

# Brown carbon in primary and aged coal combustion emission

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## ABSTRACT

Smog chamber experiments were conducted to characterize the light absorption of brown carbon (BrC) from primary and photochemically aged coal combustion emissions. Light absorption was measured by UV-Vis spectrophotometric analysis of water and methanol extracts of filter samples. The single scattering albedo at 450 nm was  $0.73 \pm 0.10$  for primary emissions and  $0.75 \pm 0.13$  for aged emissions. The light absorption coefficient at 365 nm of methanol extracts was higher than that of water extracts by a factor of 10 for primary emissions, and a factor of 7 for aged emissions. This suggests that the majority of BrC is water-insoluble even after aging. The mass absorption efficiency of this BrC ( $\text{MAE}_{365}$ ) for primary OA was dependent on combustion conditions, with an average of  $0.84 \pm 0.54 \text{ m}^2 \text{ g}^{-1}$  which is significantly higher than that for aged OA ( $0.24 \pm 0.18 \text{ m}^2 \text{ g}^{-1}$ ). Secondary OA (SOA) dominated aged OA and the decreased  $\text{MAE}_{365}$  after aging indicates that SOA is less light-absorbing than POA and/or that BrC is bleached (oxidized) with aging. The estimated  $\text{MAE}_{365}$  of SOA ( $0.14 \pm 0.08 \text{ m}^2 \text{ g}^{-1}$ ) was much lower than that of POA. Comparison of  $\text{MAE}_{365}$  of residential coal combustion with other anthropogenic sources suggests that residential coal combustion emissions are amongst the strongest absorbing BrC organics.

**Keywords:** Light absorption efficiency, UV-Vis spectrophotometric analysis, optical property, secondary organic aerosol, smog chamber

## 1 INTRODUCTION

Atmospheric aerosol affects the Earth's radiative balance by absorbing or scattering light and therefore warms or cools the atmosphere. Black carbon (BC) has been demonstrated to be one of the main light-absorbing components, while organic aerosol (OA) has long been thought to be a purely light-scattering component. However, a growing number of measurements have shown the existence of a fraction of OA with light absorbing properties, referred to as brown carbon (BrC).<sup>1-3</sup> BrC absorbs radiation mainly in the near UV and shorter visible wavelength range.<sup>4-5</sup> The reported direct radiative effect of BrC varies significantly (e.g., +0.1 to +0.6 W m<sup>-2</sup>), and considerable uncertainties are associated with the still poor understanding of the optical properties and atmospheric evolution of BrC.<sup>6-11</sup>

Atmospheric BrC has both primary and secondary sources. Primary BrC is emitted directly from biomass burning and fossil fuel combustion.<sup>12-16</sup> The light absorption properties of primary BrC, however, vary considerably with combustion conditions and fuel types.<sup>16-18</sup> Secondary BrC is formed in the atmosphere through oxidation and aging processes (Laskin et al.<sup>5</sup> and references therein), which has been demonstrated in laboratory studies and often involves nitrogen-containing compounds.<sup>19-20</sup> The light absorption of BrC can be further modified through atmospheric processing. For example, the optical properties of BrC change with the hydroxyl radical (OH) exposure of the emissions. The formation and condensation of secondary OA (SOA) on the particles might add additional BrC. On the other hand, the chemical composition and optical properties of the existing BrC might be changed by e.g., heterogeneous reactions in the aerosol phase, leading to bleaching.<sup>20-22</sup>

Recent studies of BrC emissions have mainly focused on biomass burning,<sup>1-2,13-14,23-24</sup> which produces a large amount of BrC relative to on-road vehicles.<sup>2</sup> A few studies have examined BrC

from fossil fuel sources such as vehicles<sup>2,16</sup> and coal combustion<sup>25-27</sup>, focusing solely on primary emissions. Coal combustion is a particularly important pollution source of OA in China<sup>28-29</sup>, India<sup>30</sup> and some regions in Europe (e.g., Poland<sup>31</sup> and Ireland<sup>32</sup>). In China, coal consumption reached 4000 Tg in 2015, 93.5 Tg of which was combusted in residential sector.<sup>33</sup> A few very recent studies have found that coal combustion might also be an important source of BrC in China, especially during the heating season.<sup>34-35</sup> However, our knowledge of BrC from coal combustion is still very limited, particularly with regard to its atmospheric evolution, which hinders the quantitative assessment of the climate effects of coal combustion OA and accurate modeling of aerosol radiative forcing. In this study, we present for the first time the light absorption properties of BrC from both primary and aged coal combustion emissions based on controlled smog chamber experiments. This study discusses the effects of coal types, combustion conditions and for the first time the effects of photochemical oxidation on the optical properties of BrC from coal combustion.

## **2 METHODS**

### **2.1 Experimental setup**

Five coals were collected from major coal producing areas in China, including bituminous coals from Ningxia Province (B1), Inner Mongolia (B2) and Yunnan Province (B3) and anthracite coals from Shanxi Province (A1) and Shaanxi Province (A2; Table S1). The stove used (51 cm × 31 cm, height × diameter) is a typical Chinese coal burner and is described in Text S1.

Figure S1 shows the experimental setup. Emissions were generated by burning batches of 200–300 g coal in the stove (see Text S1 for burning procedure). The stove was situated in a container connected to a chimney. Emissions were sampled from the chimney with a flow rate of about 1.5 L min<sup>-1</sup> through a heated (180 °C) silco steel line by either one or two ejector dilutors in series (Dekati Ltd., Kangasala, Finland) to dilute the direct exhaust with zero air (737-250 series,

AADCO Instruments, Inc), and then injected into a pre-cleaned Teflon smog chamber (7 m<sup>3</sup>; described in Text S2 and elsewhere<sup>36-38</sup>), which provided an additional dilution. One ejector dilutor with a dilution ratio of 1:8–1:10 was used for anthracite coals and two ejector dilutors in series (dilution ratio of 1:64 to 1:100) were used for bituminous coals, due to their considerable higher emissions than anthracite coals.

Emission injection into the chamber began from ignition and lasted for 30–50 min until coal fire emissions vanished. After injection and stabilization of the primary emissions, nitrous acid (HONO)<sup>39</sup> was continuously injected into the chamber to provide OH radicals through irradiation. Then, 1 µL of deuterated butanol-D9 (98 %, Cambridge Isotope Laboratories) was injected to monitor the OH exposure.<sup>40</sup> Emissions were aged with UV lights for 4–6 hr. Aging is equivalent to 0.5–1.7 days of OH-driven photochemistry under atmospheric concentrations (OH = 2×10<sup>6</sup> molecule cm<sup>-3</sup>). Control experiments (i.e., experiments performed analogous to the emissions aging, but without injecting emissions in the smog chamber) were conducted regularly to estimate the background. For each burning experiment and control experiment, primary and aged particles from the smog chamber were collected on quartz fiber filters (47 mm diameter, Pall Corporation; 20 L min<sup>-1</sup>) for 20–30 min for offline UV-Vis measurements (Table S2). A charcoal denuder was installed upstream of the filter sampler to remove organic gases. The collected filters were immediately stored at -20 °C until analysis.

