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Computational models for high-power cyclotrons and FFAs

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ABSTRACT: A summary of numerical modeling capabilities regarding high power cyclotrons and fixed field alternating gradient machines is presented. This paper focuses on techniques made available by the OPAL simulation code.

KEYWORDS: Accelerator Applications; Accelerator modelling and simulations (multi-particle dynamics, single-particle dynamics); Beam dynamics

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1 Overview on computational models

In all high-power particle accelerators "one of the major limitations is particle losses. Losses may be controlled, resulting in beam particles impinging on dedicated equipment such as collimators, or uncontrolled, resulting in beam particles striking other equipment around the accelerator. Uncontrolled losses can damage and activate any equipment in the accelerator and so must be minimized. Controlled losses need to be carefully considered and also minimized. The amount and cause of loss are investigated by modeling accelerators using simulation codes that model numerically the behaviour of beams. A review of available numerical codes can be found in the article of Smirnov [1]. In this paper modeling capabilities available in OPAL are discussed in more detail [2].

1.1 Single particle modeling

For conventional cyclotrons (and FFAs) the single particle tool box is established and many different codes variants exists [1]. For cyclotrons and (horizontal FFAs) the existing tools seem to be comfortable and accurate. New machines like vertical FFAs, currently studied for example at the Rutherford Appleton Laboratory (RAL) [3], require non-trivial modifications to the existing codes. These modifications are on the way for example in the code OPAL [2] and expected to be available in second quarter of 2022.

Recently, in the context of very high field and ultra compact H⁻ cyclotrons beam stripping losses of ion beams by interactions with residual gas and electromagnetic fields are evaluated [4]. The beam stripping algorithm, implemented in OPAL, evaluates the interaction of hydrogen ions with residual gas and electromagnetic fields. In the first case, the cross sections of the processes are estimated according to the energy by means of analytical functions (see section II-A c [4]). The implementation allows the user to set the pressure, temperature, and composition of the residual gas,

which could be selected for the calculations as either molecular hydrogen (H_2^+) or dry air in the usual proportion. For precise simulations, a two-dimensional pressure field map from an external file can be imported into OPAL, providing more realistic vacuum conditions.

Concerning electromagnetic stripping, the electric dissociation lifetime is evaluated through the theoretical formalism (see section II-B [4]). In both instances, the individual probability at each integration step for every particle is assessed.

A stochastic process is used to evaluate if an interaction occurs. In this case the particle will be stripped and removed from the beam, or optionally transformed to a secondary heavy particle, dependent on the interaction. In this case, the secondary particle will continue its movement but with the new particle properties.

1.2 Large scale multiparticle modeling

In general, modeling losses in high intensity accelerators require 3D space-charge and sufficient simulation particles. Recent investigations [5] propose a sparse grid-based adaptive noise reduction strategy for electrostatic particle-in-cell (PIC) simulations. By projecting the charge density onto sparse grids, high-frequency particle noise is reduced and hence an optimal number of grid points and simulation particles can be obtained. For a 3D Penning trap simulation, a maximum speedup of 2.8 and 15 times memory reduction has been obtained. This method is already integrated into OPAL.

1.3 Surrogate model construction

Cheap to evaluate surrogate models have gained a lot of interest lately. Statistical [6] or machine learning techniques are used [7]. These models can for example replace a computationally heavy model in a multi-objective optimization [8] or in the future be part of an on-line model. Some surrogate modeling algorithms may include an intrinsic estimator for the model uncertainty [9].

2 Physics modeling

In this section we show latest additions to the open source code OPAL [2] regarding cyclotron and FFA modeling capabilities.

2.1 Modeling H- injection and painting in vertical and horizontal FFAs

Fixed Field Accelerators (FFAs) have fixed magnetic fields, like cyclotrons, but increase bending field with momentum and hence more compact designs can be realized. FFAs offer the power efficiency of cyclotrons combined with the energy reach of synchrotrons.

FFAs have never been used for high power proton acceleration, however in OPAL the necessary models are available for design. Single particle tracking has been benchmarked against the KURNS FFA [10]. A design for a 3-12 MeV H- FFA prototype ring is being pursued at RAL as a prototype for a MW-class neutron spallation source [3]. Scaling horizontal orbit excursion (hFFA) and a vertical orbit excursion (vFFA) FFA are both under consideration. Both are non-isochronous machines using RF cavities with variable resonant frequency. Injection is planned using charge exchange of H⁻ to H⁺ and phase space painting.

