure of a needle,  
503 its low conductance, and generally low transpiration. Very high estimates of more than  
504 one meter for L have previously been reported for various coniferous species, including  
505 pine (Song et al. 2013). Such values seem unrealistically high compared to the actual  
506 needle length, but could be related to the tortuosity of the water path and reduced hydrau-  
507 lic conductivity due to the xeromorphic structure of the needle. In gymnosperms, hydrau-  
508 lic connections between the xylem and the mesophyll are weak and the endodermis pro-  
509 vides a hydraulic separation between the vascular strand and the rest of the needle  
510 (Zwieniecki et al. 2007).



511 By considering the two-pool model as in the full model, the Péclet correction is applied  
512 to the  $^{18}\text{O}$ -enriched portion of needle water only and  $L$  is thus reduced (Holloway-Phillips  
513 et al. 2016). The two-pool model was originally proposed more than two decades ago  
514 (Gat and Bowser 1991; Yakir et al. 1990), but it has only recently been revived with the  
515 expectation that it may be superior to the Péclet model (Bögelein et al. 2017; Song et al.  
516 2015). The advantage of the two-pool model is that its parameters are more easily acces-  
517 sible to direct observation. The model was found to explain well e.g. the different  $^{18}\text{O}$   
518 enrichment in old compared to young needles (Roden et al. 2015). When the proportion  
519 of the mesophyll tissue surrounding the needle xylem is relatively small (high  $\phi$  value),  
520 the needle water signal will be strongly dominated by the unenriched xylem water, result-  
521 ing in low  $\Delta^{18}\text{O}$  according to the two-pool model. In our study, needle length of the  
522 drought-stressed trees was significantly lower than those of the irrigated ones. However,  
523 based on anatomical measurements, the calculated proportions of unenriched to enriched  
524 tissue did not change significantly (Table 3). This shows that needle shrinkage, i.e. the  
525 lower total cross-sectional area of the drought-stressed needles, was a result of smaller  
526 dimensions of all needle tissues. Our results and particularly the difference between irri-  
527 gated and drought-stressed trees can therefore not be explained by the two-pool model  
528 alone, but its consideration is still important for proper application of the Péclet model.  
529 One implicit assumption in the leaf models is the isotopic equilibrium between soil water  
530 and water vapour. This may not always be true, particularly under dry conditions, owing  
531 to soil evaporation effects (Bögelein et al. 2017; Ueta et al. 2013). There could also be a  
532 difference in relative humidity or  $\delta^{18}\text{O}_v$  between drought-stressed and irrigated plots. We  
533 think, however, that such influence of added water to the soil is rapidly diluted in the air  
534 at 10-12 meters canopy height. Furthermore, it is crucial to use representative source wa-  
535 ter  $\delta^{18}\text{O}$  values for modeling, particularly considering root distribution and isotopic gra-  
536 dients at different depths (Saurer et al. 2016; Treydte et al. 2014). Uncertainties regarding  
537 the soil depth from which trees take up water tend to be large. We used  $\delta^{18}\text{O}$  values from  
538 the lower soil depth (10-20 cm), rather than values from the top layer, which were  $^{18}\text{O}$   
539 enriched due to evaporation from the soil surface. However, even when using the topsoil  
540 water values as the source, calculated needle water  $^{18}\text{O}$  enrichment of the drought-stressed  
541 trees was still lower than the one of the irrigated trees. In addition, the  $\delta^{18}\text{O}$  soil water  
542 values down to 80 cm determined from soil excavation did not show strong deviations

543 compared to those from 20 cm, suggesting that the source water values we used are likely  
544 to be appropriate.

545 Overall, the irrigation experiment enabled us to disentangle the effects of drought on  
546 needle-level oxygen isotope fractionation in mature trees, and we captured the important  
547 seasonal driving factors of needle water isotopic fractionation at our study site. This is an  
548 important prerequisite for understanding the tree-ring  $\delta^{18}\text{O}$  values.

