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Tomographic Microscopy of Functionally Graded Polymer-Derived SiCN Ceramics with Tunable Gradients

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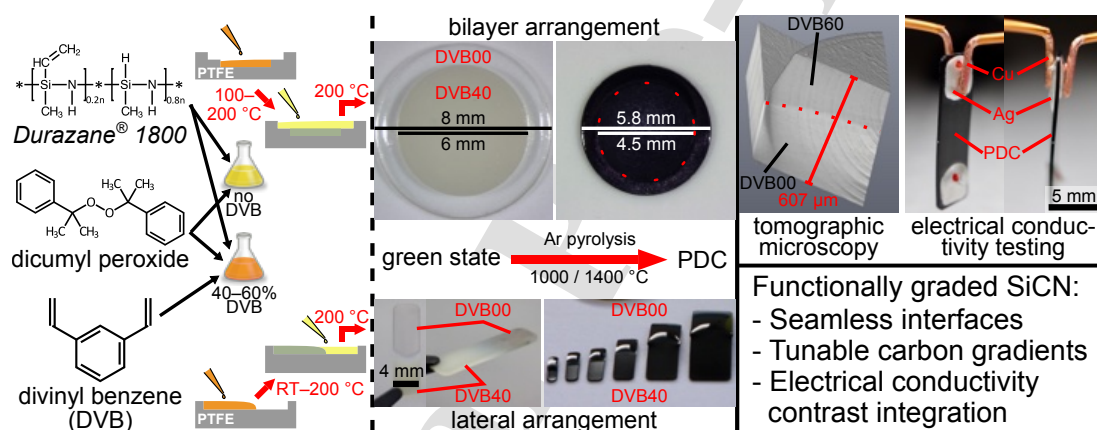
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Abstract

Polymer-derived ceramics (PDCs) are a very attractive class of materials due to their excellent properties such as resistance to high temperatures and harsh environments, adjustability of mechanical and functional behavior, and compatibility with a broad range of shaping techniques. The liquid nature of the silicon-containing polymeric precursors and the composition tuning via addition of fillers set the stage for the creation of functionally graded ceramics (FGCs). Polysilazane-based precursors with and without divinylbenzene (DVB) addition for carbon concentration increase are sequentially cast in three different custom molds. DVB concentration, casting order, and thermal processing conditions are varied to study their influence on the interface nature and composition gradient of the functionally graded ceramic parts which are obtained after pyrolysis at 1000–1400 °C. Synchrotron-based tomographic microscopy was applied for high-resolution 3D visualization of the parts, allowing clear distinction of the dissimilar regions and approximate quantification of the composition gradients tunable from submicrometer to millimeter transition lengths. Achieving spatially defined electrical conductivity contrasts in monolithic silicon carbonitride parts, an LED attached onto a FGC plate outlines a promising use case for harsh environment applications.



Keywords

Polymer-derived ceramics, Functionally graded materials, Functionally graded ceramics, Molding, Tomographic microscopy

1 Introduction

Polymer-derived ceramics (PDCs) such as silicon carbonitride (SiCN) exhibit a unique set of properties including resistance to high temperatures and harsh environments, property tunability, and compatibility with a broad range of shaping techniques [1]. Mastery of these three aspects would ultimately allow for advanced PDC-based applications in harsh environments. In addition to homogeneous inert elements as passive [2] or sensing [3] material, engineering of property gradients in PDC parts could render complex functionality integration possible where otherwise ceramic-ceramic or metal-ceramic joining is common yet prone to failure due to atomic bonding differences or mismatching coefficient of thermal expansion (CTE) [4]. These so-called functionally graded materials (FGMs) or more specifically functionally graded ceramics (FGCs) are defined as volumes with a continuous, stepped, or spatial change in composition and / or microstructure [5,6].

A conventional approach for harsh environment monolithic devices is co-fired ceramics where green tapes are patterned, filled with metallic pastes, and laminated. Categorized in high-temperature (HTCCs, $>1000\text{ }^{\circ}\text{C}$) and low-temperature co-fired ceramics (LTCCs, $<1000\text{ }^{\circ}\text{C}$), suited materials like high-melting (e.g. platinum) or lower temperature melting metals (e.g. silver) and glassy phases, respectively, are applied [7]. However, involved noble metals are expensive, co-fired ceramics are also constraint by mismatched CTEs [8] and especially LTCCs have limited operating temperatures. Mismatching CTEs and resulting stress is also the major challenge of metal-ceramic joining in processes such as brazing [9]. Recently, advances in additive manufacturing (AM) facilitated new arrangements and applications [5]. For instance, copper has been integrated into a kaolin ceramic matrix by a multi-vat digital light processing (DLP) technique. 3D freeform electrical paths were spatially defined within the otherwise insulating part, supplying an LED with power [10].

Overcoming poor thermomechanical properties of ceramic-ceramic assemblies joined by brazing or diffusion bonding [9], silicon-containing preceramic polymers (PCPs) were investigated as liquid

adhesive. Colombo et al. joined silicon carbide with a thin layer of a siloxane-based solution [11] and according to their findings, the use of low heating rates is recommended [12]. Further examples using polysiloxanes [13], polycarbosilanes [14], or polysilazanes [15] as adhesive have been demonstrated for SiAlCN, SiC, or copper/epoxy molding compound composite to be joined. Strength of the obtained joints is in the order of other joining techniques and often is more temperature resistant. Compared to the bulk material, however, joints typically are the weak point. This problem was overcome for PDCs by the "polymer-based bonding" technique, presented by An et al. [16] and Liew et al. [17,18] in 2000/2001. Joining the parts in the organic green state before pyrolysis, using the same liquid PCP (formulation) the green parts were derived from, established crosslinking across the parts. This process, yielded neither noticeable defects nor any noticeable interface after pyrolysis.

