

1 **Simulating water fluxes, soil moisture and drought stress using the LWF-**
2 **Brook90 hydrological model from within R.**

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14 **Keywords**

15 climate impact; model calibration; water balance; forest transpiration, evapotranspiration; short
16 rotation

17 **Highlights**

- 18 • Sensitivity analysis of LWF-Brook90 revealed a distinct parameter importance ranking
- 19 • Bayesian calibration indicated high water use for a poplar short rotation forest
- 20 • The LWF-Brook90 SVAT model excellently captures temporal variations of soil moisture
- 21 • The LWFBrook90R package facilitates complex statistical analysis and parallelization
- 22 • The modelling case study with code examples demonstrates the utility of the R package

23 **Abstract**

24 Soil vegetation atmosphere transport (SVAT) models are important for the quantification of water
25 fluxes, soil water availability, drought stress and their uncertainties under climate change. We present
26 `LWFBrook90R`, an enhanced implementation of the well-established, process-based SVAT model
27 LWF-Brook90 for the R environment for statistical computing. The package provides new functions
28 and sub-models for model parameterization, and facilitates parallel computing, sensitivity analysis and
29 inverse calibration of the model. The potential of the package is demonstrated by a case study
30 comprising i) basic forward water balance simulations for temperate grassland vegetation, deciduous
31 and evergreen forest, ii) a parallelized sensitivity analysis, and iii) Bayesian calibrations based on soil
32 water storage observed in a poplar (*Populus nigra* × *P. maximowiczii*) Short Rotation Forest (SRF).
33 The sensitivity analysis revealed parameters affecting plant-available soil water storage capacity and
34 the vegetation's timing and level of water demand to be most important for the annual course of
35 simulated soil water storage, with seasonal and interannual differences in parameter importance
36 rankings. The subsequent calibration yielded a very high agreement between daily simulated and
37 observed soil water storage (0-200 cm soil depth) for the calibration and validation datasets, with
38 Nash-Sutcliffe efficiencies of 0.97 and 0.95, respectively. The final model predicted high though
39 realistic rates of annual evapotranspiration (2011: $844 \pm 3.8 \text{ mm y}^{-1}$, 2012: $733 \pm 4.5 \text{ mm y}^{-1}$) for the
40 poplar SRF, regularly exceeding grass reference evaporation (ET_0) by 20-47% during the months of
41 the growing season. However, basing calibrations solely on observed soil water storage probably
42 resulted in biased partitioning of evapotranspiration towards interception losses. The integration of the
43 LWF-Brook90 hydrological model into R with its wide variety of extensions was successfully tested
44 and may provide efficient, reliable and reproducible water balance predictions by facilitating complex
45 statistical analyses and large-scale applications of the model.

46 **Software availability**

47 The `LWFBrook90R` source code is publicly available at
48 <https://github.com/pschmidtwalter/LWFBrook90R> and runs with R \geq 3.1.0. The package imports
49 functionality from R-packages `data.table`, `vegperiod`, `sirad`, `foreach`, `doSNOW` and
50 `snow`, which can be installed from *The Comprehensive R Archive Network* (CRAN).

51 **1 Introduction**

52 Water availability plays a key role in the productivity and vitality of terrestrial ecosystems and is
53 becoming increasingly important against the background of climate change. For forests, substantial
54 impacts of water shortage have been reported (Allen et al., 2015), including large-scale die-back,
55 productivity declines and increased vulnerability to insect infestations after cumulative and extreme
56 drought events (Seidl et al., 2007). Due to the ongoing and predicted alterations of precipitation
57 patterns and increasing evaporative demand caused by rising temperatures, the severity of droughts is
58 expected to increase in many parts of the world, with unprecedented effects on forest structure and
59 composition concerning even ecosystems to date not being considered water-limited (Allen et al.,
60 2010). Anticipating future water availability patterns and growth conditions of forests, therefore, is
61 crucial, and a prerequisite for developing and implementing mitigation and adaptation strategies
62 (Lindner et al., 2010).

63 Soil water dynamics, drought stress and the water balance of forest ecosystems are characterized
64 by complex interactions between climate, soil and vegetation. Process-based soil vegetation
65 atmosphere transport (SVAT) models can capture temporal soil moisture dynamics, and therefore are
66 key tools for understanding and predicting water fluxes, soil water availability and drought stress of
67 forest stands (Arora, 2002; Bouten and Jansson, 1995; Federer et al., 2003; Speich et al., 2020; van der
68 Salm et al., 2007). At the national to regional scale, SVAT models have been connected to climate
69 projections, soil grids and forest inventory data, to determine recent and future trends of water
70 availability and drought stress in climate impact assessment studies (De Cáceres et al., 2015; Ruffault
71 et al., 2014, 2013; Schmidt-Walter et al., 2019; Schwärzel et al., 2009; Thiele et al., 2017), providing
72 information on future ecosystem services, forest growth conditions, and species suitability to design
73 and implement mitigation and adaptation strategies (Lindner et al., 2010). At the local scale, SVAT
74 models are applied to derive drought indicators (for an overview see Speich, 2019) to understand
75 vitality patterns and growth depressions of forests (Granier et al., 2007; Lebourgeois et al., 2005;
76 Manrique-Alba et al., 2017; Michelot et al., 2012), tree mortality (Allen et al., 2010; Seidl et al.,
77 2017), to estimate crop water requirements (Fischer et al., 2018), and to explain secondary drought-
78 related impacts on forests, such as insect infestations (Netherer et al., 2019). Apart from such
79 explanatory applications, SVAT models also offer estimates of water balance components that are

80 difficult to obtain from field measurements (e.g. seepage flux), and allow for partitioning
81 evapotranspiration into transpiration, interception and ground evaporation (van der Salm et al., 2007).

82 Despite their widespread use, the complexity of simulated processes challenges the routine
83 application of SVAT models. Numerous parameters have to be defined, many of which cannot be
84 obtained from field observations directly (Franks et al., 1997). To improve the models' capacity in
85 reproducing field observations of, e.g. soil moisture or evapotranspiration, parameter adjustments are
86 often required. In this process, sensitivity analysis and model calibration are important tasks, allowing
87 for an efficient estimation of model parameters and their uncertainties referenced by observations
88 (Song et al., 2015). Sensitivity analyses evaluate the effects of the model input on model output
89 variability (Pianosi et al., 2016), thereby providing insights into model behavior under changing model
90 parameters, and helping to identify influential parameters to adjust in calibration. For calibration,
91 Bayesian data integration or inverse modelling has been recommended as the most powerful technique
92 for parameter estimation (Hartig et al., 2012; van Oijen, 2017). Compared to other calibration
93 techniques, these methods offer a proper statistical framework for quantifying uncertainties in model
94 parameters and model predictions. Aside from their bare scientific value, the quantification of
95 uncertainties in model predictions (e.g. as confidence bounds of model forecasts) is also increasingly
96 demanded by decision-makers. Bayesian data integration techniques therefore have recently become
97 increasingly popular (Post et al., 2017; Raj et al., 2018), helping to derive reliable and robust
98 parameter sets. Reliable parameter sets, in turn, are key prerequisites for larger scale forward
99 simulations aimed at predicting the bounds of system behavior in a future climate, beyond
100 observations.

101 The integration of complex statistical analyses, such as sensitivity analysis and Bayesian data
102 integration, into SVAT models and other numerical models, however, is tedious. For performance
103 reasons, the models are mostly written in compilable programming languages such as C/C++ or
104 Fortran, requiring extensive programming skills and time resources to implement extensions that
105 practitioners often lack. Furthermore, the models are often designed as stand-alone computer programs
106 requiring special input data formats, which complicates the integration of driving data for executing,
107 and observational data for calibrating and validating the model. State-of-the-art sensitivity analysis
108 and model inversion techniques, however, are readily available in the *R Language and Environment
109 for Statistical Computing* (R Core Team, 2019) via dedicated extension packages (e.g. (Hartig et al.,
110 2019; Pujol et al., 2016). Moreover, *R* offers various extensions ("R packages") that are particularly
111 useful for process-based SVAT and hydrological models (Slater et al., 2019). For instance, R packages
112 provide for parallel processing of tasks on desktop computers and High-Performance Computation
113 (HPC) clusters, interpolating and downscaling climate projection data (De Cáceres et al., 2018; Hanel
114 et al., 2017; Iturbide et al., 2019), database connection interfaces (Conway et al., 2017) and advanced
115 interactive graphics, that all help to tap data streams, speed up model execution, and analyze and

116 present simulation results without switching between applications. Interfacing process-based models
 117 with *R*, therefore, is highly advantageous and has recently been put into practice for several models
 118 (Bagnara et al., 2019; Coron et al., 2017; Pullens et al., 2017; Wu and Liu, 2012).