549

#### 550 *Tree-ring $\delta^{18}\text{O}$ variations in living and now-dead trees*

551 The tree-ring  $\delta^{18}\text{O}$  values of the individual living and now-dead trees as well as the means  
552 of the two groups featured very strong common variability (Figs. 4a, S3, S4). Our corre-  
553 lation analysis suggested that mainly spring mean temperature and VPD were responsible  
554 for the common  $\delta^{18}\text{O}$  variations of both groups, consistent with earlier studies (Giuggiola  
555 et al. 2016; Treydte et al. 2014; Treydte et al. 2007). This can be explained by the effect  
556 of high temperatures on the isotope ratios of precipitation and source water (Dansgaard  
557 1964), and by the higher foliar water  $^{18}\text{O}$  enrichment under dry conditions (Roden and  
558 Ehleringer 1999), in general agreement with the factors known to influence oxygen iso-  
559 tope fractionations (Eq. 8). It is also consistent with the occurrence of frequent droughts  
560 in the studied region (Bigler et al. 2006; Rigling et al. 2013). However, dead trees were  
561 more depleted in  $\delta^{18}\text{O}$  compared to the surviving trees after the 1970s (Fig. 4b). This  
562 indicates that climate alone cannot explain the isotope variability, but some site-specific  
563 soil or plant physiological differences must exist between the tree groups. The results  
564 from our seasonal water samples suggested that  $^{18}\text{O}$  enrichment in drought-stressed trees  
565 was generally lower compared to the irrigated trees, owing to changes in needle morphol-  
566 ogy. This fits well to the lower tree-ring isotope values of the now-dead (i.e., more  
567 stressed) trees compared to the survivors. The lower needle water  $^{18}\text{O}$  enrichment was  
568 related to higher effective path length  $L$  in drought-stressed trees and it could therefore  
569 be possible that such a signal is recorded in the tree-rings. This hypothesis will be further  
570 discussed in the modelling section below.

571 However, a direct mechanistic link between needle-level and stem-level signals is diffi-  
572 cult to establish. Lower tree-ring  $\delta^{18}\text{O}$  values in declining trees could also be related to  
573 seasonal differences in the use of carbohydrates (Sarris et al. 2013). Drought-stressed  
574 trees react more sensitively to favorable spring and early summer environmental condi-  
575 tions and therefore rely more on isotopically depleted source water from winter and

576 spring. Tree rings may therefore reflect the isotopic signature of carbohydrates produced  
577 during the earlier part of the growing season rather than during very dry conditions in  
578 summer (Pflug et al. 2015; Sarris et al. 2013). Furthermore, lower tree-ring  $\delta^{18}\text{O}$  values  
579 in stressed trees may be related to different rooting patterns and associated changes in  
580 depth of the water source compared to more healthy trees (Brinkmann et al. 2019;  
581 Volkman et al. 2016). However, this is unlikely at our site, as the lower values would  
582 imply deeper roots of the later dying trees. This would be unexpected for already weak-  
583 ened trees.

584 Long-term differences in gas-exchange between dying and surviving trees are indicated  
585 by a tree-ring study based on carbon isotopes at the same site (Timofeeva et al. 2017).  
586 The authors found that individuals with the most isohydric strategy were most prone to  
587 suffer as a result of long-term reduced carbon uptake (Timofeeva et al. 2017). As atmos-  
588 pheric moisture demand has been increasing during recent decades due to higher temper-  
589 atures and more frequent drought (Rebetez and Dobbertin 2004), Scots pine trees tended  
590 to close their stomata and strongly reduced transpiration – potentially already for many  
591 decades at our study site. This does, however, not need to be the case on the verge of  
592 death, as dying Scots pines in Spain transpired even more than healthy individuals  
593 (Salmon et al. 2015). More insights into causes of the tree-ring isotope variations could  
594 be expected by the use of the isotope fractionation model.

595

596

### 597 *Tree-ring isotope model*

598 We applied isotope fractionation models only over the period where isotope data of pre-  
599 cipitation were available (1972-2014). Nevertheless, the availability of such a long pre-  
600 cipitation  $\delta^{18}\text{O}$  record is precious and restricted to only a few sites globally. Modeling of  
601  $\delta^{18}\text{O}$  variations in tree-ring cellulose is more challenging (Roden et al. 2000; Saurer et al.  
602 2012; Treydte et al. 2014) than modeling of changes in leaf/needle water  $\delta^{18}\text{O}$  values  
603 (Barbour 2007; Cernusak et al. 2016). Besides the problem of the unknown source water  
604 isotope variability, including uncertainty about the seasonal distribution of precipitation  
605 in the soil, the transfer of the isotope signal from leaf water to organic compounds in  
606 leaves such as sucrose and later cellulose is complex and involves isotope fractionation  
607 at various steps (Gessler et al. 2014; Treydte et al. 2014). Fractionations may occur be-  
608 cause of the use of stored carbohydrates or during phloem loading and transport, but the

609 strongest modifications are observed during cellulose formation due to exchange of car-  
610 bonyl groups with xylem water, thus diluting the leaf isotope signal (Lehmann et al. 2017;  
611 Sternberg et al. 1986). Therefore, previous studies faced challenges in extracting a leaf  
612 physiological signal from tree-ring cellulose/wood  $\delta^{18}\text{O}$  variations (Ogée et al. 2009;  
613 Treydte et al. 2014).