Two major advantages of PDCs are their great compatibility with various shaping techniques and the adjustability of their properties. Extensive efforts have been invested in the investigation of techniques benefiting from the liquid nature of the precursor, e.g. fiber drawing, coating, casting, or additive manufacturing [16,19–22]. Many groups studied the influences of precursor class, filler addition, processing route, and thermal as well as atmospheric processing parameters on the ceramics' composition, microstructure, and mechanical and functional properties [23–30]. Tuning of PDCs' electrical conductivity is mainly achieved by the addition of metallic or carbon (C) fillers. Several metals were used as conducting phase, introduced in the form of metal salts or complexes including for example titanium [31,32], or copper, nickel, and cobalt [33,34]. More commonly, carbon-fillers are added. Divinylbenzene (DVB) is cost-efficient and conveniently mixes and cross-polymerizes with the PCP [35–38] but several carbon allotropes such as carbon nanotubes (CNTs) and graphene have been demonstrated to significantly increase the PDC's electrical conductivity as well [39–43]. Depending on the carbon concentration and distribution, the dc conductivity at room-temperature (RT) ranges across up to 15 orders of magnitude (typically $\sim 10^{-10}$ – 1 S/cm) [1,44], recent observation of "abnormal graphitization in near-surface/ interface regions" locally yielded values up to 14 S/cm [45]. The increased occurrence near the surfaces was observed not only in the case of carbon, but also in case of titanium-filled silicon oxycarbide [32].

The graded structure of FGMs allows for unique combinations of properties that cannot be achieved

with monolithic composites[46]. Few examples of functionally graded PDCs have been reported so far. One class is concerned with the creation of a porosity gradient by controlled bubble nucleation and viscosity increase dynamics or a temperature gradient during thermal curing [47–50]. Diode functionality based on a p-n-junction has been demonstrated by combining a p-type SiCNO and an n-type SiBCNO [51,52]. A continuous ceramic-to-polymer gradient composite has been manufactured by dissimilar thermal processing of a green state bar in a custom-designed heating and cooling system [53]. A PDC-based double-layer thermistor has been developed by combination of a DVB-filled SiCN sensing element onto an unfilled SiCN serving as substrate [38]. Lamination of several partially crosslinked PCP tapes filled with small or large silicon carbide particles followed by pressure-assisted thermal processing yielded functionally graded ceramic matrix composites with adjustable mechanical properties [54].

Making use of the liquid nature of the precursors as well as the ability to tune the ceramic composition and electrical conductivity by addition of DVB, functionally graded PDCs with an engineered contrast and functionality integration are presented in this work. Geometrically defined combination of organopolysilazane-based PCP formulations with and without DVB in an early stage of the processing route followed by joint thermal treatment yields monolithic SiCN parts, in which a slight contrast in atomic composition and microstructure results in a conductivity contrast of 5–10 orders of magnitude while otherwise behaving very similarly in terms of material properties. This contrast is due to the segregated free carbon occurrence and microstructure which represents the only conducting phase in the material at RT [44]. The influence of the casting order and thermal processing parameters on the interface nature is investigated by means of scanning electron microscopy (SEM), transmission electron microscopy (TEM), and synchrotron-based tomographic microscopy, allowing for a high-resolution 3D visualization of the FGCs. As a sample application, an SiCN plate with two electrically conducting feedthroughs seamlessly integrated into an insulating matrix is presented which supplies a light-emitting diode (LED) with power. This technique reduces time and effort for ceramic joining or assembly as well as the risk of failure. Considering the excellent properties of PDCs and the PDC route's compatibility with several advanced shaping techniques, functionally graded PDCs are a promising approach for applications in chemical engineering, energy conversion, and biomedical

implants.

2 Materials and methods

2.1 Synthesis of functionally graded SiCN Ceramics

The functionally graded ceramic samples were fabricated by molding in CNC-machined polytetrafluoroethylene (PTFE). For successful green part / body (GB) mold release, the mold surface finish is essential, the areal roughness (S_q / S_a) should be in the order of $5\ \mu\text{m}$ or better. The dissimilar PCP formulations were sequentially cast, normally with an intermediate thermal (pre-)curing step (Figure S1b). The mold geometry for the first type of contrast samples is shown in Figure S2a. These molds consist of two cylindrical layers with diameters of 6 and 8 mm and a depth of $500\ \mu\text{m}$ each. Eight of them are combined in one mold array. One or several of these PTFE mold arrays were placed on the level hotplate inside the argon (Ar) glovebox. The general material processing is based on our previous work [55] which among other results reports mechanical and electrical properties as well as compositional data also relevant to this current work. The modified fabrication process of the current work is outlined in Figure 1. The casting and thermal curing sequences and volumes are provided in Table 1. The DVB-filled PCP formulation (3% dicumyl peroxide (DCP), typically 60% DVB), $19.8\ \mu\text{L}$ ($\equiv 140\%^1$ of the layer's volume) was first pipetted into each molds' lower layer and thermally cured. When cooled down to RT, the DVB-unfilled PCP was cast and jointly crosslinked. Nomenclature of the contrast samples is based on the casting order, these samples will therefore be referred to as DVB60|00 bilayer in the following. In case of the opposite casting order (DVB00|60), the DVB-free PCP was cast into the molds' lower layers and extensively thermally cured. The DVB containing PCP (typically 60%) was then pipetted on top and cured in a 3-step sequence of 10 min each at 100, 150, and $200\ ^\circ\text{C}$. For demolding, molds were generally flipped upside down and gently tapped. PTFE molds were immersed in acetone, brushed, rinsed with IPA, and dried before reuse.

The second graded sample type are rectangular bars with aspect ratios (AR) of $3.25 \leq \text{AR} \leq 4$ in which the dissimilar PCPs were arranged laterally (LHBs). Eight molds are combined in one array in

¹PCP overfilling compensates for partial volatilization during thermal crosslinking and contributes to a more planar interface in DVB60|00 casting order. In the opposite DVB00|60 casting order, it was not done in case of the upper layer to prevent DVB60 overflow.