A set of online instruments was connected to the smog chamber to characterize the particle phase before and after UV light on. The concentration of butanol-D9 was monitored by a proton transfer reaction time-of-flight mass spectrometer (PTR-TOF-MS, Ionicon Analytik).<sup>41</sup> The decay of butanol-D9 was used to infer the time-integrated OH.<sup>40</sup> A high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS, Aerodyne Research Inc.) equipped with a PM<sub>2.5</sub> aerodynamic

lens<sup>42</sup> was used to measure the non-refractory particle phase composition.<sup>43</sup> A collection efficiency of 1 was applied to the HR-ToF-AMS data. Equivalent black carbon (eBC) measurements (Text S3) were conducted with an Aethalometer (Model AE33, Magee Scientific).<sup>44</sup> Single scattering albedo (SSA) measurements were obtained with three CAPS PM<sub>SSA</sub> monitors operating at 450 nm, 630 nm, and 780 nm (Aerodyne Research Inc.). The three instruments reported similar trends in SSA. Figure S2 illustrates the dynamic change of eBC and OA concentrations for experiment B1-03 of coal B1. Before lights on, the eBC and OA concentrations decreased due to wall loss. The primary particles offered a sufficiently large condensation sink. When the lights were turned on and primary coal combustion exhaust was exposed to atmospheric oxidants, the SOA formed via oxidation of precursor gases condensed on existing particles.<sup>45-46</sup> A rapid increase in OA concentrations from SOA formation was observed due to the SOA production rate exceeding the wall loss. From the third hour, the increase rate of OA concentrations slowed down as the SOA production rate became smaller and the wall loss rate started to dominate.

## **2.2 Off-line UV-Vis measurements and light absorption characterization**

Water and methanol extracts of each filter sample were prepared for the UV-Vis measurements (Text S4). Light absorption spectra of the liquid extracts were measured over the wavelength range of 280–500 nm using a UV-Visible spectrophotometer (Ocean Optics, Dunedin, FL) coupled to a 50 cm long-path detection cell.<sup>3,23,47</sup> The recorded wavelength-dependent attenuation was corrected for background signal and was converted to the absorption coefficient of the diluted solution at a given wavelength  $\lambda$  ( $b_{\text{abs},\lambda}$  in  $\text{Mm}^{-1}$ ; Eq. S1). The  $b_{\text{abs},\lambda}$  of aging blanks collected during control experiments was comparable to that of blank filters collected from the cleaned chamber. Therefore, the  $b_{\text{abs},\lambda}$  of blanks were averaged and subtracted from the  $b_{\text{abs},\lambda}$  of both primary and aged aerosol for blank correction (Figs. S3 and S4).

From  $b_{abs,\lambda}$ , the mass absorption efficiency of the solubilized OA faction (MAE in  $\text{m}^2 \text{g}^{-1}$ ) can be quantified as Eq. (1). Here, the solution MAE is different from the widely known term “mass absorption cross-section (MAC)”, which refers to particles in the air.

$$\text{MAE}_\lambda = \frac{b_{abs,\lambda}}{C_{OA}} \quad (1)$$

where  $C_{OA}$  is the mass concentration of extracted organics ( $\mu\text{g m}^{-3}$ ) and can be expressed as:

$$C_{OA} = \frac{EE \times M_{OA}}{V_{air}} \quad (2)$$

where  $EE$  denotes the OA extraction efficiency, and  $M_{OA}$  the total OA mass on the filter.  $M_{OA}$  was calculated by integration of the OA mass concentrations measured by the AMS times the filter sampling flow rate over the corresponding sampling period of the filter (Table S3).  $EE$  could not be measured directly. Based on previous work<sup>1, 48</sup>, we assume an  $EE$  for methanol of unity in the following discussion. Since  $b_{abs,\lambda}$  was determined from the particles collected on the filters and  $C_{OA}$  was measured online during the filter collection time, no wall loss correction was applied to either  $b_{abs,\lambda}$  or  $C_{OA}$  for consistency. If particles with different sizes have both different MAE and different wall loss rates, this could lead to changes in MAE with time that are not related to emission aging. However, this should have limited influence on the MAE, as a very recent study showed that particle size distribution and light absorption did not change due to particle wall loss in a similar chamber experiment.<sup>49</sup>

The solution absorption Ångström exponent (AAE, where  $b_{abs,\lambda} \propto \lambda^{-AAE}$ ) is a measure of the absorption wavelength dependence, and is determined by applying a linear regression fit to the logarithms of  $b_{abs,\lambda}$  and wavelength. The applicable range of the fit (300–500 nm for methanol

extracts and 300–400 nm for water extracts) was determined by the linear region of  $b_{abs,\lambda}$  and wavelength on log-log plots.

### 2.3 Determination of $b_{abs}$ and MAE of SOA

The aged OA in this study includes both POA and SOA, thus  $b_{abs}$  of SOA ( $b_{abs,SOA}$ ) can be calculated from  $b_{abs}$  of aged OA and POA in the aged aerosol:

$$b_{abs,SOA}(t) = b_{abs,aged\ OA}(t) - b_{abs,POA}(t), \quad (3)$$

where  $t$  is the time period during which the filters of the aged aerosol were collected.  $b_{abs,aged\ OA}(t)$  is directly measured with uncertainties derived from Eq.(S3) in Text S5;  $b_{abs,POA}(t)$  is estimated by:

$$b_{abs,POA}(t) = MAE_{POA} \times POA(t) \quad (4)$$

$POA(t)$  can be inferred from the initial OA mass concentrations in the primary emissions, assuming that the POA and BC are lost to the walls at equal rate:

$$POA(t) = OA(t_0) \times \exp\left(\frac{-(t-t_0)}{\tau}\right) \quad (5)$$

where  $OA(t_0)$  is the mass concentration of OA measured by the AMS at  $t_0$  before aging.  $\tau$  is the wall loss constant, determined using a fitted eBC concentration. Two different fits were used, one before lights on when no change in light absorption from particle coating is expected ( $\tau = 2.6 \pm 0.4$ ), and the other at the end of the experiment when particle growth is negligible ( $\tau = 3.7 \pm 1.0$ ).

The average of  $\tau$  from the two fits was chosen as best estimate.

