

Title: Higher sensitivity to warming of flowering than leaf-out is shifting their temporal
difference across six temperate woody species in Europe

Running Title: Flowering is more sensitive to warm than leaf-out

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Abstract

Climatic warming has substantially advanced spring phenology in temperate biomes, but different phenological stages respond differently to temperature, which may affect plant and ecosystem function. We monitored the leaf-out and flowering dates of 6286 species–site combinations (1830 sites and 6 European temperate species) during 1980–2016. The sensitivity of flowering to temperature ($-5.4 \pm 0.04 \text{ d K}^{-1}$, mean \pm SE) was higher than the sensitivity of leaf-out ($-4.6 \pm 0.04 \text{ d K}^{-1}$) across all species. This was observed regardless of whether leaf-out occurred before flowering or not, and was likely caused, apart from differing thermal sensitivities, by the different thermal requirements and photoperiodic controls of flowering and leaf-out. The result was an extended time period between flowering and leaf-out in species that blooming before leaf-out, but a shorter time period between these phenological events in species with the opposite strategy. Further studies on the ecological implications of this phenological shift on resource allocation, fruit maturation, or species competition are needed to improve our understanding of ecosystem responses to climate change.

Keywords: leaf-out time, flowering time, period between phenophases, temperature sensitivity, heat requirement, photoperiod

Introduction

Anthropogenic climate change in recent decades has dramatically advanced spring phenology of plants in temperate and boreal zones (Fu et al., 2015; Menzel et al., 2006; Wolfe et al., 2005). Phenological studies have mostly focused on single phenological events, such as leaf-out or flowering, or on the length of the growing season, defined as the period between leaf-out and foliar senescence (Piao et al., 2019). Differences in responses to temperature between phenological events at the species level, and the ecological consequences of such differences, however, have received considerably less attention (but see references Ettinger, Gee, & Wolkovich, 2018; Gougherty & Gougherty, 2018; Peñuelas & Filella, 2001). Recent studies have reported different responses to warming between phenological events, potentially causing phenological asynchrony (Ettinger et al., 2018; Fu et al., 2014), which could have negative implications such as altered plant-pollinator and flower-parasite interactions (Bock et al., 2014; Duchenne et al., 2020; Memmott, Craze, Waser, & Price, 2007; Renner & Zohner, 2018; Thackeray et al., 2016) and affect resource allocation within plants and interspecies competition, eventually altering terrestrial carbon and water cycling (Cleland, Chuine, Menzel, Mooney, & Schwartz, 2007; Heberling, MacKenzie, Fridley, Kalisz, & Primack, 2019; Li et al., 2016; Peñuelas, Rutishauser, & Filella, 2009). Studying the changes in the periods between phenological events within species in response to global warming is therefore essential to improve our understanding of the response of ecosystems to ongoing climate change.

The timing of leaf-out and flowering determines vegetative and reproductive productivity, respectively. Long-term in situ and remotely sensed observations and temperature-manipulation experiments have all attributed significantly advancing dates of both leaf-out and flowering to climatic warming across temperate and boreal taxa (Menzel et al., 2020; White et al., 2009). Temperature, both chilling and warm spring temperatures, and photoperiod are widely recognized as the dominant environmental factors determining spring phenological events (Cannell & Smith, 1986; Fu et al., 2019; Hänninen, 2016; Jochner & Menzel, 2015). The mechanisms controlling the phenology of leaf-out and flowering may differ among species, and no scientific consensus has yet been reached (Cleland et al., 2007; Meng et al., 2019; Richardson et al., 2013). For example, soil moisture and daylength can affect leaf-out phenology (Körner & Basler, 2010; Peaucelle et al., 2019), but temperature is generally considered the dominant factor controlling spring leaf-out, with warming advancing leaf-out dates of temperate and boreal trees by 3-8 d K⁻¹ (Fu et al., 2014; Ge, Wang, Rutishauser, & Dai, 2015; Wolkovich et al., 2012). In contrast, the phenology of flowering may be less dominated by temperature, and instead depend on more complex relationships with physiological and external environmental cues, such as the date of snow-melt in tundra ecosystems (Iler, Hoyer, Inouye, & Schmidt, 2013) or water stress in arid grasslands (Moore & Lauenroth, 2017; Zhou et al., 2020). The response of flowering phenology to warming has been reported to be unrelated or even opposite to that of leaf-out phenology (Cook, Wolkovich, & Parmesan, 2012; Hovenden, Wills, Schoor, Williams, & Newton, 2008; Iler et al., 2013; Menzel et al., 2006). These results suggest that the response of leaf-out

and flowering to temperature vary, so climatic warming will likely affect the period between leaf-out and flowering.

