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1	Axial xylem architecture of Larix decidua exposed to CO2 enrichment and soil warming at the
2	treeline
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16	Running headline: Axial xylem architecture at increased [CO ₂] and soil temperature
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Summary

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- 23 1. Trees continuously adjust their axial xylem structure to meet changing needs imposed by
- ontogenetic and environmental changes. These axial structure-function responses need to be
- coordinated among competing biophysical constraints to avoid failure of the xylem system. Here,
- we investigated if ontogeny or experimental manipulation of CO₂ and soil temperature influence
- these structure-function responses.
- 28 2. We performed detailed xylem cell anatomical quantification along the axis of 40-year-old *Larix*
- 29 decidua trees planted at the Swiss treeline and exposed to a combination of elevated CO₂ (+200
- 30 ppm) and soil warming (+4 °C) between 2001 and 2012. We assessed how mean hydraulic
- tracheid diameter (Dh), the cell wall reinforcement ($(t/b)^2$), tracheid wall thickness (CWT) and the
- 32 percent area of ray parenchyma (*PERPAR*) proxies for hydraulic efficiency, hydraulic safety,
- biomechanical support and metabolic xylem functions, respectively co-vary along the tree axis.
- 34 3. Dh increased from the stem apex to base, strictly following a power function ($R^2=0.81$),
- independent from ontogeny and experimental treatments. In contrast, axial trends of $(t/b)^2$ and
 - CWT were either influenced by treatment and/or ontogeny, or showed no axial trend (PERPAR).
- Additionally, we found that a larger *Dh* only at the stem apex promoted primary and secondary
- 38 growth.

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- 39 4. Our approach of analyzing xylem anatomical traits along the tree axis and across tree-rings
- 40 provides novel insights into xylem functional architecture and allows reconstructing xylem
- function over time. We conclude that the maintenance of hydraulic efficiency during ontogeny is
- very robust, that the conduit diameter undergoes a strong apical control, and plays a fundamental
- role for assimilation and tree growth. Instead, the other functional traits more plastically vary with
- ontogeny and environmental changes.

- 46 Key-words: axial scaling, cell wall thickness, elevated CO₂, ray parenchyma, soil warming,
- 47 structure-function relationships, tracheid lumen size, tree-ring anatomy

INTRODUCTION

Plants have developed different mechanisms to continuously adjust to environmental variability and changing needs and priorities. Short-term responses of physiological processes at different organizational levels are common to all plant types. However, especially for long-living trees that continuously increase in size and biomass, profound structural adjustments are necessary to meet changing requirements for transport, support and storage. In addition, many of these structural adjustments allow trees to acclimate to environmental variability and therefore to live for centuries or even millennia. Conversely, the legacy of past structural adjustments can constrain future responses of physiological processes (Meinzer, Lachenbruch & Dawson 2011; Anderegg *et al.* 2013). Thus, investigating how tree structures and their associated functions change over time and in relation to environmental variability provides a deeper understanding of tree growth and its determinants, which will ultimately help improve predictions of how forest ecosystems might be affected under different scenarios of climate change.

One emerging approach to gain such detailed structure-function insights is dendro-anatomy. Dendro-anatomy focuses on the quantitative assessment of the xylem tissues and cells, and the metrics or traits that can be derived and linked to specific xylem functions. The approach is based on the fact that xylem structural adjustments are permanently recorded and chronologically archived in the tree rings (Fonti *et al.* 2010), thus providing an explicit time frame in the retrospective analysis of the structure-function responses of trees to climate variability (Fonti & Jansen 2012). In conifers, the xylem is mainly composed of tracheids and parenchyma cells, both of which have multiple functional roles. Tracheids are axially-elongated cells that transport water from the roots to the canopy, provide mechanical support (Choat 2013; Bouche *et al.* 2014; Hacke 2015) and facilitate bending stiffness in the tree stem (Rosner & Karlsson 2011). In contrast, parenchyma cells are living cells that are predominantly organized as rays in conifers, running radially from the bark towards the pith, thus

physiologically integrating the xylem internally (Fonti *et al.* 2015) and with the phloem (Spicer 2014; Pfautsch, Hölttä & Mencuccini 2015). Collectively, parenchyma cells play a major role for storage and transport of water, nutrients (Beeckman 2016), and non-structural carbohydrates (NSC) (von Arx *et al.* 2017). In addition, parenchyma cells contribute in regulating the xylem hydraulics, e.g. through the osmo-regulation of axial and radial gradients of water potential (Brodersen & McElrone 2013; Lintunen *et al.* 2016) or by refilling embolized conductive elements (Salleo *et al.* 2009; Nardini, Lo Gullo & Salleo 2011; Ziemińska, Westoby & Wright 2015).

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Quantifying how the dimensions and abundance of tracheids and parenchyma cells change within trees and in response to both increasing tree size and environmental variability might provide important insights into the plasticity of xylem functioning. Earlywood tracheids are thin-walled and characterized by wide lumina that contribute most to the efficiency of water transport (Domec & Gartner 2002). Hydraulic efficiency is commonly estimated by the xylem specific conductivity (Mencuccini, Grace & Fioravanti 1997) or by the hydraulic lumen diameter (Rosner & Karlsson 2011). While both of these metrics can be estimated from tracheid lumen properties, this approach ignores the fact that more than 60% of flow resistance resides in the tracheid walls as the water moves from one tracheid to the next through the bordered pit pores (Hacke 2015). However, the proportion of lumen vs. pit resistance seems to be more or less constant and independent from tracheid size (Pittermann et al. 2006). In contrast, the latewood tracheids with narrow lumina and thicker cell walls rather store water (Domec & Gartner 2002; Mcculloh et al. 2014) and, most importantly, provide biomechanical support (Koubaa, Zhang & Makni 2002; Finto, Schimleck & Daniels 2012). Indeed, the latewood cell wall thickness (CWT) is a key determinant for latewood density in conifers (Björklund et al. 2017). The latewood density strongly contributes to the overall wood density (Jyske, Mäkinen & Saranpää 2008), which is considered a good proxy for mechanical support and stiffness (Domec et al. 2009; Rosner & Karlsson 2011). Moreover the tracheid's thickness-to-span ratio, also referred to as cell wall reinforcement $((t/b)^2)$, is considered a primary determinant of the resistance to hydraulic failure by implosion (Hacke *et al.* 2001; Pitterman et al. 2006). Hydraulic failure by implosion can occur when a water-filled conduit physically collapses owing to the pressure differences between its lumen and an adjacent air-filled conduit (Hacke *et al.* 2001). Both $(t/b)^2$ and percentage of latewood were shown to well correlate with embolism resistance in different organs (Domec *et al.* 2009) and across species (Bouche *et al.* 2014). The percentage of ray parenchyma can be used as a proxy for the amount of metabolically active tissue, with more parenchyma indicating greater vigour within a species (von Arx *et al.* 2015). Studying how characteristics of tracheids and abundance of parenchyma cells change within trees and in response to both increasing tree size and environmental variability might thus provide important insights into the plasticity of xylem functioning.