The MAE and mass of SOA in the aged emissions can be formulated as:

$$MAE_{SOA}(t) = \frac{MAE_{aged\ OA}(t) - MAE_{POA} \times (1 - SOA(t)/OA(t))}{SOA(t)/OA(t)} \quad (6)$$

$$SOA(t) = OA(t) - POA(t) \quad (7)$$



The  $MAE_{POA}$  is assumed to be constant during the aging experiment, meaning that the effect of photochemical aging on the light absorption of POA is neglected. However, it is possible that chemical transformation of POA occurs, resulting in an altered mass and/or MAE of POA during aging. If any changes of  $MAE_{POA}$  occur, this results in a changed estimate of the MAE of SOA. The sensitivity of  $MAE_{SOA}$  to hypothetical changes in  $MAE_{POA}$  by POA aging is shown in the supplemental material (Fig. S5) and is small, except for experiments B3-10 and B3-12. Due to the small contribution of POA to the aged OA, the  $SOA(t)/OA(t)$  and  $MAE_{SOA}$  is not sensitive to a possible decrease in POA mass due to aging (Text S6; Fig. S6). In general, moderate changes in mass and MAE of POA during aging will not affect our conclusion that  $MAE_{SOA}$  is much smaller than  $MAE_{POA}$ . Uncertainties of  $MAE_{SOA}$  and  $SOA(t)$  were propagated from  $OA(t_0)$ ,  $OA(t)$ ,  $MAE_{aged\ OA}$  and  $MAE_{POA}$  through Eq. (5–7).

### 3 RESULTS AND DISCUSSION

#### 3.1 Differences between the $b_{abs}$ of water and methanol extracts

Figure 1 compares  $b_{abs}$  of methanol and water extracts from the primary and aged emissions of experiment B1-01 (coal B1, Table S2), as a typical example for emissions from bituminous coal. Note that for anthracite coals (coal A1 and A2) the  $b_{abs}$  of BrC from both primary and aged emissions was below or very close to the blank signal (Fig. S3), thus the samples from the anthracite coals are excluded in the discussion below. Anthracite coal is much cleaner than bituminous coal, as observed in our companion work on both gas- and particle-phase emissions.<sup>41,50</sup> Light absorption of the water and methanol extracts for both primary and aged BrC shows a characteristic wavelength dependence, decreasing with increasing wavelength from the ultraviolet to visible range.<sup>1-2,51-52</sup> Absorption of the methanol extracts decreases more slowly with wavelength compared to that of water extracts, leading to a lower AAE of the methanol extracts

than for the water extracts (Fig. 1). This is consistent with previous studies for both ambient observations<sup>48,53-54</sup> and source emissions<sup>1,16</sup>, and could be attributed to the presence of higher molecular weight chromophores which are exclusively dissolved in methanol and absorb light at longer wavelengths.<sup>1,53-54</sup>

For the same (primary or aged) filter samples,  $b_{\text{abs}}$  of the methanol extract is always larger than that of the water extract over the wavelength of 280–500 nm, because both water-soluble and water-insoluble organic compounds can be extracted by methanol.<sup>1,35,48</sup> For all the bituminous coals (coal B1, B2 and B3), the  $b_{\text{abs}}$  at 365 nm of the methanol extracts was on average 10 and 7 times higher than that of the water extracts for primary and aged emissions, respectively. This suggests that OC extractable by methanol provides a better estimation of the total BrC compared to water-soluble organics. Due to the low light absorbance of water extracts, for some samples data were very noisy (i.e., a low signal-to-noise ratio) at the wavelength  $> 400$  nm. Furthermore, for the water extracts,  $b_{\text{abs}}$  of some coal samples was very close to that of blank filters, leading to large uncertainties in  $b_{\text{abs}}$  after blank correction. Therefore, only the optical properties of methanol-extracted BrC are discussed in the following sections.

## **3.2 MAE of the methanol extracts**

### **3.2.1 MAE of primary emissions**

Figure 2 shows the MAE (i.e., inferred from the  $b_{\text{abs}}$  and the  $C_{\text{OA}}$ ; Eq. 1) of the methanol extracts as a function of wavelength. The  $\text{MAE}_{365}$  (i.e., MAE averaged between 360 nm and 370 nm) is used as a proxy of the concentration of organic chromophore components (or BrC).<sup>5</sup> Considerable variability in  $\text{MAE}_{365}$  of POA ( $\text{MAE}_{\text{POA},365}$ ) was observed for different types of coal and for different experiments of the same type of coal.

Figure 3a shows that the  $MAE_{POA,365}$  of methanol extracts increased with decreasing  $f_{OA}$  mass ratio (i.e.,  $OA/(OA + eBC)$ ) ( $r^2 = 0.84$ ). Therefore,  $MAE_{365}$  of primary BrC from coal combustion is dependent on combustion conditions, which is largely indicated by  $f_{OA}$ .<sup>16</sup>  $f_{OA}$  for smoldering combustion was demonstrated to be higher than for flaming combustion,<sup>55-56</sup> because flaming high temperature combustion favors the formation and emission of BC, and the smoldering combustion produces more incomplete combustion products, resulting in higher OA than BC (thus higher  $f_{OA}$ ). Figure 3a shows very different  $f_{OA}$  even for combustion of the same coal, although we took care to minimize variability in parameters influencing the combustion conditions (e.g., the fuel mass, the size of the coal pieces and the temperature of the smoldering coals used to ignite the sample; Table S2). This variability was not correlated with the duration of the pre-flaming combustion phase, which is defined as the time from introducing the sample coal to the pre-heated stove until the flaming fire begins. The longest pre-flaming phase was three times longer than the shortest. We consider that variability in nature (structure and composition) of the different coal samples was the cause of the observed variability in  $f_{OA}$ . Compared to coal B1 and B2,  $f_{OA}$  for coal B3 was lower (i.e., high BC and low OA fractions) indicating different chemical composition of particle emissions. This is similar to our finding for the composition of gas-phase organics that coal B3 emits ~50% aromatic compounds but much less oxygenated aromatic compounds (10%) than B1 and B2.<sup>41</sup>

The averaged  $MAE_{POA,365}$  was  $1.43 \pm 0.21 \text{ m}^2 \text{ g}^{-1}$  for coal B3,  $0.54 \pm 0.36 \text{ m}^2 \text{ g}^{-1}$  for B2 and  $0.56 \text{ m}^2 \text{ g}^{-1}$  for B1 (Table S4). The higher  $MAE_{POA,365}$  for coal B3 can be expected from its much lower  $f_{OA}$  than B1 and B2 (Fig. 3a). Lower  $f_{OA}$  indicates more BC relative to OA, and more BC is generally associated with high-temperature flaming combustion. Previous studies found that high temperature leads to carbonization rather than pyrolysis of the fuel, resulting in a reduced emission

of volatile carbon compounds and a larger MAE.<sup>1,57</sup> Based on Mie modelling, Saleh et al.<sup>18</sup> also proposed that combustion conditions leading to higher BC fractions, hence lower  $f_{OA}$ , are favorable for formation of large organic compounds that are highly light-absorbing. This trend was also directly observed for methanol extracts of wood-burning OA by Kumar et al.<sup>23</sup>.