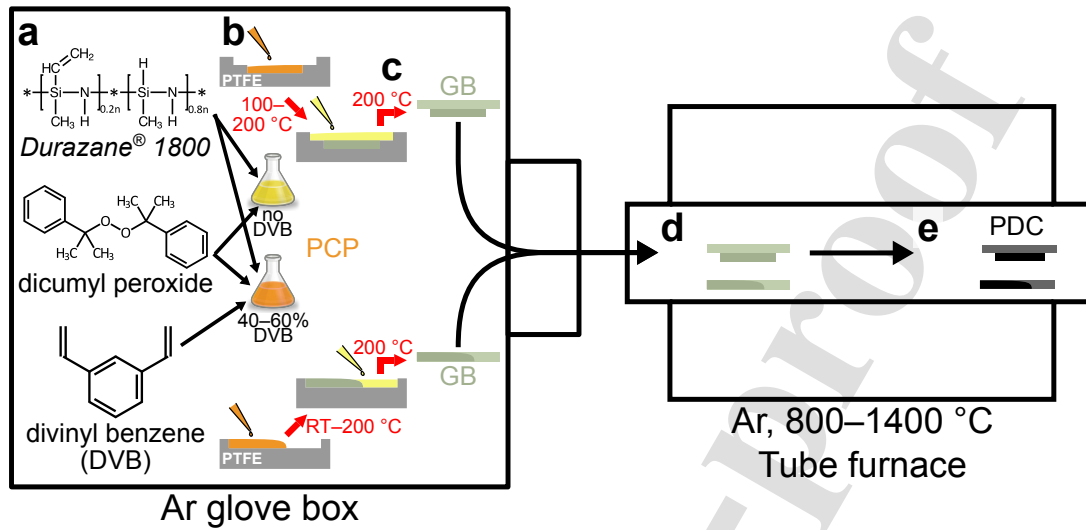


Fig. 1: Schematic of the PDC fabrication process in argon atmosphere: a) Preceramic polymer formulation; b) bilayer or lateral casting and thermal crosslinking; c) solidification and green body mold release; d) transfer into the tube furnace for pyrolysis; e) transformation to functionally graded PDCs.

Tab. 1: Nomenclature and fabrication details of functionally graded SiCN parts: Composition casting order, absolute volumes, relative filling with respect to the theoretical mold volume, and thermal curing conditions for bilayer, laterally heterogeneous bars, and bi-feedthrough geometry. 3S abbreviates the standard 3-step curing sequence for DVB-filled precursors (10 min @ 100 °C + 10 min @ 150 °C + 10 min @ 200 °C).

Arrangement	Name	1 st casting Volume (μL / %)	Thermal pre-curing	2 nd casting Volume (μL / %)	Final curing
Bilayer	DVB60 00 (TEM)	DVB60 19.8 / 140	10 min @ 100 °C + 10 min @ 150 °C	DVB00 25.1 / 100	30 min @ 200 °C
	DVB60 00	DVB60 19.8 / 140	15 min @ 100 °C	DVB00 30.2 / 120	30 min @ 200 °C
	DVB00 60	DVB00 19.8 / 140	30 min @ 200 °C	DVB60 25.1 / 100	3S
LHB	Std (T0): GB-L	DVB40 11.2 / 140	3S	DVB00 9.7 / 120	30 min @ 200 °C
	T2: GB-L	DVB00 9.7 / 120	5 min @ 200 °C	DVB40 9.7 / 120	3S
	T4: L/GB-L	DVB00 9.7 / 120	1 min @ 175 °C	DVB40 9.7 / 120	3S
	T5: L-L	DVB40 11.2 / 140	none	DVB00 9.7 / 120	20 min @ 150 °C + 10 min @ 200 °C
BFT	DVB40 00	DVB40 2x 18.0 / 130	3S (but 20 min @ 200 °C)	DVB00 27.5 / 74	20 min @ 180 °C + 10 min @ 200 °C

PTFE, shown in Figure S2b. They are 500 μm deep, have corner radii of 1 mm and six different sizes with lateral dimensions of 8×2, 9.5×2.5, 11×3, 14×4, 20×6 and 26×8 mm^2 , of which only 11×3 mm^2 is used in the following. As illustrated in the Figure 1, the first PCP formulation was pipetted into one end of the cavity, followed by a thermal intermediate pre-curing step, pipetting of the dissimilar precursor into the mold's opposite end, and a joint final curing step. Sequence, volumes, and thermal treatments indicating the decreasing extent of thermal pre-curing from type 0 (standard: green body-liquid joining) to type 5 (liquid-liquid joining) are shown in Table 1.

Composition-contrast ceramic bi-feedthrough (BFT) plates were fabricated using dedicated CNC-machined PTFE molds which consist of a square matrix of 20×20 mm^2 with a depth of 1 mm and corner radii of 2 mm. There are two 100 μm recessed circular areas of 4 mm diameter and 4 mm spacing which serve for placing the liquid inset PCP. One large droplet of the DVB 40 PCP was placed onto each recessed area. The volumes of 18 μL were chosen, in order to achieve the maximum material height with no or minimal lateral spreading exceeding the predefined area. To render the PCP droplets stable, they were thermally pre-cured before the dissimilar matrix PCP (DVB00) was cast around. The matrix volume of 275 μL was selected to not bury the green parts but leave the insets' top exposed. After joint thermal curing and thermosetting of the matrix, BFT green parts were released from the mold by upside down tapping at elevated temperatures around 100 °C.

The composition-contrast green parts were placed in Al_2O_3 crucibles for Ar atmosphere pyrolysis. Bilayer samples were processed at heating and cooling rates of 100 K/h and peak temperatures of 1000 and 1400 °C (Figure S3: solid lines). The seven types of laterally graded bars and BFT plates were pyrolyzed at 1000 and 1400 °C as shown in Figure S4a,c. In this case, pyrolysis parameters were optimized with a reduced heating rate of 60 K/h in the temperature range of 300–800 °C (Figure S3: dotted lines), resulting in low scrap rates of 0% for 1000 °C and 14% for 1400 °C pyrolysis (Figure S4b,d).

2.2 Characterization

The cross sections of some samples were prepared by means of cleaving or diamond-wire sawing. Part inspection and imaging were performed by optical microscopy (OM; M80, Leica, Germany;

136 Eclipse L200, Nikon, Japan) and SEM (Merlin, Zeiss, Germany). The electrical conductivity was
 137 measured in a 2-probe bulk approach in transition. A custom two-probe setup was built where the
 138 probes were connected to a Keithley 2400 source meter. In order to ensure a good electrical contact,
 139 the Cu probe tips were polished and a conductive two-component Ag epoxy glue (Epo-Tek[®] H20E,
 140 Epoxy Technology, United States) was applied on both sides of the PDC discs to be measured. The
 141 Ag glue was placed centered and spread to cover a circular area with 80% of the specimens's diameter.
 142 Samples were clamped between the probes for measurement and then flipped and recontacted for a
 143 second measurement. Resistance R was obtained as the inverse of the IV-curve linear regression
 144 line, IV ranges were adapted depending on the samples' conductivity and kept well below values
 145 which would cause heat induced effects. Based on Pouillet's law (Equation 1, with t for the specimen
 146 thickness and A for the effective cross-sectional area), the conductivity σ_{dc} was finally calculated
 147 in good approximation through rearrangement and calculation of the approximative specimen area
 148 based on the silver epoxy contact diameter d_{Ag} (Equation 2):

$$R = \rho \frac{t}{A} \quad (1)$$

$$\sigma_{dc} = \rho^{-1} = \frac{t}{RA} = \frac{t}{R\pi \left(\frac{d_{Ag}}{2}\right)^2} = \frac{4t}{R\pi(d_{Ag})^2} \quad (2)$$

150 Preparation for TEM analysis of a DVB60I00 1400 °C pyrolyzed bilayer sample (intermediate cur-
 151 ing: 10 min at 100 °C, 10 min at 150 °C), involving 1 μ m carbon sputtering and gallium (Ga+) FIB
 152 lamella cutting, was performed with a NVision 40 (Zeiss, Germany). TEM, high-resolution trans-
 153 mission electron microscopy (HRTEM), and energy-dispersive X-ray spectroscopy (EDX) were then
 154 conducted on a Tecnai Osiris (FEI, United States).