Our understanding of the variability of functionally relevant cell anatomical traits along the tree stem and root during ontogeny is still fragmentary. Theoretical models predict that different anatomical traits should vary according to strict allometric axial scaling defined by biophysical constraints that are related to tree size (West, Brown & Enquist 1999; Savage *et al.* 2010). Besides biophysical constraints, such as limiting the risk of hydraulic failure while maintaining an adequate capacity for water transport despite the increasing xylem tension with greater tree height (Domec *et al.* 2008), minimizing the carbon cost per unit leaf area may also be important (Olson *et al.* 2014). However, detailed empirical studies of within-plant patterns have mostly been limited to the axial variability of tracheid lumen diameter (but see Lazzarin *et al.* 2016). Both models and observations show that tracheid lumen diameter increases from the stem apex to the base following a power-like trajectory ($y=a\cdot x^b$), with a scaling exponent generally converging towards a value of ~0.2 irrespective of species, environment or ontogenetic stage (Anfodillo, Petit & Crivellaro 2013; Olson *et al.* 2014). This pattern is linked to the physical law of Hagen and Poiseuille, according to which hydraulic conductance increases with conduit lumen diameter to the fourth power (Tyree & Zimmermann 2002). Relatively small changes in tracheid lumen diameter therefore scale up to a large difference in xylem specific

conductivity. Consequently, the progressively wider conduits towards the base confine most of the resistance and thus most of the tension within short distance from the apex (Petit & Anfodillo 2009). This hydraulic architecture makes the pathway-length hydraulic resistance mostly independent from tree height (West et al. 1999; Petit & Anfodillo 2009). In contrast, there is still little knowledge about the axial variability of other important xylem structure-function relationships. A few empirical studies have reported an increase in cell wall thickness (e.g., Myburg, Lev-Yadun & Sederoff 2013) with increasing cambial age (Larson 1963; Mitchell & Denne 1997; Wimmer 2002, Lundgren, 2004), likely following the pattern of Dh. While the cell wall reinforcement $((t/b)^2)$ decreased with tree age but increased with height (Domec et al., 2009). A constant ray area and a decline of ray volume with cambial age was found in previous studies (Bannan 1937; Gartner, Baker & Spicer 2000) but only little information is available on the sensitivity of ray parenchyma tissue to environmental conditions (Olano et al. 2013; Arx et al. 2017). Further, there is still a lack of knowledge about how these and other functional traits co-vary both within the tree (e.g., Pittermann et al. 2006; Bouche et al. 2014; Lachenbruch & McCulloh 2014) and over time, and thus we have only a limited understanding of how competing biophysical constraints, functional priorities, and trade-offs are modulated by ontogenetic development and environmental conditions (Gleason et al. 2016; Bittencourt, Pereira & Oliveira 2016).

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In this study, we retrospectively analysed the plasticity of functionally relevant xylem anatomical traits along the tree axis. As a study framework, we selected an experimental site at an upper alpine treeline. This temperature-limited ecotone is expected to be one of the terrestrial areas that is most sensitive to climate change, and it has therefore become a focus of recent research (Harsch *et al.* 2009; Körner 2012; Dawes *et al.* 2015). This is the reason why treelines are particularly suitable for investigating the mechanisms of xylem growth responses to environmental changes (e.g., Petit *et al.* 2011; Fatichi *et al.* 2013; Fatichi, Leuzinger & Körner 2014). The treeline trees selected for this study represent a subset of the 20 *L. decidua* exposed to a long-term experimental manipulation combining

free air CO₂ enrichment (FACE) and soil warming (Hättenschwiler et al. 2002; Dawes et al. 2015). Previous analyses of L. decidua responses showed a stimulation of primary and secondary growth in stems and roots by the CO₂ enrichment (Handa, Körner & Hättenschwiler 2006; Dawes et al. 2011, 2015), which was partially explained by a larger leaf canopy resulting in increased photosynthetic carbon assimilation (Streit et al. 2014), while the experimental soil warming did not stimulate aboveor below-ground growth of L. decidua (Dawes et al. 2015). Building upon this knowledge from previous studies at this site, we used a representative subset of the experimental L. decidua trees to compare how the axial trends of four xylem functional traits related to hydraulic efficiency and safety, biomechanical support, and metabolic requirements vary within annual rings from the stem apex to the roots. In doing so, we specifically aimed to identify priorities and trade-offs among different xylem functions and to determine if ontogeny or treatments influence these relationships. We hypothesized that i) hydraulic traits are prioritized over mechanical traits, as the former seem to limit tree height (Koch et al. 2004; Niklas & Spatz 2004; Niklas 2007); ii) there are trade-offs between hydraulic efficiency and safety as approximated by cell wall reinforcement $((t/b)^2)$ at the within-ring level because wide and thick-walled earlywood tracheids would require high carbon costs; and iii) there are differences in trend plasticity, with the prioritized traits showing less plasticity during ontogeny and in response to treatments.

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MATERIALS AND METHODS

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Study site, experimental setup and tree selection

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The study included *Larix decidua* Miller trees from a long-term manipulation experiment located at 2180 m a.s.l., just above the current treeline (Barbeito *et al.* 2012), within the 40-year-old Stillberg afforestation site near Davos, Switzerland (9°52′E, 46°46′N). The trees were on average 1.5 m tall in

2001 and 2.6 m in 2012 (Dawes et al. 2011) and spaced by >80 cm between stems of neighbouring trees, surrounded by dense understory vegetation dominated by ericaceous dwarf shrubs (Hättenschwiler et al. 2002; Dawes et al. 2015). The soil is classified as a Ranker (U.S. system: Lithic Haplumbrept) with a 10-cm-deep organic top soil over siliceous bedrock (Paragneis, Schonenberger & Frey 1988. Long-term average annual precipitation is 1,050 mm, mean maximum snow depth is 1.50 m, mean annual temperature is 1.4 °C, and average January and July temperatures are -5.8 °C and 9.4 °C, respectively (Dawes et al. 2015). Climate conditions varied during the 4-year pretreatment period and the 9 years of experimental CO₂ enrichment (Dawes et al. 2011), but no correlation was found between ring growth and the measured climate variables (Handa et al. 2006; Dawes et al. 2011). The growing season starts approximately on 15 June with bud break of L. decidua and ends on 25 September with needle senescence of L. decidua, thus lasting for c. 110 days (Hättenschwiler et al. 2002). The manipulation experiment was performed between 2001 and 2012 and included different combinations of free air CO₂ enrichment (FACE) and soil warming (Table 1). CO₂ enrichment (+200 ppm higher than ambient CO₂ concentration) was performed from 2001 to 2009 and soil warming (+4 °C at 5 cm depth) was applied using heating cables on the soil surface (see Hättenschwiler et al. 2002; Hagedorn et al. 2010; Dawes et al. 2015 for details about the experimental setup).