Changes in the temporal difference between leaf-out and flowering can affect intraspecific physiological processes such as carbon allocation, because the allocation of resources, e.g. nutrients and nonstructural carbon, to growth or reproduction is mostly affected by the timing of the two phenophases (Gough, Flower, Vogel, & Curtis, 2010; Gougherty & Gougherty, 2018; Li et al., 2016). Shifts in phenological temporal differences can also affect interspecific relationships. For example, if flowering is advanced more than leaf-out, plants with wind-borne seed dispersal may be favored within a community because pollen dispersal is more efficient before canopy closure. Such effects could alter community composition in the longer run and alter the nutrient and energy balance of the entire ecosystem (Carter & Rudolf, 2019; Renner & Zohner, 2018). Studies of foliar phenology have mainly focused on woody plants, whereas studies of flowering phenology have generally focused on herbaceous species (Renner & Zohner, 2018). The occurrence of divergent changes in leaf-out and flowering dates within species, and whether these divergent patterns differ across species have, to our knowledge, not yet been well investigated at large spatial scales. Studying the changes in the temporal difference between leaf-out and flowering in common temperate woody species is crucial due to the high sensitivity of temperate woody species to climate change and its important impacts on the functions of terrestrial ecosystems.

Here we analyzed the temporal changes between leaf-out and flowering at the species level for common woody species over a period of rapid climatic warming (1980-2016) in Europe. The temperature sensitivity of leaf-out and flowering was quantified as the change in days per degree warming. Data were collected from 1830 sites in the central Europe, for a total of 6 temperate woody species and 6286 species–site combinations (see Methods and the site distribution in Figure S1). The objectives of this study were to 1) evaluate the temporal changes in leaf-out and flowering and the length of time between these two phenophases and assess whether patterns have diverged within and across species in the last three decades, and 2) associating these changes with potential physiological and environmental drivers to enhance mechanistic insight in these phenomena.

Results and discussion

Averaged across all species and sites during 1980-2016, the mean dates of leaf-out (day of the year, DOY: 111 ± 10 , mean \pm SD) and flowering (DOY: 112 ± 24) were very similar (Figure 1a). However, these averages hide clear differences between species flowering before leaf-out (FL-LO species) as compared to species exhibiting leaf-out prior to flowering (LO-FL species) (Figure 1a; Figure S2). Four species had mean leaf-out dates (DOY: 108 ± 7) earlier than their mean flowering dates (DOY: 124 ± 12) and the two other species had mean flowering dates (DOY: 92 ± 24) prior to mean leaf-out dates (DOY: 117 ± 12). The dates of both leaf-out and flowering were negatively correlated with preseason temperature (see Methods for definition), significant at $P <$

0.05 for >78% of the sites, with mean correlation coefficients of -0.54 ± 0.26 for leaf-out and -0.57 ± 0.26 for flowering (Figure S3). Climate warming thus led to significant advances in both leaf-out and flowering after the 1980s, consistently with findings from previous studies (Phillimore, et al., 2016).

Earlier spring phenological events in temperate ecosystems have been reported to be more sensitive to climatic warming than later phenological events (Menzel et al., 2006; Miller-Rushing & Primack, 2008; Zhang, Yuan, Liu, Dong, & Fu, 2015). However, flowering for all species in our study was more sensitive to warming than leaf-out, regardless of the order of both events (LO-FL or FL-LO, Figure 2). In detail, S_T across all species and sites was $-4.6 \pm 0.04 \text{ d K}^{-1}$ (mean \pm SE) for leaf-out and $-5.4 \pm 0.04 \text{ d K}^{-1}$ for flowering, and this difference was statistically significant ($F_{1,10913}=258.68$, $P < 0.001$, Figure 2a). The difference in S_T between leaf-out and flowering was similar for both the LO-FL and FL-LO species. Across the sites and species blooming after leaf-out, the S_T was $-4.4 \pm 0.04 \text{ d K}^{-1}$ for leaf-out and $-5.0 \pm 0.04 \text{ d K}^{-1}$ for flowering ($F_{1,6030.5}=135.69$, $P < 0.001$); and $-4.8 \pm 0.07 \text{ d K}^{-1}$ for leaf-out and $-6.1 \pm 0.09 \text{ d K}^{-1}$ for flowering ($F_{1,3530.6}=138.16$, $P < 0.001$) across the LO-FL species and sites (Figure 2a). Additionally, we divided the study area into $0.5^\circ \times 0.5^\circ$ pixels, and found no spatial patterns of the differences in S_T between flowering and leaf-out for individual species (Figure S4). Large variations of S_T have been reported for both leaf-out and flowering (Hänninen & Tanino, 2011), but our results indicated a consistently higher S_T for flowering than leaf-out across the six studied species (Figure 2b).