119 In this study, we introduce `LWFBROOK90R` (Quelle: ZENODO), a newly developed R package
 120 that makes the vast resources of the *R Environment* readily available to the widely used hydrological
 121 SVAT model LWF-Brook90 (Hammel and Kennel, 2001). The model, a well-established modification
 122 of the Brook90 model (Federer 2002; Federer et al. 2003), simulates daily transpiration, interception,
 123 soil and snow evaporation, streamflow and soil water fluxes through a soil profile covered with
 124 vegetation. The package serves as a flexible and easy-to-use interface between LWF-Brook90 and R,
 125 providing easy access to plot-level water balance simulations, and facilitating complex statistical
 126 analyses as well as large-scale applications, both supported by parallelization of the model. In the
 127 context of a case study, we here use `LWFBROOK90R` to (1) study the importance model parameters for
 128 model output using sensitivity analyses, and to (2) determine parameter values and their uncertainties
 129 using Bayesian data integration, to investigate the water balance of a poplar short rotation forest
 130 (SRF). The presented methods and results provide detailed information on the use of the model and its
 131 sensitivity to input parameters, as well as original data on SRF evapotranspiration.

132 2 The LWFBROOK90R package

133 With the `LWFBROOK90R` package, the LWF-Brook90 hydrological model can be run directly from
 134 within R. A set of functions (Table 1) facilitates simulation, set up and model execution with only few
 135 commands. The package core function `runLWFB90` constructs the model input objects from model
 136 control options, parameters, climate and soil data, and executes LWF-Brook90. After the simulation
 137 has terminated, user-selected results are returned together with the model input.

138 Table 1: Main functions of the `LWFBROOK90R` package.

Name	Category	Description
<code>runLWFB90()</code>	<i>model execution</i>	Runs the LWF-Brook90 hydrological model using model control options, parameters and meteorology data input objects
<code>mrunchLWFB90()</code>		Performs a multi-run simulation using a set of variable input parameters
<code>msiterunchLWFB90()</code>		Performs a multi-site run using lists of climate, model control options, and parameter input objects
<code>r_lwfbrook90()</code>		Low-level interface function to the LWF-Brook90 dynamic library
<code>setoptions_LWFB90()</code>	<i>model set up</i>	Creates a model control options object
<code>setparam_LWFB90()</code>		Creates a model input parameter object

139 The model control options thereby contain information about the type of radiation input (global
140 radiation or sunshine duration), the temporal resolution of precipitation input (and the simulation time-
141 step), the use of precipitation correction functions, and the type of water retention and unsaturated
142 hydraulic conductivity model (Table 2). Aside from these basic simulation settings, the user can select
143 from several sub-models for defining aboveground stand properties, leaf area index (LAI) dynamics,
144 phenology, and root length density depth distributions (Table 2). All methods and sub-models
145 previously available in an MS Access user interface for the LWF-Brook90 model have been included
146 and are continuously extended by additional sub-models. Furthermore, ancillary functions help to set
147 up the options and parameter input objects conveniently and to estimate soil hydraulic properties from
148 soil physical data using pedotransfer functions. To preserve the execution speed and to warrant
149 platform-independent usability of the model, the LWF-Brook90 Fortran code was embedded directly
150 into the package as a dynamic library, which is compiled upon package installation. Compiling from
151 source files makes the `LWFBROOK90R` package fully operational on Windows, MacOS and Linux
152 operating systems.

153 Table 2: Methods and sub-models currently available in the `LWFBROOK90R` model control options.

Name	Description	Options
<code>fornetrاد</code>	type of radiation input data	'globrad' (global solar radiation) or 'sunhours' (sunshine duration hours)
<code>imodel</code>	type of water retention and unsaturated hydraulic conductivity model	'CH' (Clapp and Hornberger, 1978) or 'MvG' (Mualem, 1976; van Genuchten, 1980)
<code>prec.interval</code>	number of precipitation input intervals per day and simulation time step	1 (daily values) to 240 (6 minutes intervals)
<code>prec.corr</code>	correction of precipitation input for evaporation and wind loss	'yes' (Richter, 1995) or 'no'
<code>budburst.method</code>	air temperature based phenology models to calculate the dates of budburst (all methods available in R-package 'vegperiod' (Nuske, 2017))	'Menzel' (Menzel, 1997, 10 tree species), 'ETCCDI' (Frich et al., 2002), 'Ribes uva-crispa' (Janssen, 2009), 'fixed' (no model, dates specified in parameters)
<code>leaffall.method</code>	air temperature based phenology models to calculate the beginning of leaf fall (all methods available through R-package 'vegperiod'; Nuske, 2017)	'vonWilpert' (von Wilpert, 1990), 'LWF-BROOK90' (Hammel and Kennel, 2001), 'NuskeAlbert', 'ETCCDI' (Frich et al., 2002), 'fixed' (no model, dates specified in parameters)
<code>lai.method</code>	method name for constructing the seasonal course leaf area index development from parameters	'b90' (Hammel and Kennel, 2001), 'linear' (interpolation), 'Coupmodel' (Jansson and Karlberg, 2004)
<code>standprop.input</code>	type of input for annual plant characteristics (max. LAI, SAI, stand height, stand density)	'parameters' or 'table'
<code>standprop.interp</code>	interpolation method for constructing daily plant characteristics (except for LAI) from annual values	'constant' or 'linear' (changing) during the year
<code>standprop.use_growthperiod</code>	Shall intraannual changes in plant characteristics (except for LAI) and age-dependent root growth exclusively take place between the dates of budburst and beginning of leaffall?	TRUE or FALSE
<code>root.method</code>	method name for constructing the root length density depth distribution	'betamodel' (Gale and Grigal, 1987), 'table', 'constant', 'linear' (depth interpolation)

154 The model can be used for single run simulations, where the water balance of a single site is simulated
155 using a predefined set of input parameters (Table 1). A basic application would be to run a simulation,
156 inspect the results, adjust selected parameters, and rerun the simulation. This highly interactive
157 workflow is supported by the properties of R as an interpreted language and makes it very easy for
158 new users to get familiar with the results and the influence of single parameters in LWF-Brook90.
159 Such single run simulations can be extended by:

- 160 1. Variation of model input parameters in sensitivity analyses and model calibration tasks,
- 161 2. Forward simulations for multiple locations, parameter sets, or climate scenarios.

162 For the first task, the function `mrunLWFB90` (Table 1) can be used as a flexible tool to perform
163 multiple simulations that incorporate a given variation of selected input parameters in Monte Carlo
164 simulations. For the second task, the function `msiterunLWFB90` can be used, running the model on
165 multiple sites using individual parameter sets, soil and climate data inputs. Both functions support
166 parallel processing of the individual model runs for personal computers, computational servers and
167 HPC clusters. In this way, large forward simulations, as well as sensitivity analysis techniques using
168 Monte-Carlo simulations (Saltelli et al., 2008) or informal inverse calibrations such as the Generalized
169 Likelihood Uncertainty Estimation (GLUE) procedure (Beven and Binley, 1992), that both require
170 thousands of model runs (Bagnara et al., 2019) can be carried out efficiently.

171 Formal Bayesian calibrations can be performed by connecting `LWFBrook90R` to one of the
172 various R packages offering inverse modelling. The connection can be set up by specifying a
173 likelihood function that links a set of model input parameters to a likelihood measure for producing an
174 observed output, by evaluating the differences between model predictions and independent
175 observations. All functions for generating model input from parameters and model control options, the
176 low-level interface function to the dynamic library itself (Table 1), and a set of functions for
177 processing model output are available to the user. As such, `LWFBrook90R` can serve as a toolbox for
178 flexibly specifying custom likelihood functions. Such a likelihood function can then be sampled by
179 one of the various algorithms available in, e.g., the R package `BayesianTools` (Hartig et al., 2019).

180 **3 Case study**

181 We present a case study in which we investigate the water balance of a poplar (*Populus maximowiczii*
182 \times *P. nigra*) SRF using `LWFBrook90R`. For this purpose, we first conduct a sensitivity analysis to
183 identify important model parameters. Subsequently, we perform Bayesian model calibrations using
184 observations of daily bulk soil water storage. Finally, a forward simulation is done using the joint
185 posterior parameter distribution, in order to derive estimates of the plantation’s water fluxes and their
186 uncertainties. To compare the estimated water fluxes to other vegetation types, a set of single run

187 simulations is initially performed. The R code and detailed instructions for each task are provided
188 along with package installation information (Appendix A) and the required data sets in the
189 supplementary material to the paper.

190 **3.1 Study site and data acquisition**

191 The study site is located on a deep loess soil over gravel deposits near Kaufering (600 m a.s.l.),
192 S-Germany (48°05'13''N, 10°51'39''E), on former crop land. Mean annual temperature is 8.4°C
193 (1981-2010), mean annual precipitation is 996 mm, with a maximum in the summer months typical for
194 the pre-alpine landscape in which the study site is located. The SRF was planted in 2008 from
195 cuttings, had an average tree height of 9 m in 2011 and a closed canopy with a maximum LAI of ca.
196 6 m² m⁻². Meteorological data were obtained from the German Weather Service's nearby station in
197 Landsberg. In a soil inventory, soil physical properties were determined, from which the soil hydraulic
198 parameters were estimated using pedotransfer functions (Puhlmann and von Wilpert, 2012). In 2011
199 and 2012, volumetric soil water content was measured in 15, 50, 115, 160 and 220 cm soil depth using
200 FDR probes (10HS, Decagon Devices, Inc.). The measurements were interpolated and integrated
201 across the soil profile to obtain daily bulk soil water storage in 0-200 cm soil depth, which is the basis
202 for calibrating and validating the model.