155 Several bilayer and laterally heterogeneous SiCN samples were analyzed by means of X-ray ab-
 156 sorption contrast tomographic microscopy. To achieve sufficient contrast between the dissimilar SiCN
 157 regions, relatively low energy X-rays had to be used, which determined the dimensions of the spec-
 158 imens to be in the range of a few hundreds of micrometers. For this reason, bilayer samples were
 159 typically cleaved into small pieces. In case of the lateral composition-gradient bar samples, the ROI,
 160 a sharp angle triangular center piece, was cut out of each sample (sized $\sim 8.2 \times 2.2$ mm² resulting from

11×3 mm² molds) by diamond wire sawing (Figure S5). Microtomography was performed at PSI SLS TOMCAT beamline [56]. The vertically mounted PDC samples were placed into the 12, 15 or 20 keV X-ray beam and rotated by 180° around their long axis. Data was acquired with a detector system consisting of a 20 µm thick LuAG:Ce scintillator, a 10× or 20× microscope lens, and an sCMOS camera. These configurations result in a field of view of 1.66×1.4 mm² or 830×700 µm² and pixel sizes of 0.65 or 0.325 µm for 10× or 20× magnification, respectively. A gridrec algorithm [57] reconstructed tomographic volumes from the X-ray projections which were then visualized and analyzed using Avizo software (Thermo Fischer, United States). In the case of T4, three adjacent scans were stitched.

3 Results and discussion

3.1 Microstructure of high-contrast graded SiCN

Comprehensive compositional and microstructure information at high resolution was first obtained for one DVB60I00 bilayer sample (the lower DVB60 layer was pre-cured during 10 min at 100 °C and 10 min at 150 °C; 1400 °C pyrolyzed) which was prepared for SEM, EDX, and TEM. On the lamella, a gray contrast is clearly visible in the SEM image in Figure 2a i. Thanks to a sensitive EDX detector and high DVB-filling contrast (60I00), EDX mapping (Figure 2a ii) was able to visualize a significant difference of carbon concentration between the (upper) filled and (lower) unfilled SiCN. An EDX line scan was performed perpendicular to the interface. The carbon and silicon concentration profiles shown in Figure 2a iii allow for an approximate quantification of the compositional transition length which is in the order of 0.5 µm in this case with extended lower layer intermediate pre-curing.

The same DVB60I00-contrast lamella was then imaged by TEM. Several HRTEM images were recorded in the interface region but within the field of view of 100×65 nm², no obvious change or trend in the random glassy network microstructure could be observed in single images. Two examples of HRTEM images from homogeneous regions are shown in Figure 2b. They originate from locations approximately 2.5 µm away from the interface and exhibit features typical for SiCN processed at high temperatures of 1400 °C. Both the unfilled (Figure 2b i) and DVB60-filled (Figure 2b ii) material contain short-range structural features. Firstly, there are approximately 10 nm large dark crystallites with an interplanar distance of 0.25 nm, corresponding to β -SiC's (111) d-spacing [35]. The planes

of this β -SiC cause the two diffraction rings with the relative high intensity in the selected area electron diffraction (SAED) patterns [58] which were recorded in thicker lamella regions in order not to be impaired by possible beam amorphization effects. Secondly, both HRTEM images also show ribbon-like features with interlayer spacings ranging from 0.34 up to 0.42 nm. These features are turbostratic graphitic phases, also called basic structural units (BSUs). Comparing their occurrence and structure between DVB00 and DVB60 SiCN, there are noticeably more and longer BSUs in the DVB-filled material, which furthermore consist of more stacked layers. The selective addition of DVB as a carbon-filler to the PCP therefore effectively altered the obtained SiCN's composition and microstructure, with spatial control in a monolithic sample.