This higher temperature sensitivity contributed to an overall rate of advance that was significantly higher for flowering (4.0 ± 0.1 d decade⁻¹, mean \pm SE) than for leaf-out (2.8 ± 0.1 d decade⁻¹) ($F_{1,10646}=247.42$, $P < 0.001$, Figure 1b). With the temperature sensitivity being similar in both groups, flowering advanced more than leaf-out regardless of life strategy. The time period between leaf-out and flowering thus became significantly shorter for the LO-FL species (-2.2 ± 0.4 d decade⁻¹, $F_{1,5696}=405.53$, $P < 0.001$, Figure 1c), and tended to be extended, albeit not statistically significantly, for the FL-LO species (0.8 ± 0.7 d decade⁻¹, $F_{1,3487.5}=41.836$, $P < 0.001$). Across all sites and species, the temporal difference between leaf-out and flowering was shortened by 1.2 ± 0.5 d decade⁻¹ ($P < 0.05$, Figure 1c).

To account for the larger advance and higher S_T of flowering, and the smaller temporal difference between leaf-out and flowering when averaged across all species, we propose three mutually nonexclusive hypotheses: (1) a climatic hypothesis, where different warming rates before leaf-out and flowering and a higher warming rate before flowering could lead to larger advances in flowering dates, (2) a heat requirement hypothesis, where a larger heat requirement for leaf-out than flowering could lead to more time for leaf-out to fulfill the heat requirement at the same warming rate before leaf-out and flowering, and to a smaller advance in leaf-out than flowering and (3) a photoperiodic hypothesis, where advances in leaf-out may be more constrained by photoperiod than is flowering, so flowering would be more sensitive to temperature and subsequently lead to a larger advance in flowering dates.

We tested the first hypothesis by estimating the changes in spring temperature and heat accumulation during the preseason (see Methods). The mean annual air temperature increased by $0.20\text{ }^{\circ}\text{C decade}^{-1}$ over the study area during 1980-2016, and the average monthly air temperature also tended to increase, except in December (Figure S5a and b). Warming trends were similar before both leaf-out and flowering across all species and sites (Figure 2c). We tested the robustness of this climatic hypothesis by estimating the variation of spring temperature, defined as the standard deviation of temperature during the preseason (T_{SD}), and found significantly higher T_{SD} before flowering than leaf-out across all species and site, as well as in groups and for 4/6 individual species (Figure 3d and Figure S5d). These results suggested that different rates of warming before leaf-out and flowering could partly explain the larger advance in flowering than leaf-out for LO-FL species, but could not account for the decreased temporal differences between leaf-out and flowering for FL-LO species.

The second hypothesis involves the physiological processes of plant dormancy. Woody plants need a specific amount of heat (heat requirement, growing degree days, GDD) during spring to break dormancy, and a lower heat requirement may lead to earlier development under climatic warming. We indeed found that the earlier phenological events required fewer GDD than later events for both the LO-FL and FL-LO species, but the heat requirement when averaged across all species was significantly higher for flowering (Figure 3a and b). An alternative interpretation of this observations is that the

sensitivity of the heat requirement, i.e. the amount of heat accumulated per degree increase in temperature during the pre-season (S_{GDD}), was higher for flowering than leaf-out. A higher sensitivity to heat for flowering may lead to a larger advance than for leaf-out at the same rate of warming before leaf-out and flowering. We indeed found an overall higher S_{GDD} for flowering (37.5 ± 14.3 d) than leaf-out (33.2 ± 12.6 d, $F_{1,899.21}=49.97$, $P < 0.001$, Figure 3c and d). These results suggested that in addition to S_T , the sensitivity of the heat requirement could also partially account for the reducing temporal difference between leaf-out and flowering, consistent with our heat hypothesis.