### 3.2.2 Differences in MAE between primary and aged aerosols

The optical properties of OA from coal combustion can evolve during aging in the atmosphere. After 4–6 hrs aging, the OA concentrations increased 1.4–11 times (without wall-losses correction) due to SOA formation (Table S3). The MAE of methanol extracts from aged OA ( $MAE_{aged\ OA}$ ) was lower than the  $MAE_{POA}$  over the wavelength of 280–500 nm (Fig. 2). Figure 3b shows  $MAE_{365}$  as a function of OH exposure (aging).  $MAE_{365}$  decreased from 0.56 to 0.14 m<sup>2</sup> g<sup>-1</sup> for coal B1, from  $0.54 \pm 0.36$  to  $0.11 \pm 0.11$  m<sup>2</sup> g<sup>-1</sup> for B2, and from  $1.43 \pm 0.21$  to  $0.38 \pm 0.20$  m<sup>2</sup> g<sup>-1</sup> for B3, from primary to aged aerosols. The decreased MAE with aging suggests either the production of SOA which is less light absorbing than the POA, or the conversion of POA to less absorbing material during aging (“bleaching”, e.g., chemical reactions resulting in the destruction of the chromophores). The decreasing trend of light absorption in aged coal emissions is consistent with previous results of laboratory experiments<sup>18,20,22-24</sup> and field observations<sup>10,21,58</sup> on emissions from biomass burning or laboratory proxies of atmospheric BrC.

The SSA of the primary aerosol at 450 nm and 780 nm did not show a strong dependence on  $f_{OA}$  (Fig. 3c). In all experiment, the SSA at 450 nm for primary emissions was  $0.73 \pm 0.10$ . The SSA did not change significantly due to aging (SSA at 450 nm of aged emissions was  $0.75 \pm 0.13$ ; Fig. 3d), and were low enough for this aerosol to result in a positive forcing on average (e.g.,  $<0.85$ )<sup>59-60</sup>.

### 3.2.3 Wavelength dependence of MAE

The AAE of methanol extracts averaged to  $7.2 \pm 1.25$  (mean  $\pm$  SD) for primary emissions (Fig. 4). Different coal types showed different primary AAE. For primary emissions, AAE of coal B3 ( $6.1 \pm 0.44$ ) was smaller than that of B1 ( $8.0 \pm 1.6$ ) and B2 ( $7.5 \pm 0.12$ ). This could be explained by the lower  $f_{OA}$  of B3 (Table S3), which, to a large extent, is an indicator of combustion condition. Earlier studies found that a lower  $f_{OA}$  is associated with a lower AAE.<sup>17-18,23</sup> Our AAE values are consistent with those reported in Yan et al.<sup>35</sup> and Li et al.<sup>25</sup>, the only two studies available that reported methanol-extracted AAE values ( $7.46 \pm 0.77$  and 7.7–12, respectively) from primary coal combustion emissions.

The AAE of methanol extracts from primary emissions was variable for different coal types (Fig. 4a), but it converges to a much narrower range after aging (Fig. 4b). In general, AAE of methanol extracts from aerosol samples after aging was lower than that from primary emissions, averaging 6.4 for coal B1,  $5.9 \pm 0.8$  for B2, and  $5.5 \pm 0.4$  for B3, respectively. This suggests that SOA formation might change the light absorbing ability of particles (Laskin et al.<sup>5</sup> and references therein).

### 3.3 MAE of SOA

Mass,  $b_{abs}$  and MAE of SOA in aged OA were estimated according to Eq. (3–7) as detailed in Sect. 2.3. SOA dominated the aged OA mass, with SOA(t)/OA(t) ranging from 0.61 to 0.98 with an average of 0.88 during the time period t when the aged aerosols were collected (Fig. 5a). An increase in SOA(t)/OA(t) with increasing aging time and OH exposure was observed for experiments B3-10, B3-12 and B3-13 during which two filters (i.e., referred to as aged OA#1 and aged OA#2; Table S3) were collected at different times after lights on. This shows continuing SOA formation with longer aging times. Both SOA and POA contributed to the overall  $b_{abs,aged\ OA}$ , with

SOA accounting for  $58 \pm 26\%$  of  $b_{\text{abs,aged OA}}$  at 365 nm observed at the chamber(Fig. 5b). Averaged contribution of  $b_{\text{abs,SOA}}$  to  $b_{\text{abs,aged OA}}$  was higher for coal B1 and B2 ( $82 \pm 6\%$ ) than coal B3 ( $34 \pm 7\%$ ), due to higher  $\text{MAE}_{\text{POA}}$  and lower-than-average  $\text{SOA}(\text{t})/\text{OA}(\text{t})$  for coal B3. These SOA contributions to the overall  $b_{\text{abs,aged OA}}$  are at higher estimates, because more POA is lost to the wall than SOA, that only forms later in the experiments.

Figure 5c shows the  $\text{MAE}_{\text{SOA}}$  and  $\text{MAE}_{\text{aged OA}}$  at 365 nm. For coal B1 and B2, the estimated  $\text{MAE}_{\text{SOA},365}$  values ( $0.10 \pm 0.03 \text{ m}^2 \text{ g}^{-1}$ ) were very similar to those of aged OA, since SOA dominated the aged OA mass. Differences between  $\text{MAE}_{\text{SOA},365}$  and  $\text{MAE}_{\text{aged OA},365}$  were larger for coal B3, because POA also contributed significantly to the overall light absorption of BrC in the aged emissions as shown in Fig. 5b. The averaged  $\text{MAE}_{\text{SOA},365}$  for coal B1 and B2 ( $0.10 \pm 0.03 \text{ m}^2 \text{ g}^{-1}$ ) was slightly lower than that for coal B3 ( $0.17 \pm 0.10 \text{ m}^2 \text{ g}^{-1}$ ). The  $\text{MAE}_{\text{SOA},365}$  tended to decrease with increasing  $\text{SOA}(\text{t})/(\text{OA}(\text{t}))$  (Fig. 5d), relating the  $\text{MAE}_{\text{SOA}}$  to the SOA formation.

The  $\text{MAE}_{\text{SOA},365}$  was two to nine times lower than their respective  $\text{MAE}_{\text{POA},365}$  for coal B1 and B2. For coal B3, the averaged  $\text{MAE}_{\text{SOA},365}$  of aged OA#1 ( $0.18 \pm 0.09 \text{ m}^2 \text{ g}^{-1}$ ) was one eighth of the  $\text{MAE}_{\text{POA},365}$ . A further decrease in  $\text{MAE}_{\text{SOA},365}$  of aged OA#2 was observed with increasing OH exposure.  $\text{MAE}_{\text{SOA},365}$  decreases from  $0.20 \pm 0.06 \text{ m}^2 \text{ g}^{-1}$  in aged OA#1 (OH exposure =  $3.7 \times 10^7 \text{ molec cm}^{-3} \text{ h}$ ) to  $0.09 \pm 0.05 \text{ m}^2 \text{ g}^{-1}$  in aged OA#2 ( $4.3 \times 10^7 \text{ molec cm}^{-3} \text{ h}$ ) for experiment B3-10, and from  $0.3 \pm 0.14 \text{ m}^2 \text{ g}^{-1}$  in aged OA#1 ( $3.2 \times 10^7 \text{ molec cm}^{-3} \text{ h}$ ) to  $0.23 \pm 0.08 \text{ m}^2 \text{ g}^{-1}$  in aged OA#2 ( $4.8 \times 10^7 \text{ molec cm}^{-3} \text{ h}$ ) for B3-12. This may indicate either a real decrease in the mass-specific absorption of the SOA species with aging time, or might be due to the assumption of constant MAE of POA in our calculations. If there is a decay (e.g., “bleaching”) in the MAE of pre-existing POA, this will decrease the  $\text{MAE}_{\text{aged OA}}$ . Since we assume constant  $\text{MAE}_{\text{POA}}$  in our calculations, the lower  $\text{MAE}_{\text{aged OA}}$  will be attributed to a lower  $\text{MAE}_{\text{SOA}}$ .