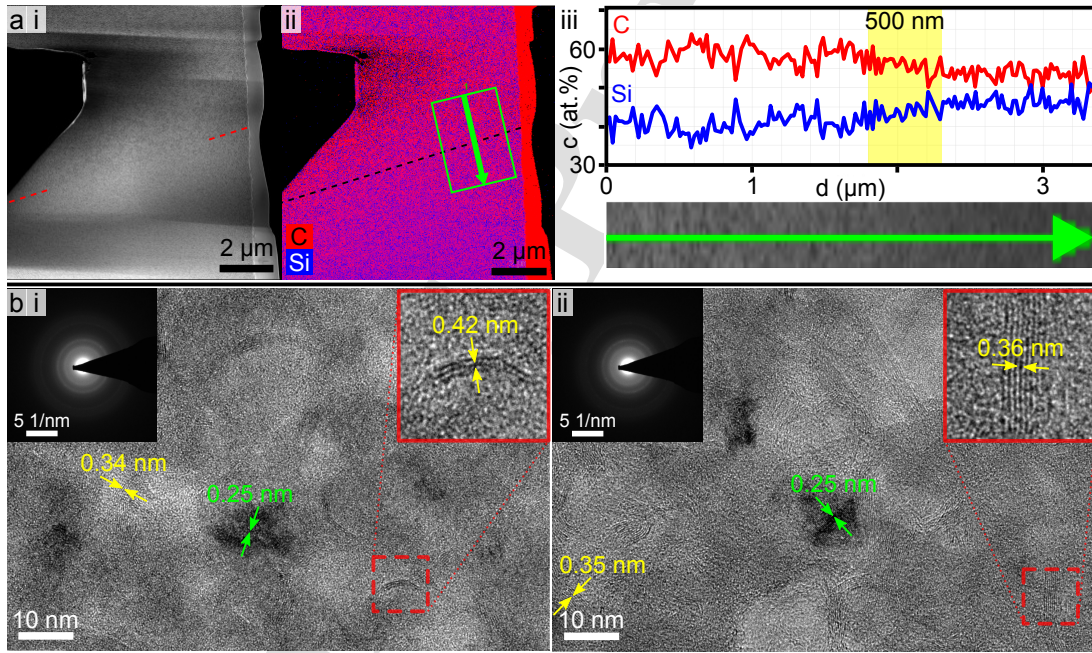


Fig. 2: HRTEM analysis of 1400 °C pyrolyzed DVB60/00 bilayer sample FIB-cut into lamella:
a) EDX analysis: **i)** SEM image with interface position highlighted in red; **ii)** C and Si EDX map with line scan positioning highlighted in green, perpendicular to interface line; **iii)** line scan EDX data showing a transition length of ~500 nm; **b)** HRTEM images with SAED patterns: **i)** characteristic TEM image of DVB00 region with SiC precipitate and few C BSUs highlighted in green and yellow, respectively; **ii)** characteristic TEM image of DVB60 region with SiC precipitates and overall more C BSUs.

3.2 Influence of the casting order

Tomographic microscopy allows for high-resolution 3D visualization of entire sample volumes. Due to only slight difference in the chemical composition, X-ray attenuation coefficients of DVB-filled and unfilled ceramic regions are very similar, resulting in a very poor contrast. Using monochromatic and partially coherent synchrotron light allowed to obtain a sufficient contrast to distinguish the two materials. The obtained resolution allowed to resolve features of $\sim 1 \mu\text{m}$. Fabricated in the 618 mm bilayer PTFE molds, two samples with opposite casting orders were compared. In case of DVB60|00 order, the lower DVB60 layer was mildly pre-cured (partially crosslinked) during 15 min at 100 °C. In the opposite DVB00|60 arrangement, the lower DVB00 layer was extensively pre-cured during 30 min at 200 °C. The 3D reconstructed microtomography images of both sample types, cleaved into smaller pieces, are presented in Figure 3. For both casting orders (Figure 3a,d), the volumes are entirely free of cracks and pores, despite the high DVB-filling contrast and high pyrolysis temperature of 1400 °C. This indicates the suitability of the pressureless thermal crosslinking conditions and the applied heating and cooling rates of 100 K/h during pyrolysis. DVB as a crosslinker and carbon filler is generally advantageous in PDC processing due to enhanced hydrosilylation occurrence. Hydrosilylation is a favorable crosslinking mechanism forming strong Si-C-Si / Si-C-C-Si units which improve the mechanical strength, reduce outgassing and increase the ceramic yield and therefore help to obtain dense and crack-free ceramics [35,59]. Layered phase contrasts are clearly visible in Figure 3a,b,d,e. The interfaces are seamless and curved, convex in case of DVB00|60 due to lower layer overfilling and concave in case of DVB60|00. The latter curvature is the result of the PCP top layer curing first, followed by partial volatilization of the subjacent volume (mainly short oligomers and DVB molecules). In order to quantify and compare the SiCN composition gradients resulting from the sequential casting of DVB-filled and unfilled precursor, intensity profiles perpendicular to the interface were again evaluated (Figure 3b,c,e,f). The grayscale line profiles confirm the visual impression from the 3D and 2D views that the DVB60|00 order exhibits a smoother transition in this case. There are two possible reasons contributing to the $\sim 25 \mu\text{m}$ long transition range. Firstly, DVB60 PCP has previously shown to typically form a rougher top surface [55] compared to DVB00 which results in a gradual change in the grayscale around the interface. Secondly, compared to the bilayer sample analyzed by TEM,

the lower DVB60 layer was pre-cured very mildly only. Thermal treatment during 15 min at 100 °C (compared to 10 min each at 100 and 150 °C) was apparently sufficient to consolidate the global shape (curved interface line) but possibly still allowed for interlayer mixing or DVB diffusion processes on the micro-scale. This indicates that the composition gradient in bilayer SiCN is adjustable by the variation of the pre-curing degree. The third example (DVB00|60 arrangement), where the lower layer was extensively thermally crosslinked (30 min at 200 °C) suggests a chemical influence of the casting order on the interface nature. Despite extensive pre-curing, it shows a transition length of $\sim 5 \mu\text{m}$ (Figure 3e,f), in between the previous two values. In this case, the DVB molecules contained in the upper liquid precursor formulation potentially diffused into the DVB-free GB layer below before the second thermal curing step immobilized them by integration into the upper layer crosslinked network.