Photoperiod is often considered to codetermine the processes of spring phenology, albeit species-specifically (Flynn & Wolkovich, 2018; Fu et al., 2019; Körner & Basler, 2010). A weaker photoperiodic control may be associated with a higher S_T and thus a larger advance in response to global warming (Flynn & Wolkovich, 2018; Way & Montgomery, 2015; Zohner & Renner, 2016). We could not directly estimate the effect of photoperiod, so we applied an alternative indirect estimate. A stronger photoperiodic control of spring phenology would likely be reflected in smaller interannual variation of phenological dates, so we calculated the variation of both leaf-out and flowering (defined as one standard deviation) across all sites and species. The interannual variation was significantly smaller for leaf-out (7.7 ± 0.03 d) than flowering (9.4 ± 0.06 d, $F_{1,10948}=1127.7$, $P < 0.001$, Figure 4a), and consistent results were found for each individual species (Figure 4b), suggesting a stronger photoperiodic control of leaf-out than flowering and thus a higher S_T of flowering, consistent with our photoperiodic

hypothesis. We further tested this photoperiodic limitation by plotting daylength on the dates of leaf-out and flowering against latitude. Interestingly, the overall trends of daylength with latitude differed significantly between leaf-out and flowering (ANCOVA, $F=2.594$, $P < 0.001$), as well as for 5/6 species (Figure 4c and d), suggesting that photoperiod likely affected the dates of both leaf-out and flowering, but the effect of photoperiod was larger for leaf-out. These results thus support our hypothesis that photoperiod may partially constrain leaf-out and thereby limit the advance of leaf-out with warming, which could account for the smaller advance in leaf-out than flowering dates.

Our results demonstrate differences in climatic responses between leaf-out and flowering, with flowering having a higher S_T than leaf-out across temperate woody species. As a result, the temporal difference between these two phenological events has substantially shifted in recent decades. This phenomenon may have substantial ecological implications. The sequences of phenophases play key roles in the allocation of resources by species, because plant growth and reproduction depend on the same internal resource pool, e.g. nutrients and nonstructural carbon, and shifting reproductive phenophases may affect the allocation of resources from growth and survival to fecundity (Gougherty & Gougherty, 2018; Li et al., 2016; Richardson et al., 2013). In addition, the different temperature sensitivity between leaf-out and flowering may also affect interspecies competition. Recent studies have found that FL-LO species are more resistant to freezing (Gougherty & Gougherty, 2018), and a higher S_T and earlier

flowering directly affect plant gene flow, indicate an advanced onset of reproduction, which could help seed dispersal, prolong the fruit growing season and improve plant competitiveness, because the seed dispersal may benefit from a longer time period before the canopy fully closed (Carter & Rudolf, 2019; Li et al., 2016; Wang & Ottlé et al., 2014), and subsequently lead to changes in species distribution and composition in terrestrial ecosystems (Post, 2019; Vitasse et al., 2011). The effect of changes in the temporal difference between leaf-out and flowering on carbon allocation and interspecies competition, however, needs to be investigated further. In summary, we found significant changes in the temporal difference between leaf-out and flowering across temperate species, with likely substantial ecological implications going from altered plant allocation of resources to changed interspecific relationships.