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For this study, we used eight *L. decidua* trees, with two individuals per treatment combination: A₂₀₀₁, A, EC, SW, ECSW, PECSW and PEC (Table 1). The study trees were selected to be representative for the tree responses to each treatment based on previous results (see above), while considering the presence of a leader shoot, lack of mechanical and/or herbivore damage, lack of snow mould, and similar tree height at the beginning of the experiment.

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Reconstruction of axial and radial growth

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To capture the temporal variability of xylem anatomical traits along the stem axis, we reconstructed the apex-to-root axial trend within each tree ring to provide an annual resolution (Fig. S1). Thus, for each selected tree, we extracted a total of 20 discs along the stem (14) and the main root (6) (at 0-20 cm soil depth) for the reconstruction of both the axial and radial growth (Fig. S1). The average distance between neighbouring discs was 11 cm. Tree-ring widths were measured along eight equally spaced radii per disc and cross-dated to assign each ring to its year of formation. Annual stem and root elongation (ΔH) was obtained by linearly interpolating the inter-disc distance divided by the age difference between neighbouring discs:

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$$\Delta H = \frac{H_i - H_{i-1}}{RN_{i-1} - RN_i}$$
 eq. 1

where H_i and RN_i are the height from the ground and the ring number of the i^{th} disc, respectively (Fig. S1). The average age difference between neighbouring discs varied from 1 to 14 years (with a median of 3 years) depending on the sample, thus giving reasonable confidence in the estimated annual stem elongation data (Fig. S1). Finally, to reconstruct the axial position at the time of ring formation, for each annual ring within a given disc we calculated the distance from the stem apex (L) as the difference between the reconstructed tree height and the distance from the ground (for root discs L was calculated as the sum of tree height and axial distance from the ground).

Anatomical measurements

Xylem cell anatomical measurements were performed using image analysis for a subset of the stem discs. In total we selected ten axially well-distributed discs per tree, six from the stem and four from the roots (Fig. S2). We followed the standard protocol for cutting micro-sections and collecting high-resolution images proposed by von Arx *et al.* (2016). From each disc, we extracted radial wood

samples from opposite radii (Fig. S2) and produced 10-15 μm thick cross-sections using a rotary microtome (Leica RM2245, Leica Biosystems, Nussloch, Germany). In addition, for ray parenchyma quantification (see below), we cut three tangential sections from each wood sample within the annual rings formed in 2000, 2006 and 2011 to include years from all the different treatment combinations (Table 1). All sections were stained with safranin and astrablue and permanently fixed with Eukitt (BiOptica, Milan, Italy). Overlapping images of the cross-sections and tangential sections were captured at 100× magnification using a light microscope connected to a digital camera (Nikon Eclipse 80i,Nikon, Tokyo, Japan), and then stitched using PTGui (version 8.3.3, New House Internet Services B.V., Rotterdam, NL) to obtain high-resolution images (2.07 pixels/μm). Image analysis was performed with ROXAS version 2.1 (von Arx & Dietz 2005; von Arx & Carrer 2014) which provided ring width and several measurements of cell anatomical features such as tracheid lumen area and wall thickness from cross-sections (Prendin *et al.* 2017) and ray cell lumen area from tangential sections (see Fig. S3). In total, we produced annual anatomical trait values (see below) for c. 1300 rings based on measurements from >5 million tracheids. Compression wood rings were excluded from analysis.

Functional anatomical traits

For each annual ring of each disc, we derived xylem functional traits using the aforementioned basic anatomical measurements (Table 2). For the functional traits calculated on earlywood (EW) and latewood (LW) tissue, tracheids were assigned to each tissue based on Mork's index, i.e. the ratio between twice the double-cell wall thickness and the lumen diameter (Denne 1988). As a proxy for the hydraulic efficiency, we used the mean hydraulic diameter (Dh), i.e. the lumen diameter corresponding to the mean hydraulic conductivity among all tracheids (Sperry *et al.* 1994; Kolb & Sperry 1999). Dh was calculated separately for the above-ground (Dh_{STEM}) and below-ground (Dh_{ROOT}) organs to account for their different demands and constraints. In addition, we calculated Dh of the apex (Dh_{APEX}) due to its importance as the starting point for the axial tracheid widening. As an

indicator of the hydraulic safety from cell implosion, we used the 5th percentile of cell wall reinforcement $((t/b)^2)$ (Hacke *et al.* 2001) (corresponding to the widest and most implosion-prone tracheids), where t is the double-cell wall thickness and b is the lumen diameter of the tracheid measured perpendicularly to the double-cell wall thickness. This produced two values per tracheid, one with radial and one with tangential orientation of lumen diameter. For each tracheid, the smaller of the two values was used to better reflect the risk of cell implosion. The mechanical support function of the xylem was estimated by the mean cell wall thickness of latewood tracheids (CWT_{LW}) , as well as by the percentage of latewood. As a proxy for the metabolically active tissue, we considered the percent area of ray parenchyma (PERPAR; von Arx et al. 2015), measured here as the sum of ray cell lumen areas divided by the tangential section area (c. 5 mm², see Fig. S3). To account for the different demands and constraints, above- and below-ground organs we calculated separately as $PERPAR_{STEM}$ and $PERPAR_{ROOT}$.

Additionally, we devised the 'hydraulic carbon use efficiency' index (HCUE) to express the hydraulic return for a given carbon investment. HCUE was calculated for each ring as the ratio of the accumulated theoretical conductance of all tracheids (Kh according to Poiseuille's law, Tyree & Ewers 1991) to the accumulated wall area of all tracheids within the ring (CWA_{RING}). Finally, as a proxy for growth, the ring area (RA) of each ring (c. 1300) was estimated based on the ROXAS ring width measurements assuming a circular stem cross-section.

Estimation of axial scaling and treatment effects

For each functional tracheid trait $(Dh, (t/b)^2, CWT_{LW})$, we fitted linear, power and exponential functions to identify which function best described the axial scaling. Fitting was performed only for stem annual rings from trees that were not subject to any treatment (treatments A_{2001} and A, see Table 1) to avoid potential confounding treatment effects. In addition, to check for ontogenetic trends, we

computed the scaling exponents ('slope') throughout the life of each tree using a model type II regression analysis with the reduced major axis (RMA) protocol in the Imodel2 R package (Legendre 2014). We based this analysis on data from a moving window of three neighbouring tree rings to increase the number of axial points, which then did not allow us to additionally check for interactions between ontogeny and treatments. This analysis could only be performed with data from 2001 to 2012, thus not covering the first c. 30 years of tree growth. Similarly, we established the relationships among the functional traits $(Dh, (t/b)^2, CWT_{LW})$ and PERPAR in terms of axial scaling and trait covariance by identifying the function (linear, power or exponential) that provided the highest R^2 . The initial exploration of the covariance of the functional traits with the overall dataset revealed that the power functions fit best (data not shown). The covariance of functional traits in tree rings of different cambial age was then assessed with the best fitting function and evaluated for trends in the pairwise relationships during ontogeny and treatments. In addition, we tested the relationship between each functional trait $(Dh, (t/b)^2, CWT_{LW})$ and PERPAR and each growth parameter ΔH and RAI (see Table 2).