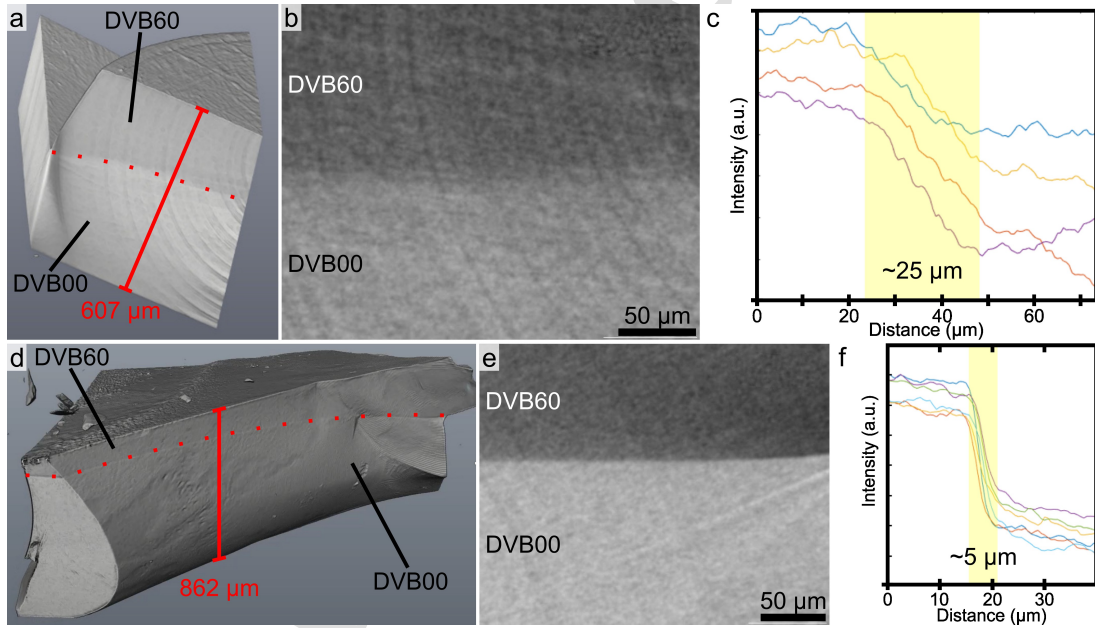


Fig. 3: Microtomography of 1400 °C pyrolyzed 60% DVB contrast bilayer SiCN and transition length determination: a) 3D reconstruction of a DVB60|00 piece; b) 2D view on interface region serving for grayscale intensity profile measurements; c) several intensity profiles perpendicular to the interface, featuring a transition length of $\sim 25 \mu\text{m}$ for DVB60|00 casting order; d) 3D reconstruction of a cleaved DVB00|60 piece; e) 2D view on interface region; f) several intensity profiles perpendicular to the interface, featuring a transition length of $\sim 5 \mu\text{m}$ for DVB00|60 casting order. Ring artifacts visible in a) and b), less expressed in d) and e).

3.3 Influence of the intermediate curing

With the objectives to facilitate electrical characterization, increase the segment lengths perpendicular to the interface, and reduce influencing factors, a set of four different types of laterally heterogeneous SiCN bars was investigated where only the degree of pre-curing from extensive to none and the casting order were varied. Types 0, 2, 4, and 5 (Table 1) were selected which were all fabricated in the same molds ($11 \times 3 \text{ mm}^2$), with the same DVB00/40 filling contrast, identical pyrolysis conditions (60 K/h heating rate in the critical range, 1000 °C peak temperature), and same cut triangular geometry (Figure S6f) for scanning. T0 represents the GB-L approach where the first part was solidified by extensive thermal curing before addition of the second part. On the contrary, not any intermediate curing was performed in case of T5, both dissimilar PCPs were directly pipetted in liquid state (L-L). With T2 and T4, two examples with pre-curing to slightly and significantly reduced extent were included.

The four prepared specimens, shown in Figure S5, have lengths ranging from 3.4–4.4 mm, while the microtomography setup's field of view covers only 700 μm in this dimension. Even when the top surfaces exhibited signs of an interface line as it was the case for T2 and T5 (Figure S5c,g,i,j), capturing of the interface region in a single scan remained very challenging due to its non-vertical character.

3.3.1 Short gradients with intermediate curing

The 3D reconstructed data of a T0 (DVB40|00) scan in Figure 4a i shows the smooth top surface entirely consisting of DVB00 SiCN. Below, a darker layer is clearly distinguishable with a decreasing thickness from the wider DVB40 to the narrower DVB00 end. The interface shape and orientation are a consequence of the casting process as Figure 1 illustrated previously. A closer look at the cross section reveals the presence of three distinct layers, suggesting that the cured DVB40 tip partially lifted from the PTFE mold bottom. The liquid DVB00 PCP then both filled this gap and covered the sloped top surface. The captured volume is crack-free and shows no porosity or interface delamination. The rough top surface which DVB40 typically forms was conformally adopted by the DVB00 layer above. Segmentation and partial blue coloring of the latter in Figure 4a ii bring out the rough but sharp in-

terface character in this DVB40/00 T0 sample with extensive pre-curing. While the brighter unfilled layer appears homogeneous and fully dense, the generally darker DVB-filled region seems inhomogeneous. Darker features (reduced X-ray absorption due to a smaller linear attenuation coefficient) are present with an increasing occurrence towards the bottom surface.

The same kind of low-density features was also observed in the type 2 sample where DVB-free PCP was cast first and partially crosslinked during 5 min at 200 °C. They occurred exclusively in the DVB40 region and are visible on the entire cross section in Figure 4b i. Segmentation and green highlighting within the transparent matrix (Figure 4b ii) demonstrates their distribution and hence the two-phase arrangement in 3D. The zone within the sample where the two dissimilar PCPs overlap is exactly captured in the field of view of this scan. Clearly visible in this side view, the reduced extent of thermal pre-curing did not significantly alter the interface characteristics. The interface line is clearly defined and due to the DVB00/40 casting order smoother in this case.

Neither in the case of the scanned homogeneous DVB40 discs from our previous work [55] nor the bilayer samples' DVB60 layers (Figure 3), such low-density features were observed before. The lab scale microtomography system used for the homogeneous samples lacks both the required resolution and contrast. The bilayer samples were scanned at PSI TOMCAT, for a larger field of view with the 10× lens compared to the 20× lens setup applied in case of the laterally heterogeneous bars. Consequently, voxels of the bilayer sample scans were $2^3=8$ times larger, therefore possibly not resolving the features before. Furthermore, it is also possible that the inhomogeneity with light features only occurs in DVB40 material and not in case of lower or higher DVB-filling concentrations. The microtomography data alone does not allow the clear identification of the low-density features. They could either consist of a light solid element (e.g. graphitic carbon) or a gas (porosity). For this reason, all four laterally arranged specimens were cleaved approximately in the middle and their cross sections were inspected by SEM. The images in Figure S7 show the fracture surfaces but no evidence of any porosity, suggesting fully dense character of the SiCN material. The smooth and dense T4 cross section (Figure S7h,i) resembles DVB00 SiCN at this position but T2 or T5 (Figure S7d-g,j-k) exhibit dark features which do not appear like pores. These observations suggest that phase separation occurs and micrometer-sized graphitic carbon forms in DVB40-filled SiCN. This possibly results

from the decomposition of locally enriched polymerized DVB which did not cross-polymerize with the polysilazane.

3.3.2 Longer gradients through reduced intermediate curing

With decreasing extent of pre-curing, longer composition (i.e., carbon concentration) gradients are expected. In case of the PCP-joining type 4 (DVB00 partially pre-cured during 1 min at 175 °C), three vertically shifted microtomography scans were recorded. By stitching of the adjacent data, a nearly 2 mm long continuous segment of the specimen was reconstructed in 3D. The grayscale image in Figure 4c i shows a crack-free volume with a smooth top surface and rough side walls (from the diamond wire sawing). Both the top and bottom surface exhibit aligned c-shaped grayscale contrasts, indicated by the red arrow. In the typical concave shape of the firstly cast PCP (DVB00 in this case), this suggests a partial material consolidation resulting from the mild pre-curing avoiding extensive mixing of the two dissimilar PCPs. In the bulk volume, the same low-density features as observed in the previous two cases are present. These features, which are presumably graphitic carbon again, were segmented and highlighted in Figure 4c ii in green. They occurred across the entire captured specimen length. The side view visualizes a high concentration in the direction towards the sample's DVB40 end. From right to left, the concentration decreases continuously with a noticeable drop at the position of the superficial grayscale contrasts. At the left end of the captured volume (DVB00 direction), almost no more low-density features are present. This example of mild pre-curing (T4) suggests that composition contrasts in polymer-derived SiCN can be tailored to yield gradients ranging from the submicrometer to the millimeter range, probably ~2.5 mm in this case. For an exact quantification, more scans would be required to cover an even longer part of the specimen.