203 **3.2 Single run simulations**

204 **3.2.1 Simulation set up**

205 Three single run simulations were performed to provide references for the SRF water balance
206 estimated in section 3.4.2, and to demonstrate the basic use of the package (Appendix B). The three
207 simulations represent a temperate deciduous beech forest stand, a temperate evergreen spruce forest
208 stand and a temperate grassland vegetation cover. The characteristic parameters of the vegetation types
209 (Table 3) were obtained from Federer et al. (1996), with modified interception parameters for the
210 forest cover types from Schmidt-Walter et al. (2019). The simulations were run for the period 1980-
211 2010, and made use of the option to estimate the dates of budburst, i.e. when LAI starts to increase,
212 following the degree-day approaches described in Menzel (1997; deciduous, evergreen) and Frich et
213 al. (2002; grass). Along with other approaches (Table 2), these are available in `LWFBrook90R` by
214 importing the R package `vegperiod` (Nuske, 2017). The end of the growing season, when LAI starts
215 to decline, was estimated using the methods described by von Wilpert (1991) for the forest cover
216 types, and again Frich et al (2002) for the grassland vegetation. The root density depth distributions of
217 the vegetation types were generated using the β model (Gale and Grigal, 1987), with coefficients taken
218 from Jackson et al. (1996).

219 Table 3: Input parameters sets for the three vegetation cover types. All other model parameters are set to default
 220 values.

Parameter	Description	Unit	Temp. evergreen forest	Temp. deciduous forest	Temp. grassland
maxlai	Max. LAI in summer	$m^2 m^{-2}$	6	6	3
winlaifrac	Min. LAI in winter as fraction of maxlai	-	0.8	0	0.5
height	vegetation height	m	25	25	0.5
sai	stem area index	$m^2 m^{-2}$	0.875	0.875	0
glmax	maximum leaf conductance	$m s^{-1}$	0.0053	0.0053	0.0053
radex	radiation extinction coefficient	-	0.5	0.6	0.7
alb	albedo	-	0.14	0.18	0.2
albsn	albedo ground covered with snow	-	0.14	0.23	0.5
maxrlen	max. fine root length	$m m^{-2}$	3100	3500	1000
betaroot	beta coefficient root distribution	-	0.976	0.966	0.943
maxrootdepth	max. root depth	m	-1.2	-1.4	-0.8
lwidth	average leaf width	m	0.004	0.05	0.006
frintlai	interception catch fraction of rain per unit LAI	-	0.12	0.12	0.06
frintsai	interception catch fraction of rain per unit SAI	-	0.14	0.25	0.06
cintrl	interception storage capacity for rain per unit LAI	$mm m^{-2}$	0.2	0.2	0.15
cintrs	interception storage capacity for rain per unit SAI	$mm m^{-2}$	0.4	0.4	0.15

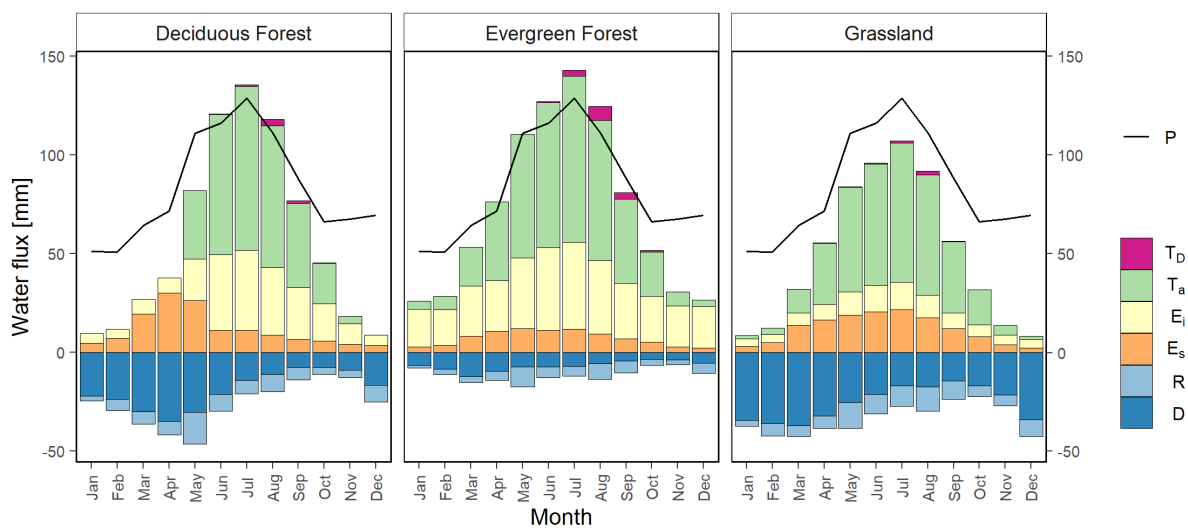
221

222 3.2.2 Results and discussion

223 The simulated annual course of water fluxes shows a maximum of evapotranspiration E (i.e., the sum
 224 of actual transpiration T_a , interception E_i , and soil evaporation E_s) in the summer months, and a
 225 maximum of total runoff (i.e., sum of drainage water flux D, and surface runoff R) in late winter or
 226 early spring (Figure 1). The highest mean evapotranspiration rates (1981 to 2010) thereby originate
 227 from the evergreen spruce forest (864 mm y^{-1}), followed by the deciduous beech forest (685 mm y^{-1})
 228 and the grassland vegetation (593 mm y^{-1}). Accordingly, average total runoff from the soil profile
 229 (beech 307 mm y^{-1} ; spruce: 137 mm y^{-1} ; grassland 402 mm y^{-1}) is highest under grassland and lowest
 230 under spruce, and is predominantly routed to groundwater as drainage flux (D) from the bottom of the

231 soil profile. The simulation results and mean water fluxes generally agree with observations for these
 232 vegetation types in the pre-alpine landscape (beech: Baumgarten et al., 2014; grassland: Fu et al.,
 233 2017; spruce, beech: Weis et al., 2012).

234 For all vegetation types, the main evapotranspiration component is T_a , and more or less
 235 pronounced transpiration deficits (T_D) develop in late summer (Figure 1). As a measure drought stress,
 236 the transpiration deficit quantifies the difference between potential and actual transpiration, with the
 237 latter being reduced below potential transpiration LWF-Brook90, when water uptake is limited due to
 238 low soil water availability. Among vegetation types, distinct differences in the annual courses of
 239 evapotranspiration fluxes can be observed. Due to the permanent foliage of the evergreen spruce
 240 forest and grassland, small amounts of transpiration also occur in winter, and water uptake starts
 241 earlier in the year compared to the deciduous forest. In deciduous forest, soil evaporation (E_s) is the
 242 main component of evapotranspiration in early spring. Even greater differences can be observed for
 243 the annual courses of interception evaporation (E_i). While E_i in the dormant season is low for the
 244 deciduous and grassland vegetation, the evergreen spruce forest shows comparably high amounts of
 245 interception evaporation throughout the year.



