Tuning the Emission Behaviour of Halogenated Bridged Ethers in Solution, as Solids and as Aggregates by Chalcogen Substitution


In this contribution, we describe a set of three chlorinated bridged ethers with varying numbers of sulfur and oxygen atoms. The substitution leads to highly emissive compounds with tunable photophysical properties in relationship to their state of aggregation, i.e. in solution, as aggregates and in the solid state. Additionally, an in-depth X-ray diffraction analysis supported by a Hirshfeld study of non-covalent interactions and quantum chemical simulations was carried out. As the outcome, it was found that the content of sulfur in the compounds regulates the tuning of emission in solution as well as in the aggregated states as a consequence of their variation of planarity.

Introduction

Fluorescence, the emission from an excited singlet state, is used in many technological applications ranging from materials science[1] to biological imaging.[2] Regardless of the desired application, the tuning of the emission behaviour in different physical conditions, namely, solid, crystalline, aggregated or dissolved state remains a challenging task. Especially the influence of these conditions on the emission properties of purely organic compounds inspired numerous groups around the world to shine light on this issue, which was highlighted in numerous reviews.[3] Classic luminophores such as polyaromatic compounds including rhodamine,[4] fluorescein[5] or condensed aromatics[6] are known to emit brightly dissolved in solution, whereas quenching in the solid and aggregated state is observed, due to non-radiative decay pathways, including unfavourable π-π-contacts. This effect known as aggregation-caused quenching (ACQ) leads to a restriction of applications in the condensed state. The opposite effect occurs when flexible, non-planar and in its motion free organic luminophores are used instead of molecularly rigid structures. In this case the emission is typically observed in the solid and aggregated state besides a non-radiative decay in the dissolved molecule, which is typically attributed to a conversion of absorbed light into motion. The hindrance of this flexibility, e.g. in the bound, condensed or crystalline state leads to an opening of radiative decay channels taking into account the non-planar structure of these twisted compounds in the solid state.[7] This phenomenon is known since decades,[8] has been described for “solid solutions” around 100 years ago[9] and has experienced a renaissance when scientists discovered that propeller-like methyl-pentaphenylnaphthalenes show emission only when aggregated or in the solid-state.[10] The term aggregation-induced emission (AIE),[13,10] which is typically used for this phenomenon, has attracted interest in the past two decades, but is somewhat...
misleading, since not only aggregates show an emission “on” behaviour, but also a single molecule, when sterically entrapped in a demanding environment, such as a gel [15] or viscous solution, [16] a protein pocket [18] or a polymer. [16] These compounds typically featuring phenyl-rotors, have been used to fabricate reporter molecules for bioimaging. [15] Luminescent polymeric materials [16] as well as organic light emitting diodes. [17]

In between these two phenomena, a rational design concept combining both emissive properties appeared improbable since the molecular aspects of rigid planar structures (ACQ) and twisted movable moieties (AIE) seemed contradictory. [18] Although some observations were published by Patra et al. in 2007 and by Tang et al. in 2012, [19] compounds exhibiting emission in both solid and molecular states were first denoted as “dual-state efficient” (DSE) luminophores in 2015. [20] Later, this effect describing compounds emitting light independently from the state of aggregation [21] was labelled as “dual-state emission”. It is noteworthy, that this does not imply emission from different electronic states [22] (i.e. singlet and triplet), but is solely based on the aggregation state. [23] Hence, to avoid confusion, the term “solution and solid-state emitters” (SSSE) will be used in this contribution. [24]

Since 2015, reports featuring compounds exhibiting the novel SSSE phenomenon have increased gradually. Several research groups investigated known luminescent key structural motifs for SSSE such as triphenylamines, [25] carbazoles [26] or pyroles. [27] Recently, Rodríguez-Molina and co-workers published a comprehensive review of reported SSSEs categorized by their respective structural units. [21] Although some modular design concepts such as self-isolation [28] or stacking modulation have been developed, more intrinsically oriented strategies to obtain SSSEs remain a challenging task.

In this context subtle variations of the molecular structure of a compound class were described to change the photophysical properties in different states of aggregation. Lately, we were able to describe AIE and SSSE [24] based on either aromatic thioethers [29] or bridged oxo- and thioethers. [30] Although libraries of these compounds were investigated bearing different chalcogens or functional groups, only one effect (either SSSE or AIE) was observed. Wang et al. described in 2018 that diarylmaleimide-based luminophores featuring a benzofuran or benzothiophene moiety can be tuned regarding their emission properties by subtle molecular changes, such as substitution [31] or stacking modulation. [22] In particular, most compounds revealed AIE behaviour, whereas the compounds bearing a –NEt$_2$ could also be regarded as SSSE, due to the bulkier residues hindering the packing induced self-quenching and rotation in solution.