Treatment effects on the axial patterns were tested using linear mixed-effects models fitted with restricted maximum likelihood (REML). We established a model for each response variable (Dh_{ROOT} , $(t/b)^2$, CWT_{LW} , PERPAR and HCUE), where distance from the apex (L), treatment combinations (see Table 1) and their interactions were included as fixed effects, and tree identity and disc height along the tree axis as random factors in all initial models, reflecting the experimental design and the sample collection. Response variables were log_{10} -transformed to comply with assumptions of normality and homoscedasticity (Zar 1999). For the Dh_{STEM} model, we additionally included Dh_{APEX} as a fixed effect to account for its known strong influence on Dh_{STEM} (Petit *et al.* 2011). For this model, we only considered annual rings for which apical data (defined as ≤ 1 cm from tree top) were available. The best model was chosen based on AICc using the maximum likelihood method (Zuur *et al.* 2009). When several models showed similar AICc values (Δ AICc ≤ 2 , Burnham & Anderson 2002), they

were refitted with the REML method to obtain estimates and significance values of effects, and the simplest model with significant fixed effects was chosen as the 'optimal' model. The significance of the fixed effects was tested with F tests (Pinheiro & Bates 2000). When the target functional trait did not exhibit a significant axial trend, the difference between treatment combinations was tested with Tukey's Honest Significance test based on ANOVA. All analyses were performed using R (version 3.1.1; R Development Core Team 2014), and linear mixed-effects models were run using the lme4 (Bates *et al.* 2015) and MuMIn packages (Barton & Barton 2013).

RESULTS

Axial scaling of xylem trait

The analysis of the functional trait variability along the whole tree axis using different parametric functions (Fig. 1a-d) revealed that the power function provided the best fit to the data, with R^2 values ranging from 0.81 (Dh) to 0.16 (CWT_{LW}) (Table 3). However, for CWT_{LW} the power function performed only slightly better than the linear and exponential ones. Tracheid hydraulic diameter (Dh) increased continuously down the stem and further along the roots (see also the axial profile for the tree ring of 2011 for tree E3L1 shown in Fig. 1a). This widening pattern was narrowly confined for the stem, thus indicating only small differences among individuals and no significant changes throughout ontogeny (P=0.81, Fig. 2a). For each year of growth, Dh in the roots was larger than in the stem, generally increased with L at faster rates than in the stem, and showed more variation in the data (R^2 =0.10) (Fig. 1a).

The 5th percentile of cell wall reinforcement (t/b)² decreased continuously from the stem apex to the stem base and further below ground along the roots. In the stem, L explained 46% of the total variance in (t/b)² (Table 3), while in the roots this relationship was not significant (P=0.31) (Fig. 1b). Additionally, the scaling exponent (b) of the relationship of (t/b)² vs. distance from the apex (L)

progressively decreased with tree age (R^2 =0.48, P<0.001, Fig. 2b). The cell wall thickness of the latewood tracheids (CWT_{LW}) increased continuously from the stem apex to the base and further along the roots (Fig. 1c). The inter-annual variability was substantial in this trait, as shown by the low R^2 of 0.16 for the stem (Table 3) and the non-significant relationship for the roots (P=0.90). Furthermore, the scaling exponent b of the power function relating CWT_{LW} to L progressively increased with tree age (R^2 =0.86, P<0.001, Fig. 2c). However, this ontogenetic trend was only significant when a power function was used but not when linear or exponential fitting was applied (P=0.71 and P=0.11, respectively). The percentage of latewood did not show an axial trend (Fig. S4a) and was significantly related to CWT_{LW} (R^2 =0.38 and R^2 =0.09, P<0.001, for stem and root, respectively; Fig. S4b). The experimental design did not allow us to additionally test for treatment effects on the ontogenetic trend. The percent area of ray parenchyma (PERPAR) did not change significantly with distance from the apex in the stem (P=0.53) and root (P=0.83) (Fig. 1d).

Treatment effects on axial trait scaling

The linear mixed-effect models used to test for the importance of treatments on the axial scaling of Dh_{STEM} did not reveal any significant effects (Table 4, Fig. 1e). Along the roots, Dh was in general wider before 2001, whereas all treatment combinations except EC (elevated CO₂) showed a significant overall reduction in Dh_{ROOT} (Table 4, Fig. 1f). Treatment effects on $(t/b)^2$ were found for the combination of soil warming and elevated CO₂ (ECSW), also after CO₂ fumigation ceased in 2009 (PECSW), as shown by a steeper increase in $(t/b)^2$ with increasing distance from the apex. The model results for CWT_{LW} were analogous to those for $(t/b)^2$ (Table 4, Fig. 1g). Similarly, the axial scaling of HCUE was influenced by the same treatment combinations as $(t/b)^2$ and CWT_{LW} , but with inversed relationships. In addition, HCUE for A_{2001} was smaller at the stem apex (i.e., smaller intercept a) but increased along the stem at a faster rate (larger b) than for the same trees after they had grown taller, irrespective of treatments. PERPAR showed no significant axial variation, and the

one-way ANOVA performed instead to test for treatment effects revealed that soil warming (SW) had a significant negative effect on the production of ray parenchyma (P=0.035) but no other treatment effects were significant.

Trait trade-offs during ontogeny and under treatments

Pairwise comparisons between functional traits using a power function revealed a significant tradeoff between proxies of hydraulic efficiency and safety (Dh vs. (t/b)²) (Fig. 3), which seems partly due
to their link through tracheid diameter. The slope b of the relationship $Log_{10}Dh = Log_{10}$ a + b· Log_{10} (t/b)² became less negative with increasing cambial age in stems and roots, respectively; Fig. 3),
independent from treatment with the exception of PECSW in the stem, which showed no ontogenetic
trend (P>0.05). Furthermore, stem hydraulic efficiency (Dh) was negatively linked (b-values predominantly <0) to mechanical support (CWT_{LW}) with no significant change related to cambial age or
treatment (Fig. 3). In contrast, (t/b)² and CWT_{LW} were unrelated in the stem (slope b scattered around
0) and positively related in the roots (b-values mostly >0) with no ontogenetic trend or treatment
effect (Fig. 3). The pairwise relationship of PERPAR with the other functional traits was only assessed
globally because of the reduced dataset (only data for 2000, 2006 and 2011) and revealed no
significant relationship (Fig. S5).