614 In our study, tree-ring cellulose  $\delta^{18}\text{O}$  values estimated with the basic CG model were too  
615 high. However, applying the full model with an optimized Péclet path length (L) correc-  
616 tion for each group of trees (Eq. 8) strongly improved the agreement between data and  
617 model. The L values obtained were higher for the later dying trees (L = 0.48 m) compared  
618 to surviving trees (L = 0.16 m), consistent with lower transpiration rates for the more  
619 stressed trees. These values are in similar range as those obtained from modeling the sea-  
620 sonal needle data (L = 0.32 m vs. 0.05 m). However, other model parameters could also  
621 differ between the groups of trees, notably the proportion  $x$  of isotope exchange with  
622 xylem water during cellulose formation (Cheesman and Cernusak 2017; Song et al. 2014).  
623 In a study with eucalyptus trees in Australia,  $x$  was estimated to range from 0.21 to 0.68  
624 and increase with increasing site aridity (Cheesman and Cernusak 2017). Based on a func-  
625 tional link between  $x$  and the turnover of non-structural carbohydrates (Song et al. 2014),  
626 this would suggest high cycling of triose phosphates in stem tissues in dry environments,  
627 although in Song et al. (2014) the change of  $x$  with precipitation amount was not signifi-  
628 cant. In our study, we find relatively low values, which are, however, not far from the  
629 generally assumed  $x=0.42$  for heterotrophic cellulose synthesis from sucrose. Values are  
630 slightly higher for later dying, i.e. more stressed trees (0.31 to 0.43) compared to living  
631 trees (0.24 to 0.37), which would be consistent with a higher turnover of non-structural  
632 carbohydrates in stem tissues. This makes sense in a carbon-limited situation as a small  
633 sucrose pool is turned over quickly during cellulose synthesis with less opportunity for  
634 hexose phosphates to cycle through triose.

635

## 636 **Conclusions**

637 A combination of observed data and model simulations enabled us to derive valuable  
638 information on past tree physiological changes at our site. Interestingly, lower  $\delta^{18}\text{O}$  values  
639 were also observed for declining Norway spruce (*Picea abies* L. Karst.) at two sites in  
640 Norway (Hentschel et al. 2014). In this study, the authors concluded that such behavior

641 may be due to changes in anatomical and physiological traits of trees under drought con-  
642 ditions, which could be examined to infer the risk of future tree decline. Therefore, such  
643 changes and related isotope traces under drought could be common for conifers, and  $\delta^{18}\text{O}$   
644 may be helpful for estimating the ‘health status’ of trees, particularly in combination with  
645  $\delta^{13}\text{C}$  (Gessler et al. 2018; Scheidegger et al. 2000). Our results are among the first demon-  
646 strating needle-level changes in  $^{18}\text{O}$  enrichment of trees exposed to long-term drought  
647 could be reflected in the  $\delta^{18}\text{O}$ -variations of tree rings. This method can potentially be  
648 applied either for retrospectively analyzing past tree-physiological changes, or even to  
649 predict decline and/or mortality of vulnerable trees.

650

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667

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**Table 1.** Transpiration rates for the period of 2013-2014. Numbers are mean values of four trees  $\pm$  standard deviation. NA – not available (only one tree measured).

Date	Transpiration rate ( $\text{mmol m}^{-2} \text{s}^{-1}$ )	
	Drought-stressed	Irrigated
18.06. 2013	$0.35 \pm 0.08$	$0.36 \pm 0.17$
06.05.2014	$0.22 \pm 0.07$	$1.01 \pm \text{NA}$
03.06.2014	$0.12 \pm 0.05$	$0.21 \pm 0.05$
2013-2014	$0.24 \pm 0.12$	$0.43 \pm 0.34$

**Table 2.** Needle length data determined during summer 2013 and 2014. Numbers are mean values ( $\pm$  standard deviation) of 10 drought-stressed and 12 irrigated trees.

Date	Needle length (mm)	
	Drought-stressed	Irrigated
2013	38.77 $\pm$ 7.61	48.24 $\pm$ 7.27
2014	30.57 $\pm$ 8.57	40.38 $\pm$ 8.45
2013-2014	34.67 $\pm$ 8.94	44.31 $\pm$ 8.69

**Table 3.** Average cross-sectional areas of different tissues ( $\pm$ standard deviation) for both treatments and two needle generations as well as the proportion of isotopically non-enriched tissue. Non-enriched is defined as the sum of endodermis, xylem, phloem and transfusion tissues.