By aiming to expand the knowledge of compounds showing AIE and SSSE behaviour, in this contribution three compounds based on chlorinated bridged ethers bearing sulfur and/or oxygen as chalcogens were investigated regarding their emission properties as well as the influence of the state of aggregation on the photophysical properties (Figure 1).

**Results and Discussion**

The three compounds (O$_2$, SO and S$_2$) were synthesized according to a modified protocol from the literature and have been used in a previous study as unexplored precursor compounds. [24] The synthesis was carried out starting from tetrachloroterephthalonitrile, which reacted under basic conditions with one equivalent of catechol, benzen-1,2-dithiol or, 2-hydroxythiophenol yielding the desired compounds in good to acceptable yields (See Supporting Information for experimental details). All three compounds revealed striking emission properties in solution and in the solid-state, with a concomitant redshift upon replacing stepwise oxygen by sulfur atoms.

**X-ray diffractometric and Hirshfeld analyses**

The compounds were obtained as crystalline material, suitable for X-ray diffraction (Figure 2 and S1–S16). [42] S$_2$ crystallizes in the monoclinic space group P2$_1$/c with one independent molecule in the asymmetric unit. For O$_2$ the orthorhombic space group Pcca was found with the molecule located on a two-fold rotational axis. SO is triclinic with space group P-1 where the molecule is placed on the general position. All three packings share the same ring motif of two CN–Cl interactions (see Figure S4, S9 and S15) leading to zig-zag chains. These chains interlock in a zipper-like way and are connected by CH–N hydrogen bonds to form a layer (side view in Figure S5,
S10 and S16). In S2 and O2 the layers are corrugated. In SO, due to the different length of the C–O and C–S bond, the position of the non-substituted ring is slightly rotated leading to different CH–N hydrogen bonds. Here the one donor atom is ortho and the other meta to the hetero atom instead of twice meta. Also an additional CH–Cl hydrogen bond is observed. The layers are interconnected by interactions of the π-systems (Figures S3, S8 and S14). In SO interactions between the phenyl-rings and others between the π-systems of the nitrile groups can be found. A tilt of the molecules in O2 leads to CN–π (nitrile) instead of the π–π interactions between the nitrile groups (Figure S11). In S2 the angle in the molecule (Figure 2 and S1) leads to a neat stacking of the molecules allowing π–π and S–π interactions (Figure S3).

The presence of various interactions in the layers of these compounds were further studied using quantum chemical calculations (Figure S22 and Table S10). The interaction energies were calculated in dimers using Symmetry Adapted Perturbation Theory (SAPT) and are shown in Table S11. Among three compounds, the S2 dimer possesses the highest stabilization energy mainly due to the presence of several intermolecular interactions.

Since these non-covalent interactions are known to influence the photophysical parameters in the neat crystalline and microcrystalline state, we investigated those interactions by a Hirshfeld analysis using Crystal Explorer. This approach enables a quantitative evaluation of the corresponding non-covalent interactions within the crystal lattice, using \( d_i \) (distance internal) and \( d_e \) (distance external) under the formation of a \( d \)-norm surface.

Since numerous interactions have been found (Figure S17-S20 and Table S4), we wish to focus on the main interactions that are known to influence the optical properties in the solid-state such as C –H–π (indicated by C–H), π–π (indicated by C–C), S–π (indicated as S –C) and Van der Waals interactions (indicated by H–H) (Table S4 and Figure S20). When comparing all three compounds regarding these specific interactions, it was found that SO and S2 reveal significantly higher contributions of π-contacts when compared with O2, which showed a significant contribution of CN–π interactions (Figure S11). This tilted parallel stacking of O2 results in a higher fluorescence quantum efficiency (\( \Phi_F \)) in the solid-state compared to SO (Table 1), because unfavourable π-contacts are prevented, which would enable non-radiative decay. Interestingly, the highest quantum yield was determined for S2 which can be attributed to different specific features of non-covalent interactions and packing patterns. Although a significant contribution of π–π interactions were found, the C–S–C angle of 131.4° in S2 leads to a drastic deviation from planarity featuring numerous C–S contacts (Figure S3), which prevents planar disc-like stacking (compare with Figure S8 and S14), leading to enhanced PLQY in the solid state.