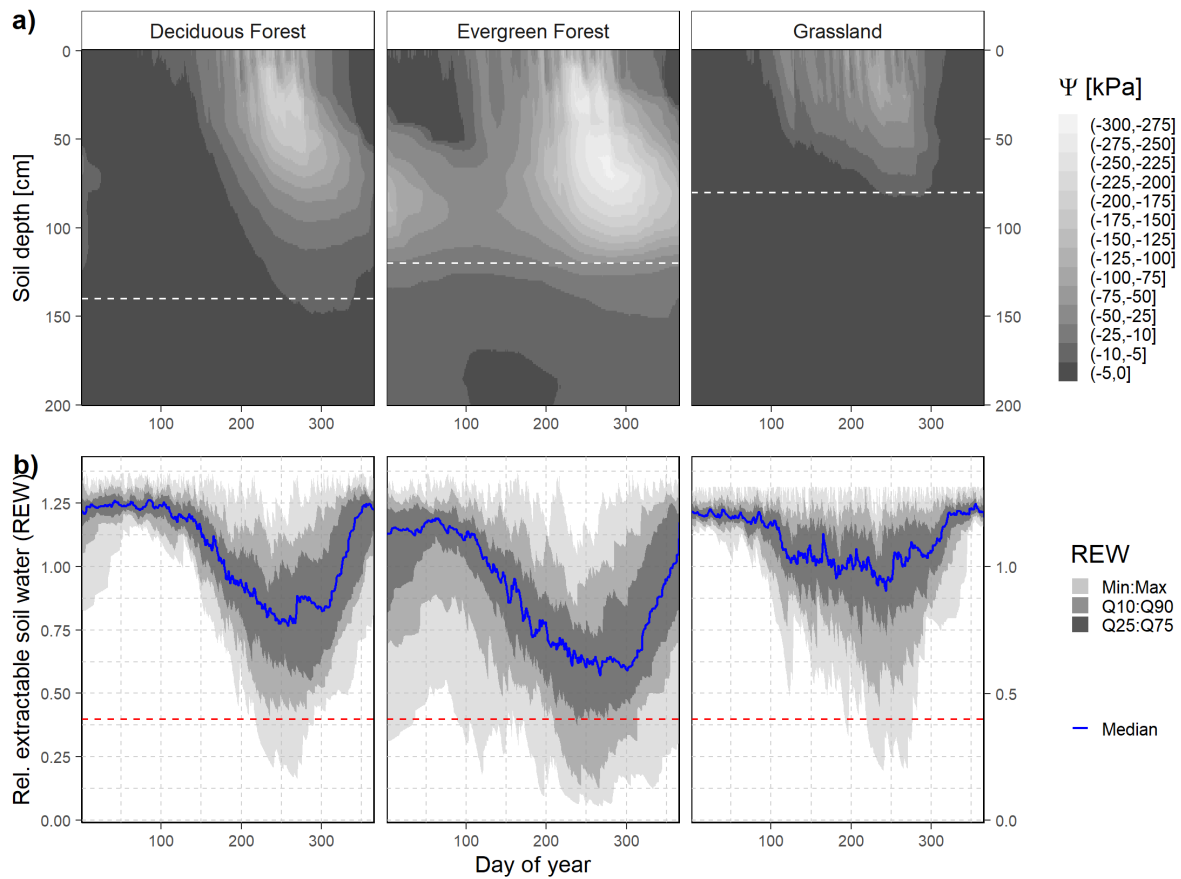
246

247 Figure 1: Mean monthly precipitation (P), actual transpiration (T_a), rain and snow interception evaporation (E_i),
 248 soil and snow evaporation (E_s), drainage (D , displayed as negative values), surface runoff (R , displayed as
 249 negative values), and transpiration deficit (T_D) of the three cover types for the period 1981 to 2010. T_D greater
 250 zero refers to a reduction of T_a below potential transpiration, indicating drought stress.

251 Other model outputs of plant physiological interest are the soil matrix potential (Figure 2 a) and the
 252 relative extractable soil water storage (REW, Figure 2 b). REW is a measure of relative water
 253 availability in the root zone, and is calculated as the ratio between actual plant available soil water
 254 (difference between actual water content and water content at wilting point, $\Psi=1600$ kPa) and plant
 255 available water capacity (water content difference between field capacity, $\Psi= 6.3$ kPa, and wilting
 256 point). Soil water availability is considered to severely limit transpiration of forest ecosystems, when
 257 REW falls below 0.4 (Granier et al., 2000).

258 During the growing season, evapotranspiration exceeds precipitation, causing a decrease in soil
259 moisture, as expressed by a decline of Ψ and REW (Figure 2). Under forest cover, the reduction of Ψ
260 extends to greater soil depths as compared to the grassland cover, due to a deeper rooting system of
261 the trees (Figure 2 a). At minimum values below -225 kPa, the evergreen spruce forest on average
262 shows the most intensive and profound reduction in matrix potential, also affecting soil layers below
263 the root zone. This is also reflected in the highest mean transpiration deficit (T_D , Figure 1) and the
264 lowest median values of REW (Figure 2 b), compared to the other vegetation types. While in the
265 deciduous forest and grassland vegetation, the critical threshold at REW = 0.4 is undercut only by the
266 absolute minimum REW values, even the 10%-quantile falls below the critical limit under evergreen
267 forest, indicating that limited water availability in summer is more the rule than the exception in the
268 spruce forest.

269 At the end of the growing season, soil water replenishment sets in, recovering Ψ . For the
270 grassland, high values above -5 kPa that indicate field capacity is quickly approached after water
271 uptake ceases in autumn. Under deciduous beech, soil water replenishment is completed around the
272 turn of years. In contrast, the replenishment of the subsoil at the evergreen spruce forest on average
273 remains incomplete until the onset of the growing season. This indicates that for spruce, the large soil
274 water deficits from the growing season, and a higher interception evaporation in the dormant season
275 do not allow for a thorough soil rewetting in every year of the simulation. In fact, the 10%-quantile of
276 REW stays below 1 (Figure 2 b), suggesting that in some years, the root zone water storage is not
277 refilled completely under spruce. In this way, soil water deficits can build up across years, making this
278 vegetation type particularly vulnerable to cumulative-type droughts. In contrast, the highest values of
279 even the minimum REW exceed 1 in the other vegetation types, revealing a complete refill of the root
280 zone soil water storage under deciduous forest and grassland vegetation in every year's winter or early
281 spring.



282

283 Figure 2: a) Depth-interpolated mean daily soil water potential (Ψ), and b) distribution statistics of relative
 284 extractable soil water (REW) for the three vegetation types in the period 1981-2010. Mean daily soil water
 285 potential of the soil layers was depth-interpolated and classified to discrete values. The dashed lines refer to the
 286 root depths of the vegetation types (a), and the critical threshold value (b) at REW = 0.4 (Granier et al., 2000).

287 3.3 Sensitivity analysis

288 Before calibrating LWF-Brook90 to soil water storage observed at the poplar plantation, we conducted
 289 a regional sensitivity analysis (Hornberger and Spear, 1981; Saltelli et al., 2008) to identify parameters
 290 that have a positive effect on the agreement between simulated and observed soil water storage. In
 291 regional sensitivity analyses (also called “Monte Carlo Filtering”), a large parameter sample is
 292 evaluated in a Monte-Carlo simulation and subsequently split into ‘behavioral’ and ‘non-behavioral’
 293 subsets, corresponding to model output below or above a certain threshold (Pianosi et al., 2016). To
 294 measure the agreement between simulated and observed bulk soil water storage in 0-200 cm soil
 295 depth, we calculated Nash-Sutcliffe efficiency (NSE) and considered all simulations having $NSE \geq 0.8$
 296 as behavioral. In order to determine the sensitivity of simulated soil water storage to the individual
 297 parameters in different years and seasons, we determined several NSE values, referring to the whole
 298 simulation (2011-2012), the two years separately (2011, 2012), the growing (April-September) and
 299 dormant seasons (October-March). The importance of individual parameters in each of the different
 300 observation periods was evaluated by testing the behavioral parameter distributions for differences to
 301 the non-behavioral parameter distributions, using the two-sample Kolmogorov-Smirnov test. The test-
 302 statistic D_{max} thereby describes the maximum distance between the empirical cumulative distribution

303 functions (ECDFs) of the behavioral and non-behavioral parameter sets, and serves as a measure of
304 parameter importance for the investigated model output.

305 **3.3.1 Simulation set up**

306 Out of the ca. 100 LWF-Brook90 model input parameters, we analyzed 24 parameters for their impact
307 on model performance, as referenced by observed bulk soil water storage. The parameters included
308 variables affecting potential transpiration, water uptake and leaf water supply, interception
309 evaporation, soil hydraulic properties and phenology. Maximum leaf area index (LAI), which is used
310 LWF-Brook90 to upscale leaf-level parameters to the canopy, was fixed to the observed $6 \text{ m}^2 \text{ m}^{-2}$ and
311 not set up for variation. The parameter sample ($N=50,000$) was generated from random uniform
312 distributions, with variation ranges (Table 4) derived from the literature (Federer, 2002; Federer et al.,
313 2003; Groh and Puhlmann, 2013), or set to the feasible parameter range when no information was
314 found. For the soil porosity and saturated conductivity parameters of the single soil layers' hydraulic
315 properties, we used scaling factors to vary the values of all soil layers simultaneously. The variation
316 range for the layers' porosities was defined as $\pm 25\%$ around the initial value. The layers' saturated
317 hydraulic conductivities were varied on a logarithmic scale of base 10 by ± 0.5 magnitudes. The 50,000
318 simulations were run from 2010 to 2012 (2010 serving as spin-off period), using the function
319 `mrLWFB90` on a computation server with 10 processors in parallel. The simulations took less than
320 4 hours to execute, but could also have been run overnight on a desktop computer using three CPUs.
321 The R code and detailed instructions are provided in Appendix C and additionally include
322 visualizations of the behavioral parameter distributions (Figure C3), and simulated soil water storage
323 compared to observations (Figure C4).