Treatment	needle generation	Epidermis + hypodermis		resin ducts	mesophyll	Endodermis	Transfusion tissues	Phloem	Xylem	=non-enriched/total
		needle (mm <sup>2</sup> )	(mm <sup>2</sup> )							
Drought-stressed	2013	0.868	0.128	0.091	0.415	0.040	0.166	0.020	0.008	0.269
		$\pm 0.137$	$\pm 0.016$	$\pm 0.017$	$\pm 0.067$	$\pm 0.007$	$\pm 0.036$	$\pm 0.004$	$\pm 0.002$	$\pm 0.015$
Irrigated	2013	0.900	0.132	0.097	0.417	0.040	0.180	0.024	0.012	0.283
		$\pm 0.187$	$\pm 0.019$	$\pm 0.026$	$\pm 0.095$	$\pm 0.006$	$\pm 0.042$	$\pm 0.007$	$\pm 0.004$	$\pm 0.013$
Drought-stressed	2014	0.694	0.110	0.074	0.322	0.031	0.138	0.013	0.006	0.271
		$\pm 0.131$	$\pm 0.017$	$\pm 0.015$	$\pm 0.065$	$\pm 0.005$	$\pm 0.031$	$\pm 0.003$	$\pm 0.002$	$\pm 0.014$
Irrigated	2014	0.810	0.121	0.088	0.373	0.036	0.166	0.016	0.010	0.281
		$\pm 0.211$	$\pm 0.023$	$\pm 0.026$	$\pm 0.106$	$\pm 0.007$	$\pm 0.049$	$\pm 0.005$	$\pm 0.003$	$\pm 0.012$

**Table 4.** List of parameters and leaf water model results calculated as average of all sampling days during the growing seasons (omitting Oct-Feb data). The equilibrium fractionation  $\varepsilon+$  is calculated according to Eq. 3, the diffusivity  $D$  according to Cuntz et al. (2007), the path length  $L$  obtained through optimization (see text for details), the Péclet number  $\wp$  calculated with Eq. 4, the proportion of isotopically non-enriched tissue  $\varphi$  determined from anatomical measurements.  $\Delta^{18}\text{O}_N$  is the measured difference between  $\delta^{18}\text{O}_N$  and  $\delta^{18}\text{O}_{\text{soil } 20 \text{ cm}}$ ,  $\Delta^{18}\text{O}_{\text{CG}}$  calculated according to Eq. 2 and  $\Delta^{18}\text{O}_{\text{full model}}$  according to Eq. 7.

Parameter	drought-stressed	irrigated
$\varepsilon+$ (‰)	8.94	8.82
$\varepsilon_k$ (‰)	28	28
$D$ ( $\text{m}^2\text{s}^{-1}$ )	2.53e-09	2.62e-09
$L$ (m)	0.32	0.05
$E$ ( $\text{mmol m}^{-2} \text{s}^{-1}$ )	0.28	0.55
$EL$ ( $\text{mmol m}^{-1} \text{s}^{-1}$ )	0.09	0.03
$\wp$	0.63	0.19
$\varphi$	0.27	0.28
$\delta^{18}\text{O}_{\text{soil } 20\text{cm}}$ (‰)	-6.84	-9.43
$\delta^{18}\text{O}_N$ (‰)	5.99	6.90
$\Delta^{18}\text{O}_N$ (‰)	12.83	16.33
$\Delta^{18}\text{O}_{\text{CG}}$ (‰)	23.31	24.76
$\Delta^{18}\text{O}_{\text{full model}}$ (‰)	12.62	16.15

## Figure captions

Fig. 1. Seasonal variations in  $\delta^{18}\text{O}$  of needle water (a), soil water at 0-10 cm (b) and at 10-20 cm (c) shown for drought-stressed and ambient plots. Isotope values of channel water used for irrigation are displayed as stars (b, c).

Fig. 2. Seasonal changes in needle water  $^{18}\text{O}$  enrichment ( $\Delta^{18}\text{O}$ ) calculated as difference between needle water  $\delta^{18}\text{O}$  and soil water at 10-20 cm.

Fig. 3. Relationship between measured and predicted needle water  $^{18}\text{O}$  enrichment using the basic Craig-Gordon model (Eq. 2, a) and the full model with the combined Péclet- and two-pool correction (Eq. 7, b). Regression lines and equations are also indicated. The dashed lines show 95% confidence intervals.

Fig. 4. Tree-ring  $\delta^{18}\text{O}$  chronologies of living and now-dead trees (a) and their differences (b). The dashed lines indicate the mean of the differences between dead and living for 1900-1959 and 1960-2005, respectively. Note: the chronology of the dead trees covers the period 1900-2005.

Fig. 5. Pearson's correlation coefficients between  $\delta^{18}\text{O}$  chronologies and climate variables (T – mean temperature, P – precipitation amount, SPEI – standardized precipitation-evapotranspiration index (3m = 3 months, 6m = 6 months), RH – relative humidity, VPD – vapour pressure deficit) for the period of 1960-2003 for spring (March-May; a) and summer (June to August; b). White bars refer to living trees and black bars to dead trees. Significant correlations are marked by \* ( $P < 0.05$ ) and \*\* ( $P < 0.01$ ).