**Emission behaviour in the molecularly dissolved state**

To get a deeper insight into the spectroscopic features of the three compounds, steady state emission spectra as well as time-resolved photoluminescence decays (\( \tau \)) in solution and the solid state were performed (Table 1). The three molecules (O2), (SO) and (S2) have been reported previously as intermediate compounds for the preparation of expanded aromatic systems, but their photophysical properties have not been reported yet. Therefore, we provide a systematic comparison of the dyes to understand how the variation of sulfur and oxygen content affects their photophysics.

All luminophores show similar absorption bands in dichloromethane (DCM) with peak maxima around 390–410 nm (Figure 3) with extinction coefficient \( \varepsilon \) of 7100, 4580, and 3650 cm\(^{-1}\) M\(^{-1}\) for O2, SO and S2, respectively.

The replacement of oxygen by sulfur in SO and S2 induces a bathochromic shift of the absorption band compared to O2, suggesting a conjugation effect connected with the electronic features of sulfur (low electronegativity and high \( \pi \)-polarizability). However, while the effect on the absorption maximum is rather small, the shift of the fluorescence maximum is significantly larger. Introducing sulfur atoms changes the geometry but also the electronic structure of the luminophore.

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**Figure 2.** X-ray diffractometric analyses of (O2), (SO) and (S2) in front view and side view including relevant bonding angles. Hydrogen atoms are omitted for clarity. Thermal ellipsoids are shown with 30% (S2) or 50% (O2 and SO) of probability. CCDC: S2 (2164566), O2: (2164859), SO: (2164860).
Table 1. Overview of the photophysical parameters of (O$_2$), (SO) and (S$_2$) measured in different solvents and in the solid state.

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<th>Compound</th>
<th>Solvent</th>
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<th>$\lambda_{\text{ex}}$ [nm]</th>
<th>$\lambda_{\text{em}}$ [nm]</th>
<th>Stokes shift [nm/cm$^{-1}$]</th>
<th>$\tau$ [ns]</th>
<th>$\Phi_{\text{PL}}$ [%]$^a$</th>
<th>$k_r$ $[10^7$ s$^{-1}$]</th>
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<td>1780 s$^{-1}$</td>
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[a] Biexponential fitting; [b] Measured by integrating sphere with an error of ±2%; [c] From the excitation spectrum.

Figure 3. Absorption (full lines), excitation (PLE, dashed lines) and emission (PL, dotted lines) spectra of O$_2$ (16 μM, blue lines), SO (38 μM, green lines) and S$_2$ (23 μM, orange lines) in dichloromethane. The PLE and PL spectra are normalized for comparison.

Hence, it is difficult to disentangle these effects to explain the shift in absorption versus the shift in emission maxima. Instead we focused the analysis on the change in the Stokes shift, because it can be rationalized by the extent of geometrical changes between the ground and excited state geometries. The increasing content of bridging sulfur is leading to a larger geometrical change in the excited state, which strongly correlates with the shift of the emission maximum of the fluorophores. We observed that the relaxation in the excited state leads to a more planner geometry in SO and S$_2$. The change in the bending of the molecular plane (Figure 6) is increasing from 0 to 16.6° and to 22.9° for O$_2$, SO and S$_2$, respectively. This suggests that a larger relaxation in the excited state lowers the energy gap between the S$_0$ and S$_2$ states, leading to a larger Stokes shift.

Noteworthy, in SO the absorption band is more red-shifted than in S$_2$ (411 nm vs. 395 nm, respectively) whereas the emission shows the opposite behaviour (514 nm vs. 563 nm, respectively), suggesting that the asymmetric structure affects more the ground state than the excited state. The short lifetime values recorded in DCM (around 3 ns for all three compounds) indicate radiative processes through fluorescence, with average fluorescence rate constants ($k_r$) and the corresponding non-radiative deactivation rates ($k_v$) on the same order of magnitude (Table 1). Interestingly, in DCM the fluorescence quantum efficiency ($\Phi_{\text{PL}}$) of S$_2$ (11 %) is significantly lower than O$_2$ (25 %) and SO (21 %).