324 **3.3.2 Results and discussion**

325 The sensitivity analysis revealed a distinct ranking of the individual parameters' importance for
326 achieving simulations in the behavioral model region ($NSE \geq 0.8$ for soil water storage in 0-200 cm
327 soil depth). Considering the entire simulation period (2011-2012, Figure 3), the scaling factor for the
328 soil layers' individual porosity (*sc_ths*) was most important (classified as 'highly important', $D_{\max} \geq$
329 0.2, Harlin and Kung, 1992), followed by the β coefficient of the vertical root distribution (*betaroot*),
330 the date of budburst (*budburstdoy*), and the factor reducing leaf conductance for low solar radiation
331 (*r5*). Together with the maximum leaf conductance (*glmax*), these parameters define the vegetation's
332 timing and level of water demand (*budburstdoy*, *glmax*, *r5*), and plant available water storage capacity
333 of the soil profile (*sc_ths*, *betaroot*). Their importance for soil water storage, as well as the importance
334 of the individual soil layers' saturated hydraulic conductivities (*sc_ksat*), is expressed in almost all
335 investigated periods ($D_{\max} \geq 0.1$, Table 4), at varying degrees. The influence of other parameters in
336 some cases was significant, but their influence on model performance mostly unimportant ($D_{\max} <$
337 0.1), with exceptions for the growing season (April-September) concerning the interception storage

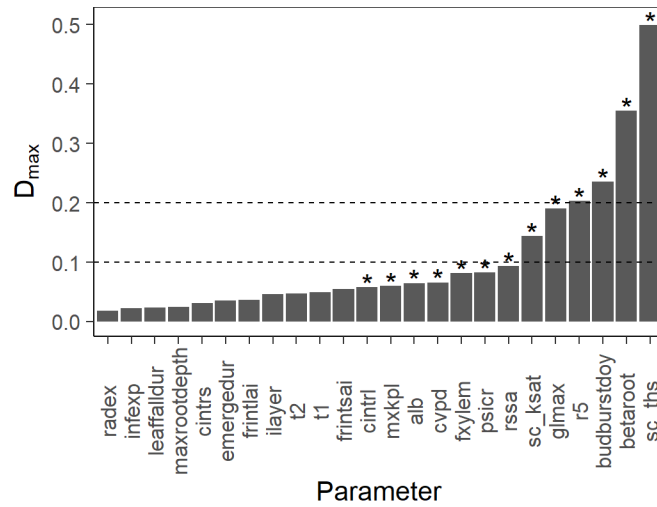
338 capacity (*cintrl*), soil evaporation resistance (*rssa*) and critical leaf water potential at which stomata
339 close (*psicr*).

340 The importance of parameters differed between seasons and years. Only subsets of parameters
341 were important for acceptable model fits of soil water storage in the dormant season (October-March)
342 or the individual years (Table 4). In particular, the date of budburst (*budburstdoy*), *rssa*, *cintrl* and
343 saturated hydraulic conductivities (*sc_ksat*) were unimportant ($D_{\max} < 0.1$) in the dormant season, and
344 the parameters affecting potential transpiration and leaf water supply were naturally less important for
345 soil water storage compared to the growing season. For the two years, differences mainly concerned
346 the ranking of parameters affecting potential transpiration (*glmax*, *r5*) and root zone water storage
347 (*sc_ths*, *betaroot*). In contrast to 2011, potential transpiration parameters in 2012 appeared to be
348 unimportant, while higher importance was assigned to the β coefficient of the root length density depth
349 distribution (*betaroot*), and the scaling parameters for soil porosities and saturated hydraulic
350 conductivities (*sc_ths*, *sc_ksat*). This indicates that the annual course of soil water storage in 2012 was
351 more sensitive to plant-available soil water storage capacity than water demand, when compared to
352 2011.

353 The general ranking of parameters we found is in accordance with Federer et al. (2003), who
354 indicate that apart from climatic conditions, highest impacts on annual evapotranspiration result from
355 the vegetation cover type and the available soil water capacity. In our analysis, these two factors are
356 represented by the parameters affecting potential transpiration, total soil water storage capacity and
357 root distribution (Table 4). However, the ranking of input parameter importance (Figure 3) only partly
358 agrees with the findings of the so-far single sensitivity study of LWF-Brook90 model performance
359 referenced by observations of soil moisture (Groh and Puhlmann, 2013). Following Groh and
360 Puhlmann (2013), we identified the soil porosity to be the most important parameter for model
361 performance. However, the other parameters we found to be highly important (*budburstdoy*, *r5*) were
362 not tested in the study of Groh and Puhlmann (2013), or were of a relatively lower or no importance
363 compared to our analysis (e.g. *betaroot*, *glmax*, *mxkpl*). Other parameters that here did not affect
364 model performance, in turn, were of great importance in the other study (such as *ilayer*), that however
365 used depth-specific measurements of water content as reference. Such data naturally are more
366 sensitive to parameters affecting the vertical water movement through the soil (e.g., *ilayer*) than the
367 bulk soil water storage we used.

368 Different model outputs, in general, show different sensitivities to individual model inputs, which
369 complicates a comparison of model sensitivity with the available literature. Moreover, the rankings of
370 parameter importance for a certain model output can vary from location to location (Groh and
371 Puhlmann, 2013), and with the period represented by the model output (Song et al., 2013).
372 Accordingly, we identified differences in the sensitivity of actual soil water storage between the two
373 individual years, which probably were caused by the different climatic conditions in 2011 and 2012.

374 This indicates that sensitivity analysis should be conducted at each individual site, for which the model
 375 is calibrated.



376

377 Figure 3: Maximum difference D_{max} between the ECDFs of parameter values resulting in behavioral ($NSE \geq$
 378 0.8) and non-behavioral simulations regarding soil water storage the entire observation period (2011-2012).
 379 Reference lines are set according to the sensitivity classification after Harlin and Kung (1992): $D_{max} \geq 0.2 =$
 380 'highly important'; $0.1 \leq D_{max} < 0.2 =$ 'moderately important'; $D_{max} < 0.1 =$ 'unimportant'; asterisks:
 381 significant differences between behavioral and non-behavioral parameter distributions ($p \leq 0.05$, two-sample
 382 Kolmogorov-Smirnov test).

383 Table 4: LWF-Brook90 parameters, their prior ranges, and importance for soil water storage measure by D_{max} in
 384 different observation periods of the simulation. Cell-shading corresponds to the sensitivity classification after
 385 Harlin and Kung (1992): dark grey = 'highly important'; medium grey = 'moderately important', light grey =
 386 'unimportant'.

Parameter	Description	Group	Unit	Prior min	Prior max	D_{max}				
						2011-2012	2011	2012	Apr-Sep	Oct-Mar
ilayer	Macropore-assisted infiltration depth	Infiltration	-	1	13	n. sig.	n. sig.	n. sig.	n. sig.	n. sig.
infexp	Infiltration exponent	Infiltration	-	0	1	n. sig.	n. sig.	n. sig.	n. sig.	n. sig.
cintrl	Interception storage capacity for rain per unit LAI	Interception evaporation	mm m ⁻²	0.05	0.75	0.058	n. sig.	0.065	0.136	0.052
cintrs	Interception storage capacity for rain per unit SAI	Interception evaporation	mm m ⁻²	0.05	0.75	n. sig.	n. sig.	n. sig.	n. sig.	n. sig.
frintlai	Interception catch fraction of rain per unit LAI	Interception evaporation	-	0.02	0.2	n. sig.	n. sig.	n. sig.	n. sig.	0.044
frintsai	Interception catch fraction of rain per unit SAI	Interception evaporation	-	0.02	0.5	n. sig.	n. sig.	0.050	n. sig.	0.038
alb	Albedo	Energy balance	-	0.1	0.3	0.065	n. sig.	0.054	0.091	n. sig.
radex	Radiation extinction coefficient	Energy balance	-	0.4	0.7	n. sig.	n. sig.	n. sig.	n. sig.	n. sig.
budburstdoy	Day number of budburst	Phenology	day of year	90	150	0.236	0.339	0.125	0.251	0.044
emergedur	Duration of leaf unfolding	Phenology	days	5	30	n. sig.	n. sig.	n. sig.	n. sig.	n. sig.

leaffalldur	Duration of leaffall	Phenology	days	10	50	n. sig.	n. sig.	0.063	n. sig.	n. sig.
cvpd	Stomata conductance reduction factor for high vapor pressure deficit	Potential Transpiration	kPa	0.5	3	0.066	0.059	n. sig.	0.090	0.059
glmax	Maximum leaf conductance	Potential Transpiration	m s ⁻¹	0.005	0.025	0.190	0.166	0.085	0.217	0.152
r5	solar radiation at which stomata conductance is half of its max. value	Potential Transpiration	W m ⁻²	50	400	0.203	0.131	0.085	0.235	0.144
t1	Air temperature below which stomata conductance is reduced	Potential Transpiration	°C	5	15	n. sig.	n. sig.	n. sig.	0.093	n. sig.
t2	Air temperature above which stomata conductance is reduced	Potential Transpiration	°C	20	35	n. sig.	n. sig.	n. sig.	n. sig.	n. sig.
rssa	Soil evaporation resistance at field capacity	Soil evaporation	s m ⁻¹	20	1500	0.094	0.062	0.082	0.134	0.038
sc_ksat	Scaling factor for saturated hydraulic conductivity	Soil hydraulic properties	-	-0.5	0.5	0.144	0.054	0.130	0.190	0.055
sc_ths	Scaling factor for soil porosity	Soil hydraulic properties	-	0.75	1.25	0.499	0.489	0.501	0.460	0.495
betaroot	Vertical root distribution β -coefficient	Root distribution	-	0.9	0.999	0.355	0.125	0.260	0.381	0.257
maxrootdepth	Max. rooting depth	Root distribution	m	-2	-1.2	n. sig.	n. sig.	n. sig.	n. sig.	n. sig.
fxylem	Fraction of plant resistance in xylem	Leaf water supply	-	0.01	0.9	0.082	n. sig.	0.068	0.098	n. sig.
mxkpl	Max. hydraulic plant conductivity	Leaf water supply	mm d ⁻¹ MPa ⁻¹	1	30	0.060	0.091	0.063	n. sig.	0.073
psicr	Critical leaf water potential at which stomates close	Leaf water supply	MPa	-4	-0.5	0.083	n. sig.	0.046	0.120	n. sig.