### 3.4 Comparison with previous studies

In this study, we found that the MAE<sub>365</sub> of methanol extracts from primary emissions ( $0.84 \pm 0.54 \text{ m}^2 \text{ g}^{-1}$ , with a range of  $0.19\text{--}1.59 \text{ m}^2 \text{ g}^{-1}$ ) varied by coal types and was strongly affected by the combustion conditions. Table 1 summarizes the MAE<sub>365</sub> of primary emissions from different coal, biomass and vehicle types. The MAE values reported in various studies estimated by normalizing  $b_{\text{abs}}$  to different proxies of organic mass, such as total OC, WSOC, methanol-soluble OC (MSOC), or OA by AMS. Assuming an OA to OC mass ratio of 1.5 for coal-combustion influenced aerosols,<sup>61</sup> MAE<sub>365</sub> of primary emissions in our study translates to  $\sim 0.84 \text{ m}^2 \text{ g}^{-1}\text{C}$  for coal B1,  $\sim 0.81 \text{ m}^2 \text{ g}^{-1}\text{C}$  for coal B2,  $\sim 2.2 \text{ m}^2 \text{ g}^{-1}\text{C}$  for coal B3, with an average of  $1.26 \text{ m}^2 \text{ g}^{-1}\text{C}$ . These values are consistent with those reported in literature. For example, the methanol-extracted MAE<sub>365</sub> of primary emissions from residential coal combustion was on average  $1.51 \text{ m}^2 \text{ g}^{-1}\text{C}$  by Yan et al.<sup>35</sup> and  $0.9\text{--}2.8 \text{ m}^2 \text{ g}^{-1}\text{C}$  by Li et al.<sup>25</sup>, similar in magnitude to the biomass burning emissions ( $1.27 \pm 0.76 \text{ m}^2 \text{ g}^{-1}\text{C}$  by Xie et al.<sup>16</sup>), but higher than that of gasoline vehicle emissions ( $0.62 \pm 0.76 \text{ m}^2 \text{ g}^{-1}\text{C}$  by Xie et al.<sup>16</sup>).

The MAE<sub>365</sub> varies with extraction method (e.g., water or methanol) due to differences in the EE (Eq. 2). MAE<sub>365</sub> values of water extracts are always lower than those of methanol-extracts, suggesting that chromophores dissolved in methanol have greater light absorption ability than those dissolved in water. For example, when light absorption spectra of both water and methanol extracts were measured, MAE<sub>365</sub> of methanol extracts was 1.5–2 times higher than that of co-emitted WSOC for primary emissions of residential coal combustion.<sup>25,35,62</sup> For water extracts, MAE<sub>365</sub> values for residential coal combustion ( $1.10 \pm 0.16 \text{ m}^2 \text{ g}^{-1}\text{C}$  by Yan et al.<sup>35</sup> and  $0.3\text{--}1.0 \text{ m}^2 \text{ g}^{-1}\text{C}$  by Li et al.<sup>25</sup>) overlap with those for biomass burning and vehicular emissions.<sup>16,63-64</sup>

As for SOA, the methanol-extracted MAE<sub>365</sub> values estimated here for residential coal combustion for the first time (0.14 m<sup>2</sup> g<sup>-1</sup> with a range of 0.05–0.30 m<sup>2</sup> g<sup>-1</sup>) were on average five times lower than those of POA. MAE<sub>365</sub> values for SOA obtained here fall into the range of those obtained from SOA from anthropogenic precursors, such as toluene and trimethylbenzene (0.01–0.148 m<sup>2</sup> g<sup>-1</sup>),<sup>19</sup> guaiacol and naphthalene (0.2–1.55 m<sup>2</sup> g<sup>-1</sup>),<sup>65</sup> but are approximately one to two orders of magnitude higher than values reported for SOA from biogenic precursors such as isoprene and  $\alpha$ -pinene.<sup>19,65</sup>

In the aerosol phase, BrC light absorption is influenced by particle size and shape. Previous studies have predicted aerosol light absorption from MAE<sub>365</sub> measurements using Mie models, by assuming spherical particle with specific mixing states. For example, based on Mie calculations with the assumption that BrC and BC are externally mixed, Liu et al.<sup>53</sup> and Kumar et al.<sup>23</sup> suggested that the methanol-extracted MAE<sub>365</sub> may be converted to that of particulate BrC by multiplication by a factor of about 1.8:

$$\text{MAC}_{365, \text{BrC}} = 1.8 \times \text{MAE}_{365} \quad (8)$$

where MAC<sub>365,BrC</sub> represents the mass absorption cross section of atmospheric BrC at 365 nm. Applying Eq. 8 to estimate the MAC<sub>365,BrC</sub> for primary coal combustion PM in this study gave 1.49 m<sup>2</sup> g<sup>-1</sup> C for coal B1, 1.46 m<sup>2</sup> g<sup>-1</sup> C for B2 and 3.87 m<sup>2</sup> g<sup>-1</sup> C for B3, with an average of 2.27 m<sup>2</sup> g<sup>-1</sup> C. These values may be compared with the MAC of BC, 12 ± 1 m<sup>2</sup> g<sup>-1</sup> at 365 nm (calculated by extrapolating the value of 8.0 ± 0.7 m<sup>2</sup> g<sup>-1</sup> at 550 nm recommended by Liu et al.<sup>66</sup> with an AAEB<sub>C</sub> of 1). As expected, BrC from residential coal combustion absorbs less efficiently than BC at 365 nm. However, when considering that typical primary OC/BC mass ratio for emissions from bituminous coal combustion emissions range from 2–6,<sup>67-68</sup> light absorption by primary BrC can be comparable with BC at the shorter wavelength (365 nm). Taking into account the relatively low



450 nm SSA of the overall aerosol in this study, residential coal combustion could play an important role in light absorption of BrC and should be considered in further modelling of OA radiative forcing.

## **ASSOCIATED CONTENT**

### **Supporting Information**

Description of coal, burner, burning procedure and smog chamber; a schematic of the smog chamber setup; list of all coal combustion experiments including the experimental conditions; details of UV-Vis measurements and eBC measurements; the MAE<sub>365</sub> of primary and aged emissions from each experiment; uncertainty estimation of MAE; sensitivity of MAE<sub>365</sub> of SOA.