Lastly, the liquid-liquid approach with no pre-curing was analyzed using the example of T5 (DVB40|00). The processed microtomography data from one scan is presented in Figure S8. The grayscale 3D images (Figure S8a-c) show typical bottom and sidewall texture and a rough top surface. Cross sections exhibit no lateral material contrast or interface but the inhomogeneously distributed dark features observed in DVB40 material before. The segmentation (yellow) in Figure S8d,e reveals predominant occurrence in proximity to the bottom and top surface which could be recently discussed

near-surface abnormal graphitization [45], but is also more expressed to the top left. Localization of the captured volume on the OM images in Figure S5g,j suggests that the transition is centered a bit further in the DVB00 direction and therefore not captured in this scan. The composition gradient in this L-L approach is expected to be longer than in the previous T4 type, therefore no significant trend of the low-density feature concentration could be identified within the covered range of less than 700 μm on the DVB40 side of the interface. However, above-mentioned observations, such as the visible contrast in the GB state (Figure S6a) or the significant difference in both ends' electrical conductivity in PDC state (Figure S6i and Table S1), have confirmed that there is a long-range composition- and property-contrast also in case of type 5.

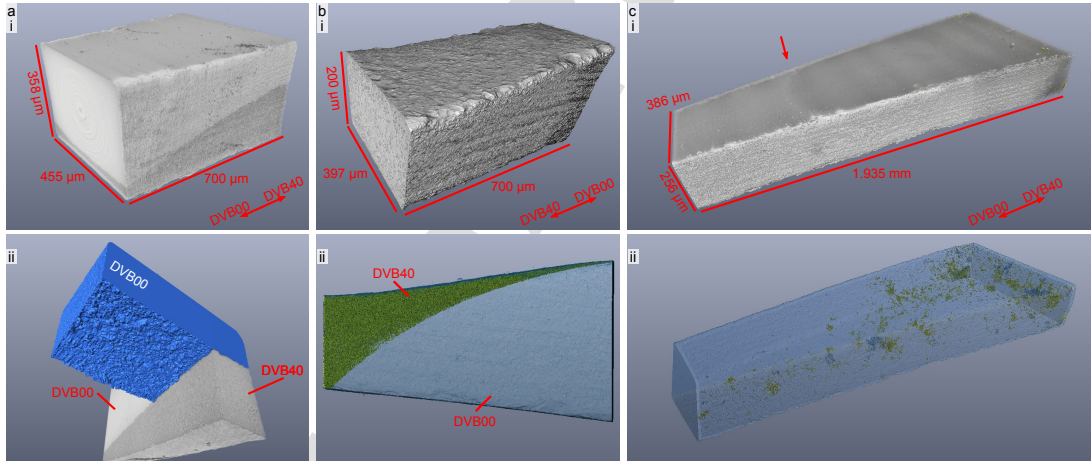


Fig. 4: Tomographic microscopy of triangular center pieces of 1000 °C pyrolyzed laterally heterogeneous bars. **a) T0:** The DVB40 PCP was cast first and extensively pre-cured before casting of the DVB00 PCP: **i)** 3D reconstruction with smooth upside up, crack- and pore-free volume; **ii)** segmentation and partial highlighting of the DVB00 layer in blue to bring out the interface roughness; **b) T2:** The DVB00 PCP was cast first and shorter-time pre-cured before casting of the DVB40 PCP: **i)** 3D reconstruction with upside up, crack-free volume; **ii)** volume transparency and green segmentation of single-digit μm -sized volumes with much lower density which only occur in the DVB40 region, side view showing exactly the region where the two dissimilar PCP overlap, curved and smooth interface; **c) T4:** The DVB00 PCP was cast first and pre-cured to low extent before casting of the DVB40 PCP: **i)** 3D reconstruction stitched from three scans, upside up, crack-free volume with a c-shaped surface contrast; **ii)** volume transparency and green segmentation of single-digit μm -sized volumes with much lower density which occur more towards the DVB40 end.

3.4 Proof-of-concept application

Spatially defined property contrasts, e.g. in electrical conductivity, in functionally graded ceramic parts entirely composed of SiCN allow for an integration of functionality. As a first proof-of-concept, a monolithic SiCN square plate with two cylindrical electrical feedthroughs is proposed in Figure 5a, where high carbon content areas are seamlessly integrated into an insulating matrix. Among several possible fabrication processes, a two-step molding approach with an inset PCP droplet placing and extensive pre-curing (Figure 5b,c) and reduced pyrolysis heating rate has led to intact SiCN plates of 14×14×0.6 mm³ size. Mechanically stable electrical contacts were established by a silver epoxy and an LED as a simple example of an electronic component was powered and lit up through the monolithic BFT SiCN plate (Figure 5d). However, further fabrication optimization and extended testing are required in order to ensure precise spatial definition of the conductive and insulating ceramic areas allowing for efficient and safe application. Ultimately, complexity in geometry and functionality would be increased and functionally graded all-ceramic reactor chambers or housings for electronics could be realized. Instead of the prototype visualization (an assembled BFT plate fitted into a non-ceramic case: Figure S9), two or more parts could be joined in green state by the polymer-based bonding technique and yield full ceramic bodies after pyrolysis.