387

388 3.4 Bayesian calibration

389 Based on the results from sensitivity analysis, we set up a full Bayesian calibration, referenced by bulk
390 soil water storage in 0-200 cm soil depth observed at the poplar SRF in Kaufering. The aim was to
391 determine a site-specific model for quantifying the water fluxes of the plantation and their
392 uncertainties. We set up two calibration experiments, the first using the observations from 2011, and
393 the second using the observations from 2012 as calibration dataset, while the remaining datasets were
394 used for validation.

395 3.4.1 Simulation set up

396 We selected the 10 LWF-Brook90 input parameters for calibration that were identified to be important
397 for a high model performance in any of the observation periods investigated in the sensitivity analysis
398 (Table 4). Other parameters were set to the values for deciduous forests (Table 3), except for the
399 maximum root depth (*maxrootdepth*) and maximum LAI (*maxlai*). The former was set to a soil depth

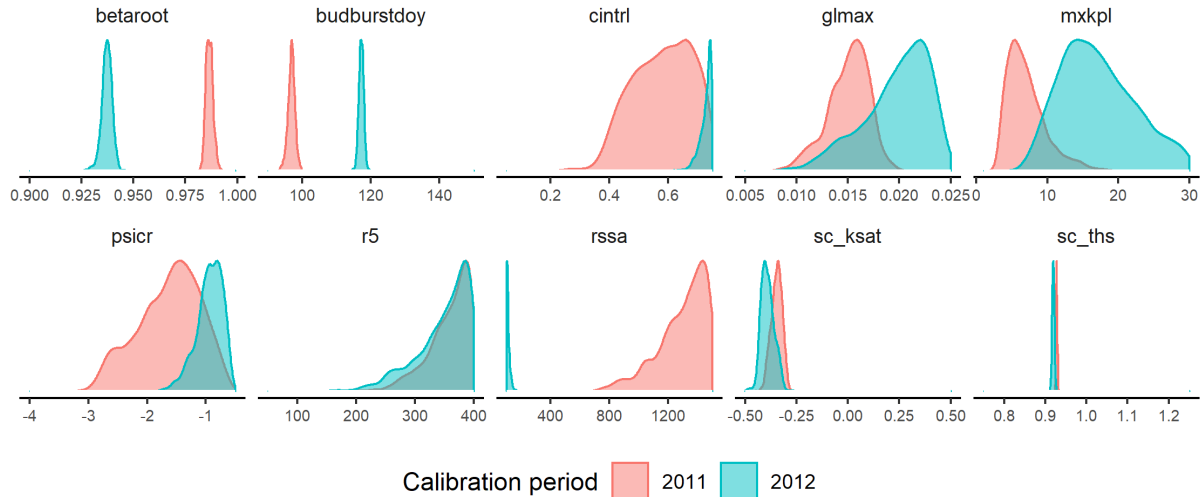
400 of 2.0 m to not interfere with the β coefficient of the root distribution. The latter was fixed to the
401 observed value of $6 \text{ m}^2 \text{ m}^{-2}$. The simulations in both calibration experiments started one year before
402 the actual calibration period and used the same prior parameter distributions as the sensitivity analysis.

403 We specified a Gaussian likelihood function to calculate the log-likelihood of a simulation given
404 an observation and standard deviation at each calibration step. The joint posteriori parameter
405 distribution was estimated using the Differential Evolution Markov Chain Monte-Carlo (DEzs)
406 algorithm (ter Braak and Vrugt, 2008), implemented in the R package `BayesianTools` (Hartig et
407 al., 2019). The total execution time for three parallel chains with three sub-chains, running in total for
408 300,000 iterations, was 70.5 hours.

409 **3.4.2 Results and discussion**

410 **Posterior parameter distribution of the two calibration experiments**

411 Both calibration experiments were successful and converged with a Gelman-Rubin point scale
412 reduction factor close to 1. The posterior distributions were reduced over the prior parameter ranges on
413 average by 75% (33-99%, see R in Table 5). The reduction is a measure of the width of the posterior
414 parameter probability density distributions (Figure 4), and roughly depicts the identifiability of the
415 parameters. In both calibration experiments, most parameters were well defined, however, the
416 posterior distributions of *cintrl*, *r5*, and *rssa* touched the edges of their prior distributions. The soil
417 porosity scaling factor (*sc_ths*) was defined best, with very narrow confidence bounds around 0.927
418 (2011) and 0.919 (2012). This corresponds to a bulk soil porosity in 0-200 cm soil depth of 712 and
419 705 mm respectively, which is ca. 60 mm lower than the initial soil porosity derived by the
420 pedotransfer function. While the soil porosity was almost equal in both calibration experiments, the
421 posterior probability density distributions of most other parameters were centered over different
422 values. The divergence was most extreme for the soil evaporation resistance (*rssa*), which was
423 calibrated to very high values in 2011, while very low values were found for the 2012 dataset. Clear
424 differences in the posterior density distribution, without any overlap, were also found for the
425 parameters *betaroot* and *budburstdoy*. For instance, low values for the β coefficient were estimated in
426 2012 (0.932-0.942, Tab. 5), corresponding to a more shallow root distribution with a greater
427 proportion of roots near the soil surface (95% in 0-30 cm). This fitted distribution resembled the
428 measured root distribution, with 88% of fine root biomass in 0-30 cm soil depth. In contrast, the root
429 density distribution estimated for 2011 was deeper, with a less quickly depth-decreasing root density
430 (68% in 0-30 cm).



431

432 Figure 4: Posterior parameter density distributions estimated using Bayesian calibration of the LWF-Brook90
 433 model to soil water storage (0-200 cm soil depth) observed in 2011 and 2012.

434 Table 5: Posterior parameter distribution statistics of the two calibration experiments. MAP is the Maximum A-
 435 Posteriori probability parameter estimate. R is reduction of posterior parameter range over prior parameter range:

436
$$R = 1 - \frac{(P_{97.5\%} - P_{2.75\%})}{(\text{prior max} - \text{prior min})}$$

Parameter	Unit	Calibration 2011					Calibration 2012				
		P _{2.5%}	Median	P _{97.5%}	R	MAP	P _{2.5%}	Median	P _{97.5%}	R	MAP
sc_ths	(-)	0.925	0.927	0.931	99%	0.926	0.914	0.919	0.924	98%	0.921
sc_ksat	(-)	-0.399	-0.343	-0.3	90%	-0.373	-0.445	-0.395	-0.326	88%	-0.376
cintrl	(mm m ²)	0.404	0.596	0.739	52%	0.479	0.675	0.733	0.749	89%	0.747
budburstdoy	day of year	94.502	96.774	98.747	93%	97.701	115.724	117.176	118.475	95%	117.224
glmax	(m s ⁻¹)	0.011	0.015	0.018	65%	0.017	0.013	0.02	0.024	45%	0.022
r5	(W m ⁻²)	269.712	365.634	398.562	63%	384.354	240.585	360.399	398.041	55%	385.552
rssa	(s m ⁻¹)	892.05	1340.521	1493.939	57%	1428.416	100.286	107.989	141.42	97%	105.724
betaroot	()	0.984	0.987	0.99	94%	0.984	0.932	0.937	0.942	90%	0.938
mxkpl	(-)	3.592	6.807	14.443	63%	4.853	8.924	16.274	28.34	33%	16.717
psicr	(MPa)	-2.691	-1.486	-0.763	45%	-2.09	-1.458	-0.917	-0.613	76%	-0.871