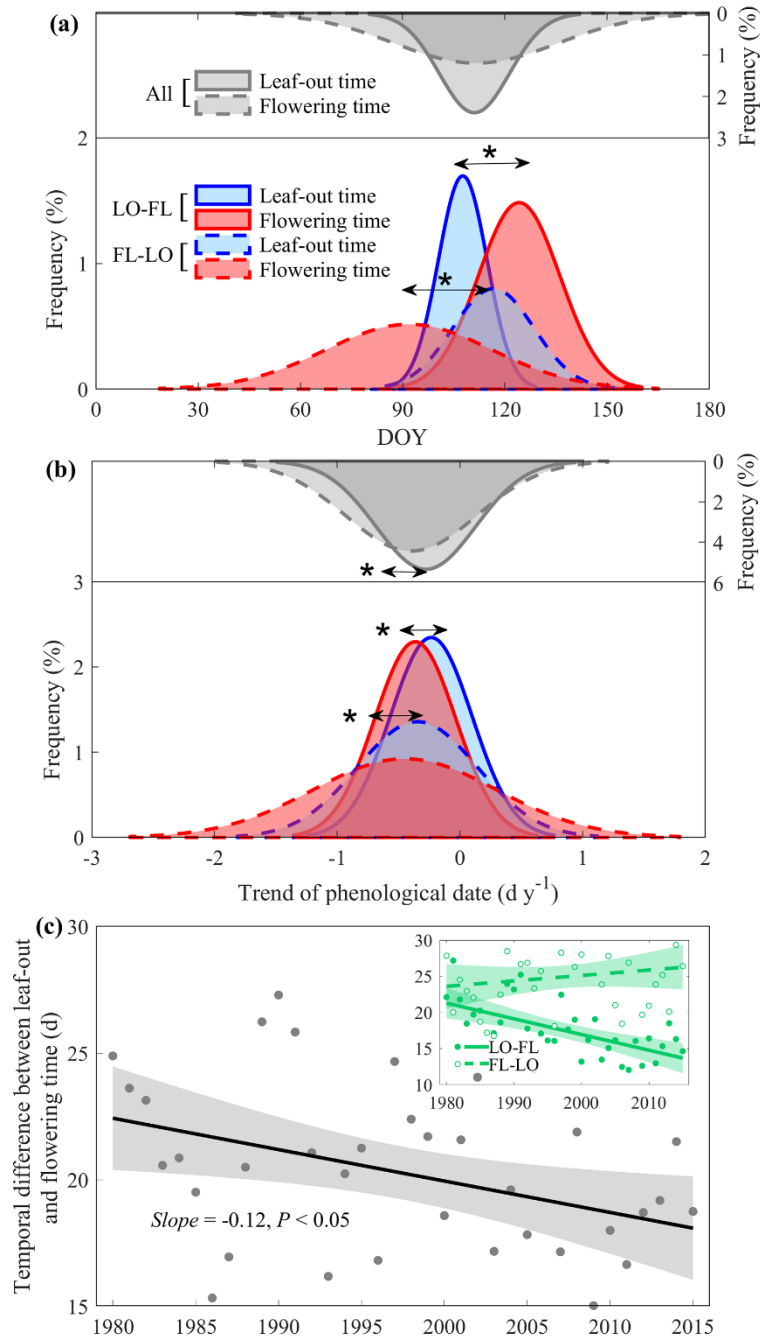


Figure 1 Dates and trends of spring phenology, and the temporal difference between leaf-out and flowering time during 1980-2016. (a) Frequency distribution of phenological dates across sites and species. **(b)** Frequency distribution of trends of phenological dates across sites and species. The asterisks in (a) and (b) indicate significant differences between leaf-out and flowering times in the same group at $P < 0.05$ (paired t -test). **(c)** Temporal trend of the difference between leaf-out and flowering.

265 The dots represent averages of multiple sites in a year, and the shadings represent 95%
266 confidence intervals. The subpanel is the trend of temporal difference between leaf-out
267 and flowering by group. “All” indicates all species across sites and species, “LO-FL”
268 indicates species bloom after leaf-out, and “FL-LO” indicates species bloom before
269 leaf-out.