By investigating the photophysical properties in different solvents, we observed that the absorption band of O$_2$ is almost not affected by the solvent polarity, whereas slightly higher shifts are noticed in both SO and S$_2$ (Figure S23, S24). The emission bands of the three dyes are more affected by the change of the polarity, showing a positive solvatochromism that suggests a more polarized $\pi$-system in the excited state than in the ground state (Figure 4 and S25).

All three dyes show quite large Stokes shifts, ranging from 41 nm (2427 cm$^{-1}$) (O$_2$ in cyclohexane) to almost 190 nm (8310 cm$^{-1}$) (S$_2$ in acetonitrile) in relationship with the increasing polarity of the solvents indicating the charge transfer character of all three fluorophores.

The analyses of the photoluminescent properties in several solvents indicates how the substitution of chalcogen atoms influences the emission. O$_2$ emits in the blue region with maximum wavelength ranging from 432 nm in non-polar
Molecular quantum chemical simulations

The geometries of the three compounds were optimized at their electronic ground state (GS) and first bright state using Gaussian 16 program.\(^\text{[34]}\) Geometry optimizations were performed with two DFT functionals: (1) a hybrid functional B3LYP\(^\text{[35]}\) with Grimme-D3(BJ) dispersion correction; (2) range-separated hybrid functional \(\omega B97X^*\)\(^\text{[36]}\) with Grimme-D3 dispersion correction. In both cases the 6-311G* basis set was used.

The vertical excitation energies (VEEs) were calculated for DFT-based geometries at two levels of theory: \(\text{CC2}\)\(^\text{[37]}\) and \(\text{ADC}(2)/\text{cc-pVTZ}\)\(^\text{[38]}\). Both methods were used in the resolution-of-the-identity (RI) formulation. The \(\text{cc-pVTZ}\) basis set and the corresponding auxiliary basis set were used. The calculated VEEs based on the ground state optimized geometries were compared to the experimental \(\lambda_{\text{max}}\) values as those based on the excited state optimized geometries were compared to \(\lambda_{\text{max}}^a\) values. The solvent effects were taken into account by a continuum solvation model.\(^\text{[39]}\) The Turbomole V7.3 program was used for these simulations.\(^\text{[40]}\)

The ground state optimized geometries show an increase of the binding with stepwise substitution of oxygen by sulfur atoms. While \(\text{O}_2\) is planar, \(\text{SO}\) has a 138° and \(\text{S}_2\) has a 127° angle between the two terminal rings (Figure S21). It indicates that the sulfur containing compounds are already bent in solution, which means it is not only a property of solid-state packing in the crystal. We can rationalize the differences between these angles by relating to the 2s orbital the oxygen atoms that has only one radial node, so its radial distribution function (RDF) peaks farther away from the nucleus than in the case of 1s counterpart. Contrarily, the 2p orbitals have no radial nodes and hence their RDF peak is relatively close to the 2s function. Despite their energy gaps, this formally supports potential sp hybridization and bonding angles above 100°. In sulfur, however, the 3s and 3p orbital have two and one radial nodes, respectively, and hence the 3p functions dominate the chemical bonding and angles at around 90°.

In all three compounds the first excited state is the spectroscopic bright state, which has the major contribution from HOMO-LUMO. The experimental trend for example in DCM solution (Table 1) 390 nm (\(\text{O}_2\)), 411 nm (\(\text{SO}\)) and 395 nm (\(\text{S}_2\)) is reproduced. The calculated VEEs for \(\text{O}_2\), \(\text{SO}\) and \(\text{S}_2\) are found to be shifted hypsochromically in all the calculations. We attribute it to the small variation in absorption maxima of 21 nm found experimentally. This small difference can be explained by inspection of the HOMO and LUMO. The extension of the HOMO over the entire aromatic framework and a localisation of the LUMO on the dicyanobenzene moiety reveals some partial charge-transfer nature of the excitation.

The HOMO is the electron donor and the LUMO the electron acceptor with a HOMO-LUMO overlap restricted to the dicyanobenzene. The LUMO does not extend to the bridge and therefore it is not affected by the bending (Figure S5).

Similarly, the VEEs for the excited state were calculated to estimate \(\lambda_{\text{max}}^a\) for the emission spectra and were found to represent the experimental trend well (Table S5 and S9). In DCM the following trend is observed experimentally: 467 nm...
The computed counterparts at the CC2 level of theory are 480 nm (O₂), 509 nm (SO) and 538 nm (S₂). Hence, the measured Stokes shifts are 74 nm (4089 cm⁻¹) (O₂), 103 nm (4876 cm⁻¹) (SO) and 168 nm (7554 cm⁻¹) (S₂), whereas the calculated Stokes shifts are 96 nm (5208 cm⁻¹) (O₂), 122 nm (6193 cm⁻¹) (SO) and 169 nm (8513 cm⁻¹) (S₂).