Hydraulic efficiency: costs and effect on growth

The analyses of *HCUE*, the ratio between hydraulic conductance and structural carbon costs, indicated that, per unit of conductance, construction costs increase with height along the stem (Fig. 4, Table 3).

Of all the considered functional traits, only the hydraulic diameter at the stem apex (Dh_{APEX}) had a significant effect (P<0.001) on growth (Fig. 5; other data not shown). Indeed, Dh_{APEX} explained 31% and 36% of the total variance in ΔH and RAI, respectively, independent from ontogeny and treatment (P>0.05).

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DISCUSSION

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Axial scaling of functional traits is linked to biophysical principles

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Our description of xylem anatomical traits showed characteristic axial scaling that can be attributed to different biophysical principles. As expected, the hydraulic efficiency (Dh) scaled along the stem following a power function with a scaling exponent (b=0.17) very similar to values reported in other studies (Petit et al. 2011; Anfodillo et al. 2013). This supports the remarkable universality of the axial conduit widening in vascular plants (West et al. 1999; Anfodillo et al. 2006; Olson et al. 2014) here demonstrated for the first time for trees subject to experimental manipulation of environmental conditions. Furthermore, in all trees and under all treatment combinations, xylem tracheids in the roots were wider than along the stem, in agreement with previous studies (McElrone et al. 2004; Petit, Anfodillo & De Zan 2009; Petit et al. 2010). This strict axial configuration represents a biophysical optimization to buffer the increasing hydraulic resistance due to a longer path length as trees grow taller (West et al. 1999; Petit & Anfodillo 2009). Instead, the cell wall reinforcement $(t/b)^2$ of the earlywood increased towards the apex, i.e. in parallel with the decrease in the water potential towards the apex (Domec, Pruyn & Gartner 2005). This is in line with previous findings showing a decrease with tree age and increase with height (Domec et al. 2009). The latewood cell wall thickness (CWT_{LW}) increased from stem apex to base (Fig. 1c), thus indicating an increasing need for mechanical support by the latewood along the stem. Such mechanically stronger latewood cells might be required to compensate for the decreasing contribution of the earlywood to ring-level mechanical support towards the stem base as earlywood tracheid diameter increases. However, this increase was relatively small compared to, for example, the increase in accumulated biomass to the power of three to four when moving down the stem, as reconstructed for an individual of *Abies procera* (King 2011), thus suggesting a non-linear relationship between cell wall thickness and the mechanical support provided.

In contrast to the other functional tracheid traits, we did not find a consistent or clearly defined axial trend for the percent area of ray parenchyma (*PERPAR*) as expected. Indeed an increase in ray size with age and distance from the stem apex, following the increase in tracheid size, would be expected (Lev-Yadun & Aloni 1995). The variability along the stem axis was very large both between and within trees (ranging from 0.12 to 2.55%). This finding confirms previous observations that the ray proportion of conifers varies widely, both among individuals (Fonti *et al.* 2015; von Arx et al. 2017) and within the stem (DeSmidt 1922; Baker, Spicer & Gartner 2000; von Arx *et al.* 2015), with only a relatively weak influence of environmental conditions (Esteban *et al.* 2012; Olano *et al.* 2013) and/or functional needs such as storage space requirements (von Arx *et al.*, 2017).

Generally, the trends observed in the roots were consistent with those observed in the stem but were much weaker, probably because roots are buffered against much of the above-ground environmental variability and also more responsive to soil geomorphic processes (Gärtner, Schweingruber & Dikau 2001). This increased variability might be because, compared to stems, roots have additional functions (e.g., flexibility, stiffness, anchorage) within a less homogeneous medium (different soil texture and depth) (Gärtner, Schweingruber & Dikau 2001).

Hydraulic efficiency shows no ontogenetic trend but a trade-off with hydraulic safety

During ontogeny, adjustments of the xylem structure are necessary to meet the changing functional needs as tree size increases. Despite these expected modifications, the power fitting observed for

hydraulic efficiency (Dh) appeared to be stable and independent from the ontogenetic tree development, suggesting strong biophysical control over the axial design of hydraulic efficiency. In contrast, hydraulic safety ($(t/b)^2$) showed a slight change in the axial scaling, which suggests a decrease in safety with increasing tree size. A possible explanation for this ontogenetic trend is that larger trees have a deeper root system with better access to soil water (Rosner 2013). Similarly, at least when using power fitting, the axial scaling of the mechanical support (CWT_{LW}) changed in a way that suggested an increase during the course of a tree's life for a given distance from the apex. This may reflect size-related changes in tree architecture, since many trees invest increasingly into lateral structures as they grow taller, which requires stronger wood to support it (King, 2011).

Limited resources to form wood and differing biophysical constraints inherently imply trade-offs between the xylem functional needs, as demonstrated by the competing axial structural adjustments observed in our study (Fig. 2). Specifically, and as hypothesized, we confirmed the presence of a trade-off between hydraulic efficiency and safety (Sperry, Meinzer & McCulloh 2008; Bouche *et al.* 2014; Hacke 2015; Gleason *et al.* 2016). In addition, hydraulic efficiency was negatively related to mechanical stability. The observed hydraulic efficiency vs. safety trade-off is related to the fact that tracheids with narrow lumina are less efficient in transporting water but more resistant to implosion and xylem cavitation (Gleason *et al.* 2016). Our results suggest that this relationship changes along the stem axis in order to prioritize safety towards the stem apex and efficiency toward the stem base (Fig. 2). This result is supported by the fact that the construction costs for the hydraulic system (*HCUE*, i.e. the hydraulic conductance per unit of cell wall area) were higher towards the stem apex (Fig. 4, Table 3). This could be explained by the importance of an undamaged apex to sustain height growth and compete with neighbouring trees, particularly in a conifer with clear apical dominance such as *L. decidua*.

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Previous studies suggested that L. decidua responded more to elevated CO₂ than soil warming (Handa et al. 2006; Dawes et al. 2011, 2015), e.g. by increased above-ground growth (Dawes et al. 2011, 2015), including greater leaf canopy (Streit et al., 2014) and increased accumulation of non-structural carbohydrates (Hatterschwiler et al., 2002, Handa et al., 2005). Our results suggest that soil warming and elevated CO₂ did not strongly influence the scaling of the analysed functional traits along the stem (Table 3). As hypothesized, effects of environmental conditions emerged only in the functional traits that did not show a very strong biophysical determination, for example the mean hydraulic diameter in the roots (Dh_{ROOT}) and the percent area of ray parenchyma (PERPAR). Specifically, the soil warming treatment had a local effect restricted to root xylem anatomy. The significant decrease in Dh_{ROOT} under soil warming implies reduced overall root conductance because no significant compensating increase in root biomass was observed (Dawes et al. 2015). However, when considering that a 4 °C increase in water temperature lowers viscosity and thus hydraulic resistance by c. 12% according to the Hagen-Poiseuille equation (Tyree & Zimmermann 2002), the observed increase in hydraulic resistance due to slightly smaller Dh_{ROOT} is in roughly the same range, thus resulting in a net effect of zero. In any case, due to the comparably minimal hydraulic resistance of the wide root tracheids, such a small decrease in lumen size has almost no effect on overall pathway length resistance and therefore likely no functional relevance for whole plant conductance, transpiration and photosynthesis. Soil warming also reduced PERPAR_{STEM} but not PERPAR_{ROOT}. However, treeline trees are usually relatively rich in NSCs and starch reserves (Hoch & Körner 2012), and the different warming effects on *PERPAR* in the stem and roots may reflect an osmotic adjustment of the root-to-leaf gradient of water potential that effectively influences the translocation of sugars within the plant (Hölttä et al. 2006; Dawes et al. 2014).