Fig. 6. Time series of observed (living/now-dead) and predicted tree-ring cellulose  $\delta^{18}\text{O}$  values using the basic and the full CG-model with the Péclet and two-pool corrections (Eq. 8). The effect of varying L in the full model is also shown.



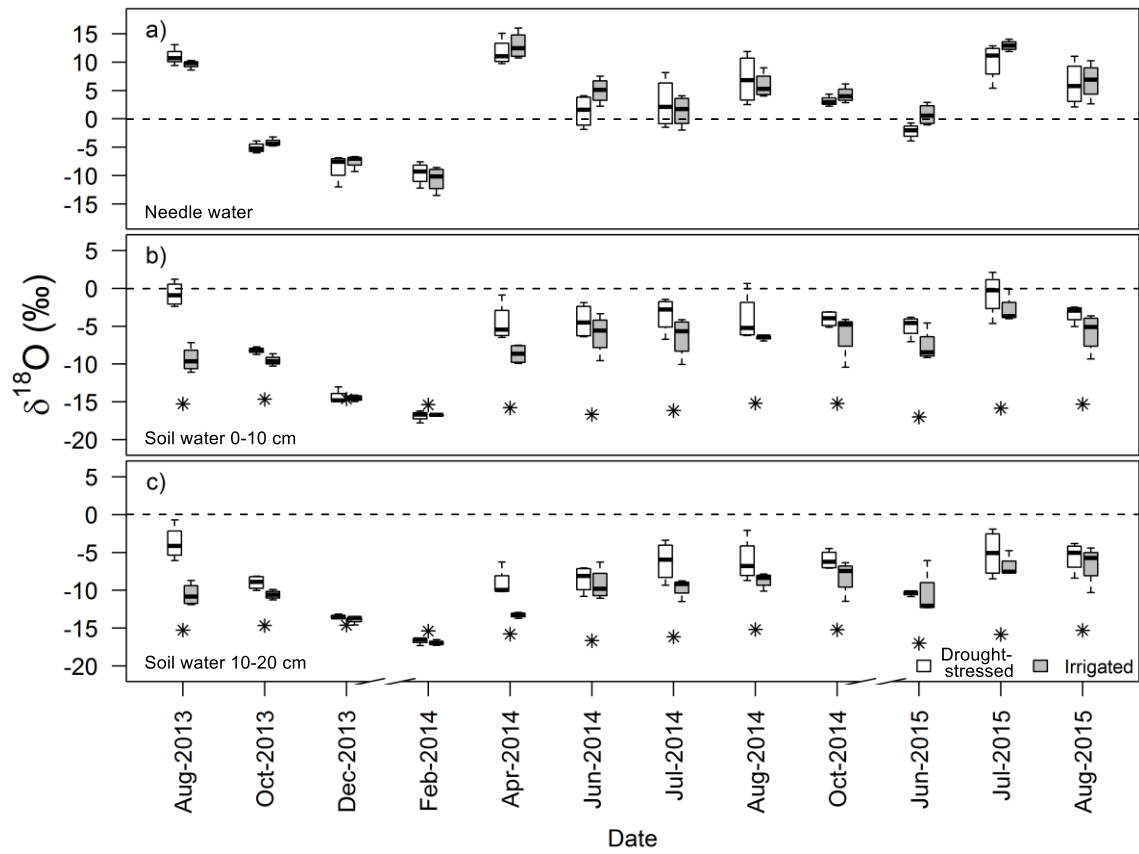


Figure 1.