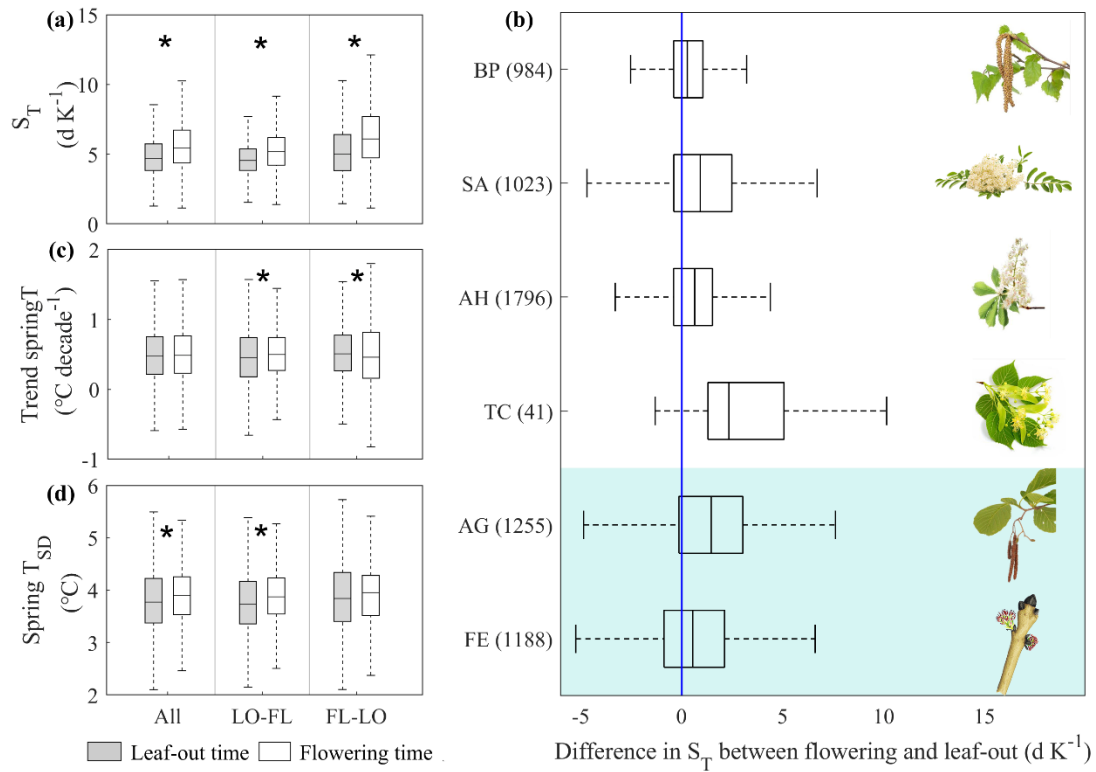


Figure 2 Temperature variations and the temperature sensitivity across sites and differences between flowering and leaf-out time. (a) Temperature sensitivity (S_T) across sites and species. The S_T was defined as the number of advanced days for one unit increase in Kelvin temperature, determined by reduced major-axis (RMA) regression between spring phenology (leaf-out and flowering dates) and mean air temperature during the pre-season. **(b)** Differences in S_T between flowering and leaf-out by species. Positive values in (b) indicate flowering is more sensitive to temperature variation than leaf-out. **(c)** Temporal changes of mean air temperature during the pre-season during 1980-2016 across sites and species. **(d)** Variation in spring temperature (T_{SD}) across sites and species. T_{SD} was calculated as the standard deviation of mean spring temperature during the pre-season. The asterisks in (a), (c) and (d) indicate significant differences at $P < 0.05$ (paired t -test), and the blue line in (b) indicates no difference. The inserted images in (b) show the leaf and flower morphology

283 of each species. “All” indicates all sites and species, “LO-FL” indicates species bloom
284 after leaf-out, and “FL-LO” indicates species bloom before leaf-out. BP, *Betula*
285 *pendula*; SA, *Sorbus aucuparia*; AH, *Aesculus hippocastanum*; TC, *Tilia cordata*; AG,
286 *Alnus glutinosa*; FE, *Fraxinus excelsior*. The values in bracket after the species names
287 are the number of sites in study.