4 Summary & Conclusion

In this work, the development and characterization of functionally graded polymer-derived SiCN was introduced. Based on pressureless thermal processing of the preceramic poly(methylvinylsilazane) *Durazane 1800* and the composition- and property-tuning by DVB addition, monolithic ceramic parts with engineered property-contrasts were fabricated by consecutive casting of dissimilar precursor formulations. DVB-filled and unfilled PCPs were joined in PTFE molds in vertical bilayer or lateral arrangement. The degree of the intermediate thermal treatment was varied, i.e., liquid precursor of one composition was combined with cured, partially cured, or liquid precursor of the dissimilar composition. DVB-contrast green parts were transformed into carbon-contrast SiCN by pyrolysis under Ar atmosphere with peak temperatures of 1000 and 1400 °C and 60–100 K/h heating and cooling rates. Obtained ceramic parts, their phases, and the interface characteristics were analyzed by microscopy,

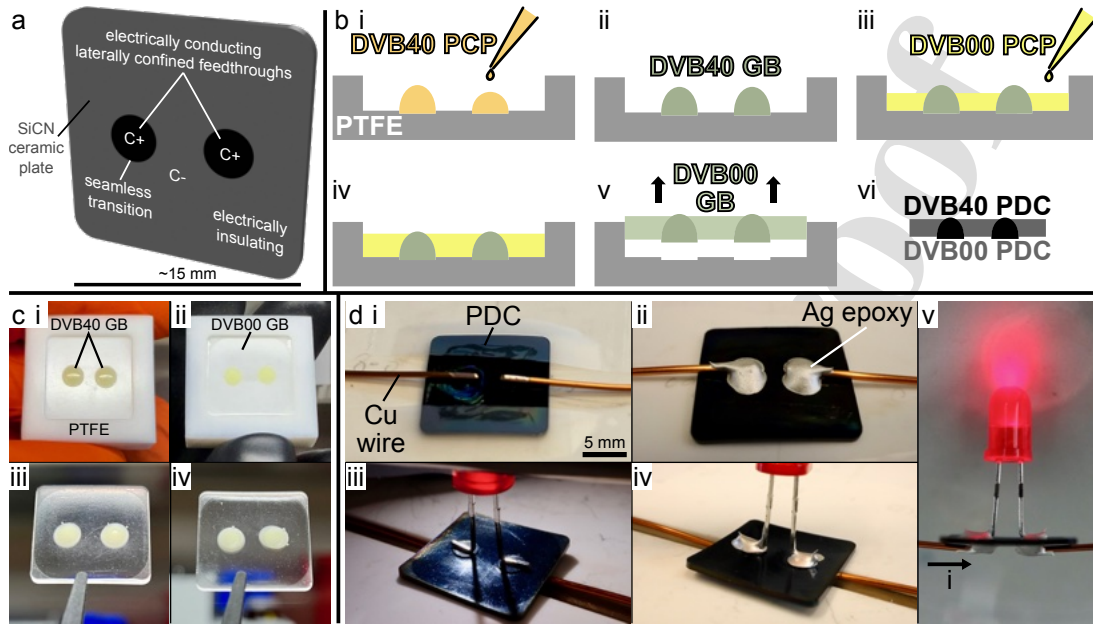


Fig. 5: Functionally graded bi-feedthrough SiCN plate as a usage example: **a)** schematic concept showing the two electrical feedthroughs which exhibit locally defined higher carbon content; **b)** schematic fabrication process: **i)** inset DVB40 PCP formulation droplet placing; **ii)** thermal crosslinking of insets; **iii)** casting of the DVB00 matrix PCP; **iv)** matrix filling height slightly lower than droplet peak; **v)** removal of the crosslinked bi-material GB from the mold; **vi)** BFT-PDC plate obtained after pyrolysis; **c)** photographs of the fabrication process: **i)** two thermally cured DVB40 PCP droplet insets; **ii)** fully cured BFT plate with DVB00 matrix in PTFE mold; **iii)** upside of a demolded green state BFT plate; **iv)** bottom side of a demolded green state BFT plate; **d)** FGC demo device assembly process: **i)** positioning of Cu wires on the ceramic plate's top side feedthrough regions; **ii)** Ag epoxy applied and thermally cured for electrical contact and mechanical fixation; **iii)** positioning of the LED electrodes on the bottom side feedthrough regions; **iv)** Ag epoxy applied and thermally cured; **v)** power supplied through the ceramic lighting up the LED.

electrical conductivity measurements, EDX, TEM, and synchrotron-based tomographic microscopy.

Pore-free composition-contrast green parts were fabricated in short time by consecutive casting of the organopolysilazane-based precursor system with DCP and DVB and pressureless thermal curing at maximum temperatures of 200 °C. Low heating and cooling rates in the order of 60–100 K/h during the inert atmosphere pyrolysis have shown to be essential for the conversion to crack-free carbon-contrast PDCs. Cross-sectional microscopy and 3D microtomography have demonstrated the dense and defect-free character of millimeter-sized parts in different geometries and arrangements. The same techniques have also shown that seamless interfaces with no signs of delamination were formed irrespective of the PCP joining states. The character of the interface between ceramic regions

362 derived from unfilled PCP and PCP filled with DVB at concentrations of 40–60 wt.% was analyzed and
363 correlated to the casting order and extent of intermediate thermal curing. If DVB-filled precursor was
364 cast first and thermally pre-cured before being brought into contact with the unfilled liquid counterpart,
365 partial volatilization altered the interface shape (e.g. forming curvature) which was typically rough.
366 The inverted casting order yielded geometries more accurate to shape and smoother interface planes.
367 The sharpest material contrast with an interface transition length below 1 μm was observed by EDX
368 in case of the DVB60/00 bilayer arrangement with extensive intermediate curing. Several steps of
369 longer gradients (5 μm , 25 μm , and >1.9 mm) were visualized by microtomography, resulting from
370 varied casting order and reduced intermediate thermal curing. Even when the dissimilar PCPs were
371 joined both in liquid state (laterally) with no intermediate curing, long range composition contrasts
372 were obtained as electrical conductivity measurements of the monolithic SiCN material suggested.
373 Localized differences in carbon concentration were identified in electrical conductivity contrasting
374 samples by EDX and TEM microstructure analysis. Microtomography of C-contrast SiCN exhibited
375 micrometer-sized low-density features in regions derived from DVB40 precursor which are probably
376 graphitic carbon. While the liquid PDC route is well compatible with molding, shape forming of the
377 interface requires further research with attention to gravitational and mold surface wetting influences.

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385 Declaration of interests

386 The authors declare that they have no known competing financial interests or personal relationships
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L.H. and J.B. conceived the idea and designed the experiments. L.H. fabricated the samples. L.H., M.M. and F.M. performed characterizations. All authors discussed and analyzed the results. L.H. wrote the manuscript and all authors commented on the manuscript. All authors have given approval to the final version of the manuscript.

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships ☒ that could have appeared to influence the work reported in this paper

The authors declare the following financial interests/personal relationships which may be considered ☐ as potential competing interests