## **AUTHOR INFORMATION**

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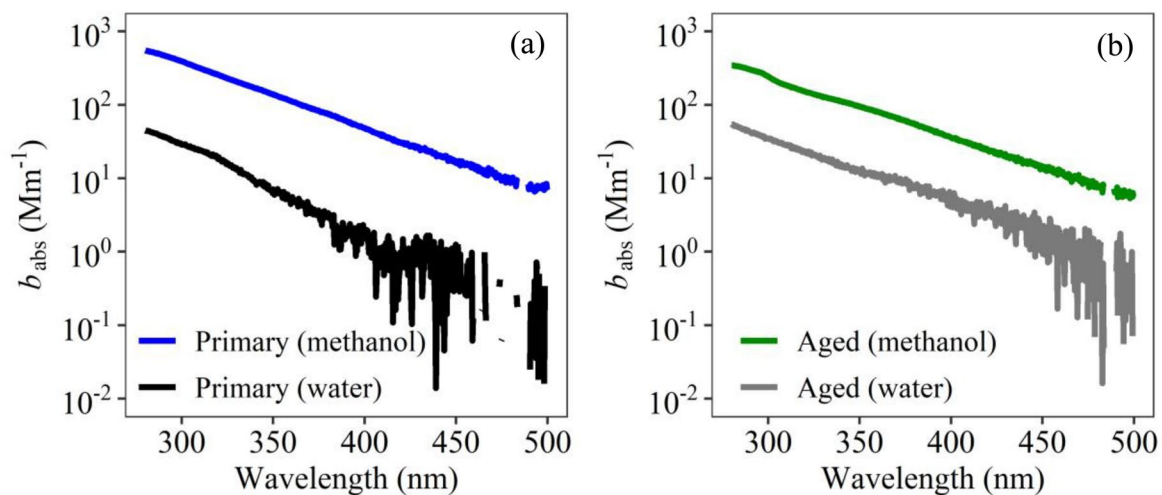
\*E-mail: rujin.huang@ieecas.cn (R.-J. H.).

The authors declare no competing financial interest.

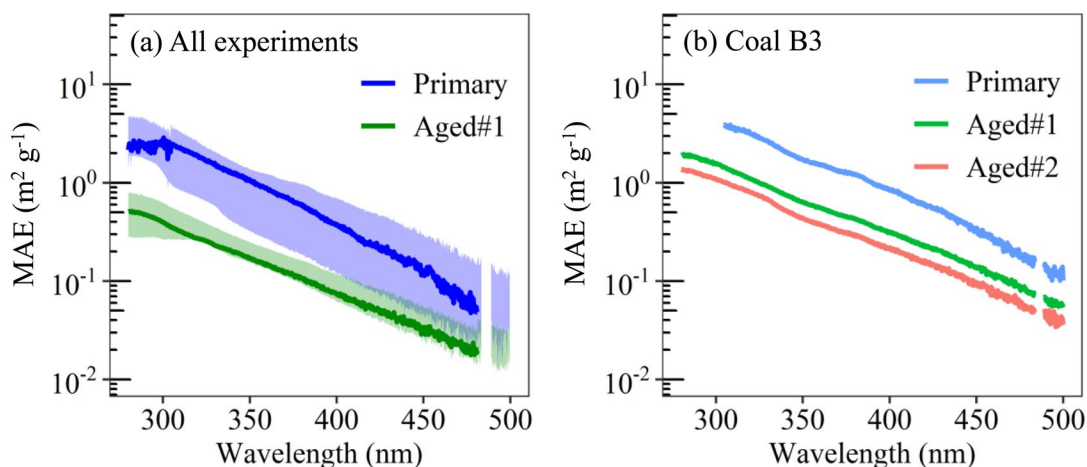
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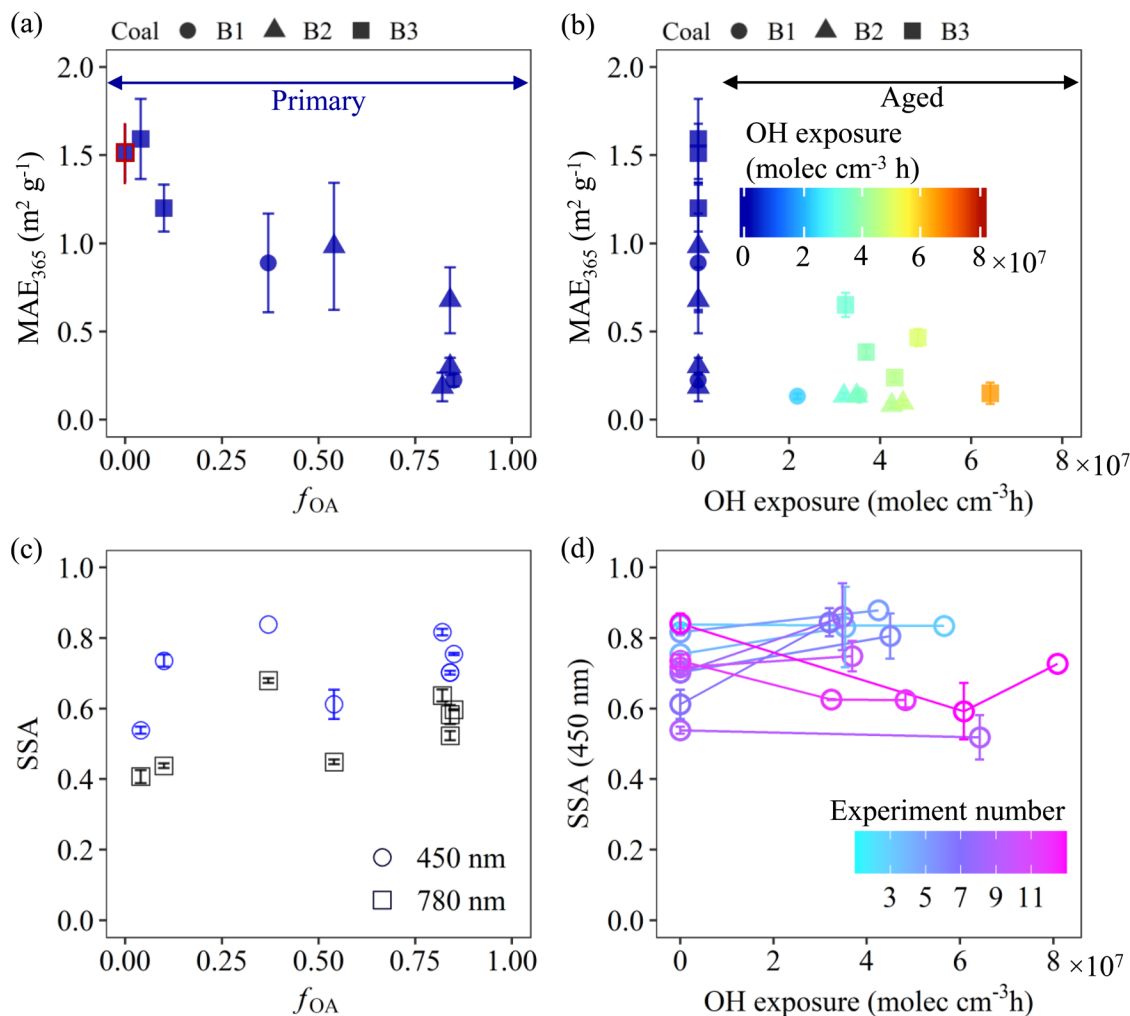
374 Technology Cooperation (SSSTC) project HAZECHINA (no. IZLCZ2\_169986). JCC and MGB  
375 received financial support from the ERC under grant ERC-CoG-615922-BLACARAT.