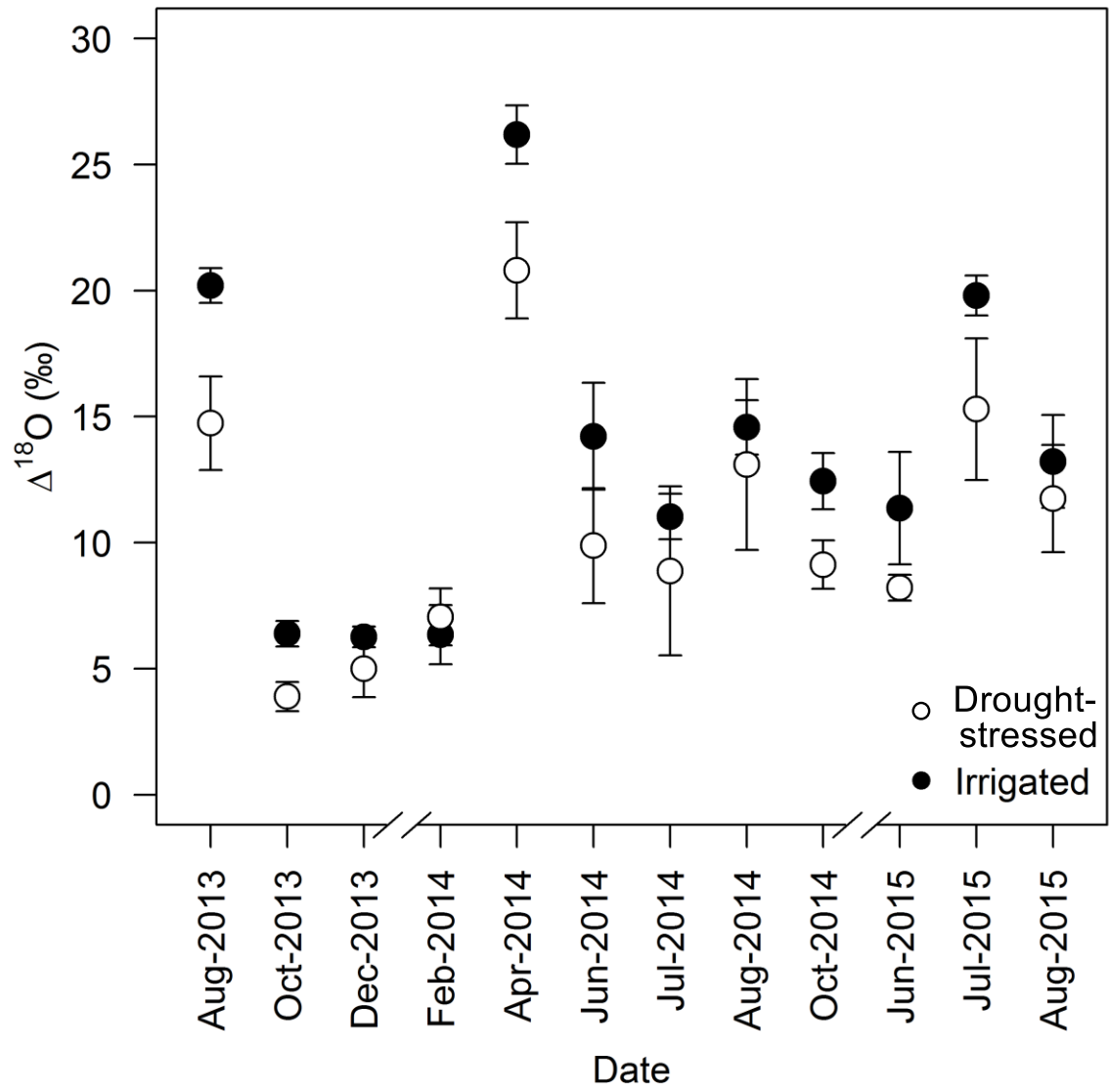


Figure 2.

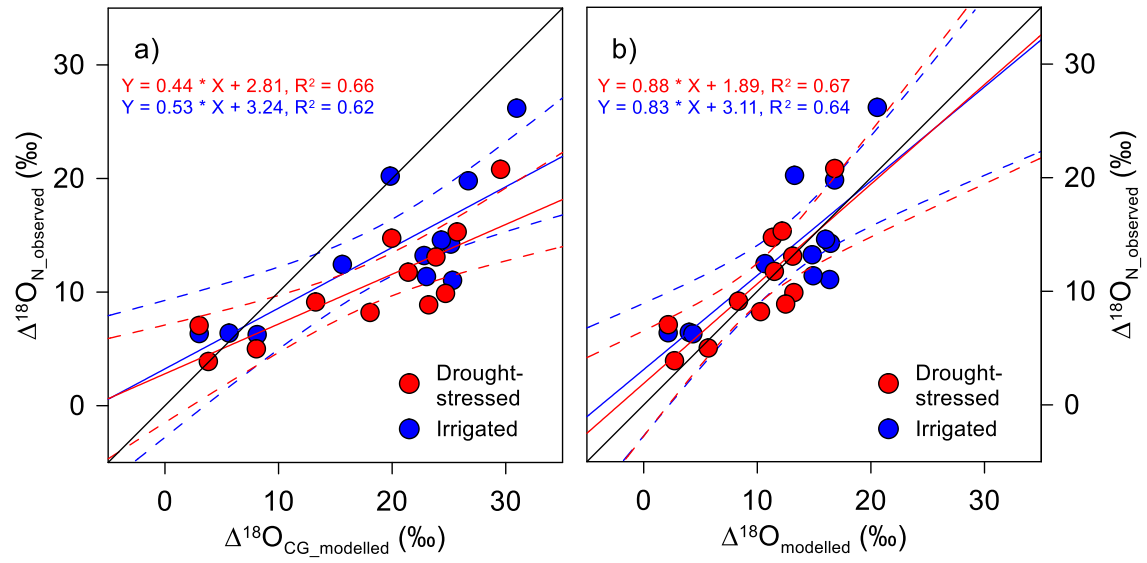


Figure 3.