The increase of the Stokes shift correlates well with the geometrical changes upon relaxation in the excited state. This can be measured by change in the bending angle which is denoted by Δ in Figure 6. For SO and S₂, the geometry is relatively more planar in the S₁ state compared to S₀.

### Emission behaviour in the aggregated and solid-state

The analyses of the photophysical properties as aggregates and solid states reveal more information about the structure-property relationship for the three fluorophores.

Interestingly, all three compounds show SSSE behaviour with an intense emission (Table 1, S12 and Figure S26) as bulk powder. In the solid-state the dyes show a trend similar to the solution, i.e., a bathochromic shift of the emission upon gradual substitution of oxygen with sulfur (464 nm, 516 nm and 556 nm for O₂, SO and S₂, respectively). The efficiency ΦPL of the oxygen-containing dyes has almost the same value (16 % for O₂ and 14 % for SO), whereas is dramatically increased after the removal of oxygen content in S₂ (40%) (Table 1). Notably, in solid state S₂ shows long-lived phosphorescence emission with lifetime of hundreds of microseconds (Figure S26), which is in agreement with the behaviour observed in other lumogens with high content of sulfur.[41]

The nearly equally emissive properties in solution as well as in the solid-state, which is rarely reported in literature, suggests that the designed molecules suppress efficiently the vibrational and rotational motion in both environments. In particular, the reported synthetic approach based on the formation of condensed aromatic structures reduces the number of non-radiative processes in both solution and solid state. Indeed, the presence of free-rotational rings inside the dyes is known to be an effective deactivation pathway of the emission. As consequence, our study underlines one more time the importance of using condensed heterocycle aromatic systems to obtain efficient SSSE dyes.

It is worth to emphasize that the use of different chalcogens allows emission tuning and also the behaviour in the aggregate form, as it appears clearly from Figure 7 and S27. O₂ in DMF is almost not emitting, but by increasing the content of water the phenomenon of AIE occurs with a hypsochromic shift of the emission band from faint greenish to blue. In contrast, under the same conditions S₂ seems to show the opposite behaviour, i.e., aggregation-caused quenching (ACQ) phenomenon.

**Figure 5.** Calculated excitation energies of (O₂), (SO) and (S₂) at the RI-CC2/cc-pVTZ level of theory. The corresponding HOMO and LUMO as well as the absorption/emission wavelengths are shown.

**Figure 6.** Differences in the bending angle between the two terminal rings in the relaxed ground state and excited state geometry. For every compound, the change in the bending angle is denoted with Δ.

**Figure 7.** Photographs under UV light (λex = 365 nm) of O₂ (top row), S₂ (middle row) and SO (bottom row) in DMF with increasing water content (0-99%).
However, a deep analysis reveals an unusual behaviour. The intense yellow colour in DMF disappears by enhancing the amount of water, whereas the presence of two emitting species became more evident by the splitting of the emission band. The lower energy component (corresponding to the highest emitting species) shows ACQ, while the other slightly increases as AIE. (Figure S27, Table S13-S15). Interestingly, SO exhibits an intermediate behaviour. The red-shifted emission occurring with the ACQ change into AIE if the content of water is higher than 60 %.

Conclusion
In summary, we described a set of three simple highly emissive compounds based on bridged halogenated ethers with chalcogen variation. It was found that the content of sulfur influences the photophysical properties drastically, leading to a remarkable red-shift upon sulfur content increase. The experimental results were supported by DFT based simulations, X-ray diffractometric analyses as well as Hirshfeld investigations. The most important outcome is the fine tuning abilities of the emission behaviour in the aggregated state. Here, we were able to tune the emission properties of the three compounds in the molecularly dissolved state (DMF) and as aggregates (H2O) by subtle structural changes, which will for sure open novel avenues for the design and application of luminesphores sensitive to the state of aggregation.

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Conflict of Interest
The authors declare no conflict of interest.

Data Availability Statement
The data that support the findings of this study are available from the corresponding author upon reasonable request.

Keywords: aggregation · aggregation-induced emission · chalcogenophanes · computational chemistry · solid-state structures