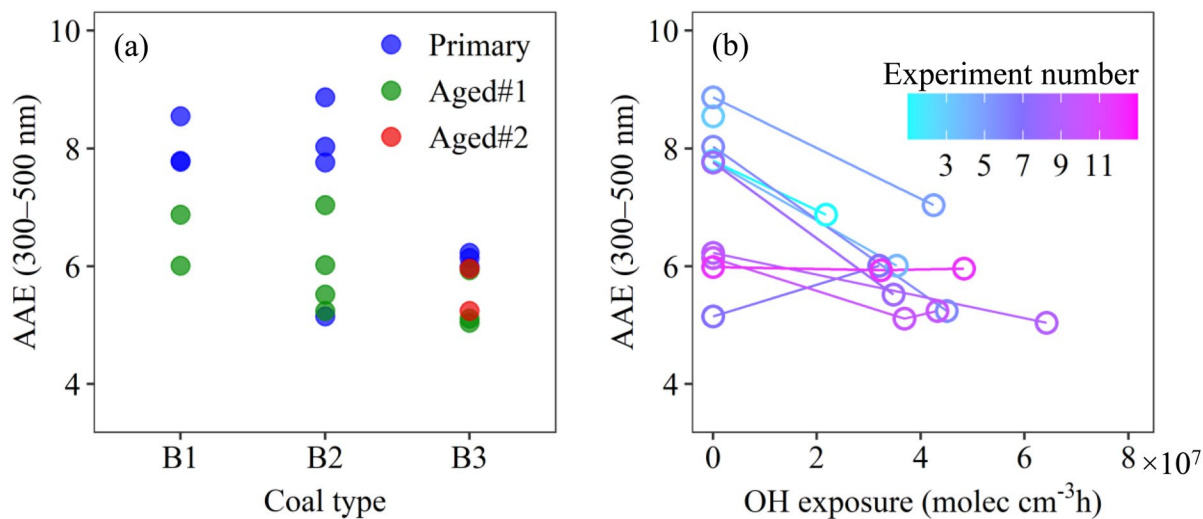
**Figure 1.** Example solution spectra of methanol and water extracts from the primary and aged samples of bituminous coal B1 (experiment B1-01). All the  $b_{\text{abs}}$  values ( $\text{Mm}^{-1}$ ) are corrected for blanks (Fig. S3), but are not wall loss corrected.



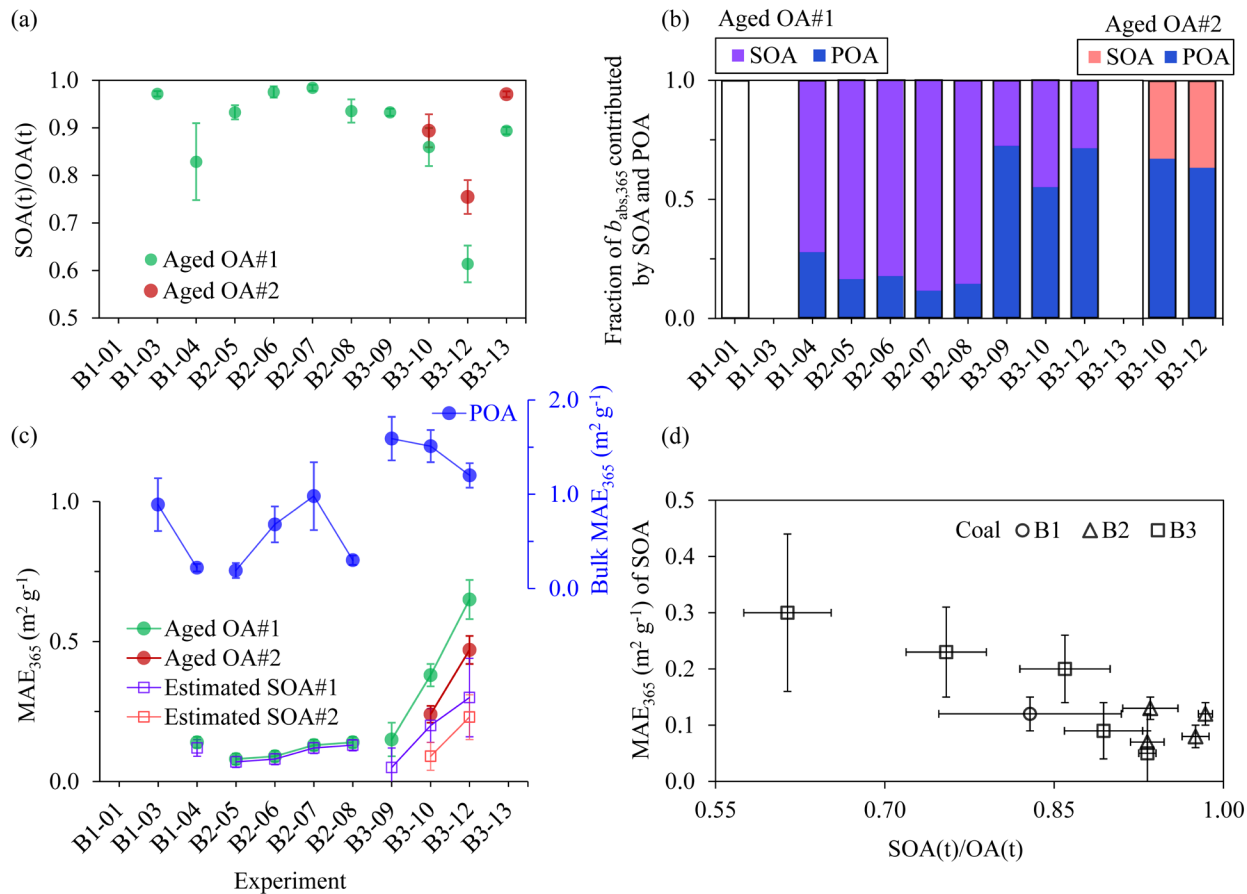
**Figure 2.** The MAE of primary and aged OA extracted in methanol for (a) all experiments including all bituminous coals (coal B1, B2 and B3) and (b) coal B3. In panel a, the bold line indicates the median, and the shaded area indicate the interquartile range (25th–75th percentile) of the median. During each experiment, at least one aged sample (aged#1) was collected after the UV lights were turned on. For coal B3, another aged sample (aged#2) was collected at the end of the aging experiment (OH exposure: aged#2> aged#1, see Table S3).