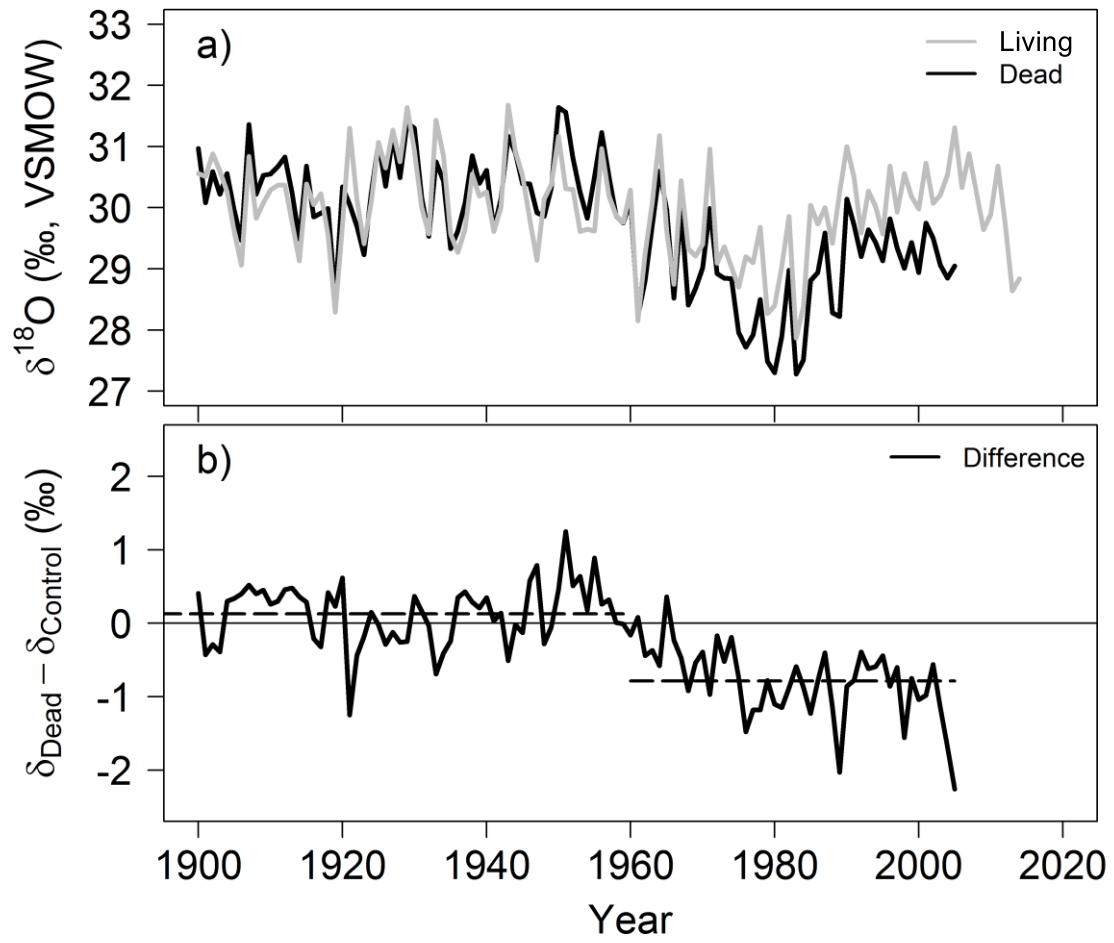


Figure 4.