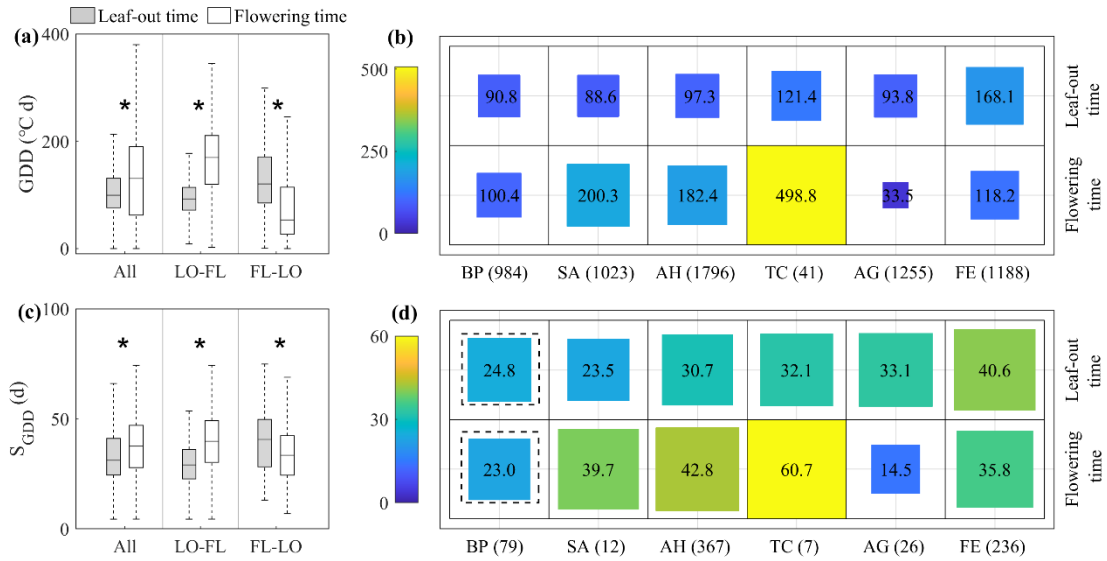


Figure 3 Differences in growing degree days and its sensitivity to spring temperature. (a) The heat accumulation (growing degree days, GDD) during pre-season. (b) The GDD during pre-season for each species. (c) The sensitivity of the GDD requirement to spring temperature (S_{GDD}); sites with significant changes of both spring temperature and GDD at $P < 0.1$ were selected, which accounting for 32% of the total. (d) The S_{GDD} for each species on the selected sites. The asterisks in (a) and (c) indicate significant differences at $P < 0.05$ (paired t -test), and the values marked with dotted square in (d) indicate insignificant difference between leaf-out and flowering time. “All” indicates all sites and species, “LO-FL” indicates species bloom after leaf-out, and “FL-LO” indicates species bloom before leaf-out. BP, *Betula pendula*; SA, *Sorbus aucuparia*; AH, *Aesculus hippocastanum*; TC, *Tilia cordata*; AG, *Alnus glutinosa*; FE, *Fraxinus excelsior*. The values in bracket after the species names are the number of sites in study.

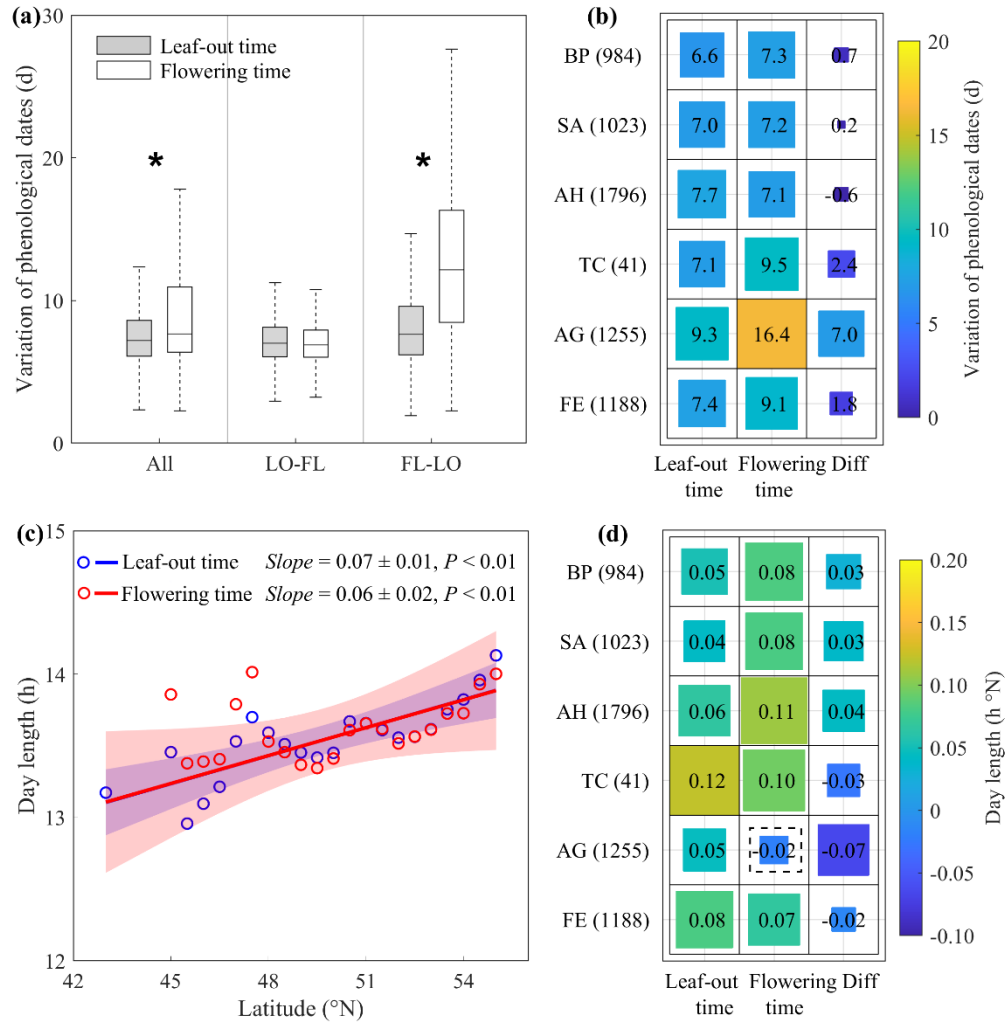


Figure 4 Variation of spring phenology and the spatial distribution of the daylength between leaf-out and flowering time. (a) The variation of spring phenology dates, calculated as one standard deviation of leaf-out date or flowering dates across sites and species. (b) The variation of spring phenology for each species. (c) The latitudinal variation of the daylength on the mean leaf-out and flowering dates. All sites were averaged every 0.5° along the latitudinal gradient. The shadings represent 95% confidence intervals. (d) The latitudinal variation of the daylength on the leaf-out and flowering dates and their difference for each species. The asterisks in (a) and (c) indicate significant differences at $P < 0.05$ (paired t -test), and the values marked with dotted square in (d) indicate insignificant trends. “All” indicates all sites and species,