437

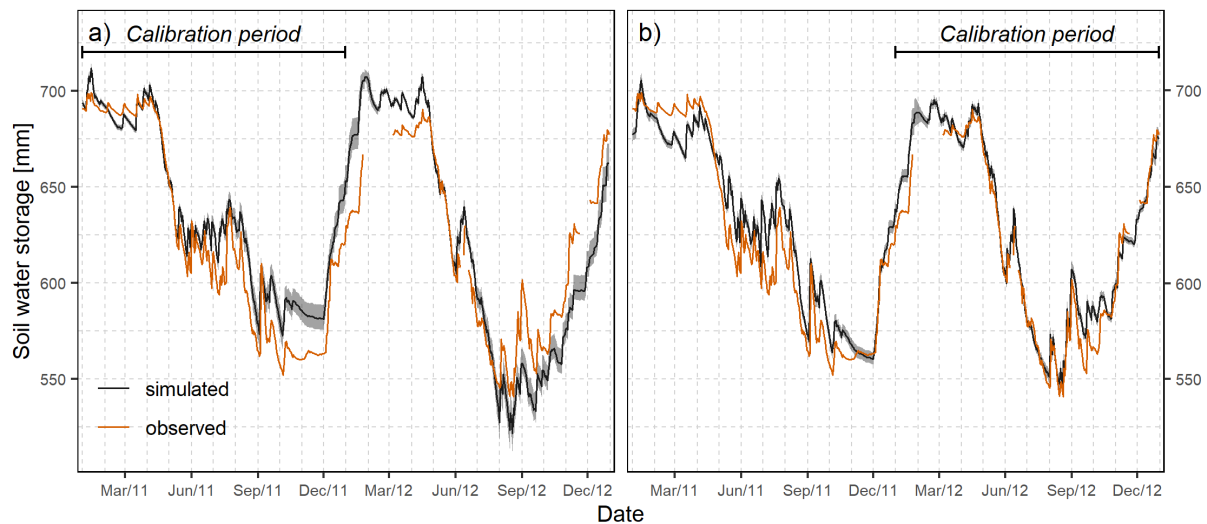
438 **Model performance**

439 With the beginning of the growing season (April-September), observed soil water storage quickly
 440 decreased in the poplar plantation, and slowly increased in the dormant season (Figure 5). Soil water
 441 storage was above 600 mm during most of the growing season of 2011, and decreased to lower values
 442 from July 2012, indicating varying growing season water availability between the two years. In their
 443 respective calibration periods, both models provided an almost perfect fit for the timing and amount of
 444 decrease in soil water storage at the beginning of the growing seasons, but soil water storage
 445 subsequently was constantly overestimated when the wetter year was used as calibration period
 446 (Figure 5 a). This resulted in an inadequate model performance during the soil water replenishment
 447 phase at the end of the 2011, and a lower model efficiency of NSE = 0.89 (Table D1), compared to the
 448 calibration with data observed in 2012 (NSE = 0.96). The second calibration for the drier conditions in

449 2012 continuously provided very good results for its calibration period, with only a slight deviation
450 between simulation and observation in autumn 2012 (Figure 5 b)

451 As expected, the respective validation datasets were less well represented, when compared to their
452 respective calibration periods (Figure 5). In the first experiment (Figure 5 a), the timing of soil water
453 storage decrease during the growing season 2012 was well reproduced, and an NSE of 0.79 was
454 achieved for the entire validation period (2012). However, bulk soil water storage was underestimated
455 from the beginning of August 2012, with a mean absolute error (MAE) of 18 mm. In the second
456 calibration experiment (Figure 5 b), the model fit for the validation period (2011) was better, and in
457 terms of model efficiency and mean absolute soil water storage error in 2011 (NSE = 0.91; MAE =
458 12 mm, Table D1) comparable to the values achieved in the first experiment, which used those
459 observations as calibration dataset. Nonetheless, simulated soil water depletion at the beginning of the
460 growing season 2011 was less strong when compared to observations. This subsequently led to
461 slightly overestimated soil water storage in the first half of the growing season 2011, which was
462 probably caused by assigning the inadequate estimate of the budburst-date from the year 2012 to the
463 year 2011, where water uptake actually started to increase almost 3 weeks earlier (Figure 4).

464 In general, biased residuals during model validation indicate under-represented observations in the
465 calibration dataset. In our case, the observed soil water storage during the growing season 2011 did not
466 cover the lower values observed during the growing season 2012. From the perspective of the 2011
467 calibration the values observed in 2012, therefore, were located in the extrapolation region, which
468 might explain why the course of observed soil water storage in 2012 could not be represented
469 satisfactorily. The higher level of water availability in 2011, on the other hand, could be well
470 represented by the 2012 calibration, which was calibrated in the year with the higher amplitude of
471 observed soil water storage. From our experience, such effects are often observed when calibrating
472 SVAT-models: Better results in validation are obtained when the calibration dataset includes dry
473 years, as these hold information of how the vegetation reacts to decreased soil water availability. In
474 contrast, wetter years often are instantly well reproduced.



475

476 Figure 5: Comparison of observed and daily mean simulated soil water storage in 0-200 cm soil depth ($n = 1000$,
 477 uncertainty bounds: 95% confidence interval) for the two calibration experiments, using observations from 2011
 478 (a) and 2012 (b) as calibration datasets.

479 **Posterior prediction**

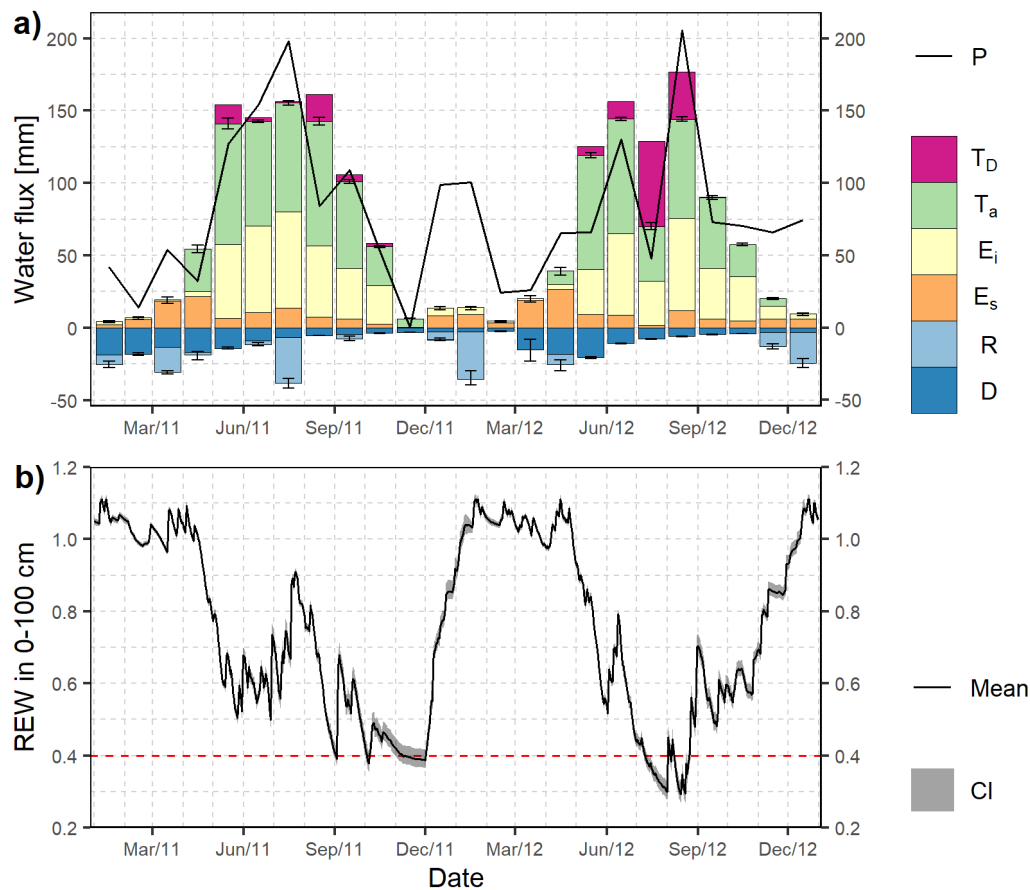
480 To estimate the water fluxes of the poplar SRF, a sample ($n=1000$) was drawn from the joint posterior
 481 parameter distribution of the second calibration experiment. We accounted for interannual variability
 482 in the dates of budburst by independently determining the important *budburstday* parameter with the
 483 Menzel-model (1997), instead of using the estimated value from the calibration. We applied the
 484 Menzel-parameters for downy birch (*Betula pubescens*, Ehrh.), which produced budburst-dates close
 485 to the ones estimated in the calibration experiments. This set-up improved the model efficiency in the
 486 validation period 2011 (NSE = 0.95, Table D2), and the model performed equally well in the
 487 calibration and validation periods, the resulting model outputs therefore can be considered as reliable
 488 estimates of evapotranspiration and runoff for the poplar SRF in Kaufering. To compare the estimated
 489 water fluxes of the plantation to other vegetation types, the simulations from section 3.2 were rerun,
 490 now using the estimated scaling factors for soil porosity and saturated hydraulic conductivity (Table
 491 5).