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Furthermore, our results showed a weak increase in $(t/b)^2$ and CWT_{LW} with increasing distance from apex (L) under ECSW and PECSW, meaning that trees profited from the larger amount of

photosynthates available under elevated CO_2 , by having a greater needle biomass (Dawes *et al.* 2015) and by increasing the mechanical stability of the trunk (Fig. 1f, Fig. 1g). At the same time, the significantly lower values of $(t/b)^2$ and CWT_{LW} close to the stem apex under CO_2 enrichment could explain the increase in freezing sensitivity of trees exposed to elevated CO_2 , particularly in taller trees, as previously observed for the period 2005-2010 (Martin *et al.* 2010; Rixen *et al.* 2012).

Greater mean hydraulic diameter at the apex promotes growth

The observed within-tree variability of anatomical traits and its influence on tree functioning lead to the obvious question about the relevance for growth. Of all the functional traits analysed in this respect, only the mean hydraulic diameter at the stem apex (Dh_{APEX}) was important, explaining 31% and 36% of the variability in stem elongation and ring area at the stem base, respectively (Fig. 5). This result highlights the importance of hydraulic efficiency for growth. More specifically, the finding that Dh_{APEX} , and not Dh_{STEM} or Dh_{ROOT} , was significant is remarkable. Indeed, the apex is a hydraulic bottleneck restricting growth (Petit et al. 2011; West et al. 1999; Petit & Anfodillo 2009), and previous studies showed that Dh_{APEX} increases slightly as trees grow taller, presumably to counteract the concomitant increase in water tension accompanying height growth (Petit, Anfodillo & Mencuccini 2008; Olson et al. 2014). Our results are thus in line with these studies and support the view that an increase in the conductivity of the stem apex releases the hydraulic constraints on water transport, thus favouring gas exchange and ultimately growth (Petit et al. 2011).

Conclusions

In this study we quantified xylem anatomical traits in tree rings along the stem and root axis and derived corresponding xylem functions to identify priorities and trade-offs and to determine if ontogeny or experimental manipulation of CO₂ and temperature influence these relationships. The

strong biophysical constraints resulted in a narrowly confined axial pattern of *Dh*, suggesting a prioritization of hydraulic efficiency over other xylem functions (hydraulic safety, mechanical support, metabolic functions). Likewise, at the apex, a tree's hydraulic bottleneck, a small increase in *Dh* significantly enhances water transport, thus fuelling carbon assimilation supporting growth. The higher variability of the other functional traits potentially indicates a greater ability or need to respond to the environment and ontogenetic development. Moreover, our findings indicate that the overall architectural design of the tree requires a certain priority towards hydraulic safety towards the stem apex, while hydraulic efficiency and mechanical support gain progressively more importance towards the stem base. In conclusion, our study suggests that prioritized xylem functional traits show a very strong biophysical determination, while subordinate traits respond more plastically to intrinsic and extrinsic factors.

Authors' Contributions

All authors planned and designed the research, and CR, MAD and PF conducted the fieldwork. ALP collected the data. ALP, GP, PF and GvA analysed the data. ALP led the manuscript drafting with contributions from GP, PF and GvA. All authors discussed, revised and approved the manuscript.

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Figures

Fig. 1: Axial variability of functional traits as a function of distance from the apex (L) along the stem and root of the eight investigated $Larix\ decidua$ trees. Left panels show the axial trends of (a) hydraulic diameter (Dh), (b) 5th percentile of cell wall reinforcement ($(t/b)^2$), (c) cell wall thickness of the latewood tracheids (CWT_{LW}) and (d) percentage of ray parenchyma (PERPAR). Each data point represents the trait value of a tree ring calculated for different positions along the tree axis (see Fig. S1b and Fig. S2a), with filled and open grey circles for the stem and root data, respectively. As an arbitrary example, red points/dots represent the axial variability (stem and root combined) in the tree ring of 2011 for a single tree (E3L1). Solid lines show the best fitting curves, whose details are reported in Table 3. In the right panels (e-g), the black lines denote the linear regression lines of the log₁₀-log₁₀ transformed variables for each selected functional trait ((e): Dh; (f): $(t/b)^2$, (g): CWT_{LW}) of control trees (A_{2001} , A). Coloured lines indicate the significant treatment effects (see Table 4 for details). (h) Mean \pm 1 SE of PERPAR grouped per treatment for stem (filled circles) and root (open squares), * P< 0.05. See Tables 1 and 2 for explanations of acronyms.

Fig. 2: Ontogenetic variation of the power scaling exponent b, corresponding to the axial trend in the general equation $y=aL^b$, for (a) Dh, (b) $(t/b)^2$ and (c) CWT_{LW} for the two control trees throughout the experiment (A1L1, A1L2; see Table 1). Only stem data for the period from 2001 to 2012, corresponding to tree age of 28 to 39 years and tree height of c. 1.1 to 2.6-3.6 m (see Fig. S1c), could be considered to avoid too few axial points for robust trend calculations. Solid curves indicate the best fitting regressions for the ontogenetic trend.

Fig. 3: Power scaling exponent b of the pairwise relationships between Dh, $(t/b)^2$ and CWT_{LW} as a function of cambial age of the tree rings in the stem (upper plots) and root (lower plots). Each symbol

represents $b \pm 95\%$ CI for all rings per 5-yr cambial age class and treatment as obtained from RMA power fitting models. Power functions were used because they showed the best fit among the tested functions. A negative value of b indicates a trade-off, a positive value a collinear change between any two considered functional traits. Solid lines indicate the significant (P<0.05) linear regression through all points irrespective of treatment as there were no treatment differences in the slope except for PECSW (post-CO₂ soil warming) in the stem Dh vs. $(t/b)^2$ relationship.

Fig. 4: Variability of hydraulic carbon use efficiency (HCUE) with increasing distance from the apex (L), based on log_{10} -transformed data. The black line refers to the HCUE trend of control trees only,

whereas coloured lines indicate the significant treatment effects (see Table 3 for details).