In hFFAs, magnetic rigidity varies with radius. The dipole field varies as [11]

$$B_z(z=0) = B_0(\psi) \left(\frac{r}{r_0}\right)^k$$
 (2.1)

 $B_0(\psi)$ is the dipole field as a function of a normalised azimuthal coordinate ψ , r is the radial coordinate, r_0 is a nominal (user-defined) radius, and k is the field index. The field away from the midplane, at $z \neq 0$, may be calculated using a recursion relation arising from consideration of Maxwell's equations in free space. OPAL has capability to calculate the expansion to arbitrary order, within machine precision. The normalised azimuthal coordinate

$$\psi = \phi - \tan(\delta) \ln \left(\frac{r}{r_0} \right) \tag{2.2}$$

is a measure of distance around the ring. Here ϕ is the geometrical azimuthal angle and δ is the spiral angle; for a sector FFA magnet $\delta = 0$ and $\psi = \phi$. The arrangement of fields in this way guarantees that single particle trajectories and optical parameters at all orders scale exactly with momentum.

In vFFAs, magnetic rigidity varies with height. As particles are accelerated, the closed orbit changes height. Successive acceleration kicks add incoherently, so overall the beam follows the closed orbit with no appreciable emittance growth. Rectangular vFFA magnets have been implemented in OPAL, with a dipole field that varies as [12]

$$B_0(x_v = 0) = B_0(s_v)e^{mz_v}. (2.3)$$

 z_v is the height, s_v is a nominal longitudinal coordinate and x_v is a nominal horizontal coordinate in the rectangular coordinate system of the magnet. B_0 describes the dipole field variation with longitudinal distance. A tanh model is available for vFFA fields. m is the vFFA field index, roughly equivalent to the field index k in hFFAs. Fields away from the plane having $x_v = 0$ are calculated using a field expansion derived from consideration of Maxwell's laws. It is noted that the focusing in the magnet body is, to linear order, skew quadrupole. The fringe field has solenoid components parallel to s_v that may be significant for short magnets. This arrangement of fields guarantees that trajectories and optical functions are identical as momentum increases, barring a vertical displacement. In particular, the path length of the beam is independent of momentum, the momentum compaction factor is exactly 0 and ultra-relativistic particles are isochronous.

In order to model injection into the FFA, OPAL was extended with models for:

- horizontal & vertical FFA magnets as described above;
- variable frequency RF cavities;
- arbitrary order multipoles with maxwellian fringe fields;
- foil model (scattering and energy loss);
- · pulsed injected beam; and
- pulsed multipoles.

All but the latter two features are available in the latest version of OPAL. This enabled a fully four-dimensional simulation of the injection system, including consideration of effects such as appropriate phasing of the pulsed dipoles and transverse breathing of the beam arising due to initial longitudinal mismatch at injection.

As an example, a schematic of an injection system and associated parameters for the 3–12 MeV test ring is shown for a horizontal FFA in figure 1. Owing to the compact nature of the ring, the injection system is spread across a number of cells. H⁻ are brought into the ring and onto a foil. Bump magnets in the ring distort the proton closed orbit so that particles passing through the foil are returned to a nominal closed orbit. The foil is placed inside the defocusing (D) dipole magnet so that the distorted H⁺ closed orbit and H⁻ beam, initially separated, are brought onto the same trajectory. Electrons are stripped from the H⁻ leaving H⁺ (protons). The bump magnets are slowly varied, so that the proton closed orbit is moved away from the injection point for the H⁻ and newly injected particles are at higher horizontal amplitude. In the H⁻ injection line, pulsed magnets move the H⁻ upwards so that newly injected particles are at higher vertical amplitude. Overall, a correlation is introduced between horizontal and vertical amplitude. Sample trajectories and bump magnet field strengths for the magnets in the ring are shown in figure 1. In this example vertical bumpers are not considered — they are all kept at 0 T field. The beam following injection is shown in figure 2.

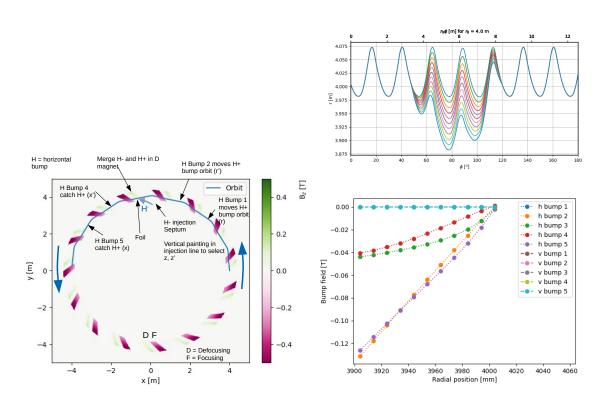


Figure 1. Injection system for the hFFA (Left) field map of the hFFA, calculated using OPAL, with labels indicating the position of injection equipment (top right) closed orbits for different bump magnets (bottom right) required bump magnet fields.