492 With the beginning of the growing season in the poplar plantation, transpiration (T_a) and
 493 interception evaporation (E_i) strongly increased, and relative extractable soil water (REW) decreased
 494 (Figure 6). Monthly evapotranspiration rates reached 150 mm during summer. In the wet year 2011,
 495 large amounts of precipitation temporarily replenished plant-available soil water in summer. In
 496 contrast, REW fell below the critical limit at 40% of its maximum value (Figure 6 b) in July and
 497 August 2012. During this period, T_a and E_i strongly collapsed, resulting in annual evapotranspiration
 498 of 2012 being reduced below 2011 by more than 100 mm (Table 6). In general, evapotranspiration and
 499 total runoff (D+R) were similar to the values obtained for deciduous beech forest that, however, had
 500 higher soil evaporation and lower interception evaporation (Table 6). On average, simulated annual
 501 transpiration was comparable to measured transpiration reported for a poplar SRF at a site with similar

502 soil properties but lower precipitation (Schmidt-Walter et al., 2014), and was lower than measured
503 transpiration on a site with similar climate conditions (Petzold et al., 2011). Nonetheless, in both of
504 these studies the sum of evapotranspiration (E) during the growing season (April-September) was
505 lower than E of the Kaufering poplar SRF in that period (2011: 737 mm; 2012: 607 mm). On an
506 annual timescale, SRF evapotranspiration in 2011 was 10% higher and in 2012 slightly lower (3%)
507 then reference evaporation (ET_0 , 2011: 775 mm, 2012: 754 mm) of a hypothetical, well-watered grass
508 surface (Allen et al., 1998). Monthly evapotranspiration thereby regularly exceeded ET_0 during the
509 growing season by 20-47%. Such evapotranspiration rates place our poplar plantation at the upper
510 range of the SRF evapotranspiration spectrum (cf. Fischer et al., 2018, 2013), pointing to the
511 magnitude of poplar water use that can be realized when water availability is high.

512 Precipitation in 2011 followed the characteristic mean annual course of the pre-alpine landscape,
513 with the largest part of rain falling during the months of the growing season. In contrast, 2012 was
514 characterized by a period of low rainfall in July and August. During this period, REW fell below the
515 critical limit, and a transpiration deficit of 110 mm developed at the SRF. In terms of transpiration
516 deficit (T_D), even higher drought stress was estimated for the evergreen spruce forest. However, the
517 deciduous beech forest and the grassland vegetation were less affected by limited water availability in
518 2012 and showed higher transpiration rates than the poplar plantation (Table 6). At the SRF, a
519 transpiration deficit of 42 mm surprisingly also accumulated during the wet growing season of 2011,
520 when the grassland and beech forest simulations showed no indication of limited soil water
521 availability. An explanation for relatively high transpiration deficits compared to the other vegetation
522 types can be found in too high interception evaporation rates, caused by the very high canopy
523 interception storage parameter (*cintrl*) estimated in the calibration (Figure 4). Simulated interception
524 evaporation (E_i) of the poplar plantation amounted to 37% of precipitation during the months of the
525 growing season. This is almost twice the proportion determined from field measurements in other
526 poplar SRFs (10%-27% (Orság et al., 2013; Petzold et al., 2011; Schmidt-Walter et al., 2014), and
527 suggests an overestimation of interception evaporation.

528 Simulated total evapotranspiration and relative extractable water can be regarded as accurate
529 estimates, due to the high level of agreement between simulated and observed soil water storage.
530 However, constraining the model solely on soil water storage probably resulted in biased partitioning
531 of evapotranspiration towards interception losses. Overestimated interception losses decrease the
532 actual amount of water infiltrating into the soil, thereby reducing water available for transpiration.
533 Consequently, transpiration of the poplar plantation was probably underestimated and consequently,
534 also the transpiration deficit was underestimated. To ultimately improve the prediction of individual
535 water fluxes and transpiration deficits, the incorporation of additional observation datasets to further
536 constrain the model on transpiration or net stand precipitation appears necessary.



537

538 Figure 6 Final LWF-Brook90 model results for the poplar short rotation coppice. a) Mean (n= 1000) monthly
 539 water fluxes (T_a = transpiration, E_i = interception evaporation, E_s = soil evaporation, R = surface runoff, D =
 540 drainage), transpiration deficit (T_D), and precipitation (P), and b) relative extractable soil water storage (REW) in
 541 0-100 cm soil depth. Error bars and uncertainty bounds denote the 95% confidence intervals of monthly
 542 evapotranspiration ($T_a+E_i+E_s$) and total runoff ($D+R$) (a), and REW (b).

543 Table 6: Predicted annual water fluxes (in $\text{mm y}^{-1} \pm \text{std. dev.}$) for the Poplar SRF and the three vegetation covers
 544 (Table 1) at Kaufering (Germany).

	Year	Evapo- transpiration $T_a+E_i+E_s$	Transpiration T_a	Transpiration deficit T_D	Interception evaporation E_i	Soil evaporation E_s	Total runoff $D+R$
Poplar SRF	2011	844 ± 3.8	439 ± 5.8	42 ± 9.7	301 ± 2.9	104 ± 6.7	186 ± 2.7
	2012	733 ± 4.5	350 ± 4.1	110 ± 9.2	270 ± 2.3	113 ± 7.0	170 ± 5.2
Temp. deciduous forest	2011	813	405	1	268	140	198
	2012	779	381	27	261	136	157
Temp. evergreen forest	2011	1014	559	15	374	82	47
	2012	873	411	147	397	66	19
Temp. grassland	2011	704	438	2	113	153	278
	2012	667	416	7	116	136	275

545

546 **4 Conclusions**

547 The presented case study application illustrated how meaningful water flux and drought stress
548 estimates of different vegetation types can be obtained, using existing parameter sets in
549 LWF_{Brook90R}. The results suggested that evergreen spruce forests are particularly vulnerable to
550 cumulative-type droughts, due to incomplete soil water replenishment in winter. Cumulative droughts
551 and extreme drought events severely affected forest functioning in Central Europe over 2018 and 2019
552 (Buras et al., 2019; Trotsiuk et al., 2020), and the intensity of such drought events is expected to
553 increase in the coming decades (Teuling, 2018). SVAT and similar models in particular allow for the
554 quantification of cumulative droughts that cannot be determined from bare soil and climatic data, such
555 as the site water balance (Speich, 2019). In order to develop mitigation and adaptation strategies, we
556 therefore need to be able to upscale these models for assessing the impacts of climate change on forest
557 functions at a larger scale, for which the presented LWF_{Brook90R} package provides convenient
558 solutions.

559 Our case study further demonstrated the potential of state-of-the-art Bayesian calibrations and the
560 ability of LWF-Brook90 to excellently capture the temporal variation of soil moisture. For a poplar
561 short rotation forest (SRF), Bayesian calibration obtained a high degree of agreement between
562 simulated and observed bulk soil water storage in the calibration (NSE = 0.96) and validation periods
563 (NSE = 0.95). This enabled us to accurately estimate the plantation's annual water balance, with
564 evapotranspiration rates of 844 mm (2011) and 733 mm (2012), that reflect the high water demand of
565 these bioenergy systems. However, the results from the model calibration experiments also highlighted
566 the increased demands placed on observational data by advanced inverse parameter estimation
567 techniques. In our case, calibrating the model on observed soil water storage alone was probably
568 associated with biased partitioning of evapotranspiration towards interception evaporation. The
569 integration of additional datasets to further constrain the model on observed evaporation water fluxes,
570 therefore, seems crucial to improve predictions of interception evaporation, transpiration and drought
571 stress.

572 Large amounts of heterogeneous, harmonized high-quality observational ecosystem state and flux
573 data of all kinds are increasingly becoming available through long-term ecosystem monitoring
574 programs and data collections (Poyatos et al., 2016; Reyer et al., 2019), covering a wide range of
575 climatic and soil conditions. Such data can well be used to calibrate the LWF-Brook90 model.
576 However, a gap existed in combining a LWF-Brook90 with the various data streams. With our R
577 package, we successfully filled this gap, and enabled a better integration of this particular model with
578 data. Improved model data integration and the access to advanced statistical tools provides new
579 opportunities for LWF-Brook90 to systematically assimilate observations into robust and reliable
580 predictions of water fluxes and drought stress. Accordingly, these tools offer opportunities to improve

581 the predictive capacity of LWF-Brook90 in climate impact and risk assessments, e.g. by narrowing
582 down prediction spans through combining heterogeneous datasets and additional field measurements
583 to systematically constrain parameter uncertainties (LeBauer et al., 2013). Finally, the ability to run
584 LWF-Brook90 directly from within R aids in scientific reporting and the reproducibility of simulation
585 results through scripting of applications. The open source availability and platform-independent
586 usability of `LWFBrook90R` will foster the widespread use of LWF-Brook90, and can advance our
587 understanding of soil-vegetation-atmosphere interactions.

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