Fig. 5: Relationship between the mean hydraulic diameter of apical tracheids (Dh_{APEX} : Dh at $L \le 1$ cm) and (a) ΔH (annual stem elongation rate) and (b) RAI (ring area index, i.e., the ring area (RA) at the stem base standardized to remove the general axial pattern of RA vs. L, see Table 2). Solid lines represent the fitted linear regressions.

Tables

Table 1: Timeline of the treatments and their combinations during the FACE and soil warming experiment at Stillberg (Davos, Switzerland). A₂₀₀₁: ambient conditions before the beginning of the experiment; A: ambient conditions (control); EC: elevated CO₂; SW: soil warming, ECSW: elevated CO₂ and soil warming, PECSW: post elevated CO₂ and soil warming; PEC: post elevated CO₂ at ambient conditions.

	Trees	1983-2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012
	E1L1	A_{2001}	EC ECS						ECSW		PECSW		T	
	E2L2	F12001								Eesw				
	E1L2	A ₂₀₀₁	EC								PEC			
Combined treatments	E3L1		EC 						TEC					
Combined treatments	A1L1	A_{2001}	A					CW						
	A2L2								SW					
	A1L2	A		Α.							•	•		
	A2L1	A_{2001}	A											

Table 2: Acronyms and descriptions of variables used in this study.

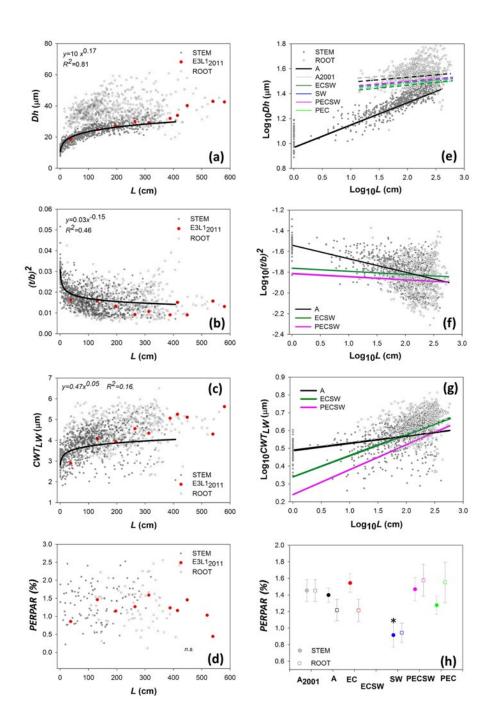
Variable		Unit	Description	Function	Reference
Descriptive L		cm	Distance from the apex	-	
H cm		cm	Tree height	-	
Functional traits	Dh Dh _{STEM} Dh _{ROOT} Dh _{APEX}	μт	Tracheid hydraulic diameter - in the stem - in the root - in a ring corresponding to cambial age = 1	Hydraulic efficiency	Kolb & Sperry, 1999
	$(t/b)^2$	-	cell wall reinforcement (in this study: 5 th percentile of values calculated in earlywood)	Hydraulic safety	Hacke et al., 2001
CWT_{LW} μm CWA μm^2		μm	Cell wall thickness of latewood tracheids (proxy for density)	Mechanical support	Myburg et al., 2013
		μm ²	Cell wall area accumulated for the entire ring	Mechanical support	
	PERPAR PERPAR _{STEM} PERPAR _{ROOT}	%	Percentage area of ray parenchyma cells on tangential section: - in the stem - in the root	Metabolic functions, e.g., capacity of carbon & water storage and radial transport	Spicer <i>et al.</i> , 2014; von Arx <i>et al.</i> , 2015
	Kh	kg·m·MPa ⁻¹ ·s ⁻¹	Total conductivity	Hydraulic efficiency	Tyree & Zimmermann, 2002
Economics	HCUE	kg·m·MPa ⁻¹ ·s ⁻¹ ·µm ⁻²	Hydraulic carbon use efficiency: <i>Kh/CWA</i>	-	
Growth	ΔH	cm	Annual stem elongation	-	
	RA	μ m ²	Ring area		
	RAI	-	Annual ring area index (RA standardized to remove the general axial pattern)	-	

Table 3: Linear, power and exponential fitting parameters (mean \pm 1 standard error) a: y-intercept, b: slope), coefficient of determination (R^2) and significance (P) of the relationships assessed for the different trait variables. Relationships were only assessed for control trees not undergoing any CO_2 enrichment or soil warming treatment (A_{2001} and A; n=8 trees until 2001, n=4 from 2001 to 2006, n=2 from 2007 to 2012). See Table 2 for explanations of acronyms.

		$Linear (y = a + b \times x)$			Power $(Log_{10}(y) = Log_{10}(a) + b \times Log_{10}(x)$				Exponential $(y = a + x^b)$				
		а	b	R^2	P	Log ₁₀ (a)	b	R^2	P	а	b	R^2	P
	Dh vs. L	14.90 ± 0.21	$0.07 \pm 2.25 \times 10^{-3}$	0.72	< 0.001	1.00 ± 0.01	0.17 ± 0.01	0.81	< 0.001	2.86 ± 0.02	$2.18 \times 10^{-3} \pm 1.28 \times 10^{-4}$	0.66	< 0.001
Axial variation	$(t/b)^2$ vs. L	$0.02 \pm 4.17 \times 10^{-4}$	$-5.63 \times 10^{-5} \pm 4.5 \times 10^{-6}$	0.31	< 0.001	-1.50 ± 0.02	-0.15 ± 0.01	0.46	< 0.001	-3.81 ± 0.04	$-2.62\times10^{-3} \pm 3.35\times10^{-3}$	0.28	< 0.001
	CWT_{LW} vs. L	3.35 ± 0.05	$4.09{\times}10^{\text{-}3} \pm 5.35{\times}10^{\text{-}4}$	0.15	< 0.001	0.47 ± 0.01	0.05 ± 0.01	0.16	< 0.001	1.22 ± 0.03	$1.02{\times}10^{\text{-3}} \pm 1.38{\times}10^{\text{-4}}$	0.15	< 0.001
Economics	HCUE vs. L	$1.64 \times 10^{-15} \pm 2.24 \times 10^{-16}$	$2.52 \times 10^{-17} \pm 2.42 \times 10^{-18}$	0.25	< 0.001	-15.22 ± 0.04	0.46 ± 0.02	0.44	< 0.001	-2.99 ± 0.06	$-0.03 \pm 1.78 \times 10^{-3}$	0.21	< 0.001
Growth	ΔH vs. Dh_{APEX}	-9.37 ± 7.88	1.78 ± 0.70	0.20	0.017	-1.20 ± 0.61	2.02 ± 0.58	0.31	< 0.001	0.57 ± 0.91	0.16 ± 0.07	0.21	0.007
	RAI vs. Dh_{APEX}	0.71 ± 0.07	0.02 ± 0.01	0.34	< 0.001	-0.30 ± 0.07	0.27 ± 0.07	0.36	< 0.001	$\textbf{-}0.29 \pm 0.07$	$0.02 \pm 6.20 \times 10^{-3}$	0.33	< 0.001

Table 4: Results of the optimal linear mixed-effect models predicting the treatment effects on the different functional and carbon cost traits and the interaction between treatment and $Log_{10}L$ (see methods for details). Numbers indicate the estimates \pm 1 SE. Significant terms are highlighted in bold. See Table 1 and 2 for explanations of acronyms. * P< 0.05, ** P< 0.01 and *** P< 0.001.