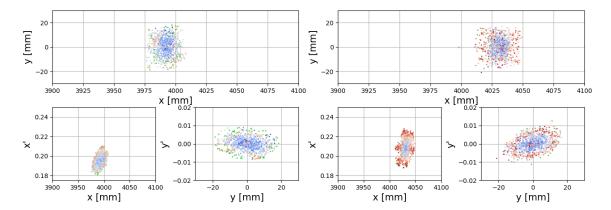


Figure 2. Beam (left) after injection is completed, but still on a distorted orbit (right) following collapse of the bump. *x* is the position of the beam relative to the ring centre and *y* is the height of the particle above the midplane. Particles are coloured according to the injection turn.

2.2 Beam stripping interactions

Beam transmission optimization and loss characterization, where beam stripping interactions are a key issue, play an important role in the design and operation of compact cyclotrons. A beam stripping model has been implemented in the three-dimensional object-oriented parallel code OPAL-cycl, a flavor of the OPAL framework. The model includes Monte Carlo methods for interaction with residual gas and dissociation by electromagnetic stripping. The model has been verified with theoretical models and it has been applied to the AMIT cyclotron according to design conditions [4].

2.3 Spiral inflector modeling

In [13] a spiral inflector model implemented in OPAL is presented, that enables us to run highly realistic simulations of the spiral inflector system of a compact cyclotron (cf. figure 3). A new geometry class and field solver can handle the complicated boundary conditions posed by the electrode system in the central region of the cyclotron both in terms of particle termination, and calculation of self-fields. Results are benchmarked against the analytical solution of a coasting beam. As a practical example, the spiral inflector and the first revolution in a 1 MeV/amu test cyclotron, located at Best Cyclotron Systems, Inc., are modeled and compared to the simulation results [13, 14]. In conclusion, OPAL can handle realistic and arbitrary boundary geometries. Simulated injection efficiencies and beam shape compare well with measured efficiencies and a preliminary measurement of the beam distribution after injection.

2.4 Neighboring turn modeling

This article presents a hardware architecture independent implementation of an adaptive mesh refinement Poisson solver that is integrated into the electrostatic Particle-In-Cell beam dynamics code OPAL. The Poisson solver is solely based on second generation Trilinos packages to ensure the desired hardware portability. Based on the massively parallel framework AMREX, formerly known as BoxLib, the new adaptive mesh refinement interface provides several refinement policies in order to enable precise large-scale neighbouring bunch simulations in high intensity cyclotrons. The solver

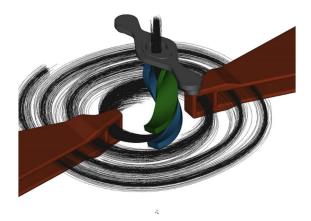


Figure 3. Spiral inflector with selected particle trajectories from an OPAL simulation. The beam enters axially (from the top) through an aperture (grey) and is bent into the mid-plane by a combination of the electrostatic field generated by the spiral electrodes (green and blue) and the cyclotron's main magnetic field. Then it is accelerated by the two Dees (copper, Dummy-Dees not shown). Reproduced from [13]. CC BY 4.0.

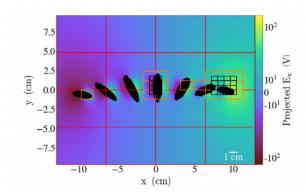


Figure 4. Integrated projection of the electric field component E_x onto the xy-plane showing 7 adjacent particle bunches. Reproduced from [15]. CC BY 4.0.

is validated with a built-in multigrid solver of AMREX and a test problem with analytical solution. The parallel scalability is presented as well as an example of a neighbouring bunch simulation that covers the scale of the later anticipated physics simulation [15].

3 Path forward

While statistical and machine learning techniques have a lot of potential, high fidelity physics simulations will always be used to, for example, produce the training set. In case of high-intensity machines we will need large numbers of particles and the associated fine mesh to solve the PDE in question. It is imperative that we make use of existing and future high performance infrastructure. A performance portable implementation [15] is of utmost importance. The OPAL collaboration [2] is in the progress to completely rewrite the code according to the sketch in figure 5. With this new architecture we will be able to make efficient use of Exascale-Architecture that will come online soon. The core algorithms of OPAL are already performance portable as demonstrated in [16].

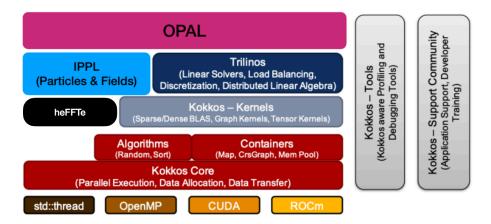


Figure 5. Outlook of the future OPAL architecture, targeting in a performance portable way future exascale architectures.

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