311 “LO-FL” indicates species bloom after leaf-out, and “FL-LO” indicates species bloom
312 before leaf-out. BP, *Betula pendula*; SA, *Sorbus aucuparia*; AH, *Aesculus*
313 *hippocastanum*; TC, *Tilia cordata*; AG, *Alnus glutinosa*; FE, *Fraxinus excelsior*. The
314 values in bracket after the species names are the number of sites in study.

Material and Methods

Phenological data set. We used in situ observations of both leaf-out and first flowering from the open-access European phenological data sets: the Pan European Phenology Network (PEPXX), <http://www.pep725.eu/> (Templ et al., 2018). We used BBCH codes 11 (i.e. first visible leaf stalk) and 60 (i.e. beginning of flowering) for 6 species at 1830 sites, mainly in central Europe (Figure S1). For each species and site, phenological dates had been recorded for at least 15 years during 1980-2016, with both leaf-out and flowering records in any year.

Climatic data set. The climatic data including daily average air temperature ($^{\circ}\text{C}$), precipitation (mm) and solar radiation (W m^{-2}), for each site were derived from a bias-corrected reanalysis dataset at a spatial resolution of 0.25° (approximately 25 km), which was specifically described in ref (Beer et al., 2014).

Preseason was defined as the period before the average leaf-out or flowering date for each species at each site, ranging from 15 to 120 d (with 15-d steps), using the highest absolute partial correlation coefficient between phenological dates and the mean air temperature to remove the confounding effects of precipitation and radiation, defined as the sum of precipitation and radiation during the preseason (Figure S3).

Temperature sensitivity (S_T) was defined as the number of advanced days per degree increase in air temperature, determined by reduced major-axis regression (RMA) (Keenan, Richardson, & Hufkens, 2019; Fu et al., 2015) between leaf-out or flowering date and mean air temperature during the preseason.

Growing degree days (GDD) were used to measure the heat requirements for the onset

of leaf-out and flowering, calculated as the sum of mean daily air temperature above a 5 °C temperature threshold, following previous studies (Wang & Otter et al., 2014; Fu et al., 2015), during the preseason:

$$GDD = \sum_{t_0}^{t_i} (T_t - 5) \quad \text{if } T_t \geq 5$$

where t_0 is the start of the preseason, t_i is the date of leaf-out or flowering for each site and T_t is mean daily air temperature.

Sensitivity of GDD to spring temperature (S_{GDD}) was estimated using the slope of the linear regression for the time series of GDD to average spring temperature during the preseason, as previously described (Fu et al., 2019).

Daylength was calculated as a function of latitude and phenological date (day of the year, DOY):

$$\text{Daylength} = 24 - \frac{24}{\pi} \cos^{-1} \left[\frac{\sin \frac{0.8333\pi}{180} + \sin \frac{L\pi}{180} \sin \varphi}{\cos \frac{L\pi}{180} \times \cos \varphi} \right]$$

$$\varphi = \sin^{-1}(0.29795 \times \cos \theta)$$

$$\theta = 0.2163108 + 2 \times \tan^{-1}(0.9671396 \times \tan(0.0086 \times (DOY - 186)))$$

where L is the latitude of the phenological site (Fu et al., 2019).

Statistical analysis. We determined the frequency distributions of the variables (i.e. the timing and temporal trends of phenological dates) and plotted them in histograms. The differences between leaf-out and flowering were tested using paired *t*-tests for each group and across species. To test the spatial patterns of the major variables (i.e. S_T), we divided the study area into pixels of 0.5°×0.5° resolution, and calculated the average S_T at sites within pixels. To adequately model the structure in the data (e.g. site and year)

and to further test the robustness of the results, we adopted mixed-effect models using the lmerTest package in R3.5.2, with site and year as random factors (Phillimore, Leech, Pearce-Higgins, & Hadfield, 2016).

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