		Carbon costs			
Fixed effects	Log ₁₀ Dh _{STEM}	$Log_{10}Dh_{ROOT}$	$Log_{10}(t/b)^2$	$Log_{10}CWT_{LW}$	Log ₁₀ HCUE
Intercept (A)	0.82 ± 0.06***	1.45 ± 0.05 ***	-1.52 ± 0.04 ***	0.49 ± 0.02***	-18.34 ± 0.07***
$Log_{10}L(A)$	0.18 ± 0.01 ***	$0.04 \pm 0.02 *$	-0.13 ± 0.01 ***	0.04 ± 0.01 ***	$0.3 \pm 0.02***$
$Log_{10}Dh_{APEX}(A)$	0.15 ±0.06**	-	-	-	-
A_{2001}	_	$0.03 \pm 0.01**$	0.03 ± 0.03	-0.04 ± 0.02	-0.09 ± 0.05 *
EC	_	0.00 ± 0.01	0.05 ± 0.04	-0.04 ± 0.03	0.08 ± 0.06
ECSW	-	$-0.06 \pm 0.02 ***$	-0.28 ± 0.06 ***	-0.15 ± 0.05 **	$0.52 \pm 0.11**$
SW	-	-0.04 ± 0.01 ***	-0.05 ± 0.04	0.02 ± 0.03	-0.11 ± 0.07
PECSW	-	-0.03 ± 0.02 *	-0.38 ± 0.09 ***	-0.25 ± 0.07 ***	0.75 ± 0.17 ***
PEC	-	-0.04 ± 0.02 *	0.07 ± 0.12	-0.16 ± 0.09	0.06 ± 0.2
$\text{Log}_{10}L \times \text{A}_{2001}$	_	-	-0.01 ± 0.02	0.02 ± 0.01	$0.06 \pm 0.03*$
$Log_{10}L \times EC$	-	-	-0.03 ± 0.02	0.02 ± 0.01	-0.02 ± 0.03
$Log_{10}L \times ECSW$	-	-	$0.13 \pm 0.03***$	$0.08 \pm 0.02 ***$	-0.23 ± 0.05 ***
$Log_{10}L \times SW$	-	-	0.01 ± 0.02	0.01 ± 0.02	0.04 ± 0.03
$Log_{10}L \times PECSW$	-	-	0.15 ± 0.04 ***	$0.10 \pm 0.03***$	-0.26 ± 0.08 **
$Log_{10}L \times PEC$	_	-	-0.06 ± 0.05	0.05 ± 0.04	0.08 ± 0.09



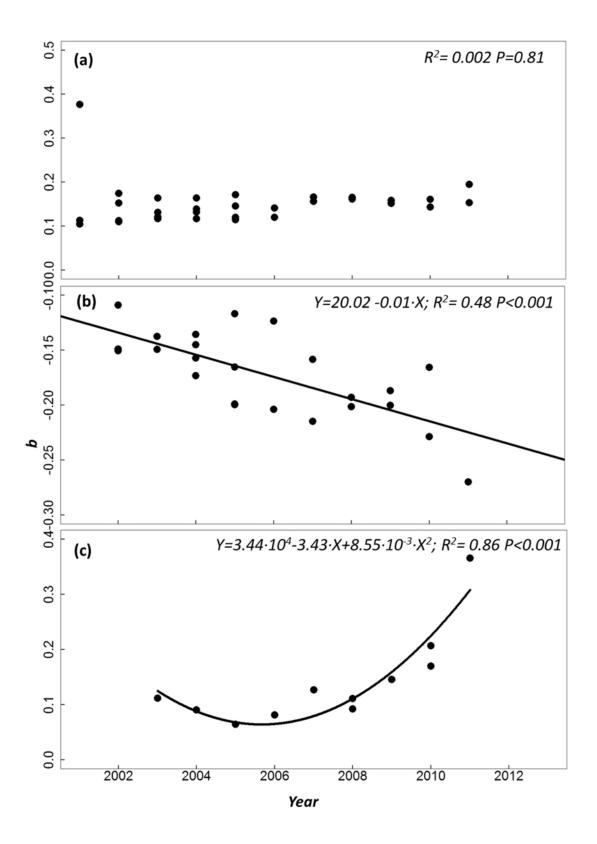
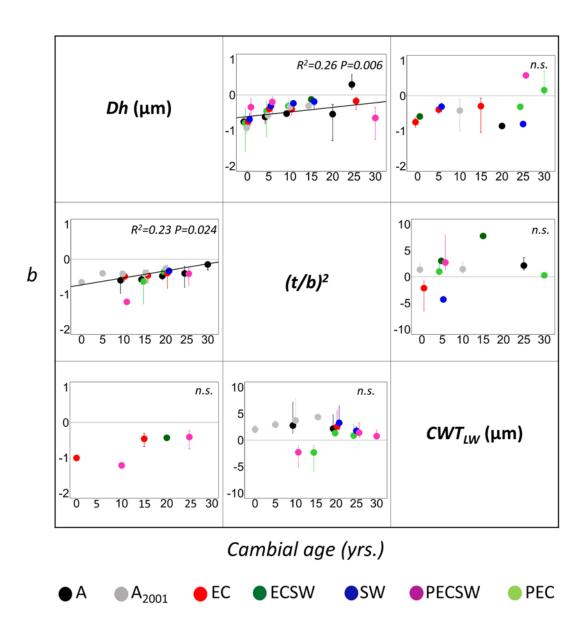


Figure 3



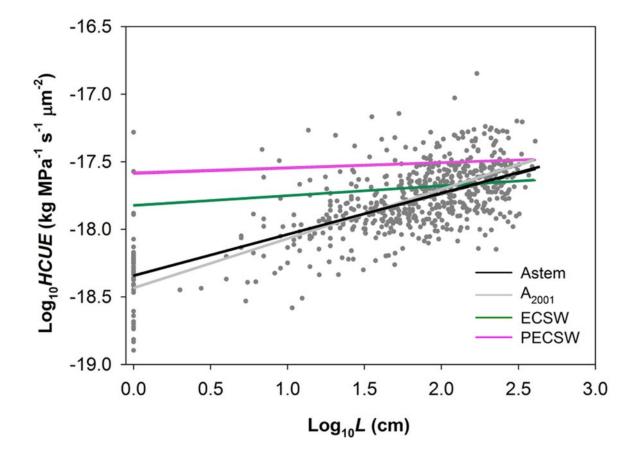


Figure 5