**Figure 3.** The MAE at 365 nm and single scattering albedo (SSA) of methanol-soluble BrC as function of  $f_{\text{OA}}$  for primary emissions (a and c) and OH exposure (b and d) for both primary (OH exposure = 0) and aged emissions. In panel a, experiment B3-10 is plotted on  $f_{\text{OA}} = 0$ , because its  $f_{\text{OA}}$  is not available due to instrument failure of eBC measurements using the Aethalometer. Uncertainties of MAE and SSA are shown as vertical bars (Text S5). See Table S3 for experiment number.



**Figure 4.** Absorption Ångström exponent (AAE; determined from the wavelength range of 300–500 nm) of methanol-soluble BrC, varying by coal type (a) and OH exposure (aging) for different experiments (b). See Table S3 for experiment number.



**Figure 5.** (a) Proportion of SOA in the aged OA ( $SOA(t)/OA(t)$ ) during the time period  $t$  when the aged aerosols were collected. For experiments B3-10, B3-12 and B3-13, two filters (i.e., aged OA#1 and aged OA#2) were collected after UV on (aging starts). (b) Fraction of  $b_{abs,365}$  of aged OA (total bar heights) contributed by SOA and POA. The  $b_{abs}$  values for POA and SOA in aged OA are indicated using different color bars. (c) The MAE at 365 nm for measured POA, aged OA and estimated SOA. (d) The MAE at 365 nm of POA and SOA as a function of  $SOA(t)/OA(t)$ .

405 **Table 1.** The MAE at 365 nm (MAE<sub>365</sub>) of primary emissions from different sources measured by UV-Vis spectrometry.

Emissions sources	Fuels/sub-types	Regions	Solvent	Extraction efficiency	MAE <sub>365</sub> (m <sup>2</sup> g <sup>-1</sup> ) [i.e., normalizing $b_{\text{abs}}$ to]*	MAE <sub>365</sub> (m <sup>2</sup> g <sup>-1</sup> C) [i.e., normalizing $b_{\text{abs}}$ to]*	References
Residential coal combustion	All coals	China	Water	— <sup>a</sup>	NA <sup>b</sup>	NA <sup>b</sup>	This study
	Anthracite coal A1 and A2	China	Methanol	—	NA <sup>b</sup>	NA <sup>b</sup>	This study
	Bituminous coal, B1	China	Methanol	—	0.56 (0.22–0.89) [OA <sup>c</sup> ]	0.83 (0.33–1.34) [OC <sup>d</sup> ]	This study
	Bituminous coal, B2	China	Methanol	—	0.54 ± 0.36 (0.20–0.98) [OA]	0.81 ± 0.54 (0.30–1.47) [OC]	This study
	Bituminous coal, B3	China	Methanol	—	1.43 ± 0.21 (1.20–1.59) [OA]	2.15 ± 0.31 (1.80–2.39) [OC]	This study
	—	China	Water	13 ± 10% of OC		1.10 ± 0.16 [WSOC]	Yan et al. <sup>35</sup>
	—					1.57 ± 0.78 [WIOC] <sup>e</sup>	Yan et al. <sup>35</sup>
	—	China	Methanol	100% of OC <sup>e</sup>		1.51[OC]	Yan et al. <sup>35</sup>
	Bituminous coal	China	Water	4.3–16% of TC		0.3–0.7 [WSOC]	Li et al. <sup>25</sup>
			Methanol	93–98% of TC		1.2–2.8 [MSOC]	Li et al. <sup>25</sup>
	Anthracite coal	China	Water	24–46% of TC		0.9–1.0 [WSOC]	Li et al. <sup>25</sup>
			Methanol	76–98% of TC		0.9–2.4 [MSOC]	Li et al. <sup>25</sup>
Vehicular emissions	Tractor	China	Water	—		1.33 ± 0.49 [WSOC]	Du et al. <sup>63</sup>
			Methanol	—		0.20 ± 0.08 [WSOC]	Du et al. <sup>63</sup>
	Motorcycle	China	Water	—		0.71 ± 0.17 [WSOC]	Hu et al. <sup>64</sup>
	Gasoline + diesel vehicles	U. S	Methanol	75.9 ± 9.42% of OC		0.62 ± 0.76 [OC]	Xie et al. <sup>16</sup>
Biomass burning	Wood	China	Water	—		0.97 ± 0.26 [WSOC]	Du et al. <sup>63</sup>
	Grass	China	Water	—		0.90 ± 0.07 [WSOC]	Du et al. <sup>63</sup>
	Corn	China	Water	—		1.05 ± 0.08 [WSOC]	Du et al. <sup>63</sup>
	Yak dung + wood	China	Water	—		0.91 ± 0.18 [WSOC]	Hu et al. <sup>64</sup>
	Kentucky blue grass residues	U. S	Methanol	94.5 ± 2.01% of OC		1.80 ± 0.15 [OC]	Xie et al. <sup>16</sup>
	Wheat stubble	U. S	Methanol	94.5 ± 2.97% of OC		1.28 ± 0.12 [OC]	Xie et al. <sup>16</sup>
	Wheat + herbicide	U. S	Methanol	91.5 ± 3.17% of OC		2.09 ± 0.12 [OC]	Xie et al. <sup>16</sup>
	Forest burn	U. S	Methanol	97 ± 1.87% of OC		1.13 ± 0.15 [OC]	Xie et al. <sup>16</sup>

406 <sup>a</sup> — represents not measured or not available; <sup>b</sup> absorbance smaller than or very close to that of the blanks; <sup>c</sup> MAE is determined by normalizing  $b_{\text{abs}}$  to the organic  
407 aerosol (OA) mass measured by an Aerodyne aerosol mass spectrometer; <sup>d</sup> an OA to OC mass ratio of 1.5 for coal-combustion influenced aerosols<sup>56</sup> is applied; <sup>e</sup>  
408 values obtained with the assumption that organic carbon is 100% soluble in methanol.

409 <sup>\*</sup>The MAE values reported in various studies are estimated by normalizing  $b_{\text{abs}}$  to different proxies of organic mass, e.g., total OC, water-soluble OC (WSOC), water-  
410 insoluble OC (WIOC), methanol-soluble OC (MSOC), or OA by AMS. Note that unit for MAE is m<sup>2</sup> g<sup>-1</sup> if normalizing  $b_{\text{abs}}$  to OA mass (μg m<sup>-3</sup>), and is m<sup>2</sup>g<sup>-1</sup>C if  
411 normalizing to aerosol carbon mass (μgC m<sup>-3</sup>).



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