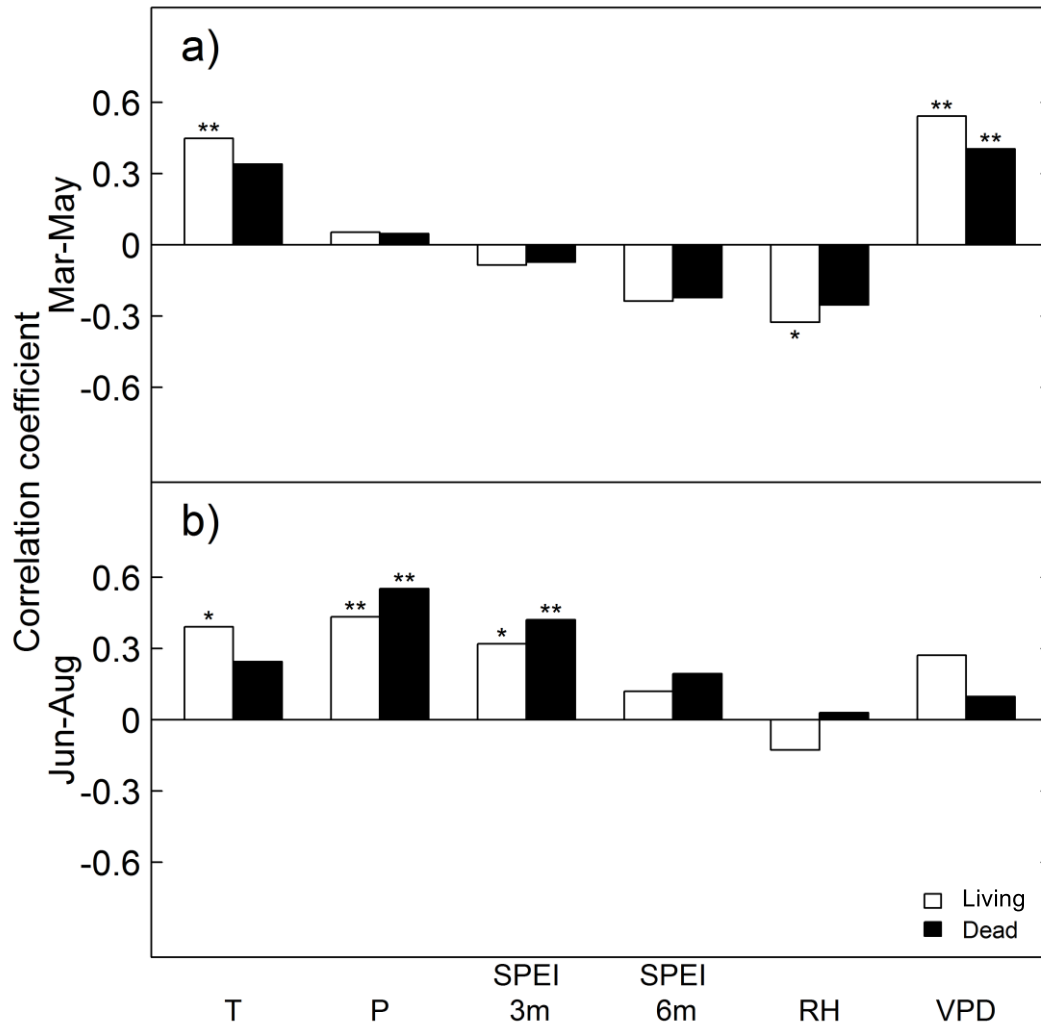


Figure 5.

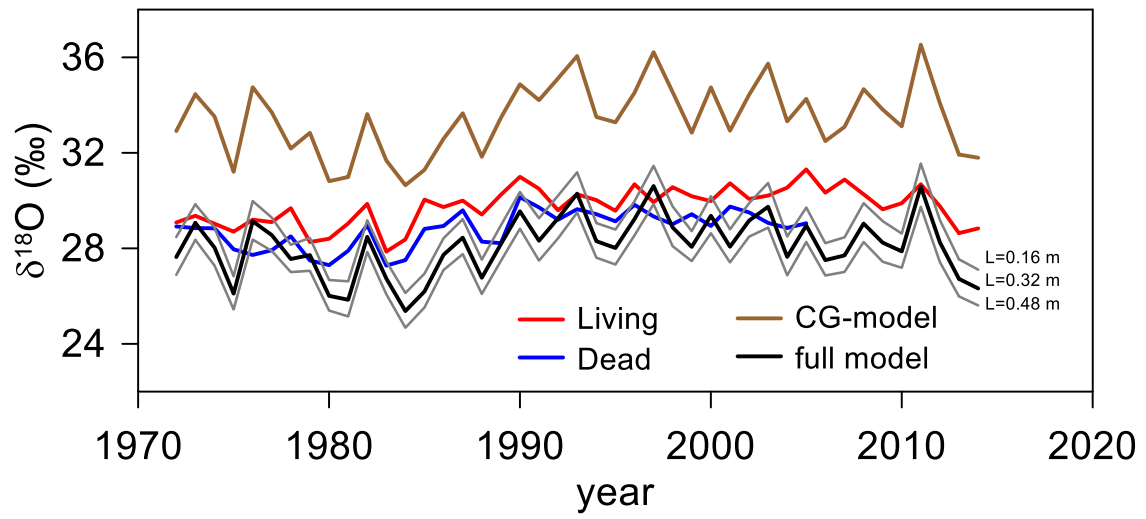


